

# DUTCHESS COUNTY



27 High St. 2<sup>nd</sup> Floor  
Poughkeepsie  
New York, 12601  
(845) 486-3601  
Fax (845) 486-3610  
dcwwa@dutchessny.gov  
www.DCWWA.org

#### Authority Board Members

**Thomas LeGrand**  
Chairperson

**Vincent DiMaso**  
Vice-Chairperson

**Rudy Vavra**  
Treasurer

**Lawrence R. Knapp**  
Secretary

**Amanda Baxter-Dingee**

#### Ex officio Members

**Brian Scoralick**  
Acting Executive Director  
Soil and Water Conservation District

**Eoin Wrafter**  
Acting Commissioner  
D.C. Dept. of Planning & Development

#### Staff

**Bridget Barclay**  
Executive Director

**Mary C. Morris**  
Deputy Director

DUTCHESS COUNTY WATER AND WASTEWATER AUTHORITY  
WEDNESDAY, MARCH 18, 2015  
3:30 P.M.

### PROPOSED AGENDA

1. Meeting Open – Introductions
2. Approval of Minutes
  - February, 2015 Board Meeting (R2015.03.A)
3. Chairman’s Report
4. Operations Report – see written report
5. Finance Report
  - Approval of Warrant (R2015.03.B)
  - 2014 System Budget Close Out (R2015.03.C)
  - Review and Approval of 2014 Financial Statement (R2015.03.D)
  - Review and Approval of Components of 2014 Authority Budget Office Annual Report (R2015.03.E)
  - Approval of Bonding Resolution – Staatsburg (Zone C) 2015 Service Agreement Revenue Note (R2015.03.F)
6. System/Capital Project Updates
  - CCS WWTP Rehabilitation Project – Review of Value Engineering Report
  - CCS WWTP Rehabilitation Project Authorize Amendment No. 2 to the Engineering Services Agreement (R2015.03.G)
  - VDS WWTP Rehabilitation Project – Review of Cost Estimate & Value Engineering Report
  - Shore Haven Water System Improvement Project Status Report
  - DC Airport Water Main Project Status Report
7. Community Project Updates
  - Hyde Park Water and Sewer System Acquisitions – Adoption of SEQR Determinations (R2015.03.H)
  - Fairways Sewer System Acquisition – Adoption of SEQR Determination (R2015.03.I)
8. Public Comment
9. Confirm next meeting date – April 15, 2015
10. Adjourn

Meeting will be held at 27 High Street – 2nd Floor Conference Room  
Members please call Jackie (486-3601) ASAP if you cannot attend



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**DUTCHESS COUNTY WATER AND WASTEWATER AUTHORITY  
UNAPPROVED - MINUTES OF FEBRUARY 18, 2015**

Authority Board Members

**Thomas LeGrand**  
Chairperson

**Vincent DiMaso**  
Vice-Chairperson

**Rudy Vavra**  
Treasurer

**Lawrence R. Knapp**  
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Acting Commissioner  
D.C. Dept. of Planning & Development

Staff

**Bridget Barclay**  
Executive Director

**Mary C. Morris**  
Deputy Director

**Board Members Present**

Tom LeGrand  
Vince DiMaso  
Rudy Vavra  
Larry Knapp

**Staff Present**

Bridget Barclay  
Jackie Burger  
Jonathan Churins  
Ed Mills  
Mary Morris  
Doug Odell

**Board Member Absent**

Amanda Baxter-Dingee

**Ex-Officio Member**

Eoin Wrafter – Acting Commissioner, Dutchess County Planning  
and Development

**Others Present**

Pete Marlow – Dutchess County Department of Health  
Peter Dowley – Staatsburg Watch Dog Committee

**Meeting Open**

Vice-Chairman Vince DiMaso opened the meeting at 3:35 p.m.

**Approval of Board Meeting Minutes**

Rudy made a motion to approve the minutes of the January 29, 2015 board meeting. The motion was seconded by Larry; voted on and passed unanimously. (Res. 2015.02.A/01)

**Chairman's Report**

Tom said 2015 is going to be a busy and productive year. This month he worked with Staff on several issues as well as a number of potential acquisitions.

### **Committee Appointments**

Vince made a motion that the following Board Members be appointed to serve on the committees of the Authority as noted below; effective January 1, 2105:

**Governance Committee:** R. Vavra, Chairman; V. DiMaso; T. LeGrand

**Audit Committee:** T. LeGrand, Chairman; V. DiMaso; A. Baxter-Dingee

**Finance Committee:** V. DiMaso, Chairman; R. Vavra; A. Baxter-Dingee; T. LeGrand

**Operation Committee:** L. Knapp, Chairman; R. Vavra; T. LeGrand

**Personnel Committee:** L. Knapp, Chairman; V. DiMaso; T. LeGrand

The motion was seconded by Rudy, and passed unanimously. (Res. 2015.02.B/02)

### **Operations Report**

Vince said the Operations report was included in the package. Doug said there was nothing significant to note. Vince asked about Staatsburg Water System. Doug said the numbers are still down but not as much as expected possibly due to the cold weather and other variables. The daily usage is 7,000 gpd less than the daily average for January during the previous 3 years, but it is not the 15,000 gpd reduction that we saw over the first 5 days of the new line being in service.

Larry asked if there had been any water main breaks or any other problems due to the cold. Doug said only service line issues to date. There were no further questions.

### **Finance Report – Approval of Warrant**

Rudy asked if there were any changes or amendments to the warrant. Mary said no. Larry made a motion to approve the warrant as presented. The motion was seconded by Rudy; voted on and passed unanimously. (Res. 2015.02.C/03)

### **Shore Haven Water System – Change Order No. 1 for the contract with Dolphin Equipment Corporation**

Larry made a motion to authorize the Executive Director to execute Change Order No. 1 for the contract with Dolphin Equipment Corporation of Pelham, New York, extending the Contract Time as noted for the Shore Haven Water System – Booster Pump Station Equipment Pre-Purchase Contract No. SHW-PWC-2013-01. The motion was seconded by Vince; voted on and passed unanimously. (Res. 2015.02.D/04)

### **Shore Haven Water System Improvement Project Status Report**

Bridget said we received a written response from the New York State Department of Health on the proposed changes to the Shore Haven Water System Improvement project. They are requiring a formal basis of design submittal with the changes and

some narrative explanation of the increased costs. They also require some additional water quality data, and will review detailed plans and specs in terms of the layout of the equipment.

Bridget continued by saying Staff met with Savin to review the entire scope of work for the changes to the treatment project and went through a list of issues on the schematic layout, the changes to the well pumps and making the chloramination system permanent. Reducing the size of the building and reevaluating the type of building was also discussed. Savin will submit a detailed schedule and update the cost estimates for the proposed changes. Discussion followed.

#### **Capital Project Schedule Update**

Bridget advised the Board that the Capital Project Schedule is normally provided quarterly, which would have been at the January meeting but was pushed back to the February meeting. We will continue to update this schedule quarterly. It is based on the projects in progress in 2014 and any new projects added with the 5 year Capital Plan in 2015.

Larry inquired on the status of the value engineering/cost estimate for the Valley Dale Sewer Project. Bridget said there was a delay in obtaining price quotes from some of the manufacturers and we should have the report before the end of February and should also have something from Morris Associates on Chelsea Cove Sewer within the same time frame.

#### **Community Project Updates - Hyde Park Water and Sewer Acquisitions**

Bridget said we are moving forward on taking over the eight Hyde Park water and sewer systems. As a requirement of the grant funding, we completed the engineering evaluations through a contractor to the Town of Hyde Park and completed the reorganization studies for this project. We held meetings with the Town and the Reorganization Study Committee that include members of the Town Board and representatives of each the systems. We then held public meetings with small groups of Advisory Committees for each of the systems and held public meetings, which were advertised. Hyde Park Town Hall also posts public meeting information on-line and on You Tube., These meetings were open to anyone in the 8 Systems we are proposing to acquire. As a result of the meetings, there is a strong consensus that the Hyde Park Town Board wants to move forward with the transfer of all systems. The Town is holding a public hearing on February 19<sup>th</sup> and expect to vote on the transfers on February 23<sup>rd</sup>.

Bridget said because we are trying to keep this on an expedited schedule we have begun the process of resolution requests to the County Legislature. For each system that we acquire we will ask the County to set up a new Zone of Assessment in the County water district or a part-county sewer district. This is a multi-step process that begins with requesting the resolution and the County Legislature then adopts the

resolution to schedule a public hearing. This could be done at their March meeting and the public hearing would then be at their April meeting and they can then vote to create the zones of assessments and the sewer districts. Discussion followed.

Bridget advised the Board that the Legislators representing the areas involved are Rich Perkins and Nicholas Ignaffo. Both have been involved in, and informed of the process and are supportive of the transfers. Once the Legislature acts to create the districts and zones of assessment there is a 45 day permissive referendum period ending sometime in mid-June. We would then be in a position to begin the transfers sometime in July. Tom asked if the systems' fund balances would be transferred to the Authority at that time. Bridget said yes, and Jonathan has been going to operations committee meetings with the Town of Hyde Park and advising them on operation issues and improvements to the systems to ensure that the systems and the finances for the systems, are well maintained when the Authority acquires them. Discussion followed.

### **Vanderburgh Cove Sewer System Evaluation**

Bridget said 10 properties within Vanderburgh Cove Sewer System are in the Town of Hyde Park, with the remainder in Rhinebeck. The Town of Rhinebeck is the lead on that system. Staff is currently evaluating the system and we continue to gather information on the current condition of the system, the status of the systems finances and where they are in addressing inflow and infiltration issues. Tom asked if there had been a meeting with Department of Environmental Conservation (DEC). Bridget said yes and DEC has provided Vanderburgh Cove with a compliance scope of work and a schedule. The Town of Rhinebeck worked with Weston and Sampson to put together a report to DEC. Discussion followed on the upgrades that have been done and additional collection system work yet to be done. Bridget added that Peter has also been working on the evaluation. Tom said it would be in the best interest of the Town of Rhinebeck to transfer this system to the Authority.

### **Fairways Sewer System**

Bridget said the Authority acquired the Fairways Water System in 2012. At that time the residents did not want to move right away on the sewer system due to issues with the property. There are three separate treatment areas and treatment beds all located on the one single large piece of property owned by the Homeowners Association (HOA). Staff has worked with the HOA as well as Jerry Comatos, as our legal counsel, and developed a plan that is acceptable to everyone. We will take a permanent easement for all of the areas where there is wastewater treatment infrastructure. This makes up about 90% of the HOA's commonly owned property. Jonathan said the membership of the HOA voted 31 of 36 in favor of. There are 36 dwellings and 31 members voted to transfer the system. The 5 that did not vote are absent owners or properties in transfer. Bridget said we will be moving forward with the transfer.

### **Public Comment**

Tom asked for any public comment. There were no comments.

**Confirm Next Meeting Date**

Tom confirmed the next meeting date of March 18, 2015.

**Adjournment**

Tom asked for a motion to adjourn at 4:00 p.m. The motion was made by Rudy; seconded by Larry and passed unanimously. (Res. 2015.02.E/05)

Respectfully submitted,



Jackie Burger  
Senior Typist

**Resolutions**

- |                   |   |
|-------------------|---|
| Res. 2015.02.A/01 | Motion to approve the minutes of the January 29, 2015 board meeting                                     |
| Res. 2015.02.B/04 | Motion to adopt committee appointments: Governance, Audit, Finance, Operations and Personnel Committees |
| Res. 2015.02.C/02 | Motion to adopt warrant as presented  |
| Res. 2015.02.D/03 | Motion to authorize Change Order #1 – SHW Booster Station Equipment Pre-Purchase Contract               |
| Res. 2015.02.E/05 | Motion to adjourn   |

**Resolution Package – Not for Release**

**Summary of Possible Motions for March 18, 2015 DCWWA Meeting:**

The following is a list of motions that will be considered by the Board. Drafts of complete motions and explanatory memos are included in your package. Potential motions will be referenced with letters (A, B, C.....). Each will be assigned a permanent number in sequential order in the board minutes after the meeting.

1. Approval of Minutes – February 18, 2015 Board Meeting (R2015.03.A)
2. Approval of Warrant (R2015.03.B)
3. Approval of 2014 System Budgets Close Out (R2015.03.C)
4. Approval of 2014 Financial Statement (R2015.03.D)
5. Approval of Components of 2014 Authority Budget Office Annual Report (R2015.03.E)
6. Approval of Bonding Resolution – Staatsburg (Zone C) 2015 Service Agreement Revenue Notes (R2015.03.F)
7. CCS WWTP Rehabilitation Project – Authorize Amendment #2 to the Engineering Services Agreement (R2015.03.G)
8. Hyde Park Water and Sewer Systems Acquisitions – Adoption of SEQR Determinations (R2015.03.H)
9. Fairways Sewer System Acquisition – Adoption of SEQR Determination (R2015.03.I)

**RESOLUTION NO. 2015.03.A**

**Authority Board – DCWWA  
March 18, 2015 meeting**

\_\_\_\_\_ offers the following resolution and moves its adoption:

**Approval of Minutes – February 18, 2015 Board Meeting**

Seconded by \_\_\_\_\_

<b><u>Record of Vote:</u></b>	<b><u>Aye</u></b>	<b><u>Nay</u></b>
Thomas LeGrand	___	___
Vincent DiMaso	___	___
Larry Knapp	___	___
Rudy Vavra	___	___
Amanda Baxter-Dingee	Absent - Abstains	

**Approval of Warrant**

\_\_\_\_\_ offers the following resolution and moves its adoption:

Approval of warrant, as presented

Seconded by \_\_\_\_\_

<b><u>Record of Vote:</u></b>	<b><u>Aye</u></b>	<b><u>Nay</u></b>
Thomas LeGrand	___	___
Vincent DiMaso	___	___
Larry Knapp	___	___
Rudy Vavra	___	___
Amanda Baxter-Dingee	___	___

**2014 System Budgets Close Outs**

\_\_\_\_\_ offers the following resolution and moves its adoption:

Whereas; the Staff Treasurer has reviewed all revenues and expenses for the Authority controlled budgets for fiscal year 2014; and

Whereas; the 2014 budget revenues and expenses are presented and which include an allocation of system fund balance for the Shore Haven Water System in the amount of \$5,350 to address system engineering and repair expenses; and

Whereas; the 2014 budget revenues and expenses as presented have been recommended by the Staff and reviewed by the Board to close out the 2014 budgets;

Now, therefore be it resolved; the attached 2014 budget close outs are approved.

Seconded by \_\_\_\_\_

<b><u>Record of Vote:</u></b>	<b><u>Aye</u></b>	<b><u>Nay</u></b>
Thomas LeGrand	___	___
Vincent DiMaso	___	___
Larry Knapp	___	___
Rudy Vavra	___	___
Amanda Baxter-Dingee	___	___

**Review and Approval of the 2014 Financial Statement**

\_\_\_\_\_ offers the following resolution and moves its adoption:

Whereas; the Authority Auditors have provided their audit report for the financial records of the Dutchess County Water and Wastewater Authority for fiscal year 2014, and;

Whereas; the report has been presented to the Board at the March 18, 2015 meeting of the Dutchess County Water and Wastewater Authority and has been reviewed by Staff and the Authority Board with no changes recommended;

Now, therefore be it resolved; the Authority Board hereby approves the 2014 financial audit and authorizes the release of the 2014 Financial Statement.

Seconded by \_\_\_\_\_

<b><u>Record of Vote:</u></b>	<b><u>Aye</u></b>	<b><u>Nay</u></b>
Thomas LeGrand	___	___
Vincent DiMaso	___	___
Larry Knapp	___	___
Rudy Vavra	___	___
Amanda Baxter-Dingee	___	___

**Review and Approval of Components of 2014 Authority Budget Office Annual Report**

\_\_\_\_\_ offers the following resolution and moves its adoption:

Whereas; Section 2800 of the New York State Public Authorities Law requires each Authority to annually prepare an Annual Report describing its activities of the prior fiscal year, and submit same to the Public Authority Budget Office, the County Executive, the chief County fiscal officer, and the Chair of the County Legislature by March 31; and

Whereas; Staff has prepared and submitted to the Board the required components of the Annual Report for 2014 in accordance with the requirements of the Law; and

Whereas; the Authority Board has reviewed the Annual Report components as submitted and finds the information therein to be accurate, correct and complete;

Now therefore be it resolved; the Authority Board hereby approves the report components of the Annual Report as presented and authorizes the Executive Director or Deputy Director to certify the 2014 Annual Report to the NYS Authority Budget Office.

Seconded by \_\_\_\_\_

<b><u>Record of Vote:</u></b>	<b><u>Aye</u></b>	<b><u>Nay</u></b>
Thomas LeGrand	___	___
Vincent DiMaso	___	___
Larry Knapp	___	___
Rudy Vavra	___	___
Amanda Baxter-Dingee	___	___

**RESOLUTION NO. 2015.03.F**

**Authority Board – DCWWA  
March 18, 2015 meeting**

**RESOLUTION AUTHORIZING THE ISSUANCE AND SALE OF THE AUTHORITY’S WATER SERVICE AGREEMENT REVENUE NOTES, SERIES 2015 (STAATSBURG WATER SYSTEM) AND THE APPROVAL AND EXECUTION OF RELATED DOCUMENTS**

\_\_\_\_\_ offers the following resolution and moves its adoption:

WHEREAS, by Title 6-C of Article 5 of the Public Authorities Law of the State of New York (the “Act”), the Dutchess County Water and Wastewater Authority (the “Authority”) was created with the authority and power to issue its revenue bonds for the purpose of, among other things, planning, developing, acquiring, constructing and financing the cost of any project (as defined in the Act) owned or operated by or for the benefit of the Authority in accordance with the Act; and

WHEREAS, the Authority desires to issue its Water Service Agreement Revenue Notes, Series 2015 (Staatsburg Water System) (the “Notes”) pursuant to the Act to renew in full \$1,660,500 Revenue Notes, Series 2014 issued to (i) fund the design and construction of certain capital improvements to the Staatsburg Water System, and (ii) pay costs of issuance; and

WHEREAS, the Authority has entered into a Service Agreement, dated as of June 1, 1998 (which has from time to time been amended and restated) with the County of Dutchess relating to an integrated water supply, purification and distribution system (the “Service Agreement”); and

WHEREAS, it is now desired to authorize the issuance of the Notes by public sale and to approve and authorize the execution of related documents; NOW, THEREFORE,

BE IT RESOLVED by the Dutchess County Water and Wastewater Authority as follows:

Section 1. The Authority hereby finds and determines:

- (a) By virtue of the Act, the Authority has been vested with all powers necessary and convenient to carry out and effectuate the purposes and provisions of the Act and to exercise all powers granted to it under the Act.
- (b) It is desirable and in the public interest for the Authority to issue and sell the Notes, pursuant to a certain Sixteenth Supplemental Resolution dated as of April 1, 2015 to that certain 1998 Water System General Revenue Bond Resolution, dated as of June 1, 1998 by and between the Authority and the Bank of New York Mellon (the “Trustee”), as Trustee (the “General Revenue Bond Resolution” and together with the Sixteenth Supplemental Resolution, the “Bond Resolution”).

Section 2. In consequence of the foregoing, the Authority hereby determines to: (i) issue and sell the Notes pursuant to the Bond Resolution; (ii) use the proceeds of the Notes as previously described; (iii) enter into each agreement hereafter identified in this resolution; (iv) execute an Arbitrage Certificate in connection with the issuance of the Notes; (v) file the IRS Form 8038-G Information Return in connection with the issuance of the Notes; and (vi) execute such other documents and take such other action as may be necessary to effectuate the purposes of this resolution.

Section 3. The form and substance of the Notes (in substantially the form presented to this meeting) are hereby approved.

Section 4. The form and substance of the Sixteenth Supplemental Resolution (in substantially the form presented to this meeting) are hereby approved.

Section 5. The Authority is hereby authorized to issue, execute, sell and deliver the Notes to the successful purchaser thereof in the aggregate principal amount and at the rate of interest and upon such terms as shall be approved by the Chairman, Vice Chairman, Treasurer or Executive Director and in substantially the form heretofore approved in this Resolution, provided that:

- (a) The Notes hereby authorized to be issued, executed and delivered shall be issued, executed and delivered at such time as the Chairman, Vice Chairman, Treasurer or Executive Director of the Authority shall determine.
- (b) The Notes shall be issued solely for the purposes previously described.
- (c) The Notes and the interest thereon are not and shall never be a debt of the State of New York or any political subdivision thereof other than the Authority, including without limitation Dutchess County, and neither the State of New York nor any political subdivision thereof other than the Authority, including without limitation Dutchess County, shall be liable thereon.

Section 6. (a) The Chairman, Vice Chairman, Treasurer or Executive Director of the Authority are hereby authorized, on behalf of the Authority, to execute and deliver, the Fifteenth Supplemental Resolution and the Notes, all as described above and the Secretary of the Authority is hereby authorized to affix the seal of the Authority thereto and to attest the same, all in substantially the forms thereof presented to this meeting, with such changes, variations, omissions and insertions as the Chairman, Vice Chairman, Treasurer or Executive Director shall approve. The execution thereof by the Chairman, Vice Chairman, Treasurer or Executive Director shall constitute conclusive evidence of such approval.

- (b) The Chairman, Vice Chairman, Treasurer or Executive Director of the Authority are further hereby authorized, on behalf of the Authority, to designate any additional Authorized Representatives of the Authority (as used or defined in and pursuant to the Bond Resolution) to execute, on behalf of the Authority, any Financing Documents (hereinafter defined).

Section 7. The members, officers, employees and agents of the Authority are hereby authorized and directed for and in the name and on behalf of the Authority to do all acts and things required or provided for by the provisions of either the Notes, the Bond Resolution, or the Service Agreement (collectively the "Financing Documents"), and to execute and deliver all such additional certificates, instruments and documents, including the Arbitrage Certificate and the Information Return, pay all such fees, charges and expenses and to do all such further acts and things as may be necessary or, in the opinion of the member, officer, employee or agent acting, desirable and proper to effect the purposes of this resolution and to cause compliance by the Authority with all of the terms, covenants and provisions of the Financing Documents.

Section 8. Each of the documents identified in each Section of this resolution is hereby incorporated in this resolution as if set forth at length herein.

Section 9. This resolution shall take effect immediately and the Notes are hereby ordered to be issued in accordance with this resolution.

Seconded by \_\_\_\_\_

Record of Vote:	Aye	Nay
Thomas LeGrand	___	___
Vincent DiMaso	___	___
Larry Knapp	___	___
Rudy Vavra	___	___
Amanda Baxter-Dingee	___	___

**CCS WWTP Rehabilitation Project - Authorize Amendment No. 2  
to the Engineering Services Agreement**

\_\_\_\_\_ offers the following resolution and moves its adoption:

Whereas the Authority has entered into an agreement dated March 21, 2013 with Morris Associates Engineering Consultants, PLLC (Morris) for the for Professional Engineering Services for the Chelsea Cove Wastewater System – Treatment Plant Rehabilitation Project (Project) in the Town of Beekman; and

Whereas the Authority has received bids for the construction of the Project which were rejected because of cost; and

Whereas the Board requested the Staff to investigate value engineering options to revise the project scope to reduce costs; and

Whereas the Staff has participated with Morris to evaluate the Project to reduce cost in conjunction with the project bidders; and

Whereas the Staff has reviewed Morris' efforts to reduce the Project scope and cost and concludes that the reduced project scope is the minimum acceptable to rehabilitate the Project; and

Whereas it is necessary to revise the basis of design report; drawings and specifications to represent the changes of scope; obtain regulatory approval of the revised documents and rebid the project; and

Whereas Morris has requested an amendment to the Agreement for this project in the fixed price amount of \$52,500.00 to perform the additional services; and

Whereas the Staff has reviewed the Morris proposal and finds the requested amount to be representative of the additional services that must be rendered to proceed with the Project; and

Whereas the agreement including previously executed Amendment No.1 with Morris is in the amount of \$289,840.00 which the addition of Amendment No.2 will increase to \$342,340.00; and

Whereas the Board has reviewed and considered the Staff's findings and finds the amendment to the Agreement between the Authority and Morris to be in the Authority's best interest.

Therefore, be it resolved that the Executive Director or Deputy Director is authorized to execute Amendment No. 2 to the Professional Services Agreement with Morris Associates Engineering Consultants, PLLC for the Chelsea Cove System – Treatment Plant Rehabilitation Project

Professional Engineering Services Agreement to incorporate the design revisions; regulatory approval and rebidding of the Project into the Agreement.

Seconded by \_\_\_\_\_

**Record of Vote:**                      **Aye**                      **Nay**

Thomas LeGrand                      \_\_\_                      \_\_\_

Vincent DiMaso                      \_\_\_                      \_\_\_

Larry Knapp                      \_\_\_                      \_\_\_

Rudy Vavra                      \_\_\_                      \_\_\_

Amanda Baxter-Dingee                      \_\_\_                      \_\_\_

**Acquisition of Hyde Park Water and Sewer Systems  
Adoption of SEQR Determination**

\_\_\_\_\_ offers the following resolution and moves its adoption:

WHEREAS, the Authority proposes to enter into an Agreement with the Town of Hyde Park (Town) regarding the acquisition by the Authority of the following water and sewer systems, currently owned by the Town; Arbors Water System, Violet Avenue Water System, Greenbush Water System, Pinebrook Water System, Greenfields Water System, Quaker Hills Water System, Pinebrook Sewer System and Greenfields Sewer System; and

WHEREAS, upon acquisition of said water and sewer systems, the Authority will continue to provide water service to, and serve the wastewater treatment needs of, the current and future customers of the respective water and sewer systems in accordance with the terms of the Agreements with the Town; and

WHEREAS, the County of Dutchess must create the following Zones of Assessment within the County Water District encompassing the service areas of each respective water system; Zone “O” (Arbors), Zone “P” (Violet Avenue), Zone “Q” (Greenbush), Zone “R” (Pinebrook), Zone “S” and Zone “T” (Greenfields), and Zone “U” (Quaker Hills); and

WHEREAS, the County of Dutchess must execute an Amended and Twelfth Restatement of the Service Agreement with the Authority in order for the Authority to provide water services within this framework; and

WHEREAS, the County of Dutchess must create a Part County Sewer District #7 (Pinebrook Sewer) and a Part County Sewer District #8 (Greenfields Sewer), which District shall be further divided into Zone of Assessment 8-A and Zone of Assessment 8-B, encompassing the service areas of the respective sewer systems; and

WHEREAS, the County of Dutchess must execute a Service Agreement with the Authority on behalf of Part County Sewer District #7, and must execute a Service Agreement with the Authority on behalf of Part County Sewer District #8, in order for the Authority to provide sewer services within this framework; and

WHEREAS, it would be in the public interest for the Authority to acquire the aforementioned water and sewer systems and for the County to establish the Zones of Assessment and Part County Sewer Districts and execute the Service Agreements; and

WHEREAS, in accordance with the requirements of the SEQR, the Authority must make determinations as to the Environmental Impact of these proposed actions; and

WHEREAS, these actions have been classified as “Unlisted” and a Short Environmental Assessment Form has been completed for each water and sewer system the Authority intends to acquire, and these Forms have been submitted to this Board; and

WHEREAS, the service area of each water and sewer system is described in the map and tax parcel list attached to and made a part of each respective Short Environmental Assessment Form, and

NOW, THEREFORE BE IT RESOLVED, that the Authority Board declares itself as Lead Agency for the acquisition of the Arbors Water System, creation of the County Water District Zone of Assessment and execution of the Amended Service Agreement (“the Arbors Water Acquisition”), and

BE IT FURTHER RESOLVED, that the Authority Board does hereby determine that the Arbors Water Acquisition will not have a significant impact on the environment and hereby adopts the attached Negative Declaration with respect to this matter, and

BE IT FURTHER RESOLVED, that the Authority Board declares itself as Lead Agency for the acquisition of the Violet Avenue Water System, creation of the County Water District Zone of Assessment and execution of the Amended Service Agreement (“the Violet Avenue Water Acquisition”), and

BE IT FURTHER RESOLVED, that the Authority Board does hereby determine that the Violet Avenue Water Acquisition will not have a significant impact on the environment and hereby adopts the attached Negative Declaration with respect to this matter, and

BE IT FURTHER RESOLVED, that the Authority Board declares itself as Lead Agency for the acquisition of the Greenbush Water System, creation of the County Water District Zone of Assessment and execution of the Amended Service Agreement (“the Greenbush Water Acquisition”), and

BE IT FURTHER RESOLVED, that the Authority Board does hereby determine that the Greenbush Water Acquisition will not have a significant impact on the environment and hereby adopts the attached Negative Declaration with respect to this matter, and

BE IT FURTHER RESOLVED, that the Authority Board declares itself as Lead Agency for the acquisition of the Pinebrook Water System, creation of the County Water District Zone of Assessment and execution of the Amended Service Agreement (“the Pinebrook Water Acquisition”), and

BE IT FURTHER RESOLVED, that the Authority Board does hereby determine that the Pinebrook Water Acquisition will not have a significant impact on the environment and hereby adopts the attached Negative Declaration with respect to this matter, and

BE IT FURTHER RESOLVED, that the Authority Board declares itself as Lead Agency for the acquisition of the Greenfields Water System, creation of the two County Water District Zones of Assessment and execution of the Amended Service Agreement (“the Greenfields Water Acquisition”), and

BE IT FURTHER RESOLVED, that the Authority Board does hereby determine that the Greenfields Water Acquisition will not have a significant impact on the environment and hereby adopts the attached Negative Declaration with respect to this matter, and

BE IT FURTHER RESOLVED, that the Authority Board declares itself as Lead Agency for the acquisition of the Quaker Hills Water System, creation of the County Water District Zone of Assessment and execution of the Amended Service Agreement (“the Quaker Hills Water Acquisition”), and

BE IT FURTHER RESOLVED, that the Authority Board does hereby determine that the Quaker Hills Water Acquisition will not have a significant impact on the environment and hereby adopts the attached Negative Declaration with respect to this matter, and

BE IT FURTHER RESOLVED, that the Authority Board declares itself as Lead Agency for the acquisition of the Pinebrook Sewer System, creation of the Part County Sewer District and execution of the Service Agreement (“the Pinebrook Sewer Acquisition”), and

BE IT FURTHER RESOLVED, that the Authority Board does hereby determine that the Pinebrook Sewer Acquisition will not have a significant impact on the environment and hereby adopts the attached Negative Declaration with respect to this matter, and

BE IT FURTHER RESOLVED, that the Authority Board declares itself as Lead Agency for the acquisition of the Greenfields Sewer System, creation of the Part County Sewer District and two Zones of Assessment and execution of the Service Agreement (“the Greenfields Sewer Acquisition”), and

BE IT FURTHER RESOLVED, that the Authority Board does hereby determine that the Greenfields Sewer Acquisition will not have a significant impact on the environment and hereby adopts the attached Negative Declaration with respect to this matter.

Seconded by \_\_\_\_\_

<b><u>Record of Vote:</u></b>	<b><u>Aye</u></b>	<b><u>Nay</u></b>
Thomas LeGrand	___	___
Vincent DiMaso	___	___
Larry Knapp	___	___
Rudy Vavra	___	___
Amanda Baxter-Dingee	___	___

**RESOLUTION NO. 2015.03.I**

**Authority Board – DCWWA  
March 18, 2015 meeting**

**Fairways Sewer System Acquisition – Adoption of SEQR Determination**

\_\_\_\_\_ offers the following resolution and moves its adoption:

WHEREAS, the Authority proposes to enter into an Agreement with the Fairways at Red Hook Homeowners Association (HOA) regarding the acquisition by the Authority of the Fairways at Red Hook Sewer System (Fairways Sewer), currently owned by the HOA, and;

WHEREAS, upon acquisition of the Fairways Sewer System, the Authority will continue to serve the wastewater treatment needs of the current customers of the Fairways Sewer System in accordance with the terms of the Agreement with the HOA; and

WHEREAS, the County of Dutchess must create a Part County Sewer District encompassing the proposed sewer service area and execute a corresponding Service Agreement with the Authority in order for the Authority to provide sewer services within this framework; and

WHEREAS, it would be in the public interest for the Authority to acquire the Fairways Sewer System and for the County to establish the Part County Sewer District and execute the Service Agreement; and

WHEREAS, in accordance with the requirements of the SEQR, the Authority must make a determination as to the Environmental Impact of this proposed action; and

WHEREAS, this action has been classified as “Unlisted” and a Short Environmental Assessment Form has been completed and submitted to this Board; and

WHEREAS, the service area of the Fairways Sewer System is described in the map and tax parcel list attached to and made a part of the Short Environmental Assessment Form, and

NOW, THEREFORE BE IT RESOLVED, that the Authority Board declares itself as Lead Agency for this action, and

BE IT FURTHER RESOLVED, that the Authority Board does hereby determine that this action will not have a significant impact on the environment and hereby adopts the attached Negative Declaration with respect to this matter.

Seconded by \_\_\_\_\_

<b>Record of Vote:</b>	<b>Aye</b>	<b>Nay</b>
Thomas LeGrand	___	___
Vincent DiMaso	___	___
Larry Knapp	___	___
Rudy Vavra	___	___
Amanda Baxter-Dingee	___	___

DUTCHESS COUNTY WATER AND WASTEWATER AUTHORITY  
**WARRANT**  
 March 18, 2015

<u>VOUCHER</u>	<u>PAYEE</u>	<u>DESCRIPTION</u>	<u>AMOUNT</u>	<u>CONTRACT</u>	<u>TO DATE</u>	<u>PERCENT</u>
<b><u>Benefit Assessment</u></b>						
BEN15001	Drake, Loeb, Heller, etal.	Professional services: T Rex Greivance (January 2015)	770.00	30,000.00	770.00	2.57%
BEN15002	DC Commissioner of Finance	Postage: February 2015	565.49			
<b>SUBTOTAL</b>			<b>1,335.49</b>			
<b><u>Birch Hill Water System</u></b>						
BHW15010	VRI Environmental Services, Inc.	Operations and Maintenance: February 2015	855.41	10,265.00	1,710.82	16.67%
BHW15011	Frontier Communications	Telephone service: 2/25/15-3/24/15 (2276847)	40.54			
BHW15012	Byrnes Message Bureau, Inc.	Answering service: March 2015	89.90			
BHW15013	Dig Safely New York, Inc.	Location Request Services: January 2015	84.56			
BHW15014	Dig Safely New York, Inc.	Location Request Services: February 2015	80.80			
<b>SUBTOTAL</b>			<b>1,151.21</b>			
<b><u>Chelsea Cove Sewer System</u></b>						
CCS15015	Frontier Communications	Telephone service: 2/7/15-3/6/15 (226 5492)	40.43			
CCS15016	NYSEG	Electric service: 1/13/15-2/6/15 (10010243847)	1,812.30			
CCS15017	Morris Assoc Engineering Consultants, PLLC.	Professional Services:CCS WWTP design (1/11/15-2/7/15)	7,780.50	289,840.00	261,648.10	90.27%
CCS15018	Porco Energy Corporation	Propane: 129@1.0808	139.42			
CCS15019	Slack Chemical Company, Inc.	Chemicals: Superchlor	711.60			

**DUTCHESS COUNTY WATER AND WASTEWATER AUTHORITY  
WARRANT  
March 18, 2015**

<u>VOUCHER</u>	<u>PAYEE</u>	<u>DESCRIPTION</u>	<u>AMOUNT</u>	<u>CONTRACT</u>	<u>TO DATE</u>	<u>PERCENT</u>
CCS15020	USA BlueBook	Starch indicator solution/kimwipes	86.27			
CCS15021	VRI Environmental Services, Inc.	Operations and Maintenance: February 2015	2,850.00	34,200.00	5,700.00	16.67%
CCS15022	VRI Environmental Services, Inc.	February 2015: replace float	290.00			
CCS15023	USA BlueBook	Pump/Hach nessler reagent tests	730.27			
CCS15024	Royal Carting Service Co.	Monthly Refuse Removal: February 2015	76.59			
<b>SUBTOTAL</b>			<b>14,517.38</b>			
 <b><u>Dalton Farms Sewer System</u></b>						
DFS15018	Frontier Communications	Telephone service: 2/7/15-3/6/15 (223 3038)	45.68			
DFS15019	NYSEG	Electric service:1/10/15-2/6/15(10010243581)	176.23			
DFS15020	Earthcare - All County Division	Sludge removal: 2/4/15	916.00			
DFS15021	NYSEG	Elec:12/11/14-2/11/15 (10015041113/5040438/0959681/10025452078)	5,477.84			
DFS15022	Verizon	Telephone service: 2/7/15-3/6/15 (7243574)	24.06			
DFS15023	Royal Carting Service Co.	Monthly Refuse Removal: February 2015	163.35			
DFS15024	VRI Environmental Services, Inc.	Operations and Maintenance: February 2015	3,591.66	43,100.00	7,183.32	16.67%
DFS15025	Frontier Communications	Telephone service:2/25/15-3/24/15(1451960000619907/LD)	11.27			
DFS15026	Frontier Communications	Telephone service:2/24/15-3/23/15 (LD)	2.71			
DFS15027	VRI Environmental Services, Inc.	February 2015: Materials	143.85			
DFS15028	Fuss and O'Neill of New York, P.C.	Prof Serv: DFS HV & Electrical evaluation (payment#1)	3,376.00	14,300.00	3,376.00	23.61%
DFS15029	Fuss and O'Neill of New York, P.C.	Prof Serv: DFS HV & Electrical evaluation (payment#2)	9,348.06	14,300.00	12,724.06	88.98%
<b>SUBTOTAL</b>			<b>23,276.71</b>			

**DUTCHESS COUNTY WATER AND WASTEWATER AUTHORITY  
WARRANT  
March 18, 2015**

<u>VOUCHER</u>	<u>PAYEE</u>	<u>DESCRIPTION</u>	<u>AMOUNT</u>	<u>CONTRACT</u>	<u>TO DATE</u>	<u>PERCENT</u>
<b><u>Dalton Farms Water System</u></b>						
DFW15009	Frontier Communications	Telephone service:2/7/15-3/6/15 (223 7653)	31.82			
DFW15010	NYSEG	Electric service: 12/11/14-2/12/15 (10015040446/5042020)	3,784.32			
DFW15011	VRI Environmental Services, Inc.	Operations and Maintenance: February 2015	1,458.33	17,500.00	2,916.66	16.67%
DFW15012	VRI Environmental Services, Inc.	February 2015: Relief valve	840.83			
DFW15013	VRI Environmental Services, Inc.	February 2015: Repair leak (26 Skidmore rd)	280.00			
DFW15014	VRI Environmental Services, Inc.	February 2015: Frozen service line	300.00			
DFW15015	Slack Chemical Company, Inc.	Chemicals: Superchlor	306.75			
<b>SUBTOTAL</b>			<b>7,002.05</b>			
<b><u>Escrow</u></b>						
GEN15048	Van DeWater & Van DeWater, LLP	Professional services: Norrie Park	26.25	20,000.00	26.25	0.13%
<b>SUBTOTAL</b>			<b>26.25</b>			
<b><u>Fairways</u></b>						
FAW15004	Central Hudson Gas & Electric	Electric service: 1/1/15-2/4/15 (56742480017)	427.73			
FAW15005	VRI Environmental Services, Inc.	Operations and Maintenance: February 2015	550.00	6,600.00	1,100.00	16.67%
FAW15006	VRI Environmental Services, Inc.	February 2015 : Chemicals	240.00			
<b>SUBTOTAL</b>			<b>1,217.73</b>			

**DUTCHESS COUNTY WATER AND WASTEWATER AUTHORITY  
WARRANT  
March 18, 2015**

<u>VOUCHER</u>	<u>PAYEE</u>	<u>DESCRIPTION</u>	<u>AMOUNT</u>	<u>CONTRACT</u>	<u>TO DATE</u>	<u>PERCENT</u>
<u>General</u>						
GEN15032	DC Commissioner of Finance	Printing services: January 2015	20.00			
GEN15033	Paychex, Inc.	Administrative Services: Pay Period ending 02/20/15	532.46			
GEN15034 *	Employee Benefits Division	Health Insurance Premium: March 2015	15,098.31			
GEN15035	NYS & Local Emp. Ret. System	Retirement System Monthly Reporting: February 2015	829.99			
GEN15036	AFLAC	February 2015 Premium	309.48			
GEN15037	NYS Deferred Compensation Plan	Deferred Compensation: Paydate 2/27/15	1,870.00			
GEN15038	MVP Health Care, Inc.	March 2015 Health insurance	5,762.16			
GEN15039	MVP Health Care, Inc.	March 2015 Health Retiree/medicare	167.40			
GEN15040	MVP Health Care, Inc.	March 2015 Health Part time emp	167.40			
GEN15041	Drake, Loeb, Heller, etal.	Professional services: Conflict search/Audit letter	232.69	20,000.00	1,002.69	5.01%
GEN15042	AccuFund, INC.	Annual Support: 2015	6,386.25			
GEN15043 *	Rose and Kiernan, Inc.	2015 Policy Premium: 4TH installment (April)	14,521.00			
GEN15044	Bentley Systems, Inc.	Water gems 1000 k Pipes	2,485.00			
GEN15045	NYSDEC-Bur. of Pesticides Mgmt	Recertification: Doug O'Dell 3/21/15	600.00			
GEN15046	Hudson Land Design Professional Eng, PC	Prof Serv: County Developement/Airport Eval 11/30/14-1/31/15	4,560.00	15,319.00	13,043.75	85.15%
GEN15047	Poughkeepsie Journal	Public notice: Annual Board Meeting Notice	9.22			
GEN15048	Van DeWater & Van DeWater, LLP	Professional services: Norrie/general	43.75	20,000.00	70.00	0.35%
GEN15049	NYS Deferred Compensation Plan	Deferred Compensation: Paydate 3/13/15	1,870.00			
GEN15050	Sedore and Company, CPA's	Interim billing: Audit 12/31/14 Financial statements	7,500.00	22,402.00	7,500.00	33.48%
<b>SUBTOTAL</b>			<b>62,965.11</b>			

**DUTCHESS COUNTY WATER AND WASTEWATER AUTHORITY  
WARRANT  
March 18, 2015**

<u>VOUCHER</u>	<u>PAYEE</u>	<u>DESCRIPTION</u>	<u>AMOUNT</u>	<u>CONTRACT</u>	<u>TO DATE</u>	<u>PERCENT</u>
<b><u>Hyde Park Regional Water System</u></b>						
HPW15002	Morris Assoc Engineering Consultants, PLLC.	Professional Services:SBW pipe replacement (1/11/15-2/7/15)	7,928.25	89,250.00	71,842.50	80.50%
HPR15037	Northside Supplies, LLC	Ice bite	224.75			
HPR15038	Hudson River Truck Equip. Inc.	Spinner shaft	179.00			
HPR15039	Hudson River Truck Equip. Inc.	Spinner disc	139.00			
HPR15040	Northside Supplies, LLC	Ice bite	224.75			
HPR15041	Cablevision	Internet service: 2/16/15-3/15/15	199.70			
HPR15042	Ulster Uniform Service, Inc.	Uniforms: February 2015	194.00			
HPR15043	J.D. Johnson Company	Plumbing supplies	51.54			
HPR15044	Verizon	Telephone service: 2/10/15-3/9/15(229 9233/229 1117)	141.37			
HPR15045	Verizon Wireless	Cell phone service: 2/13/15-3/12/15	235.13			
HPR15046	Royal Carting Service Co.	Monthly Refuse Removal: February 2015	41.40			
HPR15047	Conway's Lawn & Power Equipmnt	Filter air cleaner	16.79			
HPR15048 *	Central Hudson Gas & Electric	Elec:1/12-2/13/15(56640195006/56171635016/4600017/2710004)	12,554.13			
HPR15049	The Vellano Corporation	Coupling	469.44			
HPR15050	Sarjo Industries	Slush boots	20.23			
HPR15052	Thatcher of New York	Chemicals:Aluminum sulfate	2,954.61			
HPR15053	Wex Inc.	Fuel for vehicles: February 2015	1,260.42			
HPR15054 E	T. Webber Plumbing & Heating	Thaw water main	1,200.00			
HPR15055 E	Double Dee Excavating Corp.	February 2015	950.00			
HPR15056	W.B.Mason	Office supplies: Hyde Park office	93.29			
HPR15057	Williams Lumber & Home Centers	Supply purchases: February 2015	235.83			
HPR15058	Northside Supplies, LLC	Ice bite	155.38			
HPR15059	JCI Jones Chemicals, Inc.	Chlorine	602.91			

**DUTCHESS COUNTY WATER AND WASTEWATER AUTHORITY  
WARRANT  
March 18, 2015**

<u>VOUCHER</u>	<u>PAYEE</u>	<u>DESCRIPTION</u>	<u>AMOUNT</u>	<u>CONTRACT</u>	<u>TO DATE</u>	<u>PERCENT</u>
HPR15060	The Vellano Corporation	Tail piece low	289.10			
<b>SUBTOTAL</b>			<b>30,361.02</b>			
 <b><u>Rokeby Water System</u></b>						
RKW15004	VRI Environmental Services, Inc.	Operations and Maintenance: February 2015	616.66	7,400.00	1,233.32	16.67%
RKW15005	Frontier Communications	Telephone service: 3/1/15-3/31/15 (758 9223)	48.11			
<b>SUBTOTAL</b>			<b>664.77</b>			
 <b><u>Schreiber</u></b>						
DSW15002	NYSEG	Electric service: 1/13/15-2/11/15 (10011912549)	248.29			
DSW15003	VRI Environmental Services, Inc.	Operations and Maintenance: February 2015	481.25	5,775.00	962.50	16.67%
<b>SUBTOTAL</b>			<b>729.54</b>			
 <b><u>Shore Haven Water System</u></b>						
SHW15003	Thomas Gleason Inc.	Booster station (load and transport)	6,850.00			
SHW15004	VRI Environmental Services, Inc.	Operations and Maintenance: February 2015	858.33	10,300.00	1,716.66	16.67%
SHW15005	NYSEG	Electric service: 12/16/14-2/19/15 (10032103375)	1,387.69			
SHW15006	Silkworth Engineering	Prof Serv: Booster/Treatment facility #5(2/1/15-2/27/15)	900.00	25,000.00	900.00	3.60%
SHW15007	Weston & Sampson Engineers, Inc.	Professional services: Shorehaven Water Dist Improvement #17	960.00	144,245.00	122,490.67	84.92%
SHW15008	VRI Environmental Services, Inc.	February 2015: No water	160.00			

**DUTCHESS COUNTY WATER AND WASTEWATER AUTHORITY  
WARRANT  
March 18, 2015**

<u>VOUCHER</u>	<u>PAYEE</u>	<u>DESCRIPTION</u>	<u>AMOUNT</u>	<u>CONTRACT</u>	<u>TO DATE</u>	<u>PERCENT</u>
SHW15009	Davies Hardware	February 2015	32.40			
SHW15010 ** *	Dolphin Equipment Corporation	Professional services: SHW Booster pump Payment #2	62,515.50	96,250.00	77,003.00	80.00%
SHW15011 E	T. Webber Plumbing & Heating	Thaw water main Section 1&2	2,800.00			
SHW15012	Surpass Chemical Company, Inc.	Chemicals: Sodium Hyperchlorite	107.00			
SHW15013	Amrex	Chemicals: Liquid caustic soda/Ammonium Hydroxide	73.50			
<b>SUBTOTAL</b>			<b>76,644.42</b>			
 <u>Central Dutchess Water Transmission Line</u>						
CDW15029	Central Hudson Gas & Electric	Electric service: 1/5/15-2/4/15(56852136011/2135013/50624675000)	5,332.39			
CDW15030 *	City of Poughkeepsie	Water purchase: January 2015	129,847.76			
CDW15031	Time Warner Cable	Internet service: 2/19/15-3/18/15 (8150410150093567)	67.66			
CDW15032	Paychex, Inc.	Administrative Services: Payroll Period ending 02/20/15	7.75			
CDW15033	NYS & Local Emp. Ret. System	Retirement System Monthly Reporting: February 2015	296.60			
CDW15034	Verizon	Telephone service: 2/13/15-3/12/15 (914P230477/4710917)	342.39			
CDW15035	Dutchess TEKCON Ind, Inc.	Maintenance 2/24/15	730.50			
CDW15036	Cablevision	Internet service: 2/23/15-3/22/15	119.90			
CDW15037	MVP Health Care, Inc.	March 2015 Health insurance	1,339.70			
CDW15038	DC Commissioner of Finance	Postage: February 2015	12.32			
CDW15039	Davies Hardware	February 2015	8.38			
CDW15040	Wex Inc.	Fuel for vehicles: February 2015	110.53			
CDW15041	UPS	Mailing 2/6/15	9.76			
CDW15042	Royal Carting Service Co.	Monthly Refuse Removal: February 2015	36.23			
CDW15043	Dig Safely New York, Inc.	Location Request Services: January 2015	84.00			
CDW15044	Dig Safely New York, Inc.	Location Request Services: February 2015	78.00			

**DUTCHESS COUNTY WATER AND WASTEWATER AUTHORITY  
WARRANT  
March 18, 2015**

<u>VOUCHER</u>	<u>PAYEE</u>	<u>DESCRIPTION</u>	<u>AMOUNT</u>	<u>CONTRACT</u>	<u>TO DATE</u>	<u>PERCENT</u>
CDW15045 *	City of Poughkeepsie	Water purchase: February2015	116,481.96			
<b>SUBTOTAL</b>			<b>254,905.83</b>			
<b><u>VALLEY DALE SEWER SYSTEM</u></b>						
VDS15010	Verizon	Telephone service: 2/10/15-3/9/15 (635 8820)	24.06			
VDS15011	VRI Environmental Services, Inc.	Operations and Maintenance: February 2015	1,366.66	16,400.00	2,733.32	16.67%
VDS15012	Central Hudson Gas & Electric	Electric service: 1/21/15-2/26/15(56530702002/0700014/0701004)	1,947.58			
VDS15013	VRI Environmental Services, Inc.	February 2015	21.09			
<b>SUBTOTAL</b>			<b>3,359.39</b>			
<b><u>VALLEY DALE WATER SYSTEM</u></b>						
VDW15004	VRI Environmental Services, Inc.	Operations and Maintenance: February 2015	516.66	6,200.00	1,033.32	16.67%
VDW15005	Central Hudson Gas & Electric	Electric service: 1/21/15-2/26/15 (56530720004)	1,250.35			
<b>SUBTOTAL</b>			<b>1,767.01</b>			
<b>TOTAL WARRANT</b>			<b>479,923.91</b>			

\* Over \$10,000 - Check Requires Two Signatures

\*\* Construction Voucher -Not All Construction Vouchers Require Board Signature

E/P Procurement Policy Emergency Repair Provision

TO: Authority Board  
 FROM: Frederic Zanetti  
 DATE: March 10, 2015  
 RE: February 2015 Operations Committee Report

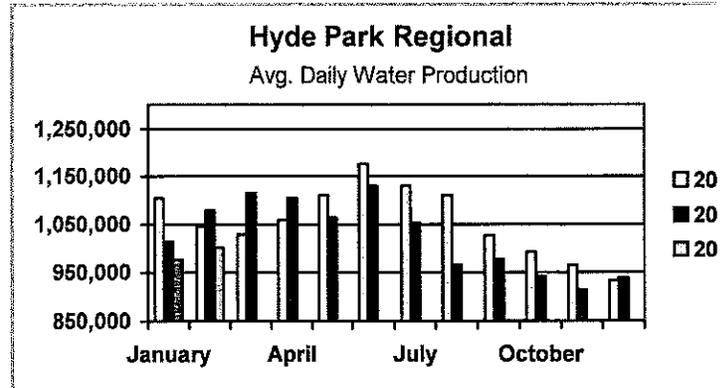
*Present: G. Goettel, S. Heupler, D. Odell, S. Osborn, M. Rowe, J. Taylor,  
 R. Winchester, F. Zanetti*

**1. Hyde Park Regional  
 (Zones A, B, C, D, L)**

Average daily usage: February- 1,002,000  
 January- 977,000 December-940,000  
 All testing aspects are in compliance.

**Action Items:**

- Main break on East Market Street repaired.
- 70 Mill Road service line freeze up; customer thawed.
- 12 Circle Drive service line freeze up thawed by plumber.
- Notice to run water to avoid freeze ups delivered to customers historically vulnerable.

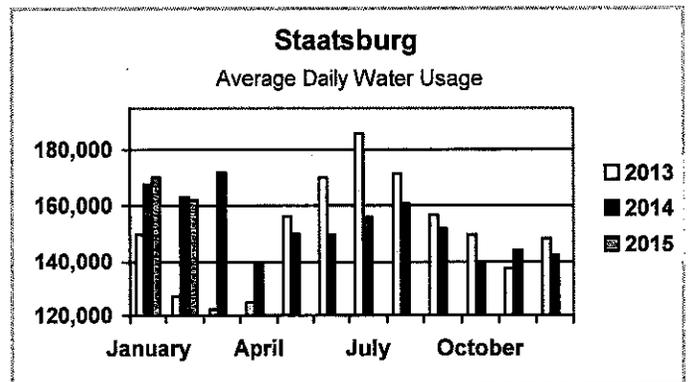


**2. Staatsburg Water(Zone C)**

Average daily usage: February- 161,900  
 January- 170,200 December- 142,500  
 All testing aspects are in compliance.

**Action items:**

- Routine Operations.
- Service line leak at 44 Old Post Road; customer repaired.

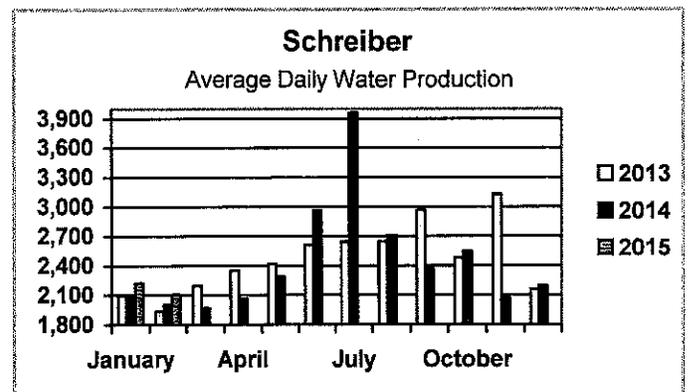


**3. Schreiber Water (Zone E)**

Average daily production: February- 2,107  
 January- 2,226 December- 2,194

**Action Items:**

- Normal operations.
- Blending of well sources continues to be successful in reducing iron levels.



#### 4. Rokeby Water (Zone F)

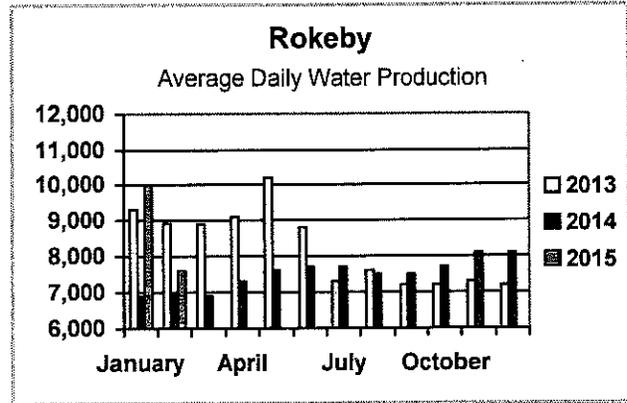
Average daily production: February- 7,600

January- 10,000 December- 8,100

All testing aspects are in compliance.

Action items:

- Routine operations.
- Located a water leak on Jefferson Road.



#### 5. Valley Dale Water

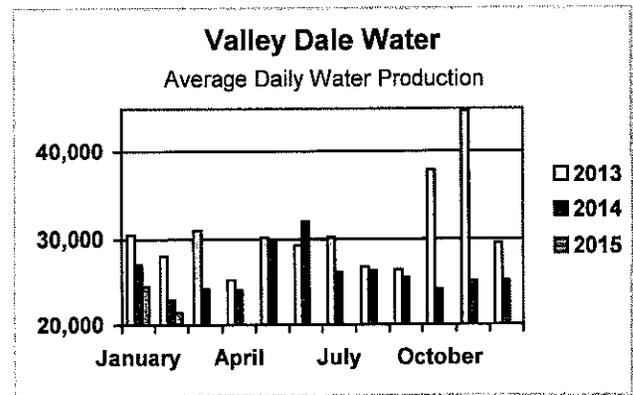
Average daily production: February- 21,377

January- 24,535 December- 25,247

All testing aspects are in compliance.

Action Items:

- Changed all four filters.



#### 6. Valley Dale Wastewater (S.D. #2)

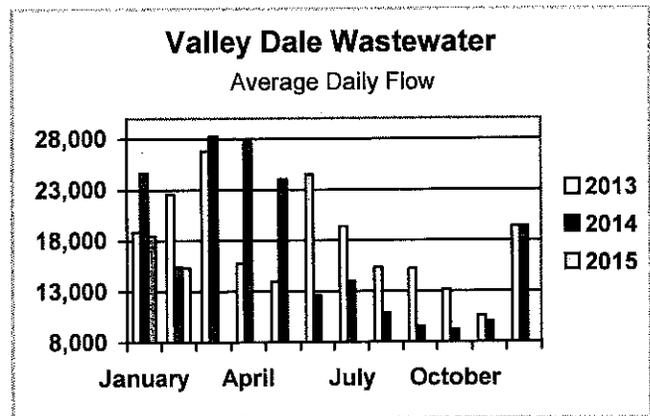
Average daily flow: February- 15,309

January- 18,490 December- 19,434

All testing aspects are in compliance.

Action Items:

- Routine operations.
- DEC Inspection on 2-20-15, all satisfactory.

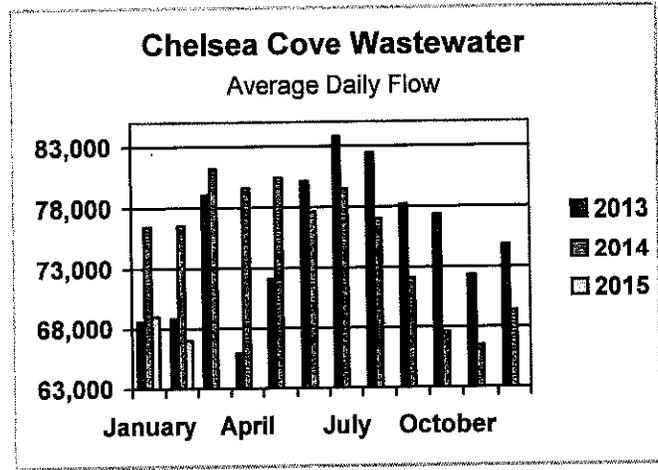


7. Chelsea Cove Wastewater  
(S.D. #1)

Average daily flow: February- 67,000  
January- 69,000 December- 69,406

Action Items:

- Routine operations.
- BOD 5 exceeded SPDES limit.

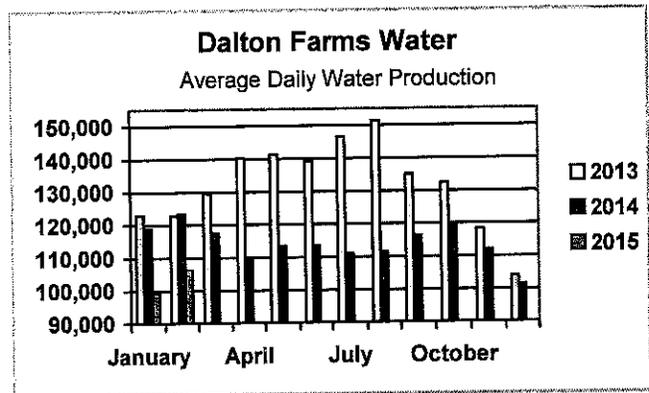


8. Dalton Farms Water (Zone H)

Average Daily Production: February- 106,400  
January- 99,500 December- 101,400  
All testing aspects are in compliance.

Action Items:

- Normal operations.
- Water leak at 26 Skidmore Road.

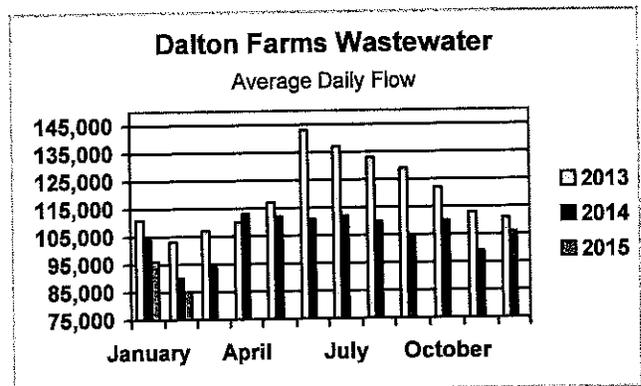


9. Dalton Farms Wastewater (S.D. #3)

Average Daily Flow: February- 85,000  
January- 96,000 December- 106,000  
All testing aspects are in compliance.

Action Items:

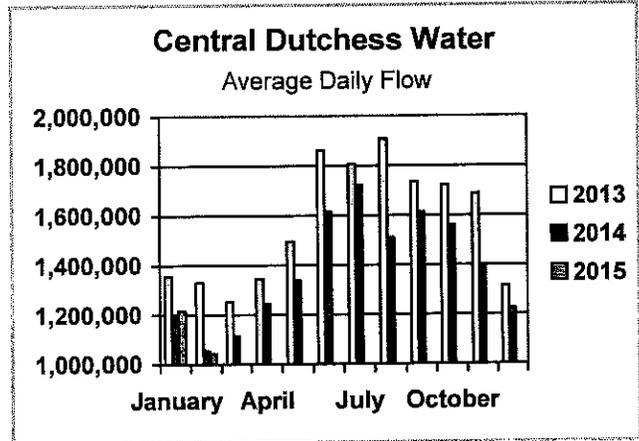
- Normal operations.



## 10. Central Dutchess Water Transmission Line

Average Daily Flow: February- 1,043,357  
 January- 1,218,839    December- 1,224,968  
 All testing aspects are in compliance.  
 Action Items:

- Normal operations.

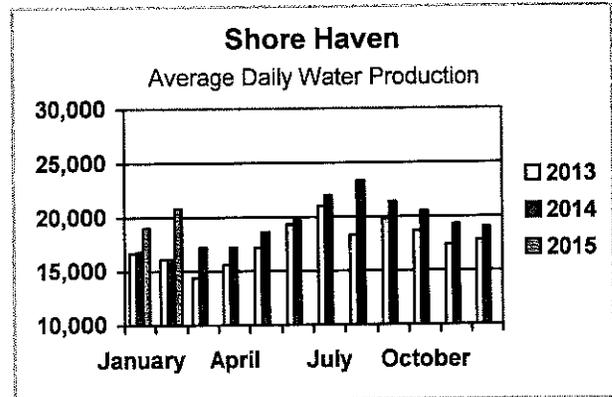


## 11. Shore Haven Water (Zone J)

Average daily production: February- 20,800  
 January- 19,000    December- 19,000

Action items:

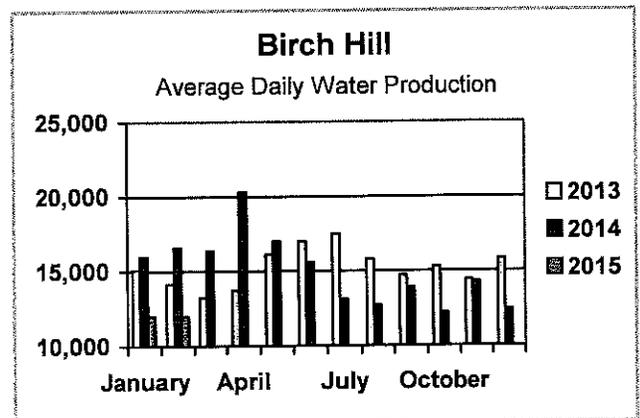
- Routine operations.
- Water main frozen on two occasions, in the same area, only 1 customer affected each time.



## 12. Birch Hill Water (Zone K)

Average daily production: February- 12,000  
 January- 12,000    December- 12,400  
 All testing aspects are in compliance.  
 Action items:

- Normal operations.



### 13. Fairways Water (Zone M)

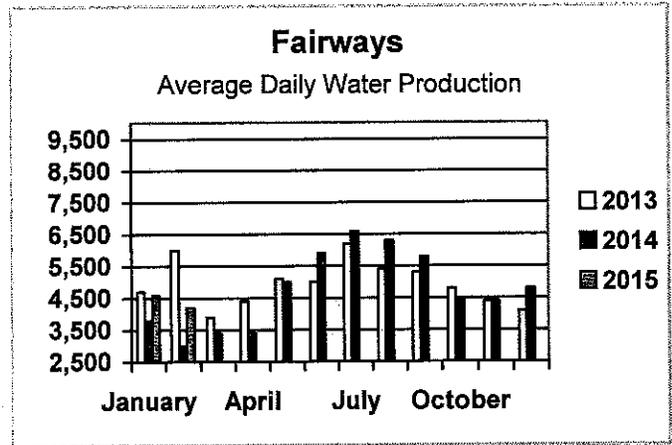
Average daily production: February- 4,200

January- 4,600    December- 4,800

All testing aspects are in compliance.

Action items:

- Normal operations.
- Investigating reduced output of Well #1.





TO: Authority Board  
FROM: Bridget Barclay  
DATE: March 13, 2015  
RE: Shore Haven Water System Improvements Status Report

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**1) Disinfection By-Products Levels remain below Drinking Water Standards** - Based on the fourth quarter sampling results for 2014 (collected 12/3/2014), levels of both Trihalomethanes (THM's) and Haloacetic Acids (HAA5's) continue to be below the Maximum Contaminant Level (MCL) for the required four-quarter rolling average. The fourth quarter THM level was 40.5 ug/l, bringing the four-quarter rolling average to 36.8 ug/l, compared to a MCL of 80 ug/l. The fourth quarter HAA5 level was 22 ug/l, bringing the four-quarter rolling average to 34 ug/l, compared to a MCL of 60 ug/l. Levels of THM's and HAA5's (Disinfection by-products) have both been below the MCL since September 2012.

The Authority activated the chloramination system on February 25, 2013 at Shore Haven as an interim strategy for treating the water and reducing the occurrence of disinfection by-products in it. Since that time, the four-quarter rolling averages for both THM's and HAA5's have continued to remain significantly below the MCL.

**2) Radiologicals remain below the MCL in 4<sup>th</sup> Quarter** - Water samples collected on December 3, 2014 indicated combined radium 226 and radium 228 level of 5 pCi/L (pico curies per liter). The quarterly average for radium 226 and radium 228 levels is now 4 pCi/L. The Maximum Contaminant Level (MCL) for combined radium 226 and radium 228 is 5 pCi/L.

**3) Lead and Copper Results remain below action levels** - Lead and copper samples were collected on December 9 & 10, 2014 from ten houses connected to the Shore Haven Water System. None of the individual sites sampled exceeded the action levels for lead (action level is 15 ug/l) or copper (action level for copper is 1.3 mg/l). The 90<sup>th</sup> percentile results were 5 ug/l for Lead and 1.16 mg/l for copper.

**4) Iron and Manganese Levels** - Based on the fourth quarter sampling results for 2014 (collected 12/3/2014), the combined Iron and Manganese level is .576 mg/l and continues to be above the Maximum Contaminant Level (MCL) of .5 mg/l. The fourth quarter Iron level was 0.437 mg/l, compared to a MCL of 0.3 mg/l. The fourth quarter Manganese level was 0.139 mg/l, compared to a MCL of 0.3 mg/l.

**5) Booster Pump Station** - The overhead electric service line to the current Shore Haven water treatment plant will need to be temporarily disconnected and moved by NYSEG to enable the rigger to safely offload the new booster pump station and set it upon its concrete platform at Shore Haven. On March 18, 2015, weather permitting, NYSEG will perform this work and the rigger will offload the booster pump station to its permanent site. Over the following next few weeks, the pipe and electrical connections will be made to the booster pump station; and the pump station manufacturer's representative will conduct the Startup testing and on-site training.

**6) Permanent Water Treatment System** - Staff met with Savin Engineers on February 17, 2015 to discuss the re-scope of project based on the changes presented at past Board meetings.

A Letter Report prepared by Savin, describing the proposed modifications to the Shore Haven Water Treatment Improvement Project and providing documentation of water quality results achieved with the Chloramination System, was transmitted by the Authority to the NYS Department of Health on February 13, 2015. Four days later, the NYS DOH responded on February 17 that they "have no objection with the proposed concepts, which will eliminate MIEX treatment and a redundant section of 4" distribution main from the formal design. We will reserve formal endorsement of the proposed design until a formal basis of design report has been submitted for review". Savin is in the process of preparing this report and updated project cost estimates.

**7) Distribution System Improvements Design** -Authority Staff continue to work with Weston & Sampson (W&S) in the review and revision of design specs, drawings and other project documents for the future distribution system improvements. On March 10, 2015, W&S transmitted updated Project design drawings and specifications to the Authority for comment. Later this month, it is expected that these Project documents will be submitted to both Dutchess County and NYS Dept. of Health offices for formal regulatory review.

**8) Water main freezes between 2 Lakeview and 6 Lakeview Dr.** - On February 21, 2015, the customer at 2 Lakeview Dr. contacted VRI, the Shore Haven system operator, to report that they had "no water". They were the only customer to do so that day. Upon further investigation, it was determined that approximately 50 to 60 ft. of the existing plastic 3-inch water main had frozen solid between 2 and 6 Lakeview Dr. The water main was less than 39 inches deep in this area and had to be excavated in several locations to install corporation valves to enable access for a steam probe to help defrost the pipe. Excavation was slow and difficult as the ground was frozen at least four feet below the road surface. The expanding ice inside the water main had also caused the pipe to split and approximately 4 to 5 feet of the existing main had to be cut out and replaced. Water service was restored to 2 Lakeview Dr. on February 25<sup>th</sup>. However, due to surrounding air temperatures being near zero degrees that week, this section of the water main re-froze on March 5. Service was restored the following day. VRI and DCWWA personnel were on site during the course of all repairs; and later were joined by T Webber Plumbing, who assisted with unfreezing the water main using their steam probe.

# Short Environmental Assessment Form

## Part 1 - Project Information

### Instructions for Completing

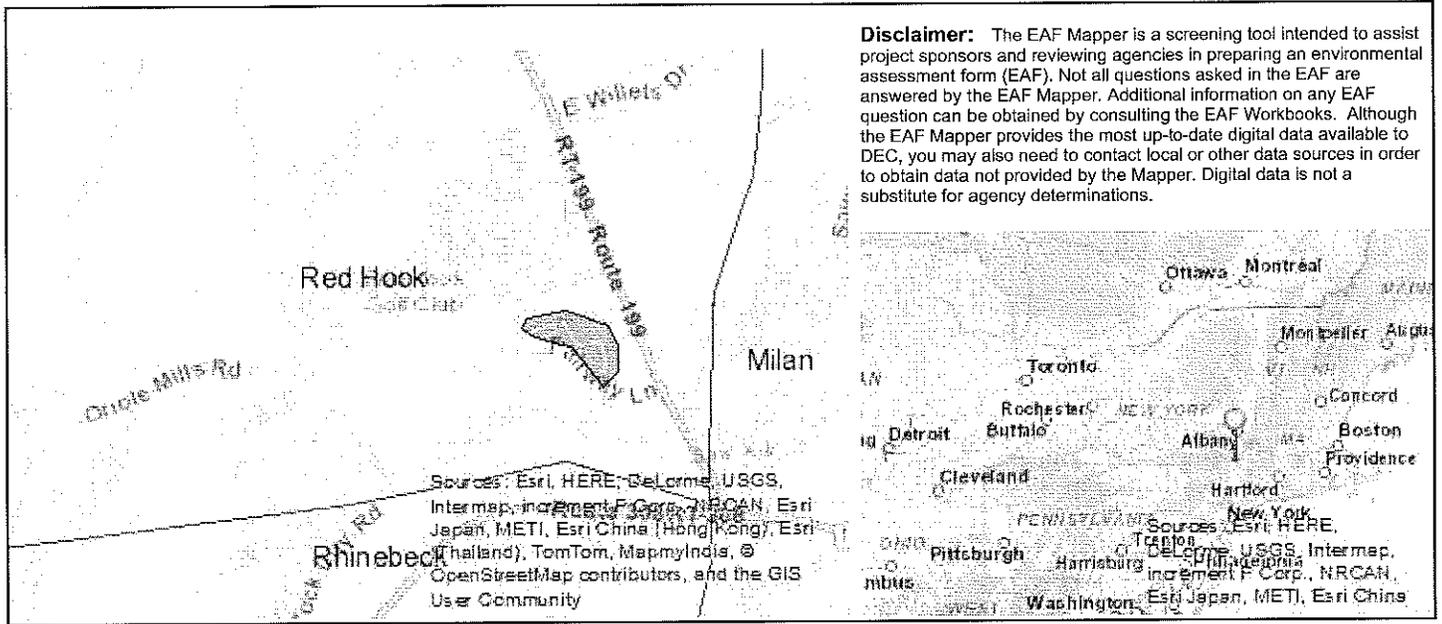
**Part 1 - Project Information.** The applicant or project sponsor is responsible for the completion of Part 1. Responses become part of the application for approval or funding, are subject to public review, and may be subject to further verification. Complete Part I based on information currently available. If additional research or investigation would be needed to fully respond to any item, please answer as thoroughly as possible based on current information.

Complete all items in Part 1. You may also provide any additional information which you believe will be needed by or useful to the lead agency; attach additional pages as necessary to supplement any item.

<b>Part 1 - Project and Sponsor Information</b>				
Name of Action or Project: Acquisition of Fairways Sewer System - SPDES Permit # NY - 0248193				
Project Location (describe, and attach a location map): The Fairways Sewer System is located along the southeast portion of the Town of Hyde Park along Creme Street. See attached map.				
Brief Description of Proposed Action: 1) Acquisition of land, easements, facilities and all other assets of the Fairways Sewer System from the T. of Hyde Park; 2) Creation of Part County Sewer District 6 ; 3) Establishment of the Service Agreement between DCWWA and Dutchess County; 4) Provision of sewer service to all properties within Part County Sewer District 6.				
Name of Applicant or Sponsor: Dutchess County Water and Wastewater Authority (DCWWA)		Telephone: 845-486-3601 E-Mail: dcwwa@dutchessny.gov		
Address: 27 High Street				
City/PO: Poughkeepsie		State: NY	Zip Code: 12601	
1. Does the proposed action only involve the legislative adoption of a plan, local law, ordinance, administrative rule, or regulation? If Yes, attach a narrative description of the intent of the proposed action and the environmental resources that may be affected in the municipality and proceed to Part 2. If no, continue to question 2.			NO <input type="checkbox"/>	YES <input checked="" type="checkbox"/>
2. Does the proposed action require a permit, approval or funding from any other governmental Agency? If Yes, list agency(s) name and permit or approval: Dutchess County (Part County Sewer District and Service Agreement); NYS DEC (transfer of SPDES Permit)			NO <input type="checkbox"/>	YES <input checked="" type="checkbox"/>
3.a. Total acreage of the site of the proposed action? _____ acres				
b. Total acreage to be physically disturbed? _____ acres				
c. Total acreage (project site and any contiguous properties) owned or controlled by the applicant or project sponsor? _____ acres				
4. Check all land uses that occur on, adjoining and near the proposed action. <input type="checkbox"/> Urban <input type="checkbox"/> Rural (non-agriculture) <input type="checkbox"/> Industrial <input type="checkbox"/> Commercial <input checked="" type="checkbox"/> Residential (suburban) <input type="checkbox"/> Forest <input type="checkbox"/> Agriculture <input type="checkbox"/> Aquatic <input type="checkbox"/> Other (specify): _____ <input type="checkbox"/> Parkland				

	NO	YES	N/A
5. Is the proposed action, a. A permitted use under the zoning regulations?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
b. Consistent with the adopted comprehensive plan?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
6. Is the proposed action consistent with the predominant character of the existing built or natural landscape?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
7. Is the site of the proposed action located in, or does it adjoin, a state listed Critical Environmental Area? If Yes, identify: _____	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8. a. Will the proposed action result in a substantial increase in traffic above present levels?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b. Are public transportation service(s) available at or near the site of the proposed action?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
c. Are any pedestrian accommodations or bicycle routes available on or near site of the proposed action?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9. Does the proposed action meet or exceed the state energy code requirements? If the proposed action will exceed requirements, describe design features and technologies: N/A	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
10. Will the proposed action connect to an existing public/private water supply?  If No, describe method for providing potable water: _____ N/A	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
11. Will the proposed action connect to existing wastewater utilities?  If No, describe method for providing wastewater treatment: _____ N/A	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
12. a. Does the site contain a structure that is listed on either the State or National Register of Historic Places?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b. Is the proposed action located in an archeological sensitive area?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
13. a. Does any portion of the site of the proposed action, or lands adjoining the proposed action, contain wetlands or other waterbodies regulated by a federal, state or local agency?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
b. Would the proposed action physically alter, or encroach into, any existing wetland or waterbody? If Yes, identify the wetland or waterbody and extent of alterations in square feet or acres: _____	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
14. Identify the typical habitat types that occur on, or are likely to be found on the project site. Check all that apply: <input type="checkbox"/> Shoreline <input type="checkbox"/> Forest <input type="checkbox"/> Agricultural/grasslands <input type="checkbox"/> Early mid-successional <input type="checkbox"/> Wetland <input type="checkbox"/> Urban <input checked="" type="checkbox"/> Suburban			
15. Does the site of the proposed action contain any species of animal, or associated habitats, listed by the State or Federal government as threatened or endangered?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
16. Is the project site located in the 100 year flood plain?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
17. Will the proposed action create storm water discharge, either from point or non-point sources? If Yes, a. Will storm water discharges flow to adjacent properties? <input type="checkbox"/> NO <input type="checkbox"/> YES	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b. Will storm water discharges be directed to established conveyance systems (runoff and storm drains)? If Yes, briefly describe: _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

<p>18. Does the proposed action include construction or other activities that result in the impoundment of water or other liquids (e.g. retention pond, waste lagoon, dam)?          If Yes, explain purpose and size: _____          _____          _____</p>	<p><b>NO</b></p> <p><input checked="" type="checkbox"/></p>	<p><b>YES</b></p> <p><input type="checkbox"/></p>
<p>19. Has the site of the proposed action or an adjoining property been the location of an active or closed solid waste management facility?          If Yes, describe: _____          _____          _____</p>	<p><b>NO</b></p> <p><input checked="" type="checkbox"/></p>	<p><b>YES</b></p> <p><input type="checkbox"/></p>
<p>20. Has the site of the proposed action or an adjoining property been the subject of remediation (ongoing or completed) for hazardous waste?          If Yes, describe: _____          _____          _____</p>	<p><b>NO</b></p> <p><input checked="" type="checkbox"/></p>	<p><b>YES</b></p> <p><input type="checkbox"/></p>
<p><b>I AFFIRM THAT THE INFORMATION PROVIDED ABOVE IS TRUE AND ACCURATE TO THE BEST OF MY KNOWLEDGE</b></p> <p>Applicant/sponsor name: <u>Bridget Barclay, Executive Director</u> Date: <u>March 13, 2015</u></p> <p>Signature: _____</p>		



Part 1 / Question 7 [Critical Environmental Area]	No
Part 1 / Question 12a [National Register of Historic Places]	No
Part 1 / Question 12b [Archeological Sites]	No
Part 1 / Question 13a [Wetlands or Other Regulated Waterbodies]	Yes - Digital mapping information on local and federal wetlands and waterbodies is known to be incomplete. Refer to EAF Workbook.
Part 1 / Question 15 [Threatened or Endangered Animal]	No
Part 1 / Question 16 [100 Year Flood Plain]	No
Part 1 / Question 20 [Remediation Site]	No

Project:	Acquisition of Fairways Sewer System
Date:	March 13, 2015

**Short Environmental Assessment Form**  
**Part 2 - Impact Assessment**

**Part 2 is to be completed by the Lead Agency.**

Answer all of the following questions in Part 2 using the information contained in Part 1 and other materials submitted by the project sponsor or otherwise available to the reviewer. When answering the questions the reviewer should be guided by the concept "Have my responses been reasonable considering the scale and context of the proposed action?"

	No, or small impact may occur	Moderate to large impact may occur
1. Will the proposed action create a material conflict with an adopted land use plan or zoning regulations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
2. Will the proposed action result in a change in the use or intensity of use of land?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
3. Will the proposed action impair the character or quality of the existing community?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
4. Will the proposed action have an impact on the environmental characteristics that caused the establishment of a Critical Environmental Area (CEA)?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
5. Will the proposed action result in an adverse change in the existing level of traffic or affect existing infrastructure for mass transit, biking or walkway?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
6. Will the proposed action cause an increase in the use of energy and it fails to incorporate reasonably available energy conservation or renewable energy opportunities?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
7. Will the proposed action impact existing:		
a. public / private water supplies?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
b. public / private wastewater treatment utilities?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
8. Will the proposed action impair the character or quality of important historic, archaeological, architectural or aesthetic resources?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
9. Will the proposed action result in an adverse change to natural resources (e.g., wetlands, waterbodies, groundwater, air quality, flora and fauna)?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
10. Will the proposed action result in an increase in the potential for erosion, flooding or drainage problems?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
11. Will the proposed action create a hazard to environmental resources or human health?	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Project:	Acquisition of Fairways Sewer System
Date:	March 13, 2015

### Short Environmental Assessment Form Part 3 Determination of Significance

For every question in Part 2 that was answered "moderate to large impact may occur", or if there is a need to explain why a particular element of the proposed action may or will not result in a significant adverse environmental impact, please complete Part 3. Part 3 should, in sufficient detail, identify the impact, including any measures or design elements that have been included by the project sponsor to avoid or reduce impacts. Part 3 should also explain how the lead agency determined that the impact may or will not be significant. Each potential impact should be assessed considering its setting, probability of occurring, duration, irreversibility, geographic scope and magnitude. Also consider the potential for short-term, long-term and cumulative impacts.

The project involves a change in ownership of the water system and the establishment of a County Water District Zone of Assessment. The project involves a change in ownership of the sewer system and the services are for the water system Part County Sewer District. Whereas this is a transfer only of the infrastructure and does not involve a change in the service area or infrastructure there are no negative impacts associated with this action.

<input type="checkbox"/> Check this box if you have determined, based on the information and analysis above, and any supporting documentation, that the proposed action may result in one or more potentially large or significant adverse impacts and an environmental impact statement is required.	
<input checked="" type="checkbox"/> Check this box if you have determined, based on the information and analysis above, and any supporting documentation, that the proposed action will not result in any significant adverse environmental impacts.	
Dutchess County Water & Wastewater Authority	March 13, 2015
Name of Lead Agency	Date
Bridget Barclay	Executive Director
Print or Type Name of Responsible Officer in Lead Agency	Title of Responsible Officer
_____	_____
Signature of Responsible Officer in Lead Agency	Signature of Preparer (if different from Responsible Officer)

# Short Environmental Assessment Form

## Part 1 - Project Information

### Instructions for Completing

**Part 1 - Project Information.** The applicant or project sponsor is responsible for the completion of Part 1. Responses become part of the application for approval or funding, are subject to public review, and may be subject to further verification. Complete Part 1 based on information currently available. If additional research or investigation would be needed to fully respond to any item, please answer as thoroughly as possible based on current information.

Complete all items in Part 1. You may also provide any additional information which you believe will be needed by or useful to the lead agency; attach additional pages as necessary to supplement any item.

<b>Part 1 - Project and Sponsor Information</b>			
Name of Action or Project: Acquisition of Arbors Water System - NY1303219			
Project Location (describe, and attach a location map): The Arbors Water System is located along the southern edge of the Town of Hyde Park west of US Route 9G. See attached map.			
Brief Description of Proposed Action: 1) Acquisition of land, easements, facilities and all other assets of the Arbors Water System from the T. of Hyde Park; 2) Creation of Zone of Assessment "O" or Omicron in the County Water District; 3) Amendment of the Service Agreement between DCWWA and Dutchess County; 4) Provision of water service to all properties within Zone of Assessment "O" or Omicron.			
Name of Applicant or Sponsor: Dutchess County Water and Wastewater Authority (DCWWA)		Telephone: 845-486-3601 E-Mail: dcwwa@dutchessny.gov	
Address: 27 High Street			
City/PO: Poughkeepsie	State: NY	Zip Code: 12601	
1. Does the proposed action only involve the legislative adoption of a plan, local law, ordinance, administrative rule, or regulation? If Yes, attach a narrative description of the intent of the proposed action and the environmental resources that may be affected in the municipality and proceed to Part 2. If no, continue to question 2.			NO <input type="checkbox"/>
2. Does the proposed action require a permit, approval or funding from any other governmental Agency? If Yes, list agency(s) name and permit or approval: Dutchess County (Zone of Assessment and Service Agreement); NYS DEC (transfer of WSP); DCDOH (PWS Permit)			YES <input checked="" type="checkbox"/>
3.a. Total acreage of the site of the proposed action? _____ 50 acres			
b. Total acreage to be physically disturbed? _____ 0 acres			
c. Total acreage (project site and any contiguous properties) owned or controlled by the applicant or project sponsor? _____ 0 acres			
4. Check all land uses that occur on, adjoining and near the proposed action. <input type="checkbox"/> Urban <input type="checkbox"/> Rural (non-agriculture) <input type="checkbox"/> Industrial <input checked="" type="checkbox"/> Commercial <input checked="" type="checkbox"/> Residential (suburban) <input type="checkbox"/> Forest <input type="checkbox"/> Agriculture <input type="checkbox"/> Aquatic <input type="checkbox"/> Other (specify): _____ <input type="checkbox"/> Parkland			

5. Is the proposed action, a. A permitted use under the zoning regulations?	NO	YES	N/A
	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
b. Consistent with the adopted comprehensive plan?	NO	YES	N/A
	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
6. Is the proposed action consistent with the predominant character of the existing built or natural landscape?	NO	YES	
	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
7. Is the site of the proposed action located in, or does it adjoin, a state listed Critical Environmental Area? If Yes, identify: _____	NO	YES	
	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
8. a. Will the proposed action result in a substantial increase in traffic above present levels?	NO	YES	
	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
b. Are public transportation service(s) available at or near the site of the proposed action?	NO	YES	
	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
c. Are any pedestrian accommodations or bicycle routes available on or near site of the proposed action?	NO	YES	
	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
9. Does the proposed action meet or exceed the state energy code requirements? If the proposed action will exceed requirements, describe design features and technologies: N/A	NO	YES	
	<input type="checkbox"/>	<input type="checkbox"/>	
10. Will the proposed action connect to an existing public/private water supply?  If No, describe method for providing potable water: _____ N/A	NO	YES	
	<input type="checkbox"/>	<input type="checkbox"/>	
11. Will the proposed action connect to existing wastewater utilities?  If No, describe method for providing wastewater treatment: _____ N/A	NO	YES	
	<input type="checkbox"/>	<input type="checkbox"/>	
12. a. Does the site contain a structure that is listed on either the State or National Register of Historic Places?	NO	YES	
	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
b. Is the proposed action located in an archeological sensitive area?	NO	YES	
	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
13. a. Does any portion of the site of the proposed action, or lands adjoining the proposed action, contain wetlands or other waterbodies regulated by a federal, state or local agency?	NO	YES	
	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
b. Would the proposed action physically alter, or encroach into, any existing wetland or waterbody? If Yes, identify the wetland or waterbody and extent of alterations in square feet or acres: _____	NO	YES	
	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
14. Identify the typical habitat types that occur on, or are likely to be found on the project site. Check all that apply: <input type="checkbox"/> Shoreline <input type="checkbox"/> Forest <input type="checkbox"/> Agricultural/grasslands <input type="checkbox"/> Early mid-successional <input type="checkbox"/> Wetland <input type="checkbox"/> Urban <input checked="" type="checkbox"/> Suburban			
15. Does the site of the proposed action contain any species of animal, or associated habitats, listed by the State or Federal government as threatened or endangered?	NO	YES	
	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
16. Is the project site located in the 100 year flood plain?	NO	YES	
	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
17. Will the proposed action create storm water discharge, either from point or non-point sources? If Yes, a. Will storm water discharges flow to adjacent properties? <input type="checkbox"/> NO <input type="checkbox"/> YES	NO	YES	
	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
b. Will storm water discharges be directed to established conveyance systems (runoff and storm drains)? If Yes, briefly describe: _____	NO	YES	
	<input type="checkbox"/> NO <input type="checkbox"/> YES		

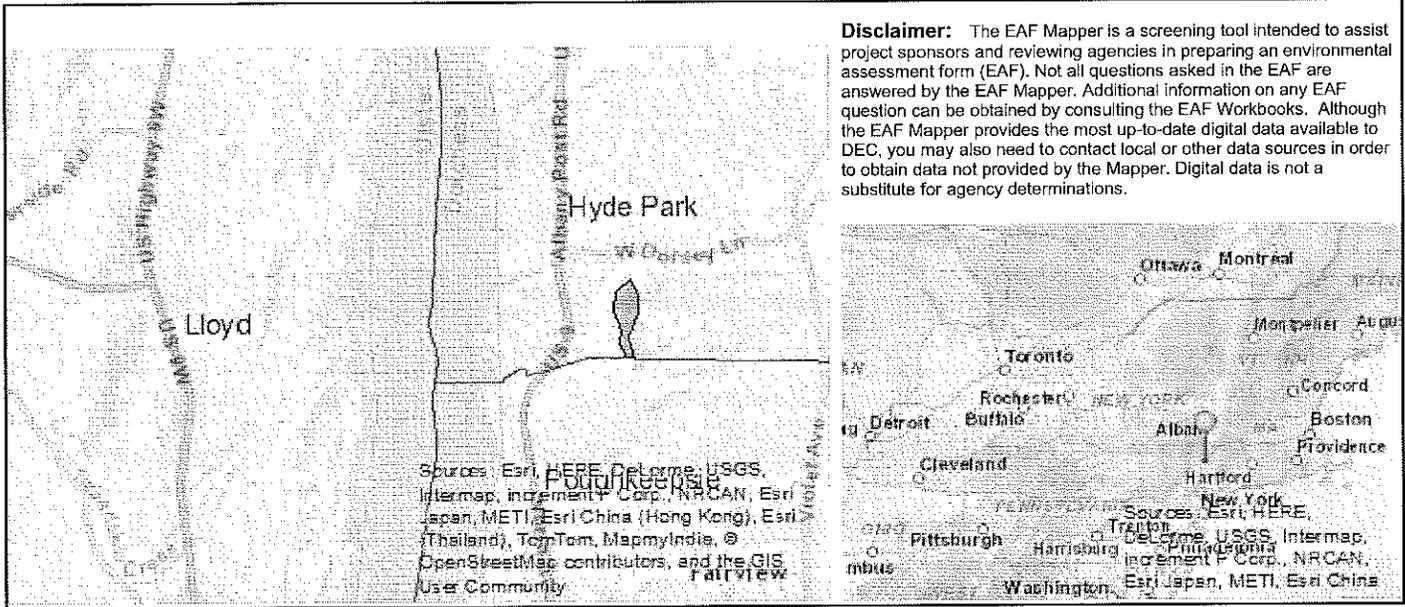
<p>18. Does the proposed action include construction or other activities that result in the impoundment of water or other liquids (e.g. retention pond, waste lagoon, dam)?          If Yes, explain purpose and size: _____          _____          _____</p>	<p>NO</p> <p><input checked="" type="checkbox"/></p>	<p>YES</p> <p><input type="checkbox"/></p>
<p>19. Has the site of the proposed action or an adjoining property been the location of an active or closed solid waste management facility?          If Yes, describe: _____          _____          _____</p>	<p>NO</p> <p><input checked="" type="checkbox"/></p>	<p>YES</p> <p><input type="checkbox"/></p>
<p>20. Has the site of the proposed action or an adjoining property been the subject of remediation (ongoing or completed) for hazardous waste?          If Yes, describe: _____          _____          _____</p>	<p>NO</p> <p><input type="checkbox"/></p>	<p>YES</p> <p><input checked="" type="checkbox"/></p>

**I AFFIRM THAT THE INFORMATION PROVIDED ABOVE IS TRUE AND ACCURATE TO THE BEST OF MY KNOWLEDGE**

Applicant/sponsor name: Bridget Barclay, Executive Director

Date: March 13, 2015

Signature: \_\_\_\_\_



Part 1 / Question 7 [Critical Environmental Area]	No
Part 1 / Question 12a [National Register of Historic Places]	No
Part 1 / Question 12b [Archeological Sites]	Yes
Part 1 / Question 13a [Wetlands or Other Regulated Waterbodies]	Yes - Digital mapping information on local and federal wetlands and waterbodies is known to be incomplete. Refer to EAF Workbook.
Part 1 / Question 15 [Threatened or Endangered Animal]	Yes
Part 1 / Question 16 [100 Year Flood Plain]	No
Part 1 / Question 20 [Remediation Site]	Yes

**Agency Use Only [If applicable]**

<b>Project:</b>	Acquisition of Arbors Water System
<b>Date:</b>	March 13, 2015

**Short Environmental Assessment Form  
Part 2 - Impact Assessment**

**Part 2 is to be completed by the Lead Agency.**

Answer all of the following questions in Part 2 using the information contained in Part 1 and other materials submitted by the project sponsor or otherwise available to the reviewer. When answering the questions the reviewer should be guided by the concept "Have my responses been reasonable considering the scale and context of the proposed action?"

	No, or small impact may occur	Moderate to large impact may occur
1. Will the proposed action create a material conflict with an adopted land use plan or zoning regulations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
2. Will the proposed action result in a change in the use or intensity of use of land?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
3. Will the proposed action impair the character or quality of the existing community?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
4. Will the proposed action have an impact on the environmental characteristics that caused the establishment of a Critical Environmental Area (CEA)?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
5. Will the proposed action result in an adverse change in the existing level of traffic or affect existing infrastructure for mass transit, biking or walkway?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
6. Will the proposed action cause an increase in the use of energy and it fails to incorporate reasonably available energy conservation or renewable energy opportunities?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
7. Will the proposed action impact existing:	<input checked="" type="checkbox"/>	<input type="checkbox"/>
a. public / private water supplies?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
b. public / private wastewater treatment utilities?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
8. Will the proposed action impair the character or quality of important historic, archaeological, architectural or aesthetic resources?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
9. Will the proposed action result in an adverse change to natural resources (e.g., wetlands, waterbodies, groundwater, air quality, flora and fauna)?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
10. Will the proposed action result in an increase in the potential for erosion, flooding or drainage problems?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
11. Will the proposed action create a hazard to environmental resources or human health?	<input checked="" type="checkbox"/>	<input type="checkbox"/>

**PRINT FORM**

Agency Use Only [If applicable]

Project:	Acquisition of Arbors Water System
Date:	March 13, 2015

**Short Environmental Assessment Form  
Part 3 Determination of Significance**

For every question in Part 2 that was answered "moderate to large impact may occur", or if there is a need to explain why a particular element of the proposed action may or will not result in a significant adverse environmental impact, please complete Part 3. Part 3 should, in sufficient detail, identify the impact, including any measures or design elements that have been included by the project sponsor to avoid or reduce impacts. Part 3 should also explain how the lead agency determined that the impact may or will not be significant. Each potential impact should be assessed considering its setting, probability of occurring, duration, irreversibility, geographic scope and magnitude. Also consider the potential for short-term, long-term and cumulative impacts.

The project involves a change in ownership of the water system and the establishment of a County Water District Zone of Assessment. The project involves no physical change to the facilities, and no physical change to the service area for the water system.

<input type="checkbox"/>	Check this box if you have determined, based on the information and analysis above, and any supporting documentation, that the proposed action may result in one or more potentially large or significant adverse impacts and an environmental impact statement is required.
<input checked="" type="checkbox"/>	Check this box if you have determined, based on the information and analysis above, and any supporting documentation, that the proposed action will not result in any significant adverse environmental impacts.
Dutchess County Water & Wastewater Authority	March 13, 2015
Name of Lead Agency	Date
Bridget Barclay	Executive Director
Print or Type Name of Responsible Officer in Lead Agency	Title of Responsible Officer
Signature of Responsible Officer in Lead Agency	Signature of Preparer (if different from Responsible Officer)

**PRINT FORM**

## *Short Environmental Assessment Form*

### *Part 1 - Project Information*

#### Instructions for Completing

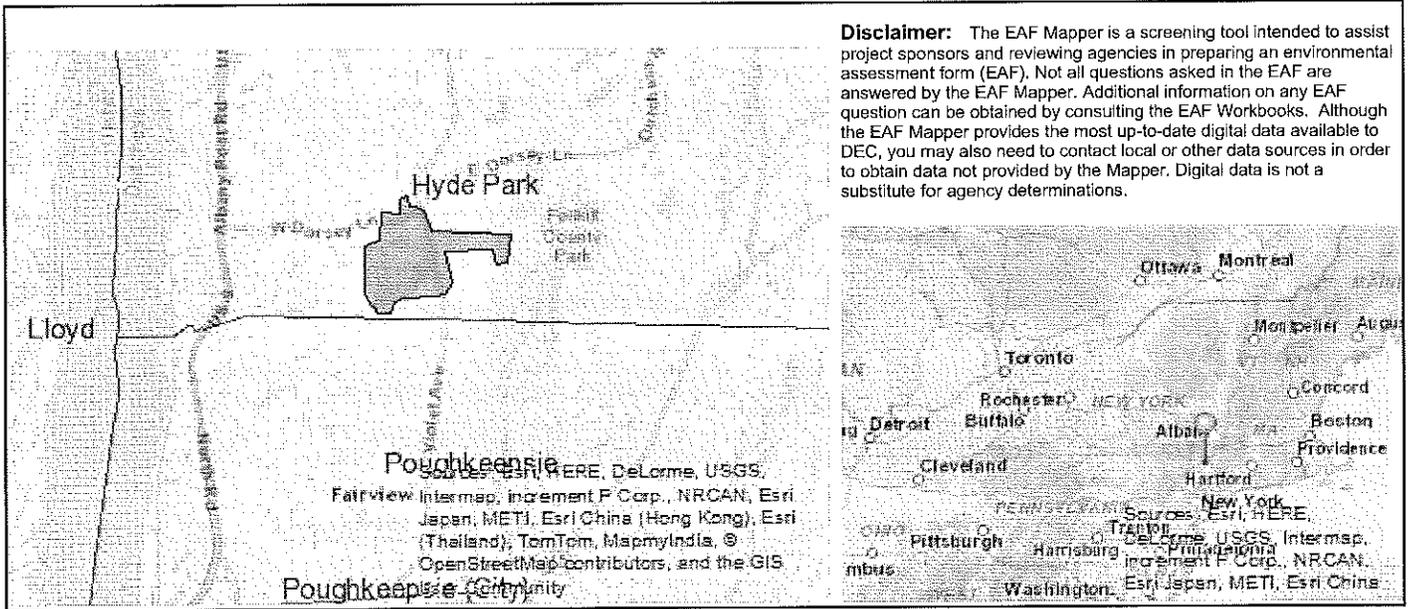
**Part 1 - Project Information.** The applicant or project sponsor is responsible for the completion of Part 1. Responses become part of the application for approval or funding, are subject to public review, and may be subject to further verification. Complete Part 1 based on information currently available. If additional research or investigation would be needed to fully respond to any item, please answer as thoroughly as possible based on current information.

Complete all items in Part 1. You may also provide any additional information which you believe will be needed by or useful to the lead agency; attach additional pages as necessary to supplement any item.

<b>Part 1 - Project and Sponsor Information</b>				
Name of Action or Project: Acquisition of Greenbush Water System - NY1330629				
Project Location (describe, and attach a location map): The Greenbush Water System is located along the southern edge of the Town of Hyde Park along US Route 9G. See attached map.				
Brief Description of Proposed Action: 1) Acquisition of land, easements, facilities and all other assets of the Greenbush Water System from the T. of Hyde Park; 2) Creation of Zone of Assessment "Q" in the County Water District; 3) Amendment of the Service Agreement between DCWWA and Dutchess County; 4) Provision of water service to all properties within Zone of Assessment "Q".				
Name of Applicant or Sponsor: Dutchess County Water and Wastewater Authority (DCWWA)		Telephone: 845-486-3601 E-Mail: dcwwa@dutchessny.gov		
Address: 27 High Street				
City/PO: Poughkeepsie	State: NY	Zip Code: 12601		
1. Does the proposed action only involve the legislative adoption of a plan, local law, ordinance, administrative rule, or regulation? If Yes, attach a narrative description of the intent of the proposed action and the environmental resources that may be affected in the municipality and proceed to Part 2. If no, continue to question 2.			NO <input type="checkbox"/>	YES <input type="checkbox"/>
2. Does the proposed action require a permit, approval or funding from any other governmental Agency? If Yes, list agency(s) name and permit or approval: Dutchess County (Zone of Assessment and Service Agreement); NYS DEC (transfer of WSP); DCDOH (PWS Permit)			NO <input type="checkbox"/>	YES <input checked="" type="checkbox"/>
3.a. Total acreage of the site of the proposed action?		187 acres		
b. Total acreage to be physically disturbed?		0 acres		
c. Total acreage (project site and any contiguous properties) owned or controlled by the applicant or project sponsor?		0 acres		
4. Check all land uses that occur on, adjoining and near the proposed action. <input type="checkbox"/> Urban <input type="checkbox"/> Rural (non-agriculture) <input type="checkbox"/> Industrial <input checked="" type="checkbox"/> Commercial <input checked="" type="checkbox"/> Residential (suburban) <input type="checkbox"/> Forest <input type="checkbox"/> Agriculture <input type="checkbox"/> Aquatic <input type="checkbox"/> Other (specify): _____ <input type="checkbox"/> Parkland				



<p>18. Does the proposed action include construction or other activities that result in the impoundment of water or other liquids (e.g. retention pond, waste lagoon, dam)?</p> <p>If Yes, explain purpose and size: _____</p> <p>_____</p> <p>_____</p>	<p><b>NO</b></p> <p><input checked="" type="checkbox"/></p>	<p><b>YES</b></p> <p><input type="checkbox"/></p>
<p>19. Has the site of the proposed action or an adjoining property been the location of an active or closed solid waste management facility?</p> <p>If Yes, describe: _____</p> <p>_____</p> <p>_____</p>	<p><b>NO</b></p> <p><input checked="" type="checkbox"/></p>	<p><b>YES</b></p> <p><input type="checkbox"/></p>
<p>20. Has the site of the proposed action or an adjoining property been the subject of remediation (ongoing or completed) for hazardous waste?</p> <p>If Yes, describe: _____</p> <p>The Greenbush Water District was created by the Town of Hyde Park around 2004 in response to methyl tert-butyl ether (MTBE) contamination found to be impacting individual property wells.</p>	<p><b>NO</b></p> <p><input type="checkbox"/></p>	<p><b>YES</b></p> <p><input checked="" type="checkbox"/></p>
<p><b>I AFFIRM THAT THE INFORMATION PROVIDED ABOVE IS TRUE AND ACCURATE TO THE BEST OF MY KNOWLEDGE</b></p> <p>Applicant/sponsor name: <u>Bridget Barclay, Executive Director</u> Date: <u>March 13, 2015</u></p> <p>Signature: _____</p>		



Part 1 / Question 7 [Critical Environmental Area]	No
Part 1 / Question 12a [National Register of Historic Places]	No
Part 1 / Question 12b [Archeological Sites]	Yes
Part 1 / Question 13a [Wetlands or Other Regulated Waterbodies]	Yes - Digital mapping information on local and federal wetlands and waterbodies is known to be incomplete. Refer to EAF Workbook.
Part 1 / Question 15 [Threatened or Endangered Animal]	No
Part 1 / Question 16 [100 Year Flood Plain]	No
Part 1 / Question 20 [Remediation Site]	Yes

Agency Use Only [If applicable]

Project:	Acquisition of Greenbush Water System
Date:	March 13, 2015

**Short Environmental Assessment Form  
Part 2 - Impact Assessment**

**Part 2 is to be completed by the Lead Agency.**

Answer all of the following questions in Part 2 using the information contained in Part 1 and other materials submitted by the project sponsor or otherwise available to the reviewer. When answering the questions the reviewer should be guided by the concept "Have my responses been reasonable considering the scale and context of the proposed action?"

	No, or small impact may occur	Moderate to large impact may occur
1. Will the proposed action create a material conflict with an adopted land use plan or zoning regulations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
2. Will the proposed action result in a change in the use or intensity of use of land?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
3. Will the proposed action impair the character or quality of the existing community?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
4. Will the proposed action have an impact on the environmental characteristics that caused the establishment of a Critical Environmental Area (CEA)?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
5. Will the proposed action result in an adverse change in the existing level of traffic or affect existing infrastructure for mass transit, biking or walkway?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
6. Will the proposed action cause an increase in the use of energy and it fails to incorporate reasonably available energy conservation or renewable energy opportunities?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
7. Will the proposed action impact existing:		
a. public / private water supplies?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
b. public / private wastewater treatment utilities?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
8. Will the proposed action impair the character or quality of important historic, archaeological, architectural or aesthetic resources?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
9. Will the proposed action result in an adverse change to natural resources (e.g., wetlands, waterbodies, groundwater, air quality, flora and fauna)?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
10. Will the proposed action result in an increase in the potential for erosion, flooding or drainage problems?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
11. Will the proposed action create a hazard to environmental resources or human health?	<input checked="" type="checkbox"/>	<input type="checkbox"/>

**PRINT FORM**

**Agency Use Only [If applicable]**

<b>Project:</b>	Acquisition of Greenbush Water System
<b>Date:</b>	March 13, 2015

***Short Environmental Assessment Form  
Part 3 Determination of Significance***

For every question in Part 2 that was answered "moderate to large impact may occur", or if there is a need to explain why a particular element of the proposed action may or will not result in a significant adverse environmental impact, please complete Part 3. Part 3 should, in sufficient detail, identify the impact, including any measures or design elements that have been included by the project sponsor to avoid or reduce impacts. Part 3 should also explain how the lead agency determined that the impact may or will not be significant. Each potential impact should be assessed considering its setting, probability of occurring, duration, irreversibility, geographic scope and magnitude. Also consider the potential for short-term, long-term and cumulative impacts.

The project involves a change in ownership of the water system and the establishment of a County Water District Zone of Assessment. The project involves no physical change to the facilities, and no physical change to the service area for the water system.

<input type="checkbox"/>	Check this box if you have determined, based on the information and analysis above, and any supporting documentation, that the proposed action may result in one or more potentially large or significant adverse impacts and an environmental impact statement is required.
<input checked="" type="checkbox"/>	Check this box if you have determined, based on the information and analysis above, and any supporting documentation, that the proposed action will not result in any significant adverse environmental impacts.
Dutchess County Water & Wastewater Authority	March 13, 2015
Name of Lead Agency	Date
Bridget Barclay	Executive Director
Print or Type Name of Responsible Officer in Lead Agency	Title of Responsible Officer
Signature of Responsible Officer in Lead Agency	Signature of Preparer (if different from Responsible Officer)

**PRINT FORM**

## Short Environmental Assessment Form

### Part 1 - Project Information

#### Instructions for Completing

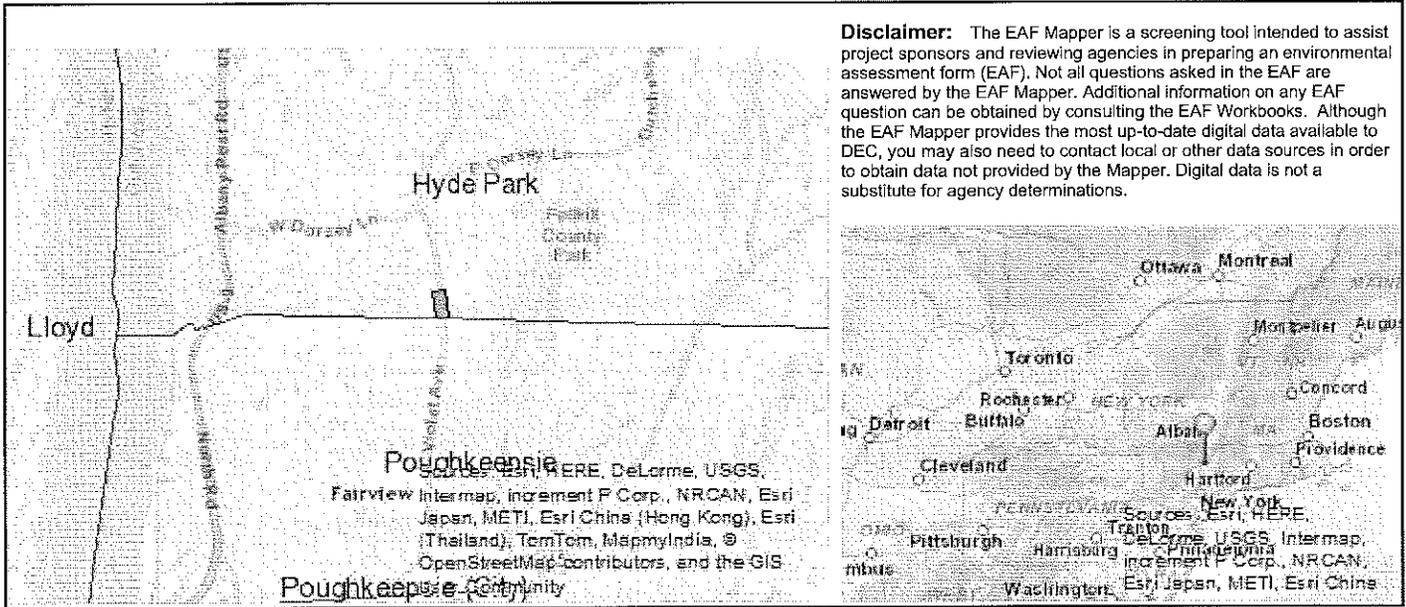
**Part 1 - Project Information.** The applicant or project sponsor is responsible for the completion of Part 1. Responses become part of the application for approval or funding, are subject to public review, and may be subject to further verification. Complete Part 1 based on information currently available. If additional research or investigation would be needed to fully respond to any item, please answer as thoroughly as possible based on current information.

Complete all items in Part 1. You may also provide any additional information which you believe will be needed by or useful to the lead agency; attach additional pages as necessary to supplement any item.

<b>Part 1 - Project and Sponsor Information</b>				
Name of Action or Project: Acquisition of Violet Avenue Water System				
Project Location (describe, and attach a location map): The Violet Avenue Water System is located along the southern edge of the Town of Hyde Park along US Route 9G. See attached map.				
Brief Description of Proposed Action: 1) Acquisition of land, easements, facilities and all other assets of the Violet Avenue Water System from the T. of Hyde Park; 2) Creation of Zone of Assessment "P" in the County Water District; 3) Amendment of the Service Agreement between DCWWA and Dutchess County; 4) Provision of water service to all properties within Zone of Assessment "P".				
Name of Applicant or Sponsor: Dutchess County Water and Wastewater Authority (DCWWA)		Telephone: 845-486-3601 E-Mail: dcwwa@dutchessny.gov		
Address: 27 High Street				
City/PO: Poughkeepsie		State: NY	Zip Code: 12601	
1. Does the proposed action only involve the legislative adoption of a plan, local law, ordinance, administrative rule, or regulation? If Yes, attach a narrative description of the intent of the proposed action and the environmental resources that may be affected in the municipality and proceed to Part 2. If no, continue to question 2.			NO <input type="checkbox"/>	YES <input type="checkbox"/>
2. Does the proposed action require a permit, approval or funding from any other governmental Agency? If Yes, list agency(s) name and permit or approval: Dutchess County (Zone of Assessment and Service Agreement); NYS DEC (transfer of WSP); DCDOH (PWS Permit)			NO <input type="checkbox"/>	YES <input checked="" type="checkbox"/>
3.a. Total acreage of the site of the proposed action?		20 acres		
b. Total acreage to be physically disturbed?		0 acres		
c. Total acreage (project site and any contiguous properties) owned or controlled by the applicant or project sponsor?		0 acres		
4. Check all land uses that occur on, adjoining and near the proposed action. <input type="checkbox"/> Urban <input type="checkbox"/> Rural (non-agriculture) <input type="checkbox"/> Industrial <input checked="" type="checkbox"/> Commercial <input checked="" type="checkbox"/> Residential (suburban) <input type="checkbox"/> Forest <input type="checkbox"/> Agriculture <input type="checkbox"/> Aquatic <input type="checkbox"/> Other (specify): _____ <input type="checkbox"/> Parkland				



<p>18. Does the proposed action include construction or other activities that result in the impoundment of water or other liquids (e.g. retention pond, waste lagoon, dam)?</p> <p>If Yes, explain purpose and size: _____</p> <p>_____</p> <p>_____</p>	<p><b>NO</b></p> <p><input checked="" type="checkbox"/></p>	<p><b>YES</b></p> <p><input type="checkbox"/></p>
<p>19. Has the site of the proposed action or an adjoining property been the location of an active or closed solid waste management facility?</p> <p>If Yes, describe: _____</p> <p>_____</p> <p>_____</p>	<p><b>NO</b></p> <p><input checked="" type="checkbox"/></p>	<p><b>YES</b></p> <p><input type="checkbox"/></p>
<p>20. Has the site of the proposed action or an adjoining property been the subject of remediation (ongoing or completed) for hazardous waste?</p> <p>If Yes, describe: _____</p> <p>_____</p> <p>_____</p>	<p><b>NO</b></p> <p><input checked="" type="checkbox"/></p>	<p><b>YES</b></p> <p><input type="checkbox"/></p>
<p><b>I AFFIRM THAT THE INFORMATION PROVIDED ABOVE IS TRUE AND ACCURATE TO THE BEST OF MY KNOWLEDGE</b></p> <p>Applicant/sponsor name: <u>Bridget Barclay, Executive Director</u> Date: <u>March 13, 2015</u></p> <p>Signature: _____</p>		



Part 1 / Question 7 [Critical Environmental Area]	No
Part 1 / Question 12a [National Register of Historic Places]	No
Part 1 / Question 12b [Archeological Sites]	No
Part 1 / Question 13a [Wetlands or Other Regulated Waterbodies]	No
Part 1 / Question 15 [Threatened or Endangered Animal]	No
Part 1 / Question 16 [100 Year Flood Plain]	No
Part 1 / Question 20 [Remediation Site]	No

Agency Use Only [If applicable]

Project: Acquisition of Violet Avenue Water System  
 Date: March 13, 2015

**Short Environmental Assessment Form  
 Part 2 - Impact Assessment**

**Part 2 is to be completed by the Lead Agency.**

Answer all of the following questions in Part 2 using the information contained in Part 1 and other materials submitted by the project sponsor or otherwise available to the reviewer. When answering the questions the reviewer should be guided by the concept "Have my responses been reasonable considering the scale and context of the proposed action?"

	No, or small impact may occur	Moderate to large impact may occur
1. Will the proposed action create a material conflict with an adopted land use plan or zoning regulations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
2. Will the proposed action result in a change in the use or intensity of use of land?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
3. Will the proposed action impair the character or quality of the existing community?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
4. Will the proposed action have an impact on the environmental characteristics that caused the establishment of a Critical Environmental Area (CEA)?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
5. Will the proposed action result in an adverse change in the existing level of traffic or affect existing infrastructure for mass transit, biking or walkway?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
6. Will the proposed action cause an increase in the use of energy and it fails to incorporate reasonably available energy conservation or renewable energy opportunities?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
7. Will the proposed action impact existing:		
a. public / private water supplies?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
b. public / private wastewater treatment utilities?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
8. Will the proposed action impair the character or quality of important historic, archaeological, architectural or aesthetic resources?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
9. Will the proposed action result in an adverse change to natural resources (e.g., wetlands, waterbodies, groundwater, air quality, flora and fauna)?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
10. Will the proposed action result in an increase in the potential for erosion, flooding or drainage problems?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
11. Will the proposed action create a hazard to environmental resources or human health?	<input checked="" type="checkbox"/>	<input type="checkbox"/>

**PRINT FORM**



## Short Environmental Assessment Form

### Part 1 - Project Information

#### Instructions for Completing

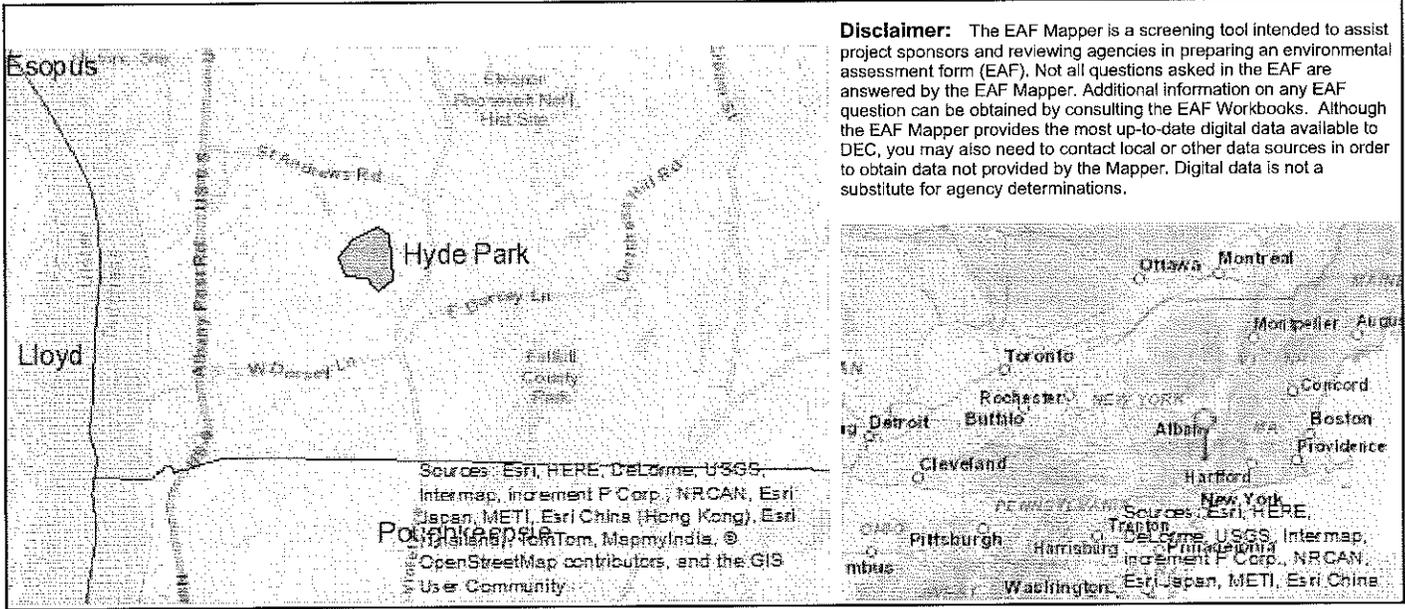
**Part 1 - Project Information.** The applicant or project sponsor is responsible for the completion of Part 1. Responses become part of the application for approval or funding, are subject to public review, and may be subject to further verification. Complete Part 1 based on information currently available. If additional research or investigation would be needed to fully respond to any item, please answer as thoroughly as possible based on current information.

Complete all items in Part 1. You may also provide any additional information which you believe will be needed by or useful to the lead agency; attach additional pages as necessary to supplement any item.

<b>Part 1 - Project and Sponsor Information</b>			
Name of Action or Project: Acquisition of Pinebrook Water System - NY1322156			
Project Location (describe, and attach a location map): The Pinebrook Water System is located in the southern half of the Town of Hyde Park on Pinebrook Drive.			
Brief Description of Proposed Action: 1) Acquisition of land, easements, facilities and all other assets of the Pinebrook Water System from the T. of Hyde Park; 2) Creation of Zone of Assessment "R" in the County Water District; 3) Amendment of the Service Agreement between DCWWA and Dutchess County; 4) Provision of water service to all properties within Zone of Assessment "R".			
Name of Applicant or Sponsor: Dutchess County Water and Wastewater Authority (DCWWA)		Telephone: 845-486-3601 E-Mail: dcwwa@dutchessny.gov	
Address: 27 High Street			
City/PO: Poughkeepsie		State: NY	Zip Code: 12601
1. Does the proposed action only involve the legislative adoption of a plan, local law, ordinance, administrative rule, or regulation? If Yes, attach a narrative description of the intent of the proposed action and the environmental resources that may be affected in the municipality and proceed to Part 2. If no, continue to question 2.			NO <input type="checkbox"/>
2. Does the proposed action require a permit, approval or funding from any other governmental Agency? If Yes, list agency(s) name and permit or approval: Dutchess County (Zone of Assessment and Service Agreement); NYS DEC (transfer of WSP); DCDOH (PWS Permit)			YES <input checked="" type="checkbox"/>
3.a. Total acreage of the site of the proposed action?		80 acres	
b. Total acreage to be physically disturbed?		0 acres	
c. Total acreage (project site and any contiguous properties) owned or controlled by the applicant or project sponsor?		0 acres	
4. Check all land uses that occur on, adjoining and near the proposed action. <input type="checkbox"/> Urban <input type="checkbox"/> Rural (non-agriculture) <input type="checkbox"/> Industrial <input type="checkbox"/> Commercial <input checked="" type="checkbox"/> Residential (suburban) <input type="checkbox"/> Forest <input type="checkbox"/> Agriculture <input type="checkbox"/> Aquatic <input type="checkbox"/> Other (specify): _____ <input type="checkbox"/> Parkland			







Part 1 / Question 7 [Critical Environmental Area]	No
Part 1 / Question 12a [National Register of Historic Places]	No
Part 1 / Question 12b [Archeological Sites]	Yes
Part 1 / Question 13a [Wetlands or Other Regulated Waterbodies]	Yes - Digital mapping information on local and federal wetlands and waterbodies is known to be incomplete. Refer to EAF Workbook.
Part 1 / Question 15 [Threatened or Endangered Animal]	Yes
Part 1 / Question 16 [100 Year Flood Plain]	Yes
Part 1 / Question 20 [Remediation Site]	No

Project:	Acquisition of Pinebrook Water System
Date:	March 13, 2015

**Short Environmental Assessment Form**  
**Part 2 - Impact Assessment**

**Part 2 is to be completed by the Lead Agency.**

Answer all of the following questions in Part 2 using the information contained in Part 1 and other materials submitted by the project sponsor or otherwise available to the reviewer. When answering the questions the reviewer should be guided by the concept "Have my responses been reasonable considering the scale and context of the proposed action?"

	No, or small impact may occur	Moderate to large impact may occur
1. Will the proposed action create a material conflict with an adopted land use plan or zoning regulations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
2. Will the proposed action result in a change in the use or intensity of use of land?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
3. Will the proposed action impair the character or quality of the existing community?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
4. Will the proposed action have an impact on the environmental characteristics that caused the establishment of a Critical Environmental Area (CEA)?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
5. Will the proposed action result in an adverse change in the existing level of traffic or affect existing infrastructure for mass transit, biking or walkway?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
6. Will the proposed action cause an increase in the use of energy and it fails to incorporate reasonably available energy conservation or renewable energy opportunities?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
7. Will the proposed action impact existing:	<input checked="" type="checkbox"/>	<input type="checkbox"/>
a. public / private water supplies?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
b. public / private wastewater treatment utilities?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
8. Will the proposed action impair the character or quality of important historic, archaeological, architectural or aesthetic resources?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
9. Will the proposed action result in an adverse change to natural resources (e.g., wetlands, waterbodies, groundwater, air quality, flora and fauna)?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
10. Will the proposed action result in an increase in the potential for erosion, flooding or drainage problems?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
11. Will the proposed action create a hazard to environmental resources or human health?	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Agency Use Only [If applicable]

Project: Acquisition of Pinebrook Water System  
Date: March 13, 2015

### Short Environmental Assessment Form Part 3 Determination of Significance

For every question in Part 2 that was answered "moderate to large impact may occur", or if there is a need to explain why a particular element of the proposed action may or will not result in a significant adverse environmental impact, please complete Part 3. Part 3 should, in sufficient detail, identify the impact, including any measures or design elements that have been included by the project sponsor to avoid or reduce impacts. Part 3 should also explain how the lead agency determined that the impact may or will not be significant. Each potential impact should be assessed considering its setting, probability of occurring, duration, irreversibility, geographic scope and magnitude. Also consider the potential for short-term, long-term and cumulative impacts.

The project involves a change in ownership of the water system and the establishment of a County Water District Zone of Assessment. The project involves no physical change to the facilities, and no physical change to the service area for the water system.

<input type="checkbox"/>	Check this box if you have determined, based on the information and analysis above, and any supporting documentation, that the proposed action may result in one or more potentially large or significant adverse impacts and an environmental impact statement is required.
<input checked="" type="checkbox"/>	Check this box if you have determined, based on the information and analysis above, and any supporting documentation, that the proposed action will not result in any significant adverse environmental impacts.
Dutchess County Water & Wastewater Authority	March 13, 2015
Name of Lead Agency	Date
Bridget Barclay	Executive Director
Print or Type Name of Responsible Officer in Lead Agency	Title of Responsible Officer
Signature of Responsible Officer in Lead Agency	Signature of Preparer (if different from Responsible Officer)

**PRINT FORM**

## Short Environmental Assessment Form

### Part 1 - Project Information

#### Instructions for Completing

**Part 1 - Project Information.** The applicant or project sponsor is responsible for the completion of Part 1. Responses become part of the application for approval or funding, are subject to public review, and may be subject to further verification. Complete Part 1 based on information currently available. If additional research or investigation would be needed to fully respond to any item, please answer as thoroughly as possible based on current information.

Complete all items in Part 1. You may also provide any additional information which you believe will be needed by or useful to the lead agency; attach additional pages as necessary to supplement any item.

<b>Part 1 - Project and Sponsor Information</b>				
Name of Action or Project: Acquisition of Pinebrook Sewer System - DEC Permit # 3-1332-00101-00003; SPDES # NY 003 4584				
Project Location (describe, and attach a location map): The Pinebrook Sewer System is located in the southern half of the Town of Hyde Park on Pinebrook Drive.				
Brief Description of Proposed Action: 1) Acquisition of land, easements, facilities and all other assets of the Pinebrook Sewer System from the T. of Hyde Park; 2) Creation of Part County Sewer District #7; 3) Amendment of the Service Agreement between DCWWA and Dutchess County; 4) Provision of sewer service to all properties within Part County Sewer District #7.				
Name of Applicant or Sponsor: Dutchess County Water and Wastewater Authority (DCWWA)		Telephone: 845-486-3601 E-Mail: dcwwa@dutchessny.gov		
Address: 27 High Street				
City/PO: Poughkeepsie	State: NY	Zip Code: 12601		
1. Does the proposed action only involve the legislative adoption of a plan, local law, ordinance, administrative rule, or regulation? If Yes, attach a narrative description of the intent of the proposed action and the environmental resources that may be affected in the municipality and proceed to Part 2. If no, continue to question 2.			NO <input type="checkbox"/>	YES <input type="checkbox"/>
2. Does the proposed action require a permit, approval or funding from any other governmental Agency? If Yes, list agency(s) name and permit or approval: Dutchess County (Part County Sewer District and Service Agreement); NYS DEC (transfer of SPDES Permit)			NO <input type="checkbox"/>	YES <input checked="" type="checkbox"/>
3.a. Total acreage of the site of the proposed action?		80 acres		
b. Total acreage to be physically disturbed?		0 acres		
c. Total acreage (project site and any contiguous properties) owned or controlled by the applicant or project sponsor?		0 acres		
4. Check all land uses that occur on, adjoining and near the proposed action.				
<input type="checkbox"/> Urban <input type="checkbox"/> Rural (non-agriculture) <input type="checkbox"/> Industrial <input type="checkbox"/> Commercial <input checked="" type="checkbox"/> Residential (suburban)				
<input type="checkbox"/> Forest <input type="checkbox"/> Agriculture <input type="checkbox"/> Aquatic <input type="checkbox"/> Other (specify): _____				
<input type="checkbox"/> Parkland				



<p>18. Does the proposed action include construction or other activities that result in the impoundment of water or other liquids (e.g. retention pond, waste lagoon, dam)?</p> <p>If Yes, explain purpose and size: _____</p> <p>_____</p> <p>_____</p>	<p>NO</p> <p><input checked="" type="checkbox"/></p>	<p>YES</p> <p><input type="checkbox"/></p>
<p>19. Has the site of the proposed action or an adjoining property been the location of an active or closed solid waste management facility?</p> <p>If Yes, describe: _____</p> <p>_____</p> <p>_____</p>	<p>NO</p> <p><input checked="" type="checkbox"/></p>	<p>YES</p> <p><input type="checkbox"/></p>
<p>20. Has the site of the proposed action or an adjoining property been the subject of remediation (ongoing or completed) for hazardous waste?</p> <p>If Yes, describe: _____</p> <p>_____</p> <p>_____</p>	<p>NO</p> <p><input checked="" type="checkbox"/></p>	<p>YES</p> <p><input type="checkbox"/></p>
<p><b>I AFFIRM THAT THE INFORMATION PROVIDED ABOVE IS TRUE AND ACCURATE TO THE BEST OF MY KNOWLEDGE</b></p> <p>Applicant/sponsor name: <u>Bridget Barclay, Executive Director</u> Date: <u>March 13, 2015</u></p> <p>Signature: _____</p>		



Project:	Acquisition of Pinebrook Sewer System
Date:	March 13, 2015

**Short Environmental Assessment Form**  
**Part 2 - Impact Assessment**

**Part 2 is to be completed by the Lead Agency.**

Answer all of the following questions in Part 2 using the information contained in Part 1 and other materials submitted by the project sponsor or otherwise available to the reviewer. When answering the questions the reviewer should be guided by the concept "Have my responses been reasonable considering the scale and context of the proposed action?"

	No, or small impact may occur	Moderate to large impact may occur
1. Will the proposed action create a material conflict with an adopted land use plan or zoning regulations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
2. Will the proposed action result in a change in the use or intensity of use of land?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
3. Will the proposed action impair the character or quality of the existing community?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
4. Will the proposed action have an impact on the environmental characteristics that caused the establishment of a Critical Environmental Area (CEA)?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
5. Will the proposed action result in an adverse change in the existing level of traffic or affect existing infrastructure for mass transit, biking or walkway?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
6. Will the proposed action cause an increase in the use of energy and it fails to incorporate reasonably available energy conservation or renewable energy opportunities?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
7. Will the proposed action impact existing:	<input checked="" type="checkbox"/>	<input type="checkbox"/>
a. public / private water supplies?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
b. public / private wastewater treatment utilities?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
8. Will the proposed action impair the character or quality of important historic, archaeological, architectural or aesthetic resources?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
9. Will the proposed action result in an adverse change to natural resources (e.g., wetlands, waterbodies, groundwater, air quality, flora and fauna)?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
10. Will the proposed action result in an increase in the potential for erosion, flooding or drainage problems?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
11. Will the proposed action create a hazard to environmental resources or human health?	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Agency Use Only [If applicable]  
 Project: Acquisition of Pinebrook Sewer System  
 Date: March 13, 2015

**Short Environmental Assessment Form  
 Part 3 Determination of Significance**

For every question in Part 2 that was answered "moderate to large impact may occur", or if there is a need to explain why a particular element of the proposed action may or will not result in a significant adverse environmental impact, please complete Part 3. Part 3 should, in sufficient detail, identify the impact, including any measures or design elements that have been included by the project sponsor to avoid or reduce impacts. Part 3 should also explain how the lead agency determined that the impact may or will not be significant. Each potential impact should be assessed considering its setting, probability of occurring, duration, irreversibility, geographic scope and magnitude. Also consider the potential for short-term, long-term and cumulative impacts.

The project involves a change in ownership of the sewer system and the establishment of a Part County Sewer District. Whereas this is a transfer only of the infrastructure and does not involve a change in the service area or infrastructure there are no negative impacts associated with this action.

<input type="checkbox"/> Check this box if you have determined, based on the information and analysis above, and any supporting documentation, that the proposed action may result in one or more potentially large or significant adverse impacts and an environmental impact statement is required.	
<input checked="" type="checkbox"/> Check this box if you have determined, based on the information and analysis above, and any supporting documentation, that the proposed action will not result in any significant adverse environmental impacts.	
Dutchess County Water & Wastewater Authority	March 13, 2015
Name of Lead Agency	Date
Bridget Barclay	Executive Director
Print or Type Name of Responsible Officer in Lead Agency	Title of Responsible Officer
Signature of Responsible Officer in Lead Agency	Signature of Preparer (if different from Responsible Officer)

**PRINT FORM**

# Short Environmental Assessment Form

## Part 1 - Project Information

### Instructions for Completing

**Part 1 - Project Information.** The applicant or project sponsor is responsible for the completion of Part 1. Responses become part of the application for approval or funding, are subject to public review, and may be subject to further verification. Complete Part 1 based on information currently available. If additional research or investigation would be needed to fully respond to any item, please answer as thoroughly as possible based on current information.

Complete all items in Part 1. You may also provide any additional information which you believe will be needed by or useful to the lead agency; attach additional pages as necessary to supplement any item.

<b>Part 1 - Project and Sponsor Information</b>				
Name of Action or Project: Acquisition of Greenfields Water System - NY1302794				
Project Location (describe, and attach a location map): The Greenfields Water System is located along the southeast portion of the Town of Hyde Park along Creme Street. See attached map.				
Brief Description of Proposed Action: 1) Acquisition of land, easements, facilities and all other assets of the Greenfields Water System from the T. of Hyde Park; 2) Creation of Zone of Assessment "S" and "T" in the County Water District; 3) Amendment of the Service Agreement between DCWWA and Dutchess County; 4) Provision of water service to all properties within Zone of Assessment "S" and "T".				
Name of Applicant or Sponsor: Dutchess County Water and Wastewater Authority (DCWWA)		Telephone: 845-486-3601 E-Mail: dcwwa@dutchessny.gov		
Address: 27 High Street				
City/PO: Poughkeepsie	State: NY	Zip Code: 12601		
1. Does the proposed action only involve the legislative adoption of a plan, local law, ordinance, administrative rule, or regulation? If Yes, attach a narrative description of the intent of the proposed action and the environmental resources that may be affected in the municipality and proceed to Part 2. If no, continue to question 2.			NO <input type="checkbox"/>	YES <input type="checkbox"/>
2. Does the proposed action require a permit, approval or funding from any other governmental Agency? If Yes, list agency(s) name and permit or approval: Dutchess County (Zone of Assessment and Service Agreement); NYS DEC (transfer of WSP); DCDOH (PWS Permit)			NO <input type="checkbox"/>	YES <input checked="" type="checkbox"/>
3.a. Total acreage of the site of the proposed action?		120 acres		
b. Total acreage to be physically disturbed?		0 acres		
c. Total acreage (project site and any contiguous properties) owned or controlled by the applicant or project sponsor?		0 acres		
4. Check all land uses that occur on, adjoining and near the proposed action. <input type="checkbox"/> Urban <input type="checkbox"/> Rural (non-agriculture) <input type="checkbox"/> Industrial <input type="checkbox"/> Commercial <input checked="" type="checkbox"/> Residential (suburban) <input type="checkbox"/> Forest <input type="checkbox"/> Agriculture <input type="checkbox"/> Aquatic <input type="checkbox"/> Other (specify): _____ <input type="checkbox"/> Parkland				





**Disclaimer:** The EAF Mapper is a screening tool intended to assist project sponsors and reviewing agencies in preparing an environmental assessment form (EAF). Not all questions asked in the EAF are answered by the EAF Mapper. Additional information on any EAF question can be obtained by consulting the EAF Workbooks. Although the EAF Mapper provides the most up-to-date digital data available to DEC, you may also need to contact local or other data sources in order to obtain data not provided by the Mapper. Digital data is not a substitute for agency determinations.

Sources: Esri, HERE, DeLorme, USGS, Intermap, increment P Corp., NRCAN, Esri Japan, METI, Esri China (Hong Kong), Esri (Thailand), TomTom, MapmyIndia, © OpenStreetMap contributors, and the GIS User Community

Part 1 / Question 7 [Critical Environmental Area]	No
Part 1 / Question 12a [National Register of Historic Places]	No
Part 1 / Question 12b [Archeological Sites]	No
Part 1 / Question 13a [Wetlands or Other Regulated Waterbodies]	Yes - Digital mapping information on local and federal wetlands and waterbodies is known to be incomplete. Refer to EAF Workbook.
Part 1 / Question 15 [Threatened or Endangered Animal]	Yes
Part 1 / Question 16 [100 Year Flood Plain]	No
Part 1 / Question 20 [Remediation Site]	No

Agency Use Only [If applicable]

Project: Acquisition of Greenfields Water System  
 Date: March 13, 2015

**Short Environmental Assessment Form**  
**Part 2 - Impact Assessment**

**Part 2 is to be completed by the Lead Agency.**

Answer all of the following questions in Part 2 using the information contained in Part 1 and other materials submitted by the project sponsor or otherwise available to the reviewer. When answering the questions the reviewer should be guided by the concept "Have my responses been reasonable considering the scale and context of the proposed action?"

	No, or small impact may occur	Moderate to large impact may occur
1. Will the proposed action create a material conflict with an adopted land use plan or zoning regulations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
2. Will the proposed action result in a change in the use or intensity of use of land?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
3. Will the proposed action impair the character or quality of the existing community?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
4. Will the proposed action have an impact on the environmental characteristics that caused the establishment of a Critical Environmental Area (CEA)?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
5. Will the proposed action result in an adverse change in the existing level of traffic or affect existing infrastructure for mass transit, biking or walkway?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
6. Will the proposed action cause an increase in the use of energy and it fails to incorporate reasonably available energy conservation or renewable energy opportunities?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
7. Will the proposed action impact existing:		
a. public / private water supplies?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
b. public / private wastewater treatment utilities?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
8. Will the proposed action impair the character or quality of important historic, archaeological, architectural or aesthetic resources?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
9. Will the proposed action result in an adverse change to natural resources (e.g., wetlands, waterbodies, groundwater, air quality, flora and fauna)?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
10. Will the proposed action result in an increase in the potential for erosion, flooding or drainage problems?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
11. Will the proposed action create a hazard to environmental resources or human health?	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Agency Use Only [If applicable]

Project: Acquisition of Greenfields Water System  
Date: March 13, 2015

### Short Environmental Assessment Form Part 3 Determination of Significance

For every question in Part 2 that was answered "moderate to large impact may occur", or if there is a need to explain why a particular element of the proposed action may or will not result in a significant adverse environmental impact, please complete Part 3. Part 3 should, in sufficient detail, identify the impact, including any measures or design elements that have been included by the project sponsor to avoid or reduce impacts. Part 3 should also explain how the lead agency determined that the impact may or will not be significant. Each potential impact should be assessed considering its setting, probability of occurring, duration, irreversibility, geographic scope and magnitude. Also consider the potential for short-term, long-term and cumulative impacts.

The project involves a change in ownership of the water system and the establishment of a County Water District Zone of Assessment. The project involves no physical change to the facilities, and no physical change to the service area for the water system.

<input type="checkbox"/>	Check this box if you have determined, based on the information and analysis above, and any supporting documentation, that the proposed action may result in one or more potentially large or significant adverse impacts and an environmental impact statement is required.
<input checked="" type="checkbox"/>	Check this box if you have determined, based on the information and analysis above, and any supporting documentation, that the proposed action will not result in any significant adverse environmental impacts.
Dutchess County Water & Wastewater Authority	March 13, 2015
Name of Lead Agency	Date
Bridget Barclay	Executive Director
Print or Type Name of Responsible Officer in Lead Agency	Title of Responsible Officer
Signature of Responsible Officer in Lead Agency	Signature of Preparer (if different from Responsible Officer)

PRINT FORM

## Short Environmental Assessment Form

### Part 1 - Project Information

#### Instructions for Completing

**Part 1 - Project Information.** The applicant or project sponsor is responsible for the completion of Part 1. Responses become part of the application for approval or funding, are subject to public review, and may be subject to further verification. Complete Part 1 based on information currently available. If additional research or investigation would be needed to fully respond to any item, please answer as thoroughly as possible based on current information.

Complete all items in Part 1. You may also provide any additional information which you believe will be needed by or useful to the lead agency; attach additional pages as necessary to supplement any item.

<b>Part 1 - Project and Sponsor Information</b>			
Name of Action or Project: Acquisition of Greenfields Sewer System - SPDES Permit # NY - 0097896			
Project Location (describe, and attach a location map): The Greenfields Sewer System is located along the southeast portion of the Town of Hyde Park along Creme Street. See attached map.			
Brief Description of Proposed Action: 1) Acquisition of land, easements, facilities and all other assets of the Greenfields Sewer System from the T. of Hyde Park; 2) Creation of Part County Sewer District 8 Zone of Assessment A and Part County Sewer District #8 Zone of Assessment B; 3) Establishment of the Service Agreement between DCWWA and Dutchess County; 4) Provision of sewer service to all properties within Part County Sewer District 8 Zone of Assessment A and Part County Sewer District #8 Zone of Assessment B.			
Name of Applicant or Sponsor: Dutchess County Water and Wastewater Authority (DCWWA)		Telephone: 845-486-3601 E-Mail: dcwwa@dutchessny.gov	
Address: 27 High Street			
City/PO: Poughkeepsie		State: NY	Zip Code: 12601
1. Does the proposed action only involve the legislative adoption of a plan, local law, ordinance, administrative rule, or regulation? If Yes, attach a narrative description of the intent of the proposed action and the environmental resources that may be affected in the municipality and proceed to Part 2. If no, continue to question 2.			NO <input type="checkbox"/>
2. Does the proposed action require a permit, approval or funding from any other governmental Agency? If Yes, list agency(s) name and permit or approval: Dutchess County (Part County Sewer District and Zones of Assessment and Service Agreement); NYS DEC (transfer of SPDES Permit)			YES <input checked="" type="checkbox"/>
3.a. Total acreage of the site of the proposed action?		120 acres	
b. Total acreage to be physically disturbed?		0 acres	
c. Total acreage (project site and any contiguous properties) owned or controlled by the applicant or project sponsor?		0 acres	
4. Check all land uses that occur on, adjoining and near the proposed action. <input type="checkbox"/> Urban <input type="checkbox"/> Rural (non-agriculture) <input type="checkbox"/> Industrial <input type="checkbox"/> Commercial <input checked="" type="checkbox"/> Residential (suburban) <input type="checkbox"/> Forest <input type="checkbox"/> Agriculture <input type="checkbox"/> Aquatic <input type="checkbox"/> Other (specify): _____ <input type="checkbox"/> Parkland			



<p>18. Does the proposed action include construction or other activities that result in the impoundment of water or other liquids (e.g. retention pond, waste lagoon, dam)?</p> <p>If Yes, explain purpose and size: _____</p> <p>_____</p> <p>_____</p>	<p><b>NO</b></p> <p><input checked="" type="checkbox"/></p>	<p><b>YES</b></p> <p><input type="checkbox"/></p>
<p>19. Has the site of the proposed action or an adjoining property been the location of an active or closed solid waste management facility?</p> <p>If Yes, describe: _____</p> <p>_____</p> <p>_____</p>	<p><b>NO</b></p> <p><input checked="" type="checkbox"/></p>	<p><b>YES</b></p> <p><input type="checkbox"/></p>
<p>20. Has the site of the proposed action or an adjoining property been the subject of remediation (ongoing or completed) for hazardous waste?</p> <p>If Yes, describe: _____</p> <p>_____</p> <p>_____</p>	<p><b>NO</b></p> <p><input checked="" type="checkbox"/></p>	<p><b>YES</b></p> <p><input type="checkbox"/></p>
<p><b>I AFFIRM THAT THE INFORMATION PROVIDED ABOVE IS TRUE AND ACCURATE TO THE BEST OF MY KNOWLEDGE</b></p> <p>Applicant/sponsor name: <u>Bridget Barclay, Executive Director</u> Date: <u>March 13, 2015</u></p> <p>Signature: _____</p>		

**Disclaimer:** The EAF Mapper is a screening tool intended to assist project sponsors and reviewing agencies in preparing an environmental assessment form (EAF). Not all questions asked in the EAF are answered by the EAF Mapper. Additional information on any EAF question can be obtained by consulting the EAF Workbooks. Although the EAF Mapper provides the most up-to-date digital data available to DEC, you may also need to contact local or other data sources in order to obtain data not provided by the Mapper. Digital data is not a substitute for agency determinations.

Sources: Esri, HERE, DeLorme, USGS, Intermap, increment P Corp., NRCAN, Esri Japan, METI, Esri China (Hong Kong), Esri (Thailand), TomTom, MapmyIndia, © OpenStreetMap contributors, and the GIS User Community

Part 1 / Question 7 [Critical Environmental Area]	No
Part 1 / Question 12a [National Register of Historic Places]	No
Part 1 / Question 12b [Archeological Sites]	No
Part 1 / Question 13a [Wetlands or Other Regulated Waterbodies]	Yes - Digital mapping information on local and federal wetlands and waterbodies is known to be incomplete. Refer to EAF Workbook.
Part 1 / Question 15 [Threatened or Endangered Animal]	Yes
Part 1 / Question 16 [100 Year Flood Plain]	No
Part 1 / Question 20 [Remediation Site]	No

**Agency Use Only [If applicable]**

Project: Acquisition of Greenfields Sewer System  
 Date: March 13, 2015

**Short Environmental Assessment Form  
 Part 2 - Impact Assessment**

**Part 2 is to be completed by the Lead Agency.**

Answer all of the following questions in Part 2 using the information contained in Part 1 and other materials submitted by the project sponsor or otherwise available to the reviewer. When answering the questions the reviewer should be guided by the concept "Have my responses been reasonable considering the scale and context of the proposed action?"

	No, or small impact may occur	Moderate to large impact may occur
1. Will the proposed action create a material conflict with an adopted land use plan or zoning regulations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
2. Will the proposed action result in a change in the use or intensity of use of land?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
3. Will the proposed action impair the character or quality of the existing community?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
4. Will the proposed action have an impact on the environmental characteristics that caused the establishment of a Critical Environmental Area (CEA)?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
5. Will the proposed action result in an adverse change in the existing level of traffic or affect existing infrastructure for mass transit, biking or walkway?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
6. Will the proposed action cause an increase in the use of energy and it fails to incorporate reasonably available energy conservation or renewable energy opportunities?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
7. Will the proposed action impact existing:		
a. public / private water supplies?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
b. public / private wastewater treatment utilities?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
8. Will the proposed action impair the character or quality of important historic, archaeological, architectural or aesthetic resources?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
9. Will the proposed action result in an adverse change to natural resources (e.g., wetlands, waterbodies, groundwater, air quality, flora and fauna)?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
10. Will the proposed action result in an increase in the potential for erosion, flooding or drainage problems?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
11. Will the proposed action create a hazard to environmental resources or human health?	<input checked="" type="checkbox"/>	<input type="checkbox"/>

**PRINT FORM**

Agency Use Only [If applicable]

Project: Acquisition of Greenfields Sewer System  
Date: March 13, 2015

### Short Environmental Assessment Form Part 3 Determination of Significance

For every question in Part 2 that was answered "moderate to large impact may occur", or if there is a need to explain why a particular element of the proposed action may or will not result in a significant adverse environmental impact, please complete Part 3. Part 3 should, in sufficient detail, identify the impact, including any measures or design elements that have been included by the project sponsor to avoid or reduce impacts. Part 3 should also explain how the lead agency determined that the impact may or will not be significant. Each potential impact should be assessed considering its setting, probability of occurring, duration, irreversibility, geographic scope and magnitude. Also consider the potential for short-term, long-term and cumulative impacts.

The project involves a change in ownership of the sewer system and the establishment of a Part County Sewer District with two Zones of Assessment. Whereas this is a transfer only of the infrastructure and does not involve a change in the service area or infrastructure there are no negative impacts associated with this action.

<input type="checkbox"/>	Check this box if you have determined, based on the information and analysis above, and any supporting documentation, that the proposed action may result in one or more potentially large or significant adverse impacts and an environmental impact statement is required.
<input checked="" type="checkbox"/>	Check this box if you have determined, based on the information and analysis above, and any supporting documentation, that the proposed action will not result in any significant adverse environmental impacts.
Dutchess County Water & Wastewater Authority	March 13, 2015
Name of Lead Agency	Date
Bridget Barclay	Executive Director
Print or Type Name of Responsible Officer in Lead Agency	Title of Responsible Officer
Signature of Responsible Officer in Lead Agency	Signature of Preparer (if different from Responsible Officer)

PRINT FORM

## Short Environmental Assessment Form

### Part 1 - Project Information

#### Instructions for Completing

**Part 1 - Project Information.** The applicant or project sponsor is responsible for the completion of Part 1. Responses become part of the application for approval or funding, are subject to public review, and may be subject to further verification. Complete Part 1 based on information currently available. If additional research or investigation would be needed to fully respond to any item, please answer as thoroughly as possible based on current information.

Complete all items in Part 1. You may also provide any additional information which you believe will be needed by or useful to the lead agency; attach additional pages as necessary to supplement any item.

<b>Part 1 - Project and Sponsor Information</b>			
Name of Action or Project: Acquisition of Quaker Hills Water System - NY1302797			
Project Location (describe, and attach a location map): The Quaker Hills Water System is located along the southwest portion of the Town of Hyde Park along Creme Street. See attached map.			
Brief Description of Proposed Action: 1) Acquisition of land, easements, facilities and all other assets of the Quaker Hills Water System from the T. of Hyde Park; 2) Creation of Zone of Assessment "U" in the County Water District; 3) Amendment of the Service Agreement between DCWWA and Dutchess County; 4) Provision of water service to all properties within Zone of Assessment "U".			
Name of Applicant or Sponsor: Dutchess County Water and Wastewater Authority (DCWWA)		Telephone: 845-486-3601 E-Mail: dcwwa@dutchessny.gov	
Address: 27 High Street			
City/PO: Poughkeepsie		State: NY	Zip Code: 12601
1. Does the proposed action only involve the legislative adoption of a plan, local law, ordinance, administrative rule, or regulation? If Yes, attach a narrative description of the intent of the proposed action and the environmental resources that may be affected in the municipality and proceed to Part 2. If no, continue to question 2.			NO <input type="checkbox"/>
2. Does the proposed action require a permit, approval or funding from any other governmental Agency? If Yes, list agency(s) name and permit or approval: Dutchess County (Zone of Assessment and Service Agreement); NYS DEC (transfer of WSP); DCDOH (PWS Permit)			YES <input checked="" type="checkbox"/>
3.a. Total acreage of the site of the proposed action?		100 acres	
b. Total acreage to be physically disturbed?		0 acres	
c. Total acreage (project site and any contiguous properties) owned or controlled by the applicant or project sponsor?		0 acres	
4. Check all land uses that occur on, adjoining and near the proposed action. <input type="checkbox"/> Urban <input type="checkbox"/> Rural (non-agriculture) <input type="checkbox"/> Industrial <input type="checkbox"/> Commercial <input checked="" type="checkbox"/> Residential (suburban) <input type="checkbox"/> Forest <input type="checkbox"/> Agriculture <input type="checkbox"/> Aquatic <input type="checkbox"/> Other (specify): _____ <input type="checkbox"/> Parkland			



<p>18. Does the proposed action include construction or other activities that result in the impoundment of water or other liquids (e.g. retention pond, waste lagoon, dam)?</p> <p>If Yes, explain purpose and size: _____</p> <p>_____</p> <p>_____</p>	<p>NO</p> <p><input checked="" type="checkbox"/></p>	<p>YES</p> <p><input type="checkbox"/></p>
<p>19. Has the site of the proposed action or an adjoining property been the location of an active or closed solid waste management facility?</p> <p>If Yes, describe: _____</p> <p>_____</p> <p>_____</p>	<p>NO</p> <p><input checked="" type="checkbox"/></p>	<p>YES</p> <p><input type="checkbox"/></p>
<p>20. Has the site of the proposed action or an adjoining property been the subject of remediation (ongoing or completed) for hazardous waste?</p> <p>If Yes, describe: _____</p> <p>_____</p> <p>_____</p>	<p>NO</p> <p><input checked="" type="checkbox"/></p>	<p>YES</p> <p><input type="checkbox"/></p>

**I AFFIRM THAT THE INFORMATION PROVIDED ABOVE IS TRUE AND ACCURATE TO THE BEST OF MY KNOWLEDGE**

Applicant/sponsor name: Bridget Barclay, Executive Director Date: March 13, 2015

Signature: \_\_\_\_\_

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Sources: Esri, HERE, DeLorme, USGS, Intermap, increment P Corp., NRCAN, Esri Japan, METI, Esri China (Hong Kong), Esri (Thailand), TomTom, MapmyIndia, © OpenStreetMap contributors, and the GIS User Community

Part 1 / Question 7 [Critical Environmental Area]	No
Part 1 / Question 12a [National Register of Historic Places]	No
Part 1 / Question 12b [Archeological Sites]	No
Part 1 / Question 13a [Wetlands or Other Regulated Waterbodies]	Yes - Digital mapping information on local and federal wetlands and waterbodies is known to be incomplete. Refer to EAF Workbook.
Part 1 / Question 15 [Threatened or Endangered Animal]	Yes
Part 1 / Question 16 [100 Year Flood Plain]	No
Part 1 / Question 20 [Remediation Site]	No

**Agency Use Only [If applicable]**

Project: Acquisition of Quaker Hills Water System  
 Date: March 13, 2015

**Short Environmental Assessment Form  
 Part 2 - Impact Assessment**

**Part 2 is to be completed by the Lead Agency.**

Answer all of the following questions in Part 2 using the information contained in Part 1 and other materials submitted by the project sponsor or otherwise available to the reviewer. When answering the questions the reviewer should be guided by the concept "Have my responses been reasonable considering the scale and context of the proposed action?"

	No, or small impact may occur	Moderate to large impact may occur
1. Will the proposed action create a material conflict with an adopted land use plan or zoning regulations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
2. Will the proposed action result in a change in the use or intensity of use of land?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
3. Will the proposed action impair the character or quality of the existing community?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
4. Will the proposed action have an impact on the environmental characteristics that caused the establishment of a Critical Environmental Area (CEA)?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
5. Will the proposed action result in an adverse change in the existing level of traffic or affect existing infrastructure for mass transit, biking or walkway?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
6. Will the proposed action cause an increase in the use of energy and it fails to incorporate reasonably available energy conservation or renewable energy opportunities?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
7. Will the proposed action impact existing:		
a. public / private water supplies?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
b. public / private wastewater treatment utilities?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
8. Will the proposed action impair the character or quality of important historic, archaeological, architectural or aesthetic resources?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
9. Will the proposed action result in an adverse change to natural resources (e.g., wetlands, waterbodies, groundwater, air quality, flora and fauna)?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
10. Will the proposed action result in an increase in the potential for erosion, flooding or drainage problems?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
11. Will the proposed action create a hazard to environmental resources or human health?	<input checked="" type="checkbox"/>	<input type="checkbox"/>

**PRINT FORM**

Agency Use Only [If applicable]

Project: Acquisition of Quaker Hills Water System  
Date: March 13, 2015

### Short Environmental Assessment Form Part 3 Determination of Significance

For every question in Part 2 that was answered "moderate to large impact may occur", or if there is a need to explain why a particular element of the proposed action may or will not result in a significant adverse environmental impact, please complete Part 3. Part 3 should, in sufficient detail, identify the impact, including any measures or design elements that have been included by the project sponsor to avoid or reduce impacts. Part 3 should also explain how the lead agency determined that the impact may or will not be significant. Each potential impact should be assessed considering its setting, probability of occurring, duration, irreversibility, geographic scope and magnitude. Also consider the potential for short-term, long-term and cumulative impacts.

The project involves a change in ownership of the water system and the establishment of a County Water District Zone of Assessment. The project involves no physical change to the facilities, and no physical change to the service area for the water system.

<input type="checkbox"/>	Check this box if you have determined, based on the information and analysis above, and any supporting documentation, that the proposed action may result in one or more potentially large or significant adverse impacts and an environmental impact statement is required.
<input checked="" type="checkbox"/>	Check this box if you have determined, based on the information and analysis above, and any supporting documentation, that the proposed action will not result in any significant adverse environmental impacts.
Dutchess County Water & Wastewater Authority	March 13, 2015
Name of Lead Agency	Date
Bridget Barclay	Executive Director
Print or Type Name of Responsible Officer in Lead Agency	Title of Responsible Officer
Signature of Responsible Officer in Lead Agency	Signature of Preparer (if different from Responsible Officer)

**PRINT FORM**

DUTCHESS COUNTY WATER AND WASTEWATER AUTHORITY

to

THE BANK OF NEW YORK MELLON

as Trustee

SIXTEENTH SUPPLEMENTAL RESOLUTION

Dated as of April 1, 2015

Authorizing

\$1,660,500

DUTCHESS COUNTY WATER AND WASTEWATER AUTHORITY

WATER SERVICE AGREEMENT REVENUE NOTES, SERIES 2015

(STAATSBURG WATER SYSTEM)

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Exhibit A Form of Note

## SIXTEENTH SUPPLEMENTAL RESOLUTION

THIS SIXTEENTH SUPPLEMENTAL RESOLUTION dated as of April 1, 2015 by and between the Dutchess County Water and Wastewater Authority, a public benefit corporation of the State of New York (the “Authority”), and The Bank of New York Mellon, a banking corporation organized and duly existing under the laws of New York, having its principal office and place of business at 101 Barclay Street, Suite 7W, New York, New York 10286 (the “Trustee”), under and supplemental to that certain 1998 General Revenue Bond Resolution dated as of June 1, 1998 by and between the Authority and the Trustee (the “General Resolution”).

### WITNESSETH:

WHEREAS, the Authority is a body corporate and politic, constituting a public benefit corporation of the State of New York, created under the Act; and

WHEREAS, the Authority and the Trustee have entered into the General Resolution, to which this Sixteenth Supplemental Resolution is supplemental; and

WHEREAS, the Authority has resolved to issue its Water Service Agreement Revenue Notes, Series 2015 (Staatsburg Water System) in the aggregate principal amount of \$1,660,500 (the “2015 Notes”); and

WHEREAS, the execution and delivery of the 2015 Notes and of this Sixteenth Supplemental Resolution have been duly authorized and all things necessary to make the 2015 Notes, when executed by the Authority and authenticated by the Trustee, valid and binding legal obligations of the Authority and to make this Sixteenth Supplemental Resolution a valid and binding agreement have been done;

NOW, THEREFORE, THIS SIXTEENTH SUPPLEMENTAL RESOLUTION WITNESSETH, that the Authority and the Trustee for good and valuable consideration, receipt and sufficiency of which they each hereby acknowledge, do hereby agree as follows:

## ARTICLE I

### DEFINITIONS

Terms not defined herein shall have the meanings ascribed to them in the Indenture. The following terms shall have the meanings provided below when used in this Sixteenth Supplemental Resolution.

“Authorized Denominations” means, with respect to the 2015 Notes, a minimum denomination of \$5,000 and integral multiples thereof.

“Closing Date” means that date on which the 2015 Notes are first authenticated and delivered pursuant hereto.

“Electronic Means” shall mean the following communications methods: S.W.I.F.T., e-mail, facsimile transmission, secure electronic transmission containing applicable authorization codes, passwords and/or authentication keys issued by the Trustee, or another method or system specified by the Trustee as available for use in connection with its services hereunder.

“Interest Payment Date” shall have the meaning set forth in Section 2.02(b) hereof.

“Maturity” means the date on which the principal of such 2015 Notes becomes due and payable as therein or herein provided, whether at the final maturity date for the 2015 Notes as set forth in Section 2.02 hereof, or declaration of acceleration, call for redemption or otherwise.

“Note Register System Conversion Date” shall mean the date for conversion of the ownership of 2015 Notes to the Note Register System specified in accordance with Section 2.05 hereof.

“Paying Agent” means the paying agent or paying agents appointed by the Authority under this Sixteenth Supplemental Resolution, and shall initially mean the Trustee.

“Record Date” means the fifteenth day of the calendar month, whether or not a Business Day, immediately preceding the Interest Payment Date.

“2014 Notes” means the notes issued by the Authority on May 21, 2014 pursuant to a certain Fifteenth Supplemental Resolution.

“2015 Notes” means the notes authenticated and delivered under this Sixteenth Supplemental Resolution, in substantially the form attached hereto as Exhibit A.

The words “hereof,” “herein,” “hereto,” “hereby” and “hereunder” (except in the form of 2015 Notes) refer to the entire Resolution.

## ARTICLE II

### THE 2015 NOTES

#### Section 2.01. Purpose, Amount, Date, Terms and Issuance of the 2015 Notes.

(a) The 2015 Notes are hereby authorized to be issued under the General Resolution and this Sixteenth Supplemental Resolution. The purpose of the 2015 Notes will be to provide the Authority with funds to redeem the outstanding 2014 Notes issued to pay costs of the design and construction of capital improvements to the Staatsburg Water System and to pay costs of issuance. The 2015 Notes shall be issued in the aggregate principal amount of \$1,660,500, and shall contain substantially the terms recited in the form of the 2015 Notes attached hereto as Exhibit A. The 2015 Notes shall be dated May 20, 2015.

(b) The Authority shall cause a copy of the text of the opinion of Bond Counsel delivered in connection with the original issuance of the 2015 Notes to be delivered to the Trustee.

(c) Pursuant to recommendations promulgated by the Committee on Uniform Security Identification Procedures, "CUSIP" numbers may be printed or typed on the 2015 Notes. The 2015 Notes may bear such endorsement or legend satisfactory to the Trustee as may be required to conform to usage or law with respect thereto.

(d) The Authority shall issue the 2015 Notes upon the execution of this Sixteenth Supplemental Resolution. Each of the 2015 Notes shall be issued in fully registered form, without coupons, in the name of the purchaser or Cede & Co., as nominee for the Depository Trust Company of New York, which shall act as Depository for the 2015 Notes if issued in book-entry form. The 2015 Notes shall be available to investors in book-entry form only until the Note Register System Conversion Date.

(e) The 2015 Notes shall be in denominations and bear interest at the rate as provided in Section 2.02 hereof and shall have the provisions with respect to redemption as provided in Section 2.03 hereof.

#### Section 2.02. Designation, Maturity, Denomination and Interest Rate of the 2015 Notes.

(a) The 2015 Notes, as initially issued: (i) shall each be designated "Dutchess County Water and Wastewater Authority Water Service Agreement Revenue Note, Series 2015 (Staatsburg Water System)"; (ii) shall be issued as fully registered 2015 Notes without coupons in Authorized Denominations; and (iii) shall be issued as otherwise provided in Section 2.02(c) hereof;

(b) Interest on the 2015 Notes will be payable on May 20, 2016 (the "Interest Payment Date"). Interest on the 2015 Notes shall be calculated on the basis of a 360 day year and 30 day months. The 2015 Notes shall be dated and will bear interest from and including the Closing Date.

(c) The 2015 Notes shall bear interest at the rate of \_\_\_\_\_ per centum (\_\_\_\_\_% ) per annum and shall mature on May 20, 2016.

Section 2.03. Redemption of the 2015 Notes.

(a) The 2015 Notes are subject to redemption prior to maturity at the direction of the Authority, as a whole at any time on or after November 20, 2015, at a redemption price equal to 100% of the principal amount thereof, without premium, together with accrued interest to the date of redemption.

If the 2015 Notes are to be redeemed prior to maturity, the Authority shall deliver to the Trustee not less than twenty (20) days prior to the redemption date written notice of the intended redemption and the date thereof.

(b) In the event the 2015 Notes are to be redeemed, the Trustee shall cause notice to be given to the Holder of the 2015 Notes to be so redeemed not less than ten (10) days prior to the redemption date.

Section 2.04. Disposition of Proceeds of 2015 Notes. Upon receipt of the proceeds from the sale of the 2015 Notes, the Trustee shall deposit \$1,660,500 into the Redemption Account to pay the 2014 Notes at maturity.

ARTICLE III

MISCELLANEOUS

Section 3.01. No Rights Conferred on Others. Nothing herein contained shall confer any right upon any person other than the parties hereto and the Owners of the 2015 Notes.

Section 3.02. Illegal, etc. Provisions Disregarded. In case any provision in this Sixteenth Supplemental Resolution or the 2015 Notes shall for any reason be held invalid, illegal or unenforceable in any respect, this Sixteenth Supplemental Resolution or the 2015 Notes, as the case may be, shall be construed as if such provision had never been contained herein or therein.

Section 3.03. Notices. Except as otherwise provided herein, any notice, request, complaint, demand, communication or other paper shall be sufficiently given and shall be deemed given when delivered by Electronic Means, or mailed by first class mail, postage prepaid, or sent by telegram or telex, addressed to the parties as follows:

Authority: Dutchess County Water and Wastewater Authority  
27 High Street  
Poughkeepsie, New York 12601  
Attention: Executive Director

Trustee: The Bank of New York Mellon  
101 Barclay Street, Floor 7W  
New York, New York 10286  
Attention: Deborah Todak

The above parties may, by notice given hereunder, designate any further or different addresses to which subsequent notices, certificates or other communications shall be sent.

Section 3.04. Successors and Assigns. All the covenants, promises and agreements in this Sixteenth Supplemental Resolution contained by or on behalf of the Authority, or by or on behalf of the Trustee, shall bind and inure to the benefit of their respective successors and assigns, whether so expressed or not.

Section 3.05. Headings for Convenience Only. The descriptive headings in this Sixteenth Supplemental Resolution are inserted for convenience only and shall not control or affect the meaning or construction of any of the provisions hereof.

Section 3.06. Counterparts. This Sixteenth Supplemental Resolution may be executed in any number of counterparts, each of which when so executed and delivered shall be an original; but such counterparts shall together constitute but one and the same instrument.

Section 3.07. Applicable Law. This Sixteenth Supplemental Resolution shall be governed by and construed in accordance with the laws of the State of New York.

Designation of Paying Agent for 2015 Notes. The Trustee is hereby designated as Paying Agent for the 2015 Notes.

IN WITNESS WHEREOF, DUTCHESS COUNTY WATER AND WASTEWATER AUTHORITY has caused this Sixteenth Supplemental Resolution to be executed by its Chairman and its corporate seal to be hereunto affixed, attested by its Secretary, and The Bank of New York Mellon has caused this Sixteenth Supplemental Resolution to be executed by one of its authorized officers, all as of the day and year first above written.

(AUTHORITY SEAL)

DUTCHESS COUNTY WATER AND  
WASTEWATER AUTHORITY

Attest: \_\_\_\_\_

By: \_\_\_\_\_

Secretary

Chairman

THE BANK OF NEW YORK MELLON,  
as Trustee

By: \_\_\_\_\_

Vice President

STATE OF NEW YORK        )  
  ): ss.:  
COUNTY OF DUTCHESS    )

On this \_\_\_\_\_ day of May, 2015, personally appeared before me \_\_\_\_\_, personally known to me and known by me to be the person who executed the foregoing instrument in the name and on behalf of the Dutchess County Water and Wastewater Authority, who, being by me duly sworn, did depose and say that he is the Chairman of said Authority, that the said Authority is the body corporate and politic described in and that executed the said instrument, and acknowledged said instrument so executed to be his voluntary act and the voluntary act and deed of said Authority, and stated on oath that said instrument was so signed by him and sealed and attested by \_\_\_\_\_, Secretary of said Authority, and delivered on behalf of said Authority and at its direction, and that the seal affixed to said instrument is the official seal of said Authority.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal on the day and year aforesaid.

\_\_\_\_\_  
Notary Public  
(Notarial Seal)  
My Commission Expires:

STATE OF NEW YORK        )  
  ): ss.:  
COUNTY OF NEW YORK    )

On this \_\_\_\_\_ day of May, 2015, personally appeared before me Joseph M. Lawlor, personally known to me and known by me to be the person who executed the foregoing instrument in the name and on behalf of The Bank of New York, who, being by me duly sworn, did depose and say that he is a Vice President of said Trustee, that the said Trustee is the banking corporation described in and that executed the said instrument, and acknowledged said instrument so executed to be his voluntary act and the voluntary act and deed of said Trustee, and stated on oath that said instrument was so signed by him and delivered on behalf of said Trustee and at its direction.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal on the day and year aforesaid.

\_\_\_\_\_  
Notary Public  
(Notarial Seal)  
My Commission Expires:

NO. R-1

\$1,660,500

UNITED STATES OF AMERICA  
DUTCHESS COUNTY WATER AND WASTEWATER AUTHORITY  
WATER SERVICE AGREEMENT REVENUE NOTE, SERIES 2015  
(STAATSBURG WATER SYSTEM)

<u>MATURITY DATE</u>	<u>INTEREST RATE</u>	<u>DATED DATE</u>
May 20, 2016	%	May 20, 2015

REGISTERED OWNER:

PRINCIPAL SUM: ONE MILLION SIX HUNDRED SIXTY THOUSAND FIVE HUNDRED DOLLARS (\$1,660,500)

The **DUTCHESS COUNTY WATER AND WASTEWATER AUTHORITY**, a body politic and corporate of the State of New York, created under the Dutchess County Water and Wastewater Authority Act, acknowledges itself indebted to, and for value received, hereby promises to pay, solely from the special funds specified in the General Resolution, to the Registered Owner of this 2015 Note the Principal Sum of this 2015 Note stated hereon on the Maturity Date hereof, unless redeemed prior thereto as hereinafter provided, upon the presentation and surrender of this 2015 Note at the principal corporate trust office in New York, New York, of The Bank of New York Mellon, as Trustee and Paying Agent, or at the principal corporate trust office of any successor thereto, and to pay to the Registered Owner hereof at the principal corporate trust office of the Trustee and Paying Agent, or at the principal corporate trust office of any successor thereto, interest on such Principal Sum payable May 20, 2016 or earlier redemption of this 2015 Note at the Interest Rate per annum. Principal of and interest on this 2015 Note are payable in any coin or currency of the United States of America which, on the date of payment hereof, shall be legal tender for the payment of public and private debts.

NEITHER THE STATE OF NEW YORK, DUTCHESS COUNTY, NOR ANY OTHER MUNICIPALITY OR PUBLIC CORPORATION, OTHER THAN THE AUTHORITY, SHALL BE LIABLE ON THIS 2015 NOTE OR ANY OTHER NOTE OF THE AUTHORITY AND THIS 2015 NOTE AND ANY OTHER NOTE OF THE AUTHORITY SHALL NOT BE A DEBT OF THE STATE OF NEW YORK, DUTCHESS COUNTY, OR ANY OTHER MUNICIPALITY OR PUBLIC CORPORATION, OTHER THAN THE AUTHORITY.

REFERENCE IS MADE TO THE FURTHER PROVISIONS SET FORTH ON THE REVERSE HEREOF WHICH PROVISIONS SHALL FOR ALL PURPOSES HAVE THE SAME EFFECT AS IF SET FORTH IN FULL HEREIN.

This 2015 Note shall not be entitled to any benefit under the General Resolution or the Sixteenth Supplemental Resolution or be valid or become obligatory for any purpose until this 2015 Note shall have been registered upon the registration books of the Authority kept by the Trustee for that purpose, which registration shall be evidenced by the execution by the manual signature of a duly authorized signatory of the Trustee of the certificate of authentication hereon.

IN WITNESS WHEREOF, AS PROVIDED BY THE ACT, the Dutchess County Water and Wastewater Authority has caused this 2015 Note to be executed in its name and on its behalf by the manual or facsimile signature of its Chairman and its corporate seal to be affixed hereto or imprinted hereon and attested to by the manual or facsimile signature of its Secretary, all as of the date above written.

(AUTHORITY SEAL)

DUTCHESS COUNTY WATER AND  
WASTEWATER AUTHORITY

ATTEST:

\_\_\_\_\_ By: \_\_\_\_\_

Secretary

Chairman

CERTIFICATE OF AUTHENTICATION

This 2015 Note is issued under the within mentioned General Resolution and Sixteenth Supplemental Resolution.

THE BANK OF NEW YORK MELLON, as  
Trustee

By: \_\_\_\_\_

Authorized Signature

This Note is issued under the General Resolution, dated as of June 1, 1998, between the Authority and the Trustee as modified, amended or supplemented and the Sixteenth Supplemental Resolution thereto, dated as of April 1, 2015 between the Authority and the Trustee in the aggregate principal amount of \$1,660,500. The issuance of this 2015 Note has been authorized by a resolution duly adopted by the Authority pursuant to the laws of the State of New York. All Indebtedness issued and to be issued under the General Resolution are and will be equally secured by the pledges and covenants made therein, except as otherwise expressly provided or permitted in the General Resolution. Copies of the General Resolution and of the Sixteenth Supplemental Resolution are on file at the principal corporate trust office in New York, New York of the Trustee.

This 2015 Note is transferable, as provided in the General Resolution and in the Sixteenth Supplemental Resolution, only upon the registration books of the Authority kept for that purpose at the principal corporate trust office in New York, New York of the Trustee, as Paying Agent, by the Registered Owner hereof in person, or by his attorney duly authorized in writing, upon the surrender of this 2015 Note together with a written instrument of transfer satisfactory to the Trustee duly executed by the Registered Owner or his attorney duly authorized in writing, and thereupon a new registered 2015 Note or Notes, without coupons, and in the same aggregate principal amount, shall be issued to the transferee in exchange therefor in the manner, subject to the conditions and upon the payment of the charges, if any, provided in the General Resolution and in the Sixteenth Supplemental Resolution.

All capitalized terms used herein shall have the meaning ascribed to them in the General Resolution or the Sixteenth Supplemental Resolution, to which reference should be made for a definitive understanding of the terms and provisions of this 2015 Note, the rights of the holders thereof, the circumstances under which the General Resolution and Sixteenth Supplemental Resolution may be amended, the rights and obligations of the Trustee and various other related matters.

### **OPTIONAL REDEMPTION**

The 2015 Notes will be subject to redemption prior to maturity at the option of the Authority on and after November 20, 2015 at the redemption price of 100% of the par thereof plus interest accrued to the date fixed for redemption.

### **NOTICE OF REDEMPTION**

Notice of any redemption shall be given to the Trustee not less than twenty (20) days prior to any redemption date for the 2015 Notes. When Notes are called for redemption, the Trustee shall cause a notice to be given in accordance with the General Resolution, including by causing a notice to be deposited in the United States mail first class, postage prepaid, not less than ten (10) days prior to the redemption date addressed to the Registered Owners of the Notes called for redemption, at the addresses appearing in the records kept by the Trustee. Any such notice of redemption will identify the 2015 Notes to be redeemed by certificate number and CUSIP number, will specify the

redemption date and the redemption price, and will state that on the redemption date the 2015 Notes called for redemption will be payable at the principal corporate trust office of the Trustee. All notes duly called for redemption will cease to bear interest on the specified redemption date.

It is hereby certified that all conditions, acts and things required to exist, happen and be performed under the Act, the General Resolution and the Sixteenth Supplemental Resolution precedent to or in the issuance of this 2015 Note, exist, have happened and have been performed, and that the issuance, authentication and delivery of this 2015 Note, has been duly authorized by resolution of the Authority duly adopted.

No recourse shall be had for the payment of the principal of or interest on this 2015 Note or for any claim based thereon or upon any obligation, covenant or agreement contained in the General Resolution or the Sixteenth Supplemental Resolution, against any past, present or future officer, member or director of the Authority, or any incorporator, member, officer, director or trustee of any successor corporation, as such, either directly or through the Authority or any successor corporation, under any rule of law or equity, statute or constitution or by the enforcement of any assessment or penalty or otherwise, and all such liability of any such incorporator, member, officer, director or trustee as such is hereby expressly waived and released as a condition of and consideration for the execution of the General Resolution and the Sixteenth Supplemental Resolution and the issuance of this 2015 Note.

## ASSIGNMENT

**FOR VALUE RECEIVED**, the undersigned hereby sells, assigns and transfers unto:

---

(PLEASE PRINT OR TYPEWRITE NAME, SOCIAL SECURITY NUMBER AND ADDRESS OF TRANSFEREE)

the within 2015 Note and all rights thereunder, and hereby irrevocably constitutes and appoints

---

(ATTORNEY)

to transfer the within 2015 Note on the books kept for registration thereof, with full power of substitution in the premises.

Dated:

Signature Guaranteed:

**Governance Information (Authority-Related)**

Question	Response	URL (if applicable)
1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	<a href="http://www.DCWWA.org">http://www.DCWWA.org</a>
2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	<a href="http://www.DCWWA.org">http://www.DCWWA.org</a>
3. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	Yes	N/A
4. Does the independent auditor provide non-audit services to the Authority?	Yes	N/A
5. Does the Authority have an organization chart?	Yes	<a href="http://www.DCWWA.org">http://www.DCWWA.org</a>
6. Are any Authority staff also employed by another government agency?	No	
7. Has the Authority posted their mission statement to their website?	Yes	<a href="http://www.DCWWA.org">http://www.DCWWA.org</a>
8. Has the Authority's mission statement been revised and adopted during the reporting period?	No	N/A
9. Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		<a href="http://www.DCWWA.org">http://www.DCWWA.org</a>

**Governance Information (Board-Related)**

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL?	Yes	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		<a href="http://www.DCWWA.org">http://www.DCWWA.org</a>
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		<a href="http://www.DCWWA.org">http://www.DCWWA.org</a>
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	<a href="http://www.DCWWA.org">http://www.DCWWA.org</a>
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	<a href="http://www.DCWWA.org">http://www.DCWWA.org</a>
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	Yes	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	Yes	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	Yes	N/A
14. Was a performance evaluation of the board completed?	Yes	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	No	N/A
16. Has the board adopted a conditional/additional compensation policy governing all employees?	Yes	<a href="http://www.DCWWA.org">http://www.DCWWA.org</a>

## **DUTCHESS COUNTY WATER AND WASTEWATER AUTHORITY 2014 OPERATIONS AND ACCOMPLISHMENTS**

### **AUTHORITY SYSTEM OPERATIONS**

In 2014 the DCWWA continued its successful management and operation of fourteen water and sewer systems, providing services to over 4,160 customers. With the exception of the Shore Haven Water System, all systems were in compliance with all relevant federal, state and local regulations. All systems but one closed out 2014 within the adopted system budget parameters. Below are the system operational highlights from 2014:

- As a result of the installation of a chloramination disinfection system for the Shore Haven Water System as an interim means of controlling Disinfection By-Products levels the water system has remained in compliance with DBP drinking water standards.
- Completed construction of the \$0.3 million Valley Dale Sewer Collection System Improvement Project to reduce inflow and infiltration into the sewer system.
- Successfully instituted a source water blending strategy at the Schreiber Water System that reduced iron levels in the system to below the drinking water standard.
- Installed a storage structure at the Schreiber Water System for the housing of a portable emergency generator and deployed the generator.
- A phosphate based corrosion inhibitor feed system was installed and put into service at the Birch Hill Water System to control lead and copper levels at the point of use.

### **MAJOR CAPITAL PROJECTS**

In 2014 the DCWWA continued the process of annually reviewing and updating a Five year Capital Plan. The 2014-2018 Capital Plan included \$11.1 million in funded capital project work. Below are the capital project highlights for 2014:

- Completed the design and permitting of an extensive rehabilitation of the Valley Dale wastewater treatment plant to replace aging infrastructure and improve plant efficiency. Construction will commence in 2015.
- Completed the design and permitting of an extensive rehabilitation of the Chelsea Cove wastewater treatment plant to replace aging infrastructure and improve plant efficiency. Construction will commence in 2015.
- Received award of reduced-interest financing from the NYS Clean Water State Revolving Fund program for capital improvements for the Valley Dale and Chelsea Cove sewer systems. At the same time, outstanding bonds from 2007 were refinanced, achieving an 8% reduction in debt service expenses for Valley Dale and a 13% decrease for Chelsea Cove.

- Completed the design and permitting of a pipe replacement project for the Staatsburg Water System to replace aging pipe, known to be leaking, beneath NYS Route 9 in the Town of Hyde Park. Substantial completion of construction was achieved in December, 2014.
- Design work continues for the Shore Haven Water System Permanent Water Treatment Improvements, Booster Pump Station Installation and Distribution System Improvement Project.

### **NEW SYSTEM ACQUISITIONS AND SYSTEM EXPANSIONS**

- Provided support for Dutchess County Department of Public Works to complete the environmental reviews for, and obtain bonding resolution approval of, a project to extend water service from the DCWWA's Central Dutchess Water Transmission Line to the Dutchess County Airport.
- Utilizing grant funding from the NYS Department of State's Local Government Efficiency Grant Program, assisted the Town of Hyde Park in obtaining engineering consulting services to complete an evaluation of the eight Town-owned water and sewer districts. Reorganization Study Reports, to assess the potential transfer of ownership of the water and sewer systems to the Authority were completed in 2014.
- Continued to assist the Town and Village of Red Hook in improving coordination between the two water systems, including assessment of options to provide water service to a proposed 70 unit residential development on the Hoffman Farm property in the Town of Red Hook, and began negotiations for Authority ownership of the project's proposed sewer system.
- Continued work with the Red Hook Fairways Homeowner's Association, Inc to transfer ownership of the Fairways Sewer System, in order to provide service to 36 customers in the Town of Red Hook, NY. Dependent on the Homeowner's Association's decision to proceed, will complete acquisition of the Fairways at Red Hook Sewer System.
- In response to a request from the Village of Tivoli, began the process of evaluating the potential transfer to the Authority of the Village's water and sewer systems. Assisted the Village in preparing an application for grant funding through the County Municipal Consolidation and Shared Services Grant program to complete the evaluation.
- In response to a request from the Town of Rhinebeck, began the process of evaluating the potential transfer to the Authority of the Town's Vanderburgh Cove Sewer System.

DUTCHESS COUNTY WATER AND WASTEWATER AUTHORITY  
ASSESSMENT OF INTERNAL CONTROLS  
REPORT FOR FISCAL YEAR 2014

The mission of the Dutchess County Water and Wastewater Authority is to protect and enhance the health, environmental sustainability and economic stability of Dutchess County and its residents through the provision of clean drinking water and proper treatment of wastewater, acting at all times with a commitment to accountability and transparency. In addition to assisting municipalities with their water and sewer needs, the Authority owns and operates eleven water systems (each a zone of assessment in the Dutchess County Water District and 4 of which now comprise the Hyde Park Regional Water System), three wastewater systems, (each its own part-county sewer district) and a 13 mile water transmission line. Operations to fulfill this purpose include planning and administering annual budgets, management of capital project funds, billing and bookkeeping on site by Authority staff.

The DCWWA and Dutchess County, as the governing body of the Water District, have entered into a Service Agreement, which has now been amended eleven times (authorized by resolutions of the Legislature) in order to incorporate each new zone of assessment. Parallel Service Agreements have been executed by the DCWWA and Dutchess County for each of the part-county sewer districts.

The key provisions of these Service Agreements are as follows:

- The DCWWA agrees to provide service to properties within the County districts through water and sewer infrastructure that it owns and operates.
- The County agrees to “accept and pay for” water or sewer service provided to such properties, in the form of a Service Fee. The amount of the Service Fee is equal to the aggregate amount of all debt service expenses for all DCWWA bonds, the debt service on which Dutchess County has agreed, by resolution of the County Legislature, to include in the Service Fee. The Service Fee also includes the aggregate amount of Operating Expenses incurred by DCWWA water or sewer systems providing service to the districts, minus the amount collected by the DCWWA through water or sewer rates.
- The DCWWA agrees to establish water and sewer rates sufficient to cover system Operating Expenses.
- Dutchess County agrees to generate revenues sufficient to pay the Service Fee by levying benefit assessments against properties within the various sewer districts and water district zones of assessment.

To date, the DCWWA has never closed out a budget year in a deficit situation in any water or sewer system. “Operation Expense” charges paid by Dutchess County to the DCWWA as part of the Service Fee have been comprised solely of the collection of unpaid charges through a relevy process, whereby any unpaid water rents or sewer charges as of November of the fiscal year are placed on the following year’s property taxes for collection.

In preparation for each fiscal year, the staff prepares budgets and presents these to the Authority Board for review over the course of three separate board meetings prior to adoption, providing opportunity for both Board and public comment. Budgets are prepared taking into consideration

DUTCHESS COUNTY WATER AND WASTEWATER AUTHORITY  
ASSESSMENT OF INTERNAL CONTROLS  
REPORT FOR FISCAL YEAR 2014

the age and condition of the system, customer count, prior years' financial performance and individual system fund balance. Board members review capital improvements that are being considered for the next fiscal year in addition to reviewing a 5 Year Capital Plan. These budgets include an operational contingency, and where appropriate, a capital contingency. Based on information provided through this process, water and sewer system user charges are established by the DCWWA Board of Directors.

During the fiscal year, revenues and expenses are monitored on a monthly basis, identifying any need to reevaluate budgeted expenses against actual revenues. DCWWA operators follow all regulatory testing protocol and meet all regulatory requirements for continued operation. All DCWWA systems are covered by an insurance policy which includes coverage for Property, General Liability, Machinery and Equipment, Auto and Directors and Officers Liability.

Annually the Board of Directors reviews and accepts an Accounting Policies and Procedures document which establishes the protocol for handling of Authority funds received and expended during the fiscal year. This document is evaluated for assessment of the effectiveness of the protocol to provide reasonable assurance of the reliability of safeguarding financial resources, insure accuracy, serve our customers and vendors and make the best use of staff resources. This evaluation is based on review of the prior year's operation as the fiscal year's statements are prepared for audit. At the January 15, 2014 board meeting, the Authority Board reviewed and accepted the Accounting Policies and Procedures for 2014 (attached). In reviewing the Accounting Policies and Procedures for 2015, it was determined that the controls established in 2014 were adequate and effective.

A Procurement Policy, governing the manner in which purchase of goods and services is handled, is reviewed and adopted annually by the Authority Board. At the January 15, 2014 board meeting, the Authority Board reviewed and accepted the Procurement Policy (attached).

The Authority Board also annually adopts a Property Disposal Guidelines Policy defining parameters for disposition of Authority assets. This policy was reviewed and accepted at the January 15, 2014 board meeting (attached).

Also attached is the "Report on Internal Control over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance with Government Auditing Standards" submitted to the Authority Board with the 2014 audited Financial Statements by Sedore and Company and dated March 13, 2015.

**Review and Re-adoption of Procurement Policy**

Vince DiMaso offers the following resolution and moves its adoption:

Whereas; The Authority has previously approved a Goods and Services Procurement Policy to assure the prudent and economical use of public monies, facilitate the acquisition of goods and services of maximum quality at the lowest possible cost, and to guard against favoritism, improvidence, extravagance, fraud and corruption; and

Whereas; Section 2879 of Public Authorities Law requires all public authorities to annually review and approve a comprehensive policy regarding the guidelines and instructions governing the procurement of goods and services; and

Whereas; the Authority Board has reviewed and concurs with the recommended revised policy as attached;

Now therefore be it resolved; the Authority Board hereby adopts the attached 2014 Goods and Services Procurement Policy as presented.

Seconded by Rudy Varra

<u>Record of Vote:</u>	<u>Aye</u>	<u>Nay</u>
Thomas LeGrand	✓	—
Vincent DiMaso	✓	—
Ann Marie Donohue	—	— (Absent)
Larry Knapp	✓	—
Rudy Vavra	✓	—

**Review and Re-adoption of Disposal of Property Guidelines**

Vince DiMaso offers the following resolution and moves its adoption:

Whereas; the Authority at times is in the position to dispose of Real and certain Personal property, and

Whereas; the Authority has previously approved property disposal guidelines in order to comply with prudent government practices and the NYS Public Authorities Accountability Act, and

Whereas the NYS Public Authorities Accountability Act recommends that the guidelines be reviewed and readopted annually, and

Whereas; the Authority Board has reviewed those recommended guidelines as attached,

Now therefore be it resolved; the Authority Board hereby adopts the attached Disposal of Property Guidelines.

Seconded by Rudy Vavra

<u>Record of Vote:</u>	<u>Aye</u>	<u>Nay</u>
Thomas LeGrand	✓	—
Vincent DiMaso	✓	—
Ann Marie Donohue	—	— (Absent)
Larry Knapp	✓	—
Rudy Vavra	✓	—

**Review and Re-adoption of Accounting Procedures and Banking Policy**

Vince DiMaso offers the following resolution and moves its adoption:

Whereas; an office Accounting Procedures and Banking Policy meeting the recommendations of the Authority Auditors has been in use by the Authority and recommended by the Staff to the Authority Board for re-adoption with updates and revisions; and

Whereas; the Authority Board has reviewed the office Accounts Payables/Receivables and Banking Policy recommended by the Authority Staff and finds it acceptable;

Now therefore be it resolved; the Authority Board hereby adopts the recommended office Accounting Procedures and Banking Policy.

Seconded by Larry Knapp

<u>Record of Vote:</u>	<u>Aye</u>	<u>Nay</u>
Thomas LeGrand	<u>✓</u>	___
Vincent DiMaso	<u>✓</u>	___
Ann Marie Donohue	___	___ (Absent)
Larry Knapp	<u>✓</u>	___
Rudy Vavra	<u>✓</u>	___

**INDEPENDENT AUDITORS' REPORT ON INTERNAL CONTROL OVER  
FINANCIAL REPORTING AND ON COMPLIANCE AND OTHER MATTERS  
BASED ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED  
IN ACCORDANCE WITH GOVERNMENT AUDITING STANDARDS**

Members of the Board of Directors  
Dutchess County Water & Wastewater Authority  
27 High Street  
Poughkeepsie, New York 12601

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of the business-type activities of Dutchess County Water & Wastewater Authority, a component unit of Dutchess County, New York, as of and for the years ended December 31, 2014 and 2013, and the related notes to the financial statements, which collectively comprise the Dutchess County Water & Wastewater Authority, a component unit of Dutchess County, New York's basic financial statements, and have issued our report thereon dated March 13, 2015.

**Internal Control Over Financial Reporting**

In planning and performing our audit of the financial statements, we considered Dutchess County Water & Wastewater Authority's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinions on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of Dutchess County Water & Wastewater Authority's internal control. Accordingly, we do not express an opinion on the effectiveness of Dutchess County Water & Wastewater Authority's internal control.

*A deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. *A material weakness* is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. *A significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or, significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses or significant deficiencies. However, material weaknesses and/or significant deficiencies may exist that have not been identified.

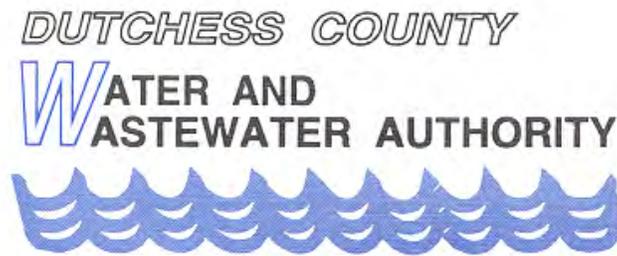
### **Compliance and Other Matters**

As part of obtaining reasonable assurance about whether Dutchess County Water & Wastewater Authority's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

### **Purpose of this Report**

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the Authority's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the Authority's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

Poughkeepsie, New York  
March 13, 2015



March 13, 2015

To: Authority Board  
From: Bridget Barclay, Executive Director  
Re: Report on 2014 Goals, Objectives and Measures of DCWWA

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**2014 AUTHORITY GOALS:**

**1. Produce and distribute safe and reliable potable water service to DCWWA water customers.**

*Objectives:*

- Maintain compliance with applicable NYS Dept. of Environmental Conservation and Dept. of Health regulations.

*Measure:* Complete and timely responses, within the respective timeframe established by the inspecting agency, to notices of violation and/or findings determined pursuant to a regulatory inspection.

**2014 Result:** Seven of the Authority's eleven water systems were inspected by the Dutchess County Dept. of Health in 2014. A finding was issued for the Birch Hill Water System, the resolution of which is contingent on the approval of an additional well. A submittal was made to DCDOH and their response is pending. Five notices of violation were issued for the Shore Haven Water System. One violation was resolved. Resolution of the remaining four violations is dependent upon the installation of the new treatment system.

*Measure:* For each system, produce water that meets the standards for "potable water".

**2014 Result:** All of the Authority's eleven water systems produced water which met the standards for potability. There were no "Do Not Drink the Water" orders in effect for any of the eleven systems.

*Measure:* Monthly Water System Operation Reports filed on time with the Dept. of Health for all systems.

**2014 Result:** A report is required to be filed monthly for each of the Authority's eleven water systems. All 132 monthly Operations reports were filed on-time with the Dutchess County Dept. of Health in 2014.

- Prepare, publish and distribute accurate and timely Annual Water Quality Reports (AWQR) annually for each water system as directed by regulatory agency.

*Measure:* AWQR's for all water systems posted on the DCWWA website by June 1, or by date otherwise specified by the Dept. of Health.

**2014 Result:** The AWQR's for all eleven water systems were posted on the Authority's website by June 1.

*Measure:* AWQR's for all water systems printed and mailed to the respective water system customers by June 1, or by date otherwise specified by the Dept. of Health.

**2014 Result:** AWQR's for all eleven water systems were printed and mailed to Authority customers by June 1.

- Maintain DCWWA-owned facility and pipe infrastructure in good operating condition.

*Measure:* Adherence to established operations and maintenance schedule tailored for each system.

**2014 Result:** Established operations and maintenance schedules were followed at their respective systems. New equipment maintenance schedules were added and updated where appropriate.

## **2. Collect, process and treat wastewater from part-county sewer districts in an environmentally-responsible and lawful manner.**

### Objectives:

- Maintain compliance with applicable NYS Dept. of Environmental Conservation and Dept. of Health regulations.

*Measure:* Monthly Wastewater Facility Operation Reports filed on time with the NYS Dept. of Environmental Conservation for all systems.

**2014 Result:** A report is required to be filed monthly for each of the Authority's three wastewater systems. All 60 monthly Operations reports were filed on-time with the NYS Dept. of Environmental Conservation.

*Measure:* Meet SPDES permit limits and other applicable regulatory standards for each system.

**2014 Result:** All required SPDES monitoring was completed for all three facilities. 98.5% of all results were within permit limits.

*Measure:* Complete and timely responses, within the respective timeframe established by the inspecting agency, to notices of violation and/or findings determined pursuant to a regulatory inspection.

**2014 Result:** Only the Valley Dale Sewer system was inspected by the NYS DEC in 2014. Two deficiencies were noted and were addressed within timeframe established.

- Maintain DCWWA-owned facility and pipe infrastructure in good operating condition.

*Measure:* Adherence to established operations and maintenance schedule tailored for each system.

**2014 Result:** Established operations and maintenance schedules were followed at their respective systems. New equipment maintenance schedules were added and updated where appropriate.

### **3. Deliver quality and responsive customer care and service.**

Objectives:

- Maintain customer satisfaction.

*Measure:* Resolution of written customer billing complaints within next two billing cycles.

**2014 Result:** A total of 11 billing-related complaints were received from all of Authority's water and wastewater systems in 2014. All 11 complaints were resolved within two billing cycles.

*Measure:* Prompt investigation and resolution of service delivery complaints.

**2014 Result:** There were 34 service delivery complaints in 2014. All service delivery complaints were investigated and resolved promptly by Authority staff and/or the respective system contract operator.

### **4. Develop and implement in a cost-effective manner a 5-year capital improvement plan for DCWWA-owned water and wastewater systems.**

Objectives:

- Update a capital project plan annually.

*Measure:* Schedule capital project plan to be completed and submitted to DCWWA Board with annual DCWWA annual operations budget each November.

**2014 Result:** The 2015-2019 updated capital plan was submitted to the Authority Board in November 2014. The Authority Board approved a 2015-2019 capital plan update.

- Complete capital projects at or below budget.

*Measure:* Final individual project budgets that do not exceed respective expenses.

**2014 Result:** All capital projects reaching completion or substantial completion in 2014 were completed within approved budget parameters.

### **5. Maintain sound fiscal management practices and controls in accordance with government accounting and other applicable standards and guidelines.**

Objectives:

- Meet all obligations to bondholders in full compliance with bond resolution and all covenants made therein.

*Measure:* Annual debt service payments made on time and for the proper amounts to the respective Trustees.

**2014 Result:** A total of 23 debt service payments were remitted to the respective trustee on time in accordance with the four payment cycles established for the year.

- Satisfactory completion of annual independent audit with prompt response by DCWWA management to any deficiencies noted therein.

*Measure:* Management responses communicated in a complete and timely manner so as to facilitate the Auditor's ability to deliver the Annual Audit of Year-end Financials draft report to the DCWWA Board prior to March 31.

**2014 Result:** No deficiencies were identified by the Authority's Independent Auditor in the 2013 financial statements, and as a result, no management response letter was required. Subsequently, the 2013 Annual Audit and accompanying Financial Statements were reviewed and adopted by the Authority Board at its March 19, 2014 meeting.

- Manage system budgets effectively.

*Measure:* Endeavor not to exceed the aggregate of budget expenses for each system by more than 5%.

**2014 Result:** Only one of the Authority's water and wastewater systems, the Shore Haven Water System, exceeded its respective aggregate operating budgeted expenses by more than 5% in 2014. This was due to additional engineering consulting expenses incurred for evaluating an operational issue of an existing supply well.

#####

Board of Directors Listing

Name	LeGrand, Thomas	Name	Knapp, Larry
Chair of the Board	Yes	Chair of the Board	No
If yes, Chair Designated by.	Elected by Board	If yes, Chair Designated by.	
Term Start Date	01/01/2004	Term Start Date	02/18/2013
Term Expiration Date	12/31/2008	Term Expiration Date	12/31/2017
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Vavra, Rudy	Name	DiMaso, Vincent J
Chair of the Board	No	Chair of the Board	No
If yes, Chair Designated by.		If yes, Chair Designated by.	
Term Start Date	06/20/2013	Term Start Date	01/01/2007
Term Expiration Date	12/31/2014	Term Expiration Date	12/31/2011
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?	No	Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

<u>Board of Directors Listing</u>	
Name	Donohue, Ann Mare
Chair of the Board	No
If yes, Chair Designated by.	
Term Start Date	10/10/2012
Term Expiration Date	08/26/2014
Title	
Has the Board member appointed a designee?	
Designee Name	
Ex-officio	No
Nominated By	Local
Appointed By	Local
Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No

**Staff Listing**

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Barclay, Bridget	Executive Director	Executive				FT	Yes	96,859.10	96,859.1	0	0	0	2,500	99,359.1	No	
Burger, Jacqueline	Senior Typist	Administrative and Clerical				FT	No	35,067.19	35,067.19	0	0	0	0	35,067.19	No	
Churins, Jonathan	Water and Wastewater Authority Project Facilitator	Technical and Engineering				FT	Yes	68,062.64	68,062.64	0	0	0	0	68,062.64	No	
Corey, Jr., Donald	Water Maintenance Mechanic	Operational				FT	No	55,712.65	55,712.65	2,651.93	0	0	0	58,364.58	No	
Doyle, Robert	Water Maintenance Mechanic	Operational				FT	No	56,326.10	56,326.1	6,566.04	0	0	0	62,892.14	No	
Fadden, Peter	Project Manager (Water and Wastewater)	Technical and Engineering				FT	Yes	114,175.23	114,175.23	0	0	0	0	114,175.23	No	
Gilnack, Gerald	Superintendent of Water District	Managerial				PT	Yes	14,166.75	14,166.75	0	0	0	0	14,166.75	No	
Goettel, Glenn	Water Maintenance Mechanic	Operational				FT	No	53,997.25	53,997.25	7,160.04	0	0	0	61,157.29	No	
Hallenbeck, Karen	Principal Clerk	Administrative and Clerical				FT	No	47,600.92	47,600.92	0	0	0	0	47,600.92	No	

**Staff Listing**

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Heupler, Steven	Water Treatment Plant Operator Grade II	Operational				FT	No	71,597.10	71,597.1	3,975.63	0	0	0	75,572.73	No	
Licis, Michael	Water Distribution System Operator Grade D	Operational				FT	No	45,079.85	45,079.85	3,409.17	0	0	0	48,489.02	No	
Lutz, Daniel	Water Treatment Plant Operator Grade II	Operational				FT	No	54,192.77	54,192.77	3,967.2	0	0	0	58,159.97	No	
McGuire, Lisa	Principal Clerk	Administrative and Clerical				FT	No	45,795.32	45,795.32	0	0	0	0	45,795.32	No	
Mills, Edward	Water and Wastewater Authority Project Facilitator	Technical and Engineering				FT	Yes	68,506.57	68,506.57	0	0	0	0	68,506.57	No	
Morris, Mary	Treasurer	Managerial				FT	Yes	89,185.14	89,185.14	0	0	0	0	89,185.14	No	
O'Neill, Robert	Water Distribution System Operator Grade D	Operational				FT	No	62,795.85	62,795.85	10,489.16	0	0	0	73,285.01	No	
Odell, Douglas	Director of Operations	Managerial				FT	No	71,772.65	71,772.65	0	0	0	0	71,772.65	No	
Rosencrans, Jeff	Wastewater Authority Helper	Operational				FT	No	26,500.61	26,500.61	0	0	0	0	26,500.61	No	

**Staff Listing**

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Underwood, Kenneth	Water Treatment Plant Operator Grade II	Operational				FT	No	62,795.85	62,795.85	16,747.94	0	0	0	79,543.79	No	
Winchester, Richard F	Water Maintenance Mechanic	Operational				FT	No	48,930.87	48,930.87	1,740.85	0	0	0	50,671.72	No	
Zanetti, Fred	Junior Planner	Operational				FT	No	55,724.61	55,724.61	0	0	0	0	55,724.61	No	

**Benefit Information**

During the fiscal year, did the Authority continue to pay for any of the above mentioned benefits for

No

**Board Members**

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allow-ance	Spousal / Dependent Life Insurance	Tuition Assist-ance	Multi-Year Employ-ment	None of These Benefits	Other
LeGrand, Thomas	Board of Directors												X	
Donohue, Ann Mare	Board of Directors												X	
DiMaso, Vincent J	Board of Directors												X	
Knapp, Larry	Board of Directors												X	
Vavra, Rudy	Board of Directors												X	

**Staff**

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allow-ance	Spousal / Dependent Life Insurance	Tuition Assist-ance	Multi-Year Employ-ment	None of These Benefits	Other
Fadden, Peter	Project Manager (Water and Wastewater)												X	

Subsidiary/Component Unit Verification

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? Yes  
 Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this No

Name of Subsidiary/Component Unit	Status	Requested Changes
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Subsidiary/Component Unit Creation

Name of Subsidiary/Component Unit	Establishment Date	Entity Purpose
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Subsidiary/Component Unit Termination

Name of Subsidiary/Component Unit	Termination Date	Termination Reason	Proof of Termination
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No Data has been entered by the Authority for this section in PARIS

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

<u>Assets</u>	
<b>Current Assets</b>	
Cash and cash equivalents	\$2,773,049
Investments	\$2,316,443
Receivables, net	\$1,712,163
Other assets	\$79,638
<b>Total Current Assets</b>	<b>\$6,881,293</b>
<b>Noncurrent Assets</b>	
Restricted cash and investments	\$2,573,628
Long-term receivables, net	\$0
Other assets	\$282,994
<b>Capital Assets</b>	
Land and other nondepreciable property	\$834,808
Buildings and equipment	\$22,262,308
Infrastructure	\$54,271,635
Accumulated depreciation	\$22,969,455
Net Capital Assets	\$54,399,296
<b>Total Noncurrent Assets</b>	<b>\$57,255,918</b>
<b>Total Assets</b>	<b>\$64,137,211</b>

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

**Current Liabilities**

Accounts payable	\$547,755
Pension contribution payable	\$0
Other post-employment benefits	\$0
Accrued liabilities	\$459,299
Deferred revenues	\$25,765,847
Bonds and notes payable	\$4,346,135
Other long-term obligations due within one year	\$0
<b>Total Current Liabilities</b>	<b>\$31,119,036</b>

**Noncurrent Liabilities**

Pension contribution payable	\$0
Other post-employment benefits	\$1,242,623
Bonds and notes payable	\$31,500,643
Long Term Leases	\$0
Other long-term obligations	\$0
<b>Total Noncurrent Liabilities</b>	<b>\$32,743,266</b>

**Total Liabilities**

**\$63,862,302**

Net Asset (Deficit)

**Net Asset**

Invested in capital assets, net of related debt	\$1,714,014
Restricted	\$2,719,817
Unrestricted	(\$4,158,922)
<b>Total Net Assets</b>	<b>\$274,909</b>

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETSOperating Revenues

Charges for services	\$4,996,645
Rental & financing income	\$108,832
Other operating revenues	\$5,750
<b>Total Operating Revenue</b>	<b>\$5,111,227</b>

Operating Expenses

Salaries and wages	\$1,238,244
Other employee benefits	\$785,667
Professional services contracts	\$95,795
Supplies and materials	\$452,813
Depreciation & amortization	\$1,287,217
Other operating expenses	\$2,233,733
<b>Total Operating Expenses</b>	<b>\$6,093,469</b>

Operating Income (Loss) **(\$982,242)**

Nonoperating Revenues

Investment earnings	\$143,642
State subsidies/grants	\$0
Federal subsidies/grants	\$0
Municipal subsidies/grants	\$2,794,831
Public authority subsidies	\$0
Other nonoperating revenues	\$81,333
<b>Total Nonoperating Revenue</b>	<b>\$3,019,806</b>

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETSNonoperating Expenses

Interest and other financing charges	\$1,427,936
Subsidies to other public authorities	\$0
Grants and donations	\$0
Other nonoperating expenses	\$235,995
<b>Total Nonoperating Expenses</b>	<b>\$1,663,931</b>
<b>Income (Loss) Before Contributions</b>	<b>\$373,633</b>
Capital Contributions	\$0
Change in net assets	\$373,633
Net assets (deficit) beginning of year	(\$98,724)
Other net assets changes	\$0
Net assets (deficit) at end of year	\$274,909

**Current Debt**

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	Yes
2. If yes, has the Authority issued any debt during the reporting period?	Yes

**New Debt Issuances List by Type of Debt and Program**

Type Of Debt: Authority Debt - Revenue

Program:

Project	Amounts	CUSIP Number	Bond Closing Date	Taxable Status	Issue Process	True Interest Cost	Interest Type	Term	Cost of Issuance (\$)	PACB Project	URL
Chelsea Cove Sewer System Refunding of 2007 Bonds	Refunding	1,685,000.00	07/02/2014		Negotiated	3.38	Variable	3	17,038.85		
	New	354,533.00									
	Total	2,039,533.00									
Shore Have Water System Improvements	Refunding	0.00	09/26/2013		Negotiated	0	Fixed	3	16,000.00		
	New	394,832.00									
	Total	394,832.00									
Staatsburg Water Route 9 Pipeline Replacement Project	Refunding	0.00	05/21/2014		Competitive	1.5	Fixed	1	6,500.00		
	New	1,660,500.00									
	Total	1,660,500.00									
Valley Dale Sewer District Upgrades	Refunding	0.00	09/25/2014		Negotiated	.31	Fixed	3	1,500.00		
	New	180,803.00									
	Total	180,803.00									
Valley Dale Sewer System Refunding of 2007	Refunding	315,000.00	07/02/2014		Negotiated	2.11	Variable	3	8,669.00		
	New	44,054.00									
	Total	359,054.00									

**Schedule of Authority Debt**

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal Year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
<b>State Obligation</b>					
State Guaranteed					
State Supported					
State Contingent Obligation					
State Moral Obligation					
Other State Funded					
<b>Authority Obligation</b>					
General Obligation					
Revenue	0.00	34,667,062.00	4,634,722.00	3,455,006.00	35,846,778.00
Other Non-State Funded					
<b>Conduit</b>					
Conduit Debt					
Conduit Debt - Pilot Increment Financing					

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

**Property Documents**

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	Yes	<a href="http://www.DCWWA.org">http://www.DCWWA.org</a>
2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	Yes	<a href="http://www.DCWWA.org">http://www.DCWWA.org</a>
3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	Yes	

No Data has been entered by the Authority for this section in PARIS

Additional Comments:

Dutchess County Water and Wastewater Authority  
 2014  
 Real Property List

<b>System</b>	<b>Location</b>	<b>Property Size</b>
Birch Hill Water System	165 Reilly Road, Town of Beekman, NY	3.58 Acres
Central Dutchess Water Transmission Line	21 Page Park Drive, Town of Poughkeepsie	Easement/ROW
Chelsea Cove Sewer System	94 Miller Road, Town of Beekman, NY	2.42 Acres
Fairways Water System	13 Fairway Lane, Red Hook NY 12571 Tee Lane Water Main Easement	4.94 Acres Easement/ROW
Dalton Farms Sewer System	21 Recreation Road, Town of Beekman, NY 52 Reynolds Road, Town of Beekman, NY 21 East Saw Mill Road, Town of Beekman, NY 140 Victoria Drive, Town of Beekman, NY 27 Plum Court Drive, Town of Beekman, NY	3.5 Acres Easement/ROW Easement/ROW Easement/ROW Easement/ROW
Dalton Farms Water System	91 Recreation Road, Town of Beekman, NY 141 Roosevelt Drive, Town of Beekman, NY	13.83 Acres 1.15 Acres
Hyde Park Water System	16 Church Street, Town of Hyde Park, NY 30 Crum Elbow Road, Town of Hyde Park, NY 48 South Drive, Town of Hyde Park, NY 145 River Road, Town of Hyde Park, NY Fuller Lane (Rear), Town of Hyde Park, NY 56 Hudson Drive, Town of Hyde Park, NY 59 St. Andrews Road, Town of Hyde Park, NY	1.43 Acres 6.3 Acres 15.5 Acres .79 Acres .11 Acres 3.67 Acres 3.73 Acres
Rokeyby Water System	53 Jefferson Road, Town of Red Hook	2.1 Acres
Schreiber Water System	Hillside Avenue, Town of Dover, NY Hillside Avenue, Town of Dover, NY	.38 Acres 3.8 Acres
Shore Haven Water System	Rocky Mountain Way, Holmes, NY	1.37 Acres
Staatsburg Water System	57 Reservoir Road, Town of Hyde Park, NY	6.54 Acres
Valley Dale Sewer System	68 Forest Valley Road, Town of Pleasant Valley, NY	0.54 Acres
Valley Dale Water System	76 Forest Valley Road, Town of Pleasant Valley, NY	2.9 Acres
Zone D Water System	8 Roosevelt Road, Town of Hyde Park	Easement/ROW
Water Lines	Towns of Beekman, Dover, East Fishkill, Hyde Park Lagrange, Pleasant Valley, Pawling, Poughkeepsie, Red Hook, Rhinebeck and Wappinger	Easement/ROW
Sewer Lines	Towns of Beekman and Pleasant Valley	Easement/ROW

**Procurement-Information:**

Question	Response	URL (if applicable)
1. Does the Authority have procurement guidelines?	Yes	<a href="http://www.DCWWA.org">http://www.DCWWA.org</a>
2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	Yes	
3. Does the Authority allow for exceptions to the procurement guidelines?	Yes	
4. Does the Authority assign credit cards to employees for travel and/or business purchases?	Yes	
5. Does the Authority require prospective bidders to sign a non-collusion agreement?	Yes	
6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?.	Yes	
7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	Yes	
8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?	No	
8a If Yes, was a record made of this impermissible contact?		
9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law?	Yes	

Procurement Transactions Listing:

<p>1. Vendor Name: AccuFund, Inc.</p> <p>Type of Procurement: Technology - Software</p> <p>Award Process: Non Contract Procurement/Purchase Order</p> <p>Award Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Amount Expended for Fiscal Year: \$6,386.25</p> <p>Fair market value:</p> <p>Explain why the fair market value is less than the amount:</p> <p>Address Line1: 400 Hillside Avenue</p> <p>Address Line2:</p> <p>City: NEEDHAM</p> <p>State: MA</p> <p>Postal Code: 02494</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p> <p>Procurement Description: Annual maintenance and support of accounting software</p>	<p>2. Vendor Name: Aquifer Drilling &amp; Testing, Inc.</p> <p>Type of Procurement: Design and Construction/Maintenance</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 03/12/2014</p> <p>End Date: 07/31/2014</p> <p>Amount: \$81,133.7</p> <p>Amount Expended for Fiscal Year: \$81,133.7</p> <p>Fair market value:</p> <p>Explain why the fair market value is less than the amount:</p> <p>Address Line1: 75 East 2nd Street</p> <p>Address Line2:</p> <p>City: MINEOLA</p> <p>State: NY</p> <p>Postal Code: 11501</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p> <p>Procurement Description: Subsurface Exploration: Shore Haven Building Project</p>
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**Procurement Transactions Listing:**

3. Vendor Name: Automatic Alarm Systems, Inc.

Type of Procurement: Telecommunication Equipment or Services  
Award Process: Non Contract Procurement/Purchase Order  
Award Date:  
End Date:  
Amount:  
Amount Expended for Fiscal Year: \$7,310  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: P.O. Box 3627  
Address Line2:  
City: POUGHKEEPSIE  
State: NY  
Postal Code: 12603  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Alarm Monitoring

4. Vendor Name: C&C Fluid Controls & Cond., Inc.

Type of Procurement: Commodities/Supplies  
Award Process: Non Contract Procurement/Purchase Order  
Award Date:  
End Date:  
Amount:  
Amount Expended for Fiscal Year: \$6,742.4  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 12 Hill Avenue  
Address Line2:  
City: MONTGOMERY  
State: NY  
Postal Code: 12549  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Filters

**Procurement Transactions Listing:**

5. Vendor Name: Casale Construction Services, Inc.

Type of Procurement: Design and Construction/Maintenance  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 05/22/2014  
 End Date: 12/31/2014  
 Amount: \$1,426,555  
 Amount Expended for Fiscal Year: \$1,116,664.4  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 551 Main Avenue  
 Address Line2:  
 City: WYNANTSKILL  
 State: NY  
 Postal Code: 12198  
 Plus 4:  
 Province Region:  
 Country: USA

Procurement Description: Staatsburg Water System Route 9 Main Replacement Construction Services

6. Vendor Name: Chazen Engineering and Land Surveying, P.C.

Type of Procurement: Design and Construction/Maintenance  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 04/17/2013  
 End Date: 12/31/2014  
 Amount: \$104,915  
 Amount Expended for Fiscal Year: \$18,746.04  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 21 Fox Street  
 Address Line2:  
 City: POUGHKEEPSIE  
 State: NY  
 Postal Code: 12601  
 Plus 4:  
 Province Region:  
 Country: USA

Procurement Description: Engineering Services for the investigation, design, permitting, bidding and technical services during construction of the Staatsburg Route 9 Main Replacement

Procurement Transactions Listing:

7. Vendor Name: Chemtract, Inc.

Type of Procurement: Commodities/Supplies  
Award Process: Non Contract Procurement/Purchase Order  
Award Date:  
End Date:  
Amount:  
Amount Expended for Fiscal Year: \$7,970  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 6991 Peachtree Industrial Blvd.  
Address Line2: Bldg 600  
City: NORCROSS  
State: GA  
Postal Code: 30092  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: HA 600/accessories Analyzer and Data logger.

8. Vendor Name: Chemtrade Chemicals Corporation

Type of Procurement: Commodities/Supplies  
Award Process: Authority Contract - Competitive Bid  
Award Date: 01/01/2014  
End Date: 12/31/2014  
Amount: \$32,966.78  
Amount Expended for Fiscal Year: \$32,966.78  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: Performance Products, LLC  
Address Line2: Dept #771807/P.O. Box 77000  
City: DETROIT  
State: MI  
Postal Code: 48277  
Plus 4: 1807  
Province Region:  
Country: USA

Procurement Description: Chemical Purchase

Procurement Transactions Listing:

<p>9. Vendor Name: Chickery's Auto Repair</p> <p>Type of Procurement: Other</p> <p>Award Process: Non Contract Procurement/Purchase Order</p> <p>Award Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Amount Expended for Fiscal Year: \$9,758.46</p> <p>Fair market value:</p> <p>Explain why the fair market value is less than the amount:</p> <p>Address Line1: 612 B Violet Avenue</p> <p>Address Line2:</p> <p>City: HYDE PARK</p> <p>State: NY</p> <p>Postal Code: 12538</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p> <p>Procurement Description: Vehicle Maintenance and Repair</p>	<p>10. Vendor Name: Con-Tech Construction Technology, Inc.</p> <p>Type of Procurement: Design and Construction/Maintenance</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 08/01/2014</p> <p>End Date: 06/30/2015</p> <p>Amount: \$72,572.2</p> <p>Amount Expended for Fiscal Year: \$67,898.59</p> <p>Fair market value:</p> <p>Explain why the fair market value is less than the amount:</p> <p>Address Line1: 28 Lakeview Drive</p> <p>Address Line2:</p> <p>City: YORKTOWN HEIGHTS</p> <p>State: NY</p> <p>Postal Code: 10598</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p> <p>Procurement Description: Construction of Well Access Road at Dalton Farms Water System</p>
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Procurement Transactions Listing:

11. Vendor Name: Corewood Ventures, Inc.

Type of Procurement: Design and Construction/Maintenance  
Award Process: Authority Contract - Competitive Bid  
Award Date: 07/17/2013  
End Date: 06/30/2014  
Amount: \$180,803.25  
Amount Expended for Fiscal Year: \$25,783.43  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 240 Van Wagner Road  
Address Line2:  
City: POUGHKEEPSIE  
State: NY  
Postal Code: 12603  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: Valley Dale Sewer Collection System Repairs

12. Vendor Name: Del Hatt Automotive

Type of Procurement: Other  
Award Process: Non Contract Procurement/Purchase Order  
Award Date:  
End Date:  
Amount:  
Amount Expended for Fiscal Year: \$5,145.04  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 167 Smith Street  
Address Line2:  
City: POUGHKEEPSIE  
State: NY  
Postal Code: 12601  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: Vehicle Maintenance and Repair

Procurement Transactions Listing:

<p>13. Vendor Name: Dell Marketing, L.P.</p> <p>Type of Procurement: Technology - Hardware  Award Process: Purchased Under State Contract  Award Date:  End Date:  Amount:  Amount Expended for Fiscal Year: \$11,266.02  Fair market value:    Explain why the fair market value is less than the amount:</p>   <p>Address Line1: P.O. Box 643561  Address Line2:  City: PITTSBURGH  State: PA  Postal Code: 15264  Plus 4: 3561  Province Region:  Country: USA</p> <p>Procurement Description: Computer equipment Purchase</p>	<p>14. Vendor Name: Dolphin Equipment Corporation</p> <p>Type of Procurement: Design and Construction/Maintenance  Award Process: Authority Contract - Competitive Bid  Award Date: 07/23/2014  End Date: 06/30/2015  Amount: \$96,250  Amount Expended for Fiscal Year: \$14,487.5  Fair market value:    Explain why the fair market value is less than the amount:</p>   <p>Address Line1: 629 5th Avenue, Suite 113  Address Line2:  City: PELHAM  State: NY  Postal Code: 10803  Plus 4:  Province Region:  Country: USA</p> <p>Procurement Description: SHW Booster Pump Station Equipment</p>
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Procurement Transactions Listing:

15. Vendor Name: Double Dee Excavating Corp.

Type of Procurement: Design and Construction/Maintenance  
Award Process: Non Contract Procurement/Purchase Order  
Award Date:  
End Date:  
Amount:  
Amount Expended for Fiscal Year: \$103,735  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 53 W. Dorsey Lane  
Address Line2:  
City: HYDE PARK  
State: NY  
Postal Code: 12538  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: Excavation and other work performed through the year with multiple, separate procurements.

16. Vendor Name: Drake, Loeb, Heller, etal.

Type of Procurement: Legal Services  
Award Process: Authority Contract - Non-Competitive Bid  
Award Date: 01/01/2014  
End Date: 12/31/2014  
Amount: \$45,000  
Amount Expended for Fiscal Year: \$29,228.61  
Fair market value: \$45,000  
Explain why the fair market value is less than the amount:

Address Line1: 555 Hudson Valley Avenue  
Address Line2: Suite 100  
City: NEW WINDSOR  
State: NY  
Postal Code: 12553  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: Annual Legal Services

Procurement Transactions Listing:

17. Vendor Name: Dutchess TEKCON Ind, Inc.

Type of Procurement: Design and Construction/Maintenance  
Award Process: Authority Contract - Competitive Bid  
Award Date: 01/01/2014  
End Date: 12/31/2014  
Amount: \$10,176.75  
Amount Expended for Fiscal Year: \$10,176.75  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 44 Noxon Road  
Address Line2: Suite 1  
City: POUGHKEEPSIE  
State: NY  
Postal Code: 12603  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: Multiple maintenance and services calls for HVAC work

18. Vendor Name: Earthcare - All County Division

Type of Procurement: Design and Construction/Maintenance  
Award Process: Authority Contract - Competitive Bid  
Award Date: 01/01/2014  
End Date: 12/31/2014  
Amount: \$67,566.45  
Amount Expended for Fiscal Year: \$67,566.45  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: A North Star Waste Company  
Address Line2: 99 Maple Grange Road  
City: VERNON  
State: NJ  
Postal Code: 07462  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: Annual Sludge Hauling for Chelsea Cove Sewer System, Dalton Farms Sewer System, Valley Dale Sewer System

**Procurement Transactions Listing:**

19. Vendor Name: Employee Benefits Division

Type of Procurement: Other

Award Process: Non Contract Procurement/Purchase Order

Award Date:

End Date:

Amount:

Amount Expended for Fiscal Year: \$183,370.96

Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: State of New York Dept. of Civil Ser

Address Line2: P.O. Box 3801

City: NEW YORK

State: NY

Postal Code: 10008

Plus 4: 3801

Province Region:

Country: USA

Procurement Description: Annual Employee Health Insurance Premium

20. Vendor Name: Environmental Capital, LLC

Type of Procurement: Financial Services

Award Process: Authority Contract - Non-Competitive Bid

Award Date: 01/01/2014

End Date: 12/31/2014

Amount: \$17,500

Amount Expended for Fiscal Year: \$17,500

Fair market value: \$17,500

Explain why the fair market value is less than the amount:

Address Line1: 110 West 40th Street

Address Line2: Suite 1804

City: NEW YORK

State: NY

Postal Code: 10018

Plus 4:

Province Region:

Country: USA

Procurement Description: Financial and Advisory Services: Continuing Disclosure and EFC Financing

Procurement Transactions Listing:

21. Vendor Name: Environmental Consultants, LLC

22. Vendor Name: H.A. Schreck, Inc.

Type of Procurement: Design and Construction/Maintenance  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 01/01/2014  
 End Date: 12/31/2014  
 Amount: \$44,071.97  
 Amount Expended for Fiscal Year: \$44,071.97  
 Fair market value:

Explain why the fair market value is less than the amount:

Type of Procurement: Design and Construction/Maintenance  
 Award Process: Non Contract Procurement/Purchase Order  
 Award Date:  
 End Date:  
 Amount:  
 Amount Expended for Fiscal Year: \$19,373.77  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: P.O. Box 3148  
 Address Line2: 4 Commerce Street  
 City: POUGHKEEPSIE  
 State: NY  
 Postal Code: 12603  
 Plus 4:  
 Province Region:  
 Country: USA

Procurement Description: Chelsea Cove Sewer System Annual Operation and Maintenance

Address Line1: P.O. Box 3088  
 Address Line2: 32 Van Wagner Road  
 City: POUGHKEEPSIE  
 State: NY  
 Postal Code: 12603  
 Plus 4:  
 Province Region:  
 Country: USA

Procurement Description: Multiple maintenance and service calls/repairs to generators and pumps

Procurement Transactions Listing:

23. Vendor Name: Henderson Ford

Type of Procurement: Commodities/Supplies  
Award Process: Purchased Under State Contract  
Award Date:  
End Date:  
Amount:  
Amount Expended for Fiscal Year: \$22,570.89  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 810 Ridge Road  
Address Line2:  
City: WEBSTER  
State: NY  
Postal Code: 14580  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: Vehicle Purchase (2014 F150 Truck)

24. Vendor Name: Hennington, Durham & Richardson Architects and Engineering, P.C.

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Non-Competitive Bid  
Award Date: 12/02/2013  
End Date: 04/30/2014  
Amount: \$13,491.79  
Amount Expended for Fiscal Year: \$13,491.79  
Fair market value: \$13,491.79  
Explain why the fair market value is less than the amount:

Address Line1: 12000 MacArthur Blvd.  
Address Line2:  
City: MAHWAH  
State: NJ  
Postal Code: 07430  
Plus 4: 2322  
Province Region:  
Country: USA

Procurement Description: Evaluation of treatment protocol for CDWTL water supply

**Procurement Transactions Listing:**

25. Vendor Name: Hudson Land Design Professional Engineering, P.C.

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 10/16/2014  
End Date: 03/31/2015  
Amount: \$15,139  
Amount Expended for Fiscal Year: \$8,483.75

Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 174 Main Street  
Address Line2:  
City: BEACON  
State: NY  
Postal Code: 12508  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: Preliminary Engineering Study for the provision of water service to Dutchess County Airport from the CDWTL

26. Vendor Name: Lawrence J. Paggi, PE, PC

Type of Procurement: Design and Construction/Maintenance  
Award Process: Authority Contract - Competitive Bid  
Award Date: 07/17/2013  
End Date: 03/31/2015  
Amount: \$99,500  
Amount Expended for Fiscal Year: \$29,314.48

Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 43 Broad Street  
Address Line2:  
City: FISHKILL  
State: NY  
Postal Code: 12524  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: Design of Improvements to Rehabilitate the Treatment Process and Facilities of the Valley Dale Sewer System

Procurement Transactions Listing:

27. Vendor Name: M&O Sanitation

Type of Procurement: Design and Construction/Maintenance  
Award Process: Non Contract Procurement/Purchase Order  
Award Date:  
End Date:  
Amount:  
Amount Expended for Fiscal Year: \$15,000  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 70 Fairview Avenue  
Address Line2:  
City: POUGHKEEPSIE  
State: NY  
Postal Code: 12601  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: Pumping and Cleaning of Hyde Park Pump Station

28. Vendor Name: M&T Investment Group

Type of Procurement: Financial Services  
Award Process: Non Contract Procurement/Purchase Order  
Award Date:  
End Date:  
Amount:  
Amount Expended for Fiscal Year: \$14,192  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: Attn: Fee Processing Unit  
Address Line2: P.O. Box 22900  
City: ROCHESTER  
State: NY  
Postal Code: 14692  
Plus 4: 2900  
Province Region:  
Country: USA

Procurement Description: Annual Trustee Services

Procurement Transactions Listing:

<p>29. Vendor Name: MVP Health Care, Inc.</p> <p>Type of Procurement: Other</p> <p>Award Process: Non Contract Procurement/Purchase Order</p> <p>Award Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Amount Expended for Fiscal Year: \$80,842.22</p> <p>Fair market value:</p> <p>Explain why the fair market value is less than the amount:</p> <p>Address Line1: GPO Box 26864</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10087</p> <p>Plus 4: 6864</p> <p>Province Region:</p> <p>Country: USA</p> <p>Procurement Description: Annual Employee Health Insurance Premium</p>	<p>30. Vendor Name: MacDermid Offshore Solutions, LLC</p> <p>Type of Procurement: Commodities/Supplies</p> <p>Award Process: Non Contract Procurement/Purchase Order</p> <p>Award Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Amount Expended for Fiscal Year: \$7,380.73</p> <p>Fair market value:</p> <p>Explain why the fair market value is less than the amount:</p> <p>Address Line1: P.O. Box 203552</p> <p>Address Line2:</p> <p>City: DALLAS</p> <p>State: TX</p> <p>Postal Code: 75320</p> <p>Plus 4: 3552</p> <p>Province Region:</p> <p>Country: USA</p> <p>Procurement Description: Chemical Purchase</p>
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Procurement Transactions Listing:

31. Vendor Name: Morris Associates Engineering Consultants, PLLC

Type of Procurement: Design and Construction/Maintenance  
Award Process: Authority Contract - Competitive Bid  
Award Date: 03/20/2013  
End Date: 03/31/2015  
Amount: \$342,340  
Amount Expended for Fiscal Year: \$51,125.45

Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 9 Elks Lane  
Address Line2:  
City: POUGHKEEPSIE  
State: NY  
Postal Code: 12601  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: Chelsea Cove Sewer System Treatment Plant Rehabilitation Design

32. Vendor Name: Morris Associates Engineering Consultants, PLLC

Type of Procurement: Design and Construction/Maintenance  
Award Process: Authority Contract - Competitive Bid  
Award Date: 05/22/2014  
End Date: 06/30/2015  
Amount: \$89,250  
Amount Expended for Fiscal Year: \$63,914.25

Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 9 Elks Lane  
Address Line2:  
City: POUGHKEEPSIE  
State: NY  
Postal Code: 12603  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: Staatsburg Water Pipeline Construction Administration Services

Procurement Transactions Listing:

33. Vendor Name: Morris Associates Engineering Consultants, PLLC

Type of Procurement: Design and Construction/Maintenance  
Award Process: Authority Contract - Competitive Bid  
Award Date: 07/17/2013  
End Date: 12/31/2014  
Amount: \$31,088.45  
Amount Expended for Fiscal Year: \$822.95  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 9 Elks Lane  
Address Line2:  
City: POUGHKEEPSIE  
State: NY  
Postal Code: 12601  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: Design of Repairs and Replacements to the Collection System Lines and Manholes of the Valley Dale Sewer System

34. Vendor Name: Mountain Machine Works

Type of Procurement: Design and Construction/Maintenance  
Award Process: Authority Contract - Non-Competitive Bid  
Award Date: 05/06/2014  
End Date: 09/30/2014  
Amount: \$42,000  
Amount Expended for Fiscal Year: \$41,745  
Fair market value: \$42,000  
Explain why the fair market value is less than the amount:

Address Line1: 2589 Hotel Road  
Address Line2:  
City: AUBURN  
State: ME  
Postal Code: 04210  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: RBC Shaft Repair at the Chelsea Cove Sewer System

Procurement Transactions Listing:

35. Vendor Name: Orrick, Herrington & Sutcliffe, LLP

Type of Procurement: Legal Services  
Award Process: Non Contract Procurement/Purchase Order  
Award Date:  
End Date:  
Amount:  
Amount Expended for Fiscal Year: \$13,000  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 27 High Street  
Address Line2:  
City: POUGHKEEPSIE  
State: NY  
Postal Code: 12601  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: Professional Service: Bond Counsel regarding financing for Chelsea Cove, Valley Dale and Staatsburg Systems

36. Vendor Name: Paychex, Inc.

Type of Procurement: Other Professional Services  
Award Process: Non Contract Procurement/Purchase Order  
Award Date:  
End Date:  
Amount:  
Amount Expended for Fiscal Year: \$17,949.15  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 300 Westage Business Center  
Address Line2: Suite 150  
City: FISHKILL  
State: NY  
Postal Code: 12524  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: Payroll and HR Administrative Services

Procurement Transactions Listing:

37. Vendor Name: Pendragon Technology Cons., Inc.

Type of Procurement: Other Professional Services  
Award Process: Non Contract Procurement/Purchase Order  
Award Date:  
End Date:  
Amount:  
Amount Expended for Fiscal Year: \$9,011.15  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 2600 South Road  
Address Line2: Suite 44-215  
City: POUGHKEEPSIE  
State: NY  
Postal Code: 12601  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: Calibration, design, repair and installation of instrumentation and controls

38. Vendor Name: Porco Energy Corporation

Type of Procurement: Commodities/Supplies  
Award Process: Non Contract Procurement/Purchase Order  
Award Date:  
End Date:  
Amount:  
Amount Expended for Fiscal Year: \$21,044.25  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: P.O. Box 910  
Address Line2: 1455 Route 9W  
City: MARLBORO  
State: NY  
Postal Code: 12542  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: Multiple propane purchases/Gas burner and boiler service

**Procurement Transactions Listing:**

39. Vendor Name: Protective Power System & Controls, Inc.

Type of Procurement: Design and Construction/Maintenance  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 01/01/2014  
 End Date: 12/31/2014  
 Amount: \$6,946.5  
 Amount Expended for Fiscal Year: \$6,946.5  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 259 North Grand Avenue  
 Address Line2:  
 City: POUGHKEEPSIE  
 State: NY  
 Postal Code: 12603  
 Plus 4:  
 Province Region:  
 Country: USA

Procurement Description: Generator Inspection, Maintenance and Malfunction Evaluation

40. Vendor Name: R&M Equipment Co.

Type of Procurement: Commodities/Supplies  
 Award Process: Non Contract Procurement/Purchase Order  
 Award Date:  
 End Date:  
 Amount:  
 Amount Expended for Fiscal Year: \$8,948  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: P.O. Box 937  
 Address Line2:  
 City: ROYERSFORD  
 State: PA  
 Postal Code: 19468  
 Plus 4:  
 Province Region:  
 Country: USA

Procurement Description: Dalton Farms Sewer System Motor Replacement

Procurement Transactions Listing:

41. Vendor Name: Rose & Kiernan

Type of Procurement: Other  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 01/01/2014  
 End Date: 12/31/2014  
 Amount: \$134,973.5  
 Amount Expended for Fiscal Year: \$134,973.5  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: P.O. Box 640  
 Address Line2: 99 Troy Road  
 City: EAST GREENBUSH  
 State: NY  
 Postal Code: 12061  
 Plus 4:  
 Province Region:  
 Country: USA

Procurement Description: Annual Insurance Coverage

42. Vendor Name: Savin Engineers, P.C.

Type of Procurement: Design and Construction/Maintenance  
 Award Process: Authority Contract - Non-Competitive Bid  
 Award Date: 01/20/2011  
 End Date: 12/31/2015  
 Amount: \$447,882.5  
 Amount Expended for Fiscal Year: \$103,458.51  
 Fair market value: \$447,882.5

Explain why the fair market value is less than the amount:

Address Line1: 3 Campus Drive  
 Address Line2:  
 City: PLEASANTVILLE  
 State: NY  
 Postal Code: 10570  
 Plus 4:  
 Province Region:  
 Country: USA

Procurement Description: Shore Haven Water Treatment System Improvement Project/Engineering Services

Procurement Transactions Listing:

43. Vendor Name: Savin Engineers, P.C.

Type of Procurement: Design and Construction/Maintenance  
Award Process: Authority Contract - Non-Competitive Bid  
Award Date: 01/01/2014  
End Date: 12/31/2014  
Amount: \$35,000  
Amount Expended for Fiscal Year: \$2,755.5  
Fair market value: \$35,000

Explain why the fair market value is less than the amount:

Address Line1: 3 Campus Drive  
Address Line2:  
City: PLEASANTVILLE  
State: NY  
Postal Code: 10570  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: General Engineering Services: Hyde Park Water System

44. Vendor Name: Savin Engineers, P.C.

Type of Procurement: Design and Construction/Maintenance  
Award Process: Authority Contract - Non-Competitive Bid  
Award Date: 01/01/2014  
End Date: 12/31/2014  
Amount: \$35,000  
Amount Expended for Fiscal Year: \$7,825  
Fair market value: \$35,000

Explain why the fair market value is less than the amount:

Address Line1: 3 Campus Drive  
Address Line2:  
City: PLEASANTVILLE  
State: NY  
Postal Code: 10570  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: General Engineering Services: Shore Haven Water System

Procurement Transactions Listing:

45. Vendor Name: Seaway Diving & Salv. Co., Inc.

Type of Procurement: Other  
Award Process: Non Contract Procurement/Purchase Order  
Award Date:  
End Date:  
Amount:  
Amount Expended for Fiscal Year: \$7,187  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 461 Hudson River Road  
Address Line2:  
City: WATERFORD  
State: NY  
Postal Code: 12188  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: Cleaning of Intake Screens and Chemical Lines

46. Vendor Name: Sedore & Co.

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Non-Competitive Bid  
Award Date: 01/01/2014  
End Date: 12/31/2014  
Amount: \$28,497  
Amount Expended for Fiscal Year: \$22,718  
Fair market value: \$28,497  
Explain why the fair market value is less than the amount:

Address Line1: 2678 South Road  
Address Line2:  
City: POUGHKEEPSIE  
State: NY  
Postal Code: 12601  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: Annual Audit and Accounting Services

Procurement Transactions Listing:

47. Vendor Name: Shannon Chemical Corporation

Type of Procurement: Commodities/Supplies  
Award Process: Authority Contract - Competitive Bid  
Award Date: 01/01/2014  
End Date: 12/31/2014  
Amount: \$7,416  
Amount Expended for Fiscal Year: \$7,416  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: P.O. Box 376  
Address Line2:  
City: MALVERN  
State: PA  
Postal Code: 19355  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: Chemical Purchase

48. Vendor Name: Smith Environmental Laboratory

Type of Procurement: Other  
Award Process: Non Contract Procurement/Purchase Order  
Award Date:  
End Date:  
Amount:  
Amount Expended for Fiscal Year: \$37,383.3  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 4 Scenic Drive  
Address Line2:  
City: HYDE PARK  
State: NY  
Postal Code: 12538  
Plus 4: 1313  
Province Region:  
Country: USA

Procurement Description: Lab Services for all Authority Water/Sewer systems

Procurement Transactions Listing:

49. Vendor Name: Sun Up Enterprises, Inc

Type of Procurement: Design and Construction/Maintenance  
Award Process: Authority Contract - Competitive Bid  
Award Date: 06/02/2014  
End Date: 02/28/2015  
Amount: \$34,676.9  
Amount Expended for Fiscal Year: \$34,676.9  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 1903 Route 376  
Address Line2:  
City: WAPPINGERS FALLS  
State: NY  
Postal Code: 12590  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: Pavement Repair/Maintenance work

50. Vendor Name: Surpass Chemical Company, Inc.

Type of Procurement: Commodities/Supplies  
Award Process: Authority Contract - Competitive Bid  
Award Date: 01/01/2014  
End Date: 12/31/2014  
Amount: \$8,299.7  
Amount Expended for Fiscal Year: \$8,299.7  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 1254 Broadway  
Address Line2:  
City: ALBANY  
State: NY  
Postal Code: 12204  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: Chemical Purchase

Procurement Transactions Listing:

51. Vendor Name: The Bank of New York Mellon

Type of Procurement: Financial Services  
Award Process: Non Contract Procurement/Purchase Order  
Award Date:  
End Date:  
Amount:  
Amount Expended for Fiscal Year: \$21,050  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: Attn: Deborah Todak, Vice President  
Address Line2: 101 Barclay Street, 7W  
City: NEW YORK  
State: NY  
Postal Code: 10286  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: Annual trustee services

52. Vendor Name: The State Insurance Fund

Type of Procurement: Other  
Award Process: Non Contract Procurement/Purchase Order  
Award Date:  
End Date:  
Amount:  
Amount Expended for Fiscal Year: \$33,046.24  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: P.O. Box 5262  
Address Line2:  
City: BINGHAMTON  
State: NY  
Postal Code: 13902  
Plus 4: 5262  
Province Region:  
Country: USA

Procurement Description: Annual Workers' Compensation Insurance

Procurement Transactions Listing:

53. Vendor Name: The Vellano Corporation

Type of Procurement: Commodities/Supplies  
Award Process: Non Contract Procurement/Purchase Order  
Award Date:  
End Date:  
Amount:  
Amount Expended for Fiscal Year: \$21,357.78  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 7 Hemlock Street  
Address Line2:  
City: LATHAM  
State: NY  
Postal Code: 12110  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: Multiple purchases including pipes, valves, hydrants, clamps and gaskets

54. Vendor Name: Thomas Gleason, Inc.

Type of Procurement: Design and Construction/Maintenance  
Award Process: Non Contract Procurement/Purchase Order  
Award Date:  
End Date:  
Amount:  
Amount Expended for Fiscal Year: \$39,796.13  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 501 Salt Point Turnpike  
Address Line2:  
City: POUGHKEEPSIE  
State: NY  
Postal Code: 12603  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: Multiple leak repairs and repairs to valves, hydrants and water lines/Item 4 delivery

Procurement Transactions Listing:

55. Vendor Name: Thomas Gleason, Inc.

Type of Procurement: Design and Construction/Maintenance  
Award Process: Authority Contract - Competitive Bid  
Award Date: 05/05/2014  
End Date: 10/31/2014  
Amount: \$19,350  
Amount Expended for Fiscal Year: \$19,350  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 501 Salt Point Turnpike  
Address Line2:  
City: POUGHKEEPSIE  
State: NY  
Postal Code: 12603  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: Replacement of Failed Coupling: Central Dutchess Water Transmission Line

56. Vendor Name: Ti-Sales

Type of Procurement: Commodities/Supplies  
Award Process: Non Contract Procurement/Purchase Order  
Award Date:  
End Date:  
Amount:  
Amount Expended for Fiscal Year: \$23,165.2  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 36 Hudson Road  
Address Line2:  
City: SUDBURY  
State: MA  
Postal Code: 01776  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: Purchase of Neptune Meters and Related Equipment for Radio Meters

Procurement Transactions Listing:

57. Vendor Name: Titan Roofing, Inc.

Type of Procurement: Design and Construction/Maintenance  
Award Process: Authority Contract - Competitive Bid  
Award Date: 04/17/2014  
End Date: 10/31/2014  
Amount: \$170,328  
Amount Expended for Fiscal Year: \$170,328  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 200 Tapley Street  
Address Line2:  
City: SPRINGFIELD  
State: MA  
Postal Code: 01104  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: Hyde Park Water Treatment Building Roof Replacement

58. Vendor Name: USA BlueBook

Type of Procurement: Commodities/Supplies  
Award Process: Non Contract Procurement/Purchase Order  
Award Date:  
End Date:  
Amount:  
Amount Expended for Fiscal Year: \$26,604.87  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: P.O. Box 9004  
Address Line2:  
City: GURNEE  
State: IL  
Postal Code: 60031  
Plus 4: 9004  
Province Region:  
Country: USA

Procurement Description: Multiple equipment and supply purchases throughout the year

Procurement Transactions Listing:

59. Vendor Name: Unifilt Corporation

60. Vendor Name:

VRI Environmental Services Inc.

Type of Procurement: Other Professional Services  
 Award Process: Authority Contract - Non-Competitive Bid  
 Award Date: 01/01/2014  
 End Date: 12/31/2014  
 Amount: \$7,000  
 Amount Expended for Fiscal Year: \$7,000  
 Fair market value: \$7,000

Explain why the fair market value is less than the amount:

Type of Procurement: Design and Construction/Maintenance  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 01/01/2014  
 End Date: 12/31/2014  
 Amount: \$22,521.17  
 Amount Expended for Fiscal Year: \$22,521.17  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 375 Johnson Street  
 Address Line2:  
 City: WILKES BARRE  
 State: PA  
 Postal Code: 18702  
 Plus 4:  
 Province Region:  
 Country: USA

Procurement Description: Filter Evaluation/Testing

Address Line1: P.O. Box 943  
 Address Line2:  
 City: MILLBROOK  
 State: NY  
 Postal Code: 12545  
 Plus 4:  
 Province Region:  
 Country: USA

Procurement Description: Dalton Farms Water System Annual Operation and Maintenance

Procurement Transactions Listing:

61. Vendor Name: VRI Environmental Services, Inc.

62. Vendor Name: VRI Environmental Services, Inc.

Type of Procurement: Design and Construction/Maintenance  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 01/01/2014  
 End Date: 12/31/2014  
 Amount: \$53,098.33  
 Amount Expended for Fiscal Year: \$53,098.33  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: P.O. Box 943  
 Address Line2:  
 City: MILLBROOK  
 State: NY  
 Postal Code: 12545  
 Plus 4:  
 Province Region:  
 Country: USA

Procurement Description: Dalton Farms Sewer System Annual Operation and Maintenance

Type of Procurement: Design and Construction/Maintenance  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 01/01/2014  
 End Date: 12/31/2014  
 Amount: \$19,368.26  
 Amount Expended for Fiscal Year: \$19,368.26  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: P.O. Box 943  
 Address Line2:  
 City: MILLBROOK  
 State: NY  
 Postal Code: 12545  
 Plus 4:  
 Province Region:  
 Country: USA

Procurement Description: Valley Dale Sewer System Annual Operation and Maintenance

**Procurement Transactions Listing:**

63. Vendor Name: VRI Environmental Services, Inc.

Type of Procurement: Design and Construction/Maintenance  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 01/01/2014  
 End Date: 12/31/2014  
 Amount: \$14,845.41  
 Amount Expended for Fiscal Year: \$14,845.41  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: P.O. Box 943  
 Address Line2:  
 City: MILLBROOK  
 State: NY  
 Postal Code: 12545  
 Plus 4:  
 Province Region:  
 Country: USA

Procurement Description: Shore Have Water System Annual Operation and Maintenance

64. Vendor Name: VRI Environmental Services, Inc.

Type of Procurement: Design and Construction/Maintenance  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 01/01/2014  
 End Date: 12/31/2014  
 Amount: \$11,728.5  
 Amount Expended for Fiscal Year: \$11,728.5  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: P.O. Box 943  
 Address Line2:  
 City: MILLBROOK  
 State: NY  
 Postal Code: 12545  
 Plus 4:  
 Province Region:  
 Country: USA

Procurement Description: Birch Hill Water System Annual Operation and Maintenance

Procurement Transactions Listing:

65. Vendor Name: VRI Environmental Services, Inc.

Type of Procurement: Design and Construction/Maintenance  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 01/01/2014  
 End Date: 12/31/2014  
 Amount: \$7,943  
 Amount Expended for Fiscal Year: \$7,943  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: P.O. Box 943  
 Address Line2:  
 City: MILLBROOK  
 State: NY  
 Postal Code: 12545  
 Plus 4:  
 Province Region:  
 Country: USA

Procurement Description: Rokeby Water System Annual Operation and Maintenance

66. Vendor Name: VRI Environmental Services, Inc.

Type of Procurement: Design and Construction/Maintenance  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 01/01/2014  
 End Date: 12/31/2014  
 Amount: \$6,768.96  
 Amount Expended for Fiscal Year: \$6,768.96  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: P.O. Box 943  
 Address Line2:  
 City: MILLBROOK  
 State: NY  
 Postal Code: 12545  
 Plus 4:  
 Province Region:  
 Country: USA

Procurement Description: Valley Dale Water System Annual Operation and Maintenance

Procurement Transactions Listing:

67. Vendor Name: VRI Environmental Services, Inc.

Type of Procurement: Design and Construction/Maintenance  
Award Process: Authority Contract - Non-Competitive Bid  
Award Date: 01/01/2014  
End Date: 12/31/2014  
Amount: \$6,262.87  
Amount Expended for Fiscal Year: \$6,262.87  
Fair market value: \$6,262.87

Explain why the fair market value is less than the amount:

Address Line1: P.O. Box 943  
Address Line2:  
City: MILLBROOK  
State: NY  
Postal Code: 12545  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: Fairways Water System Annual Operation and Maintenance

68. Vendor Name: VRI Environmental Services, Inc.

Type of Procurement: Design and Construction/Maintenance  
Award Process: Authority Contract - Competitive Bid  
Award Date: 01/01/2014  
End Date: 12/31/2014  
Amount: \$5,552  
Amount Expended for Fiscal Year: \$5,552  
Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: P.O. Box 943  
Address Line2:  
City: MILLBROOK  
State: NY  
Postal Code: 12545  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: Schreiber Water System Annual Operation and Maintenance

Procurement Transactions Listing:

69. Vendor Name: Van DeWater & Van DeWater, LLP

Type of Procurement: Legal Services  
Award Process: Authority Contract - Non-Competitive Bid  
Award Date: 01/01/2014  
End Date: 12/31/2014  
Amount: \$7,832.98  
Amount Expended for Fiscal Year: \$7,832.98  
Fair market value: \$7,832.98

Explain why the fair market value is less than the amount:

Address Line1: P.O. Box 112  
Address Line2: 85 Civic Center Plaza, Suite 101  
City: POUGHKEEPSIE  
State: NY  
Postal Code: 12601  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: Annual Legal Services

70. Vendor Name: Vector Security

Type of Procurement: Telecommunication Equipment or Services  
Award Process: Non Contract Procurement/Purchase Order  
Award Date:  
End Date:  
Amount:  
Amount Expended for Fiscal Year: \$7,043.33  
Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 59 Page Park Drive  
Address Line2:  
City: POUGHKEEPSIE  
State: NY  
Postal Code: 12603  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: Monitoring Service

Procurement Transactions Listing:

71. Vendor Name: Veith Enterprises, Inc.

Type of Procurement: Design and Construction/Maintenance  
Award Process: Authority Contract - Competitive Bid  
Award Date: 09/30/2014  
End Date: 06/30/2015  
Amount: \$10,596.62  
Amount Expended for Fiscal Year: \$10,596.62  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 100 Parker Avenue  
Address Line2:  
City: POUGHKEEPSIE  
State: NY  
Postal Code: 12601  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: Booster Pump Electrical Connection/system maintenance

72. Vendor Name: Weston & Sampson Engineers, Inc.

Type of Procurement: Design and Construction/Maintenance  
Award Process: Authority Contract - Competitive Bid  
Award Date: 08/21/2013  
End Date: 06/30/2015  
Amount: \$144,245  
Amount Expended for Fiscal Year: \$70,748.15  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: P.O. Box 843060  
Address Line2:  
City: BOSTON  
State: MA  
Postal Code: 02284  
Plus 4: 3060  
Province Region:  
Country: USA

Procurement Description: Shore Haven Water System Distribution Improvements Engineering Design

Procurement Report for Dutchess County Water and Wastewater Authority

Run Date: 03/12/2015

Fiscal Year Ending: 12/31/2014

Status: UNSUBMITTED

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Procurement Transactions Listing:

Additional Comments:

**Investment Information:**

Question	Response	URL (if applicable)
1. Has the Authority prepared an Annual Investment Report for the reporting period as required by Section 2925(6) of PAL?	Yes	<a href="http://www.DCWWA.org">http://www.DCWWA.org</a>
2. Are the Authority's investment guidelines reviewed and approved annually?	Yes	
3. Did the Authority have an independent audit of investments as required by Section 2925(3)(f) of PAL?	Yes	<a href="http://www.DCWWA.org">http://www.DCWWA.org</a>
4. Has the Authority's independent auditor issued a management letter to the Authority in connection with its annual audit of investments?	No	

Additional Comments:

# Dutchess County Water and Wastewater Authority

## INVESTMENT REPORT – FISCAL YEAR 2014

Section 2925 of the New York State Public Authorities Law requires that the Dutchess County Water and Wastewater Authority annually prepare and approve an investment report. This report is to include the investment guidelines, including any amendments to the guidelines since the last investment report, an explanation of the guidelines and amendments, the result of the annual independent audit, the investment income record and a list of total fees, commissions or other charges paid to each investment banker, broker, agent, dealer and advisor rendering investment associated services since the last investment report.

### Explanation of the Investment Guidelines

These investment guidelines were adopted at the regular monthly meeting of the Dutchess County Water and Wastewater Authority on January 15, 2014, Resolution #2014.01.M/13 (Attached). These guidelines meet the recommendations of the New York State Comptroller and fulfill the Authority's primary objectives for its investment activities of safety, liquidity and yield, in that order.

### Investment Activity

In the year ended December 31, 2014 the DCWWA received, accrued or was credited a total of \$143,841.35 in interest on investments. The distribution of these earnings was as follows:

Type of Account	Total Interest Earnings
Bond and Note related, held by Trustee	\$ 50,724.89
Municipal Money Market Savings	1,526.07
Interest Bearing Checking	733.39
Interest Income for Subsidy Payments	<u>90,857.00</u>
<b>Total</b>	<b>\$143,841.35</b>

All bank deposits of Authority funds are required to be fully collateralized.

### Fees

The Authority paid a total of \$35,242.00 in trustee and trust account administration services during fiscal year 2014, covering 43 separate accounts.

Trustee	Total Fees Paid
BONY Mellon	\$ 21,050.00
M&T Investment Group	<u>14,192.00</u>
<b>Total</b>	<b>\$ 35,242.00</b>

# **Dutchess County Water and Wastewater Authority**

## **Independent Audit**

Attached

**Review and Re-adoption of Investment Policy**

Vince DiMaso offers the following resolution and moves its adoption:

Whereas; an Investment Policy meeting the recommendations of the State Comptroller has been in use by the Authority and recommended by the Staff to the Authority Board for re-adoption with updates and revisions; and

Whereas; the Authority Board has reviewed the Investment Policy recommended by the Authority Staff and finds it acceptable;

Now therefore be it resolved; the Authority Board hereby adopts the recommended Investment Policy.

Seconded by Larry Knapp

<u>Record of Vote:</u>	<u>Aye</u>	<u>Nay</u>
Thomas LeGrand	___	___
Vincent DiMaso	___	___
Ann Marie Donohue	___	___
Larry Knapp	___	___
Rudy Vavra	___	___

**Financial Documents:**

Question	Response	URL (if applicable)	Attachments
1. Attach the independent audit of the Authority's financial statements.	N/A	<a href="http://www.DCWWA.org">http://www.DCWWA.org</a>	Attachment Included
2. Has the Authority's independent auditor issued a management letter to the Authority in connection with its audit of the Authority's financial statements?	No		
3. Has the Authority's independent auditor issued a Report on Internal Controls Over Financial Reporting to the Authority?	Yes	<a href="http://www.DCWWA.org">http://www.DCWWA.org</a>	Attachment Included
4. Attach any other communication required or allowed by government auditing standards issued by the Comptroller General of the United States to be issued by the Authority's independent auditor in connection with its annual audit of the Authority's financial statements.			

Additional Comments: