

FAMILY & HUMAN SERVICES

RESOLUTION NO. 2014178

RE: AMENDING THE 2014 ADOPTED COUNTY BUDGET AS  
IT PERTAINS TO THE DEPARTMENT OF MENTAL HYGIENE  
(A.4320.42)

Legislators KELSEY, FLESLAND, SAGLIANO, INCORONATO, and  
JOHNSON offer the following and move its adoption:

WHEREAS, the Commissioner of Mental Hygiene has advised that additional  
state aid from New York State Office of Mental Health (NYS OMH) has been made available for  
Astor Services for Children and Families, a contract agency, and

WHEREAS, this additional state aid is 100% pass through funding awarded to the  
contract agency, and

WHEREAS, it is necessary to amend the 2014 Adopted County Budget to provide  
for the receipt and expenditure of these funds, now therefore, be it

RESOLVED, that the Commissioner of Finance is authorized, empowered and  
directed to amend the 2014 Adopted County Budget as follows:

APPROPRIATIONS

Increase

A.4320.42.4400.4447	Cont Ag – Astor	<u>\$432,377</u>
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REVENUES

Increase

A.4320.42.34900.40	MH State Aid - Astor	<u>\$432,377</u>
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CA-113-14  
KPB/ca/G-0167  
6/10/14

Fiscal Impact: See attached statement

STATE OF NEW YORK

ss:

COUNTY OF DUTCHESS

This is to certify that I, the undersigned Clerk of the Legislature of the County of Dutchess have compared the foregoing resolution with  
the original resolution now on file in the office of said clerk, and which was adopted by said Legislature on the 7<sup>th</sup> day of July 2014, and that the same  
is a true and correct transcript of said original resolution and of the whole thereof.

IN WITNESS WHEREOF, I have hereunto set my hand and seal of said Legislature this 7<sup>th</sup> day of July 2014.

CAROLYN MORRIS, CLERK OF THE LEGISLATURE

## FISCAL IMPACT STATEMENT

NO FISCAL IMPACT PROJECTED

### APPROPRIATION RESOLUTIONS *(To be completed by requesting department)*

Total Current Year Cost \$ 432,377

Total Current Year Revenue \$ 432,377  
and Source

100% State funded state aid from NYS OMH

Source of County Funds *(check one)*:  Existing Appropriations,  Contingency,  
 Transfer of Existing Appropriations,  Additional Appropriations,  Other *(explain)*.

Identify Line Items(s):

Related Expenses: Amount \$ 0

Nature/Reason:

Anticipated Savings to County: \$ 0

Net County Cost (this year): \$ 0  
Over Five Years: \$ 0

#### Additional Comments/Explanation:

To allow for the receipt of and payment to Astor Services for Children and Families additional 100% state funded state-aid from NYS OMH in the amount of \$432,377.

Prepared by: Gerald A. Brisley, II, MBA, Division Chief - Administrative Operations



State of New York  
Andrew Cuomo  
Governor

**omh** Office of Mental Health  
44 Holland Avenue  
Albany, New York 12229  
www.omh.ny.gov  
Ann Marie T. Sullivan, M.D., Acting Commissioner

April 25, 2014

Kenneth M. Glatt, Ph.D., ABPP  
Commissioner  
Dutchess Co Dept of Mental Hyg  
230 North Rd  
Poughkeepsie, NY 12601

Dear Commissioner Glatt, Ph.D., ABPP:

The NYS Office of Mental Health (OMH) is issuing your January 1, 2014 to December 31, 2014 State Aid Letter (SAL) to reflect your latest allocation. Your total allocation amount at this time is \$11,799,283. The allocations authorized in this letter include full annual funding for initiatives previously authorized. The first six months are approved actuals and the second six months are estimates. Any changes in your authorization level based on the enactment of the 2014-15 State Budget will result in a revised State Aid Letter.

Please ensure the County Allocation Tracker (CAT) is updated to agree with the State Aid Letter allocations and reflects all county contracts funded with State Aid. As a reminder, the Consolidated Claim Report (CCR)/Consolidated Financial Report (CFR) for local fiscal year 2013 is due May 1, 2014. Guidelines for completion of the CCR/CFR can be accessed through the OMH website. If any of your providers need assistance in completing these forms, they should contact the OMH Help Desk at 1-800-HELPNYS.

The Aid to Localities Spending Plan Guidelines, which explain the reporting and use requirements of your authorized funding, can also be accessed through the OMH website. In addition, please remember if you receive federal funds, to submit your two federal certifications which are also available on the OMH website. Please share this website with all of your subcontract providers so that they may become familiar with the guidelines that apply to them, and refer to the guidelines as necessary. As a reminder, failure to submit the CAT, and/or CCR/CFR schedules in a timely manner may result in the delay of subsequent State Aid payments and/or Medicaid payments.

Inherent in OMH's budget and claiming policy is the expectation that your department will monitor expenditures against budgeted costs throughout the year. Please notify your OMH Field Office of any significant fiscal or programmatic problems as soon as they become known. If you have questions regarding any local mental health fiscal issues, including questions regarding the information or instructions that are included in this letter, please call William Porter at OMH Hudson River Field Office at (845) 454-8229.

Sincerely,

April A. Wojtkiewicz, Assistant Director  
Community Budget & Financial Management

Att:

cc: William Porter



County Code: 14

Funding Source Allocation Table  
 County Name: Dutchess

Year: 2014

Attachment A

Funding Source	Code	Type	Prior Letter Allocation	Allocation Changes Since Prior Letter	Revised Current Fiscal Year Allocation	Annualized Value from Prior Letter	Annualized Value Changes from Prior	Fiscal Year Revised Annualized Value	Beds
Adult-Family Support Forensics	039G 039F	MARPA MARPA	\$60,864 \$456	\$0 (\$456)	\$60,864 \$0	\$0 \$0	-\$30,432 \$0	\$30,432 \$0	

Remarks

Reversal of the previous Forensics (039J) allocation, related to Correctional Healthcare Training Conference county scholarships.

The funds (\$456) are to cover the lodging costs for one overnight stay for select staff to attend a Correctional Healthcare Training Conference to be held on October 28th and 29th in Saratoga. Staff includes the head of the county jail, the Director of the County DMH (or his or her designee), the chief person responsible for physical healthcare in the county jail, and an additional staff dealing with delivery of health and mental health care in the county jail. State Aid Funds appropriated to support conference attendance, may only be used for this purpose.

Psych Rehab Clinical Infrastructure-Adult	039L 039P	MARPA MARPA	\$93,108 \$76,444	\$0 \$0	-\$93,108 \$76,444	\$0 \$0	-\$45,554 -\$86,222	\$45,554 \$38,222	\$46,554 \$38,222
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Remarks

Increase of \$5,272 (FAV=\$5,272) as restoration to State Aid, effective 01/01/2014, of OSP Medicaid that has funded the following provider/program code/amount: Dutchess County Dept. of Mental Health/PC 1400/\$5,272.

Innovative Psychiatric Rehabilitation Clinical Infrastructure-C&F	039Q 046A	MARPA MARPA	\$94,552 \$65,584	\$0 \$0	-\$94,552 \$65,584	\$0 \$0	-\$47,276 -\$92,792	\$47,276 \$92,792	\$47,276 \$92,792
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Remarks

Increase of \$6,412 (FAV=\$6,412) as restoration to State Aid, effective 01/01/2014, of OSP Medicaid that has funded the following provider/program code/amount: Astor Services for Children & Families/PC 1400/\$1,096, Dutchess County Dept. of Mental Health/PC 1400/\$4,316.

Emergency Services C&F	046G	MARPA	\$458,980	\$0	-\$458,980	\$0	-\$229,490	\$229,490	\$229,490
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**Attachment A**  
**Funding Source Allocation Table**  
 County Code: 14    County Name: Dutchess    Year: 2014

Authorized On: 4/25/2014 3:32:55 PM  
 Printed On: 4/28/2014  
 Page 3 of 4.

Funding Source	Code	Type	Prior Letter Allocation	Allocation Changes Since Prior Letter	Revised Current Fiscal Year Allocation	Annualized Value from Prior Letter	Annualized Value Changes from Prior	Fiscal Year Revised Annualized Value	Beds
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**Remarks**  
 Increase of \$206,992 (FAV \$206,992) as restoration to State Aid, effective 01/01/14 of CSP Medicaid that has funded the following provider/program code: Astor Services for Children/PC3040/PC206,992.  
 The allocation funds a Home Based Crisis Intervention program.

Community Support Programs-C&F Supported Housing	046L 078	MHPFA MHPFA	\$298,784 \$2,589,483	\$0 \$67,635	\$298,784 \$2,657,118	\$0 \$0	\$149,392 \$2,679,664	\$149,392 \$2,679,664	
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**Remarks**

Effective April 1, 2014, adding 7 beds at \$12,888 per bed associated with enhanced investment in community support programs in an effort to reduce reliance on inpatient services and beds. OMH will provide further guidance on these beds in a separate correspondence.  
 The annualized funding assumes 174 beds at an annual per bed rate of \$13,026. Funding for 15 new beds as of 10/10. Full annual funding for these beds is \$195,390. Of the 15 new units, all are designated for long-stay SPC patients.

Prior Year Liability	122P	MHPFA	\$0	\$0	\$0	\$0	\$0	\$0	
Trans. Mgmt. Kendra's	170B	MHPFA	\$38,440	\$0	\$38,440	\$0	\$19,220	\$19,220	
MCP Admin Kendra's	170C	MHPFA	\$13,956	\$0	\$13,956	\$0	\$6,978	\$6,978	
Medication Grant Kendra's	170D	MHPFA	\$27,188	\$(13,594)	\$13,594	\$0	\$0	\$0	
Adult Home Court-Ordered/Nursing H 78		MHPFA	\$1,389,765	\$0	\$1,389,765	\$0	\$555,906	\$555,906	

**Attachment A**  
**Funding Source Allocation Table**  
 County Code: 14      Funding Source Name: Dutchess      Year: 2014

Authorized On: 4/25/2014 3:32:55 PM  
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 Page 4 of 4

Funding Source	Code	Type	Prior Letter Allocation	Allocation Changes Since Prior Letter	Revised Current Fiscal Year Allocation	Annualized Value from Prior Letter	Annualized Value Changes from Prior	Fiscal Year Revised Annualized Value	Beds
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**Remarks:**  
 This funding represents an allocation of 70 supported housing beds related to the adult home RFP as of 1/1/14, plus an allocation for in-reach and assessments. The 1/1/14 payment represents 2 quarters. These beds have an annualized value of \$901,810 (\$12,888 per bed) and an annualized value of \$210,000 for in-reach and assessments. The total annual allocation for these beds is \$1,111,810.

Com. Reimbursement	200	MHEPA	\$2,733,608	\$0	\$2,733,608	\$0	\$1,366,802	\$1,366,802	
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**Remarks:**  
 CSP Total Restoration of \$335153 (AV=\$335153) which was funding the following programs: \$54053 (AV=\$54053) for CSP restoration for Program Outreach (0990) in Astor Services for Children & Families (18320), originally funded from FC 001A; \$170236 (AV=\$170236) for CSP restoration for Program Outreach (0990) in Astor Services for Children & Families (18320), originally funded from FC 200; \$110864 (AV=\$110864) for CSP restoration for Program Advocacy/Support Services (1760) in Dutchess County Department of Mental Hyg (70180), originally funded from FC 001A; This restoration is effective 1/1/2014.

Supported Housing - Workforce RIV	200C	MHEPA	\$141,712	\$0	\$141,712	\$0	\$70,856	\$70,856	
Homeless/MICA	300	MHEPA	\$206,076	\$0	\$206,076	\$0	\$103,038	\$103,038	
Commissioner's Perf	400	MHEPA	\$136,640	\$0	\$136,640	\$0	\$68,320	\$68,320	
Health Home	570	MHEPA	\$1,120,236	\$0	\$1,120,236	\$0	\$560,118	\$560,118	
<b>Grand Total</b>			<b>\$1,1745,698</b>	<b>\$53,585</b>	<b>\$1,120,236</b>	<b>\$0</b>	<b>\$7,102,004</b>	<b>\$7,102,004</b>	



NYS Office of Mental Health  
Aid to Localities Financial Systems

**Case Management Report**

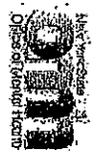
**Dutchess County**  
(County Code 14)

**Year: 2014**

DWH

Printed On: 4/28/2014

Prog. Code	Program Name	ECM/ICM/SCM/Adult Homes				Gross Manager Costs	Peer Cost (Adult Home)	Training Cost	Total Gross Cost (Includes DSH)	Medicaid Income (M.I.)	Total DSH	Net State Aid Total Gross Cost minus M.I. minus DSH)	Annualized Value
		# ICM Mgrs	ICM Slots	# SCM Mgrs	SCM Slots								
Funding Code = 034K													
1810	ICM Managers (C&Y)	3.00		3.67		\$209,604	\$0	\$1,817	\$211,221	\$190,833	\$0	\$20,388	
1910	ICM Service Dollars (C&Y)					\$209,604	\$0	\$1,817	\$40,404	\$190,833	\$0	\$49,404	
	Total C&Y-ICM	3.00		3.67		\$209,604	\$0	\$1,817	\$251,625	\$190,833	\$0	\$69,792	
Funding Code = 034K													
6810	SCM Managers (C&Y)			2.00	40	\$139,736	\$0	\$1,078	\$140,814	\$95,519	\$0	\$45,304	
6910	SCM Service Dollars (C&Y)			2.00	40	\$139,736	\$0	\$1,078	\$13,464	\$95,519	\$0	\$13,464	
	Total C&Y-SCM			2.00	40	\$139,736	\$0	\$1,078	\$154,278	\$95,519	\$0	\$58,799	
Funding Code = 034K													
6820	Adult Home Managers			4.00	120	\$279,472	\$76,752	\$2,156	\$398,380	\$281,628	\$0	\$76,752	
6920	Adult Home Service Dollars			4.00	120	\$279,472	\$76,752	\$2,156	\$26,928	\$281,628	\$0	\$26,928	
	Total Adult Homes			4.00	120	\$279,472	\$76,752	\$2,156	\$385,308	\$281,628	\$0	\$103,680	



NYS Office of Mental Health  
Aid to Localities: Financial Systems

**Case Management Report**  
**Dutchess County**  
(County Code 14)  
**Year: 2014**  
DMH

Printed On: 4/28/2014

Prog. Code	Program Name	ACT Teams				Gross Manager Costs	Peer Cost (Adult Home)	Training Cost	Total Gross Cost (Includes DSH)	Medicaid Income (M.I.)	Total DSH	Net State Aid (Total Gross Cost minus M.I., minus DSH)	Annualized Value
		Local	State	Local	State								
8810	State Operated ACT Service \$ (69)					1.00			\$33,188	\$0	\$0	\$33,188	
	Total ACT (69)					-1.00			\$33,188	\$0	\$0	\$33,188	

Prog. Code	Program Name	ACT Teams				Gross Manager Costs	Peer Cost (Adult Home)	Training Cost	Total Gross Cost (Includes DSH)	Medicaid Income (M.I.)	Total DSH	Net State Aid (Total Gross Cost minus M.I., minus DSH)	Annualized Value
		Local	State	Local	State								
2720	Non-Medicaid Care Coordination (Adult)								\$462,408		\$0	\$462,408	
	Total Non-Medicaid Care Coord (Adult)								\$462,408		\$0	\$462,408	

Prog. Code	Program Name	ACT Teams				Gross Manager Costs	Peer Cost (Adult Home)	Training Cost	Total Gross Cost (Includes DSH)	Medicaid Income (M.I.)	Total DSH	Net State Aid (Total Gross Cost minus M.I., minus DSH)	Annualized Value
		Local	State	Local	State								
2720	Non-Medicaid Care Coordination (C&Y)								\$94,164		\$0	\$94,164	
	Total Non-Medicaid Care Coord (C&Y)								\$94,164		\$0	\$94,164	

Prog. Code	Program Name	ACT Teams				Gross Manager Costs	Peer Cost (Adult Home)	Training Cost	Total Gross Cost (Includes DSH)	Medicaid Income (M.I.)	Total DSH	Net State Aid (Total Gross Cost minus M.I., minus DSH)	Annualized Value		
		Local	State	Local	State										
	Grand Total Adult								\$279,472	\$76,752	\$2,195	\$880,884	\$281,628	\$0	\$589,256
	Grand Total C&Y								\$348,340	\$0	\$2,895	\$500,087	\$288,343	\$0	\$211,744

MARCUS J. MOLINARO  
COUNTY EXECUTIVE



KENNETH M. GLATT, PH.D.  
COMMISSIONER

COUNTY OF DUTCHESS  
DEPARTMENT OF MENTAL HYGIENE

# Memorandum

TO: Valerie J. Sommerville, Budget Director

FROM: Gerald A. Brisley, II, MBA, Division Chief – Administrative Operations

DATE: June 5, 2014

RE: **2014 BUDGET RESOLUTION – CONTRACT AGENCY ADDITIONAL STATE AID**



The enclosed resolution regarding Astor Services for Children and Families, a contract agency, includes a request to allow for additional state aid to be received from NYS OMH and subsequently for this state aid to be paid to the contract agency. This additional state aid was awarded to the contract agency in the amount of \$432,377 per the attached highlighted comments in OMH's 4/25/14 state aid letter.

There is no cost to the County with regard to this resolution; the appropriation is 100% funded by NY State aid pass-through grant funding.

If you have any questions, please do not hesitate to contact me at X-2755.

Encls

CC: Kenneth M. Glatt, Ph.D., ABPP, Commissioner  
Margaret A. Hirst, LCSW-R, Division Chief  
William F. X. O'Neill, Deputy County Executive, Chief of Staff  
Jessica White, Senior Research Analyst  
Keith Byron, Senior Assistant County Attorney

2014 JUN -5 PM 2:51

COMMUNICATIONS SECTION  
RECEIVED

# RESOLUTION REQUEST FORM

Date of Legislative Meeting: July 7, 2014

**Department:**  
MENTAL HYGIENE

**Contact (Name & Phone No.):**  
GERALD A. BRISLEY, II, MBA X-2755

**Purpose of Resolution:** (check appropriate boxes)

- Personnel (Involving Authorized Positions)
- Authorizing Grant Application
- Contract/Lease Authorization (using budgeted funds)
- Budget Amendment
  - Grant
  - Contingency
  - Other (specify below)
- Capital Project
- Other (specify below)

**Brief Description of Request:**

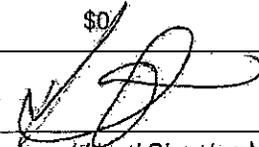
NYS OMH has provided \$432,377 in additional 100% state funding to Astor Services for Children and Families, per the attached highlighted comments in OMH's 4/25/14 state aid letter.

**Fiscal Impact (current year) of resolution: \$ 432,377**  
(Attach completed Fiscal Impact Statement)

**Budget Amendment(s) & Capital Projects:**

	<u>Line No.</u>	<u>Description</u>	<u>Increase</u>	<u>Decrease</u>
	A.4320.42.4400.4447	Cont Ag - Astor	\$432,377	\$0
Appropriations:			\$0	\$0
Revenues:	A.4320.42.34900.40	MH State Aid - Astor	\$432,377	\$0
			\$0	\$0

06/05/14  
(Date)

  
(Department Head Signature)