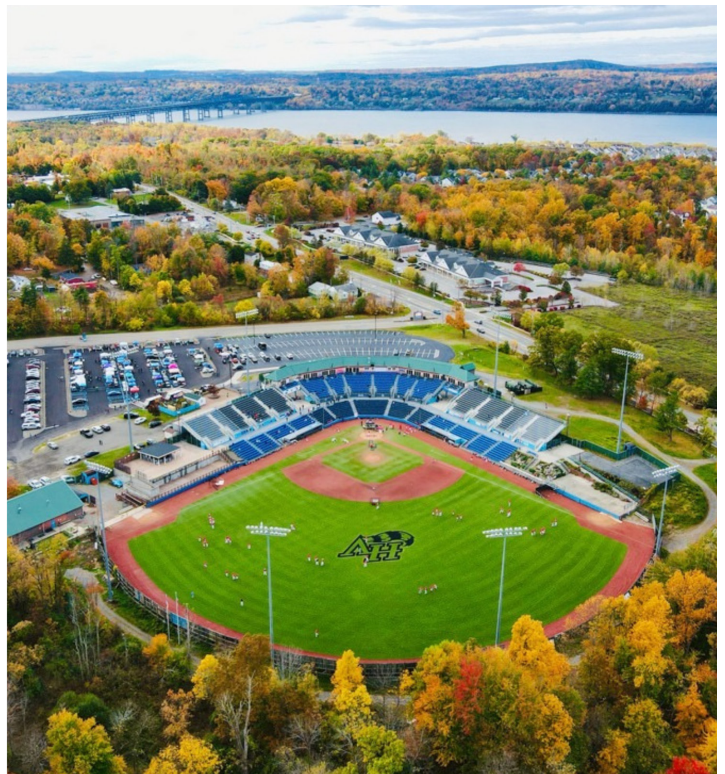

SPECIAL REPORT

DUTCHESS COUNTY MAJOR CAPITAL PROJECT STATUS REVIEW



MAY 2022



OFFICE OF THE
DUTCHESS COUNTY COMPTROLLER

ROBIN L. LOIS, COMPTROLLER



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Comptroller**

**Aaron P. Hoffstatter
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Dear County Officials and Taxpayers,

The Dutchess County Comptroller's Office has completed a review and summarization report of all major capital projects in progress as of December 31, 2021. This will be the first of a three-part series of reports on capital project spending, planning, and its associated debt costs. Our goal is to ensure transparency and accountability as it relates to capital project spending and planning.

The Comptroller's Office routinely audits and reports on capital projects completed and in progress as it is a large use of taxpayer funds. Capital projects are often viewed, approved, and reported on independent of one another, but it is important to routinely take a holistic look at all capital projects so that we can understand their impact on current and future county finances and resources.

There are 67 open capital projects at various stages of completion currently projected at a cost of **\$460.8 million**. Over the last ten years, open capital projects have increased by **\$168 million** or almost **58%**. There are an additional **\$104.5 million** in new capital projects planned for 2022, as per the 2022 Capital Program adopted by the Legislature in November 2021.

Of the projects slated to begin in 2022, the most notable are: (1) Emergency Response's **\$30 million** consolidated two-way radio project, (2) DPW-Buildings' **\$15 million** Youth Opportunity Center (The YOU), and (3) DPW-Parks' **\$10 million** Poughkeepsie Urban Trail. There is also a **\$3.1 million** resolution being discussed to fund the purchase and design costs of a new emergency housing facility on Oakley Street in Poughkeepsie.

Our office will continue to monitor and report on these projects as they develop.

Respectfully submitted,



Robin L. Lois
Dutchess County Comptroller

Table of Contents

I. Background	3
II. Scope & Objective	3
III. Methodology	3
IV. Major Building Projects Over \$1 Million.....	4-15
1. EA0492 - Aircraft Rescue & Firefighting/Snow Removal Equipment	5
2. EA0501/HC0501 - DCC Airport Educational Facility	6
3. H0484 - Building Renovations/Repairs	7
4. H0487 - Dutchess County Justice and Transition Center	8
5. H0496 - Building Renovations/Repairs	9
6. H0514 - Emergency Training Center.....	10
7. H0528/EA0528 - Building Renovations/Repairs	11
8. H0539 - HVAC System Repairs at 10 and 22 Market Street.....	12
9. H0567 - Building Renovations/Repairs	13
10. H0569 - Dutchess County Youth Opportunity Center.....	14
11. HC0522 - DCC Roof Replacement Phase II	15
V. Parks Projects Over \$1 Million	16-21
1. H0524 - 2018 Harlem Valley Rail Trail (Phase IV)	17
2. H0526 - Dutchess Stadium Improvements/Repairs	18
3. H0555 - Parks Improvements	19
4. H0559 - Stadium Improvements	20
5. H0566 - Parks Improvements	21
VI. Exhibit I	22-23
VII. Exhibit II	24

I. Background

Capital projects are long-term, capital-intensive investment projects. They are defined by their large scale and large cost relative to other investments that involve less planning and resources. The Comptroller's Office routinely audits and reports on capital projects completed and in progress, as it is a large use of taxpayer funds. Over the last ten years open capital projects have increased by \$168 million¹ or almost 58%.

The current amount of open capital projects as of December 31, 2021, is \$460.8 million of which \$129.7 million remains to be appropriated (Exhibit I). The projected total gross costs for new capital projects in 2022 are \$104.5 million, as outlined in the 2022 Capital Program adopted in November of 2021 (Exhibit II).

Capital projects are most commonly funded by the issuance of debt but can also be funded (or partially funded) by Federal, State, or County funds. According to the 2022 Capital Program, the County's net indebtedness as of December 31, 2021, is projected to be \$198.8 million, with an additional \$134.7 million² authorized but unissued. Annual principal and interest costs for 2022 is projected to be \$19.8 million³.

II. Scope & Objective

Our objective is to report on the major current open Buildings and Parks capital projects authorized over \$1,000,000 which had activity as of December 31, 2021. We will summarize each of these major projects and report the revenue and expenses as of December 31, 2021. Projects administered by the Dutchess County Department of Public Works Buildings (including Hudson Valley Regional Airport and Dutchess Community College) and the Parks Divisions were reviewed. Road, bridge, equipment, home, and community service projects were not reviewed, but are summarized in Exhibit I.

This report will be the first of a three-part series on capital spending. Our second report will focus on the importance of a Five-Year Capital Program and compare actual capital projects which have commenced, to the projected Capital Program for 2017-2021. Our third report will focus on debt service for the current capital projects and its financial impact for the next several years.

III. Methodology

Our review included comparisons of the County financial system (Budget Performance Reports), authorizing Resolutions, and the County Capital Plan.

¹Per 2013 Capital Improvement Program; Current Capital Projects pg. 53 compared to 2022 Capital Plan pg. 33.

²Per 2022 Dutchess County Capital Plan, pg. 4.

³Per 2022 Dutchess County Capital Plan, pg. 5.

IV. Current Major Buildings Projects Over \$1 Million

Project Number	Project Name	Original Project Amount	Amendments	Amended Project Amount	Life to Date Revenue	Life to Date Expenses	Amt. Remaining on Project
EA0492	Airport ARFF/SRE Building	\$351,000.00	\$8,465,900.00	\$8,816,900.00	\$8,810,504.68	\$8,738,604.06	\$78,295.94
EA0501/ HC0501	DCC Airport Educational Facility	\$8,106,945.00	\$6,287,500.00	\$14,394,445.00	\$14,273,402.75	\$13,392,371.15	\$1,002,073.85
H0484	Building Repairs	\$1,333,200.00	-	\$1,333,200.00	\$1,333,200.00	\$1,323,682.33	\$9,517.67
H0487	DC Justice & Transition Center	\$192,150,000.00	-	\$192,150,000.00	\$100,865,153.86	\$97,141,302.51	\$95,008,697.49
H0496	2016 Building Repairs/Renovations	\$3,333,000.00	-	\$3,333,000.00	\$3,340,770.72	\$2,896,732.47	\$436,267.53
H0514	2018 Emergency Training Center	\$3,030,000.00	-	\$3,030,000.00	\$2,125,099.98	\$176,940.09	\$2,853,059.91
H0528/ EA0528	2018 Building Repairs/Renovations	\$6,797,300.00	\$3,251.00	\$6,800,551.00	\$5,102,761.45	\$4,542,584.88	\$2,257,966.12
H0539	2019 HVAC System Repairs at 10 and 22 Market St.	\$16,665,000.00	-	\$16,665,000.00	\$3,056,277.62	\$311,544.32	\$16,353,455.68
H0567	2021 Building Improvements	\$3,787,500.00	-	\$3,787,500.00	\$0.00	\$11,062.50	\$3,776,437.50
H0569	Youth Opportunity Center	\$8,500,000.00	-	\$8,500,000.00	\$26,923.49	\$165,323.49	\$8,334,676.51
HC0522	Roof Replacement Phase II	\$3,186,800.00	-	\$3,186,800.00	\$2,906,062.79	\$2,585,992.31	\$600,807.69
TOTALS		\$247,240,745.00	\$14,756,651.00	\$261,997.39	\$141,840,157.34	\$131,286,140.11	\$130,711,255.89



Department of Public Works AIRPORT	
Project #:	EA0492
Res. / Adoption Date:	#2016105 / May 2016
Location:	263 New Hackensack Rd., Wappingers Falls
Current Funding:	\$8,816,900.00

Project Description / EA0492 - Aircraft Rescue & Firefighting/Snow Removal Equipment (ARFF/SRE) Building

This project was for the design of a pre-engineered metal building for the ARFF/SRE and the associated water distribution and the construction of the building at the Hudson Valley Regional Airport. Two resolutions were passed for this project. Resolution #2016105 dated May 2016 authorized the design of the building, and the associated water distribution. Resolution #2018211 dated August 2018 authorized the funding for the construction of the building.

Funding Details

A total of \$8,816,900.00 was authorized for this project. The FAA (Federal Aviation Administration) is providing 90% of the funding and the NYSDOT (New York State Department of Transportation) is providing an additional 5% leaving the remaining 5% of costs as the County's responsibility. As of December 31, 2021, a total of \$8,810,504.68 has been received for this project.

Financial Summary / Fund EA0492 - Airport ARFF/SRE Building	
REVENUES	
Capital Project Transportation NYS	\$437,694.00
Capital Project Transportation Federal	\$7,878,490.00
Interfund Transfers	\$500,716.00
TOTAL APPROVED FUNDING	\$8,816,900.00
EXPENSES THROUGH DECEMBER 31,2021	
Building Reconstruction	\$7,983,107.71
Professional Services Consultants	\$755,496.35
TOTAL EA0492 EXPENSES	\$8,738,604.06
REMAINING APPROVED FUNDING	\$78,295.94



Department of Public Works AIRPORT	
Project #:	EA0501/HC0501
Res. / Adoption Date:	#2017240 / December 2017
Location:	237 New Hackensack Rd., Wappingers Falls
Current Funding:	\$14,633,950.72

Project Description / EA0501/HC0501 - DCC Airport Educational Facility

In December 2017, Resolution #2017240 authorized a ground lease of airport property to Dutchess Community College (DCC) for the construction of an Aviation Maintenance and Education Center. This hangar building is to be used as an instructional site to enhance the aviation program offered by the college.

Funding Details

Resolution #2016271 authorized \$8,089,000 construction costs of which \$1,812,445 in County bonds and a transfer of \$2,250,000 from General Contingency for this project to pay the County's portion. NYS matched cost at \$4,044,500. Resolution #2018285 dated November 2018 authorized an additional \$2,525,000 in serial bonds from the County (NYS share is \$2,500,000), as the revised estimated cost of the project increased to \$13,089,000. In August 2019, an additional \$1,262,500 in serial bonds was authorized by Resolution #2019222. **Both the 2018 and the 2019 resolutions were rushed to the Legislature and required a waiving of the rules of the legislature to be voted on.** Resolution #2020183 dated December 2020 authorized the transfer of the assets, liabilities, and balance of the budget in the Airport Capital Project EA0501 to the newly created HC0501 fund effective January 1, 2020. This transfer was recommended by the Dutchess County Commissioner of Finance in order to conform to standard accounting principles. As of December 31, 2021, a total of \$14,633,950.72 had been received for this project.

Financial Summary / Fund EA0501/HC0501 - DCC Airport Educational Facility	
REVENUES	
Capital Project Transportation NYS	\$6,678,457.00
Interfund Transfers	\$2,250,000.00
Serial Bonds	\$5,595,000.00
Premium on Obligations	\$110,493.72
TOTAL APPROVED FUNDING	\$14,633,950.72
EXPENSES THROUGH DECEMBER 31,2021	
Building - New	\$11,973,407.64
Building - Reconstruction	\$16,188.07
Professional Services Consultants	\$1,388,881.00
Bond Issuing Costs	\$13,894.44
TOTAL EA/HC0501 EXPENSES	\$13,392,371.15
REMAINING APPROVED FUNDING	\$1,241,579.57



Department of Public Works BUILDING RENOVATIONS/REPAIRS
Project #: H0484
Res. / Adoption Date: #2015113 / May 2015
Location: 10 and 22 Market St., Poughkeepsie
Current Funding: \$1,333,200.00

Project Description / H0484 - Building Renovations/Repairs

Resolution #2015113 dated May 2015, authorized serial bonds in order to pay for the cost of the reconstruction of various County buildings. Costs paid were primarily for the design, professional consulting, modernization, and maintenance of elevators in various buildings. Most of the funds were for the upgrade to the elevators in the County Office Building, an upgrade to the Family Court security system, design, and construction documents for the HVAC (heating, ventilation, and air condition) system at 10 and 22 Market Street, and miscellaneous costs for bid ads, office supplies, etc.

Funding Details

A total of \$1,333,200 in serial bonds were approved and issued. A total of \$1,323,682.33 was expended as of 12/31/21. There is a total of \$9,517.67 remaining in this project.

Financial Summary / Fund H0484 - Building Renovations/Repairs	
REVENUES	
Serial Bonds	\$1,333,200.00
TOTAL APPROVED FUNDING	\$1,333,200.00
EXPENSES THROUGH DECEMBER 31,2021	
Building Reconstruction	\$986,489.18
Office Supplies	\$1,860.00
Professional Services Consultants	\$331,650.00
Bond Issuing Costs	\$3,683.15
TOTAL H0484 EXPENSES	\$1,323,682.33
REMAINING APPROVED FUNDING	\$9,517.67



Department of Public Works JUSTICE AND TRANSITION CENTER	
Project #:	H0487
Res. / Adoption Date:	#2016031 / March 2016
Location:	North Hamilton St., Poughkeepsie
Current Funding:	\$192,150,000.00

Project Description / H0487 - Dutchess County Justice and Transition Center

Resolution #2016031 dated March 2016, authorized \$192,150,000 in serial bonds for the design and construction of the Dutchess County Justice and Transition Center (JTC). Project A was for the construction of the Law Enforcement Center (LEC) and Project B is for the construction of the Justice and Transition center (JTC) currently underway. This is the largest capital project in Dutchess County history.

Funding Details

As of December 31, 2021, a total of \$100,000,000 in serial bonds has been issued. There was also a premium received of \$865,153.86 on bond revenue. Premiums are not budgeted. A total of \$97,141,302.51 has been expended as of December 31, 2021 for the construction of the LEC and the JTC.

Financial Summary / Fund H0487 - DCJTC			
REVENUES			
Serial Bonds			\$192,150,000.00
TOTAL APPROVED FUNDING			\$192,150,000.00
EXPENSES THROUGH DECEMBER 31, 2021			
Positions	\$551,037.77	Professional Services	\$14,916,197.62
Furniture, Fixtures, and Equip. (Capital)	\$1,697,083.62	Accountants & Auditors	\$134,000.00
Building - New	\$50,274,335.51	Rent/Lease - Real Property Long Term	\$192,958.19
Building - Reconstruction	\$28,872,279.02	Employee Travel & Expense	\$3,753.69
Office Supplies	\$2,148.00	Furniture & Office Equip. - Non-Depreciable	\$2,477.60
Property Insurance	\$258,459.00	Bond Issuing Costs	\$236,572.49
TOTAL H0487 EXPENSES			\$97,141,302.51
REMAINING APPROVED FUNDING			\$95,008,697.49



Department of Public Works BUILDING RENOVATIONS/REPAIRS	
Project #:	H0496
Res. / Adoption Date:	#2016139 / July 2016
Location:	Various Dutchess County Buildings
Current Funding:	\$3,333,000.00

Project Description / H0496 - Building Renovations/Repairs

A total of \$3,333,000 in serial bonds was authorized by Resolution #2016139 dated July 2016. This project is for the reconstruction of various County buildings and facilities which include HVAC installation and improvements, as well as the purchase and installation of underground fuel tanks at selected County buildings and facilities.

Funding Details

A total of \$3,280,000 has been issued for the project as of December 31, 2021. In addition, \$60,770.72 was received as revenue for a premium on this bond. As of December 31, 2021, a total of \$2,896,732.47 has been expended.

Financial Summary / Fund H0496 - Building Renovations/Repairs	
REVENUES	
Serial Bonds	\$3,333,000.00
TOTAL APPROVED FUNDING	\$3,333,000.00
EXPENSES THROUGH DECEMBER 31,2021	
Furniture, Fixtures, & Equip.	\$3,133.00
Building Reconstruction	\$2,361,235.38
Professional Services Consultants	\$518,039.59
Other Equip. - Non-Depreciable	\$3,478.04
Bond Issuing Costs	\$10,846.46
TOTAL H0496 EXPENSES	\$2,896,732.47
REMAINING APPROVED FUNDING	\$436,267.53



Department of Public Works EMERGENCY TRAINING CENTER
Project #: H0514
Res. / Adoption Date: #2018058 / April 2018
Location: Creek Rd., Town of Hyde Park
Current Funding: \$3,030,000.00

Project Description / H0514 - Emergency Training Center
Resolution #2018058 dated April 2018 approved the use of \$3,030,000 in serial bonds to pay for the construction of a new Emergency Services Training Center at the Department of Emergency Response on Creek Road in the Town of Hyde Park.

Funding Details
As of June 30, 2021, a total of \$2,000,000 in serial bonds has been issued and \$125,099.98 was received in additional revenue due to a premium on the bond. As of December 31, 2021, a total of \$176,940.09 has been expended.

Financial Summary / Fund H0514 - Emergency Training Center	
REVENUES	
Serial Bonds	\$3,030,000.00
TOTAL APPROVED FUNDING	\$3,030,000.00
EXPENSES THROUGH DECEMBER 31, 2021	
Building Reconstruction	\$45.09
Professional Services Consultants	\$170,769.94
Bond Issuing Costs	\$6,125.06
TOTAL H0514 EXPENSES	\$176,940.09
REMAINING APPROVED FUNDING	\$2,853,059.91



**Department of Public Works
BUILDING RENOVATIONS/REPAIRS**

Project #: H0528/EA0528

Res. / Adoption Date: #2018289 / Dec. 2018

Location: Various Dutchess County Buildings

Current Funding: \$6,800,551.00

Project Description / H0528/EA0528 - Building Renovations/Repairs

This project was approved by Resolution #2018289 dated December 2018 for capital improvements at various County owned facilities including the Board of Elections, Department of Probation & Community Corrections, County Clerk, 170 Washington Street, and the Hudson Valley Regional Airport. Projects included developing a roofing master plan, roof repairs & replacements, removal and replacement of the County Parking Lot attendant booth, elevator repairs at 236 Main Street, and the 4th floor renovation of 22 Market Street.

Funding Details

A total of \$6,797,300 in serial bonds was authorized for this project (\$6,772,050 for the H0528 portion of the project and \$25,250 for the EA0528 portion of the project which is for the replacement of the roof on the Pilots Building at the Hudson Valley Regional Airport). As of December 31, 2021, a total of \$5,000,000 in serial bonds has been issued and \$82,357.49 has been received as a premium on the bond for the H0528 portion of the project. For the EA0528 portion of the project, \$20,000 in serial bonds has been issued and \$403.96 has been received for premium on the bond. This portion of the project was closed as of August 2020 and the amount of unexpended funds (\$3,251) was transferred to the Airport Operating accounts as per Resolution #2020123. As of December 31, 2021, a total of \$4,522,180.92 has been expended for the H0528 portion and \$20,403.96 was the total amount spent on the roof replacement at the Hudson Valley Regional Airport.

Financial Summary / Fund H0528/EA0528 - Building Renovations/Repairs

REVENUES	
Serial Bonds	\$6,797,300.00
Interfund Transfers	\$3,251.00
TOTAL APPROVED FUNDING	\$6,800,551.00
EXPENSES THROUGH DECEMBER 31, 2021	
Furniture, Fixtures, & Equip.	\$324,897.25
Other Structures	\$309,939.90
Building Reconstruction	\$3,556,474.13
Professional Services Consultants	\$333,728.28
Bond Issuing Costs	\$14,294.32
Interfund	\$3,251.00
TOTAL H0528/EA0528 EXPENSES	\$4,542,584.88
REMAINING APPROVED FUNDING	\$2,257,966.12

NOTE - Exhibit I line H0528 does not include EA0528 and therefore will not match this Financial Summary by \$25,250 + \$3,251.



**Department of Public Works
BUILDING RENOVATIONS/REPAIRS**

Project #: H0539

Res. / Adoption Date: #2019107 / May 2019

Location: 10 and 22 Market St., Poughkeepsie

Current Funding: \$16,665,000.00

Project Description / H0539 - HVAC System Repairs at 10 and 22 Market Street

This project is for the repair, replacement, and upgrade to the heating, ventilation, and air conditioning (HVAC) systems at the County Courthouse (10 Market Street) and County Office Building (22 Market Street). Resolution #2019107 dated May 2019 approved the project.

Funding Details

\$16,665,000 in serial bonds was approved for this project. As of December 31, 2021, a total of \$3,000,000 in bonds has been issued, \$5,000 from NYSEERDA (NYS Energy Research and Development Authority), and \$51,277.62 in bond proceeds has been received as revenue. As of December 31, 2021, a total of \$311,544.32 has been expended for professional services and bond issuing costs.

Financial Summary / Fund H0539 - Building Renovations/Repairs

REVENUES

Serial Bonds	\$16,665,000.00
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TOTAL APPROVED FUNDING	\$16,665,000.00
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EXPENSES THROUGH DECEMBER 31, 2021

Professional Services Consultants	\$303,222.16
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Bond Issuing Costs	\$8,322.16
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TOTAL H0539 EXPENSES	\$311,544.32
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REMAINING APPROVED FUNDING	\$16,353,455.68
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**Department of Public Works
BUILDING RENOVATIONS/REPAIRS**

Project #: H0567

Res. / Adoption Date: #2021122 / August 2021

Location: Various Dutchess County Buildings

Current Funding: \$3,787,500.00

Project Description / H0567 - Building Renovations/Repairs

Resolution #2021122 approved August 2021 was for the fire alarm modernization at various County-owned buildings, the geothermal vault relocation at the Emergency Response Center, restroom renovations at 50 Market Street (Probation and Family Court), replacement of electrical switchgear, entrances, ramp and energy efficient window coverings at 22 Market Street (COB), replacement of ramp at 60 Market Street (DCFS), and the design for the replacement of the Commissioner of Jurors Building at 228 Main Street.

Funding Details

\$3,787,500 in serial bonds was approved for this project. As of December 31, 2021, no serial bonds have been issued for this project, and a total of \$11,062.50 has been designated as 2021 accounts payable for professional services.

Financial Summary / Fund H0567 - Building Renovations/Repairs

REVENUES

Serial Bonds	\$3,787,500.00
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TOTAL APPROVED FUNDING	\$3,787,500.00
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EXPENSES THROUGH DECEMBER 31, 2021

Professional Services Consultants ¹	\$11,062.50
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TOTAL H0567 EXPENSES	\$11,062.50
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REMAINING APPROVED FUNDING	\$3,776,437.50
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¹This amount was not paid to the Vendor as of 12/31/21; however, monies were classified as accounts payable for work completed in 2021.



Department of Public Works YOUTH OPPORTUNITY CENTER	
Project #:	H0569
Res. / Adoption Date:	#2021124 / July 2021
Location:	35 Montgomery St., Poughkeepsie
Current Funding:	\$8,500,000.00

Project Description / H0569 - Dutchess County Youth Opportunity Center

This project was approved via Resolution #2021124 dated July 2021 for the design, demolition, and construction management of a new Dutchess County Youth Opportunity Center (The YOU) to be located at 35 Montgomery Street in the City of Poughkeepsie. The 2022 Capital Program plans for \$15,000,000 additional construction funds for this project.

Funding Details

The funding for this project is in two phases. Phase I commits \$8,500,000 in Federal American Rescue Plan (ARP) Funds and Phase II will commit an additional \$1,500,000 in ARP funds for construction services in a future Resolution once DPW obtains a schematic design and estimate from a consultant and a site-specific review is also completed. As of December 31, 2021, a total of \$26,923.49 has been received for this project. A total of \$165,323.49 has been expended for this project as of December 31, 2021. Most of the expenses were for professional services.

Financial Summary / Fund H0569 - Dutchess County Youth Opportunity Center	
REVENUES	
Federal Aid (ARP)	\$8,500,000.00
TOTAL APPROVED FUNDING	\$8,500,000.00
EXPENSES THROUGH DECEMBER 31, 2021	
Building Acquisition	\$1,677.00
Demolition	\$1,037.10
Professional Services Consultants	\$162,609.39
TOTAL H0569 EXPENSES	\$165,323.49
REMAINING APPROVED FUNDING	\$8,334,676.51



Department of Public Works DUTCHESS COMMUNITY COLLEGE	
Project #:	HC0522
Res. / Adoption Date:	#2018271 / Nov. 2018
Location:	53 Pendell Rd., Poughkeepsie
Current Funding:	\$3,186,800.00

Project Description / HC0522 - DCC Roof Replacement Phase II

Resolution #2018271 dated November 2018 was approved for the replacement of roofs (Phase II) for Drumlin, Dutchess, and Falcon Halls located at Dutchess Community College.

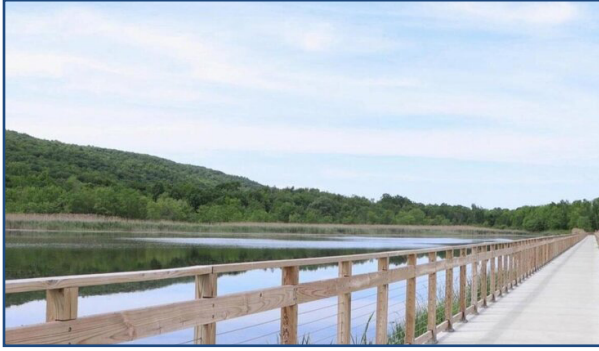
Funding Details

A total of \$3,186,800 has been approved for this project. Dutchess County is providing \$913,400 in serial bonds, DCC is providing \$680,000 and \$1,593,400 is being provided by NYS. As of December 31, 2021, a total of \$2,906,062.79 has been received in revenues for this project. This amount includes \$18,323.80 in premium on the bond. As of December 31, 2021, a total of \$2,585,992.31 has been expended for building reconstruction costs.

Financial Summary / Fund HC0522 - DCC Roof Replacement Phase II	
REVENUES	
DCC Capital Costs	\$680,000.00
Community College State Aid	\$1,593,400.00
Serial Bonds	\$913,400.00
TOTAL APPROVED FUNDING	\$3,186,800.00
EXPENSES THROUGH DECEMBER 31,2021	
Building Reconstruction	\$2,585,992.31
TOTAL HC0522 EXPENSES	\$2,585,992.31
REMAINING APPROVED FUNDING	\$600,807.69

V. Parks Projects Over \$1 Million

Project Number	Project Name	Original Project Amount	Amendments	Amended Project Amount	Life to Date Revenue	Life to Date Expenses	Amt. Remaining on Project
H0524	2018 HVRT Construction	\$14,753,350.00	-	\$14,753,350.00	\$14,086,823.78	\$12,790,338.27	\$1,963,011.73
H0526	2018 Dutchess Stadium	\$3,024,000.00	-	\$3,024,000.00	\$2,591,408.42	\$2,944,781.32	\$79,218.68
H0555	2020 Parks Improvements	\$1,212,000.00	-	\$1,212,000.00	\$606,529.27	\$416,489.96	\$795,510.04
H0559	Stadium Improvements	\$1,439,250.00	\$12,500,000.00	\$13,939,250.00	\$71,740.03	\$1,804,170.83	\$12,135,079.17
H0566	Parks Improvements	\$5,000,000.00	-	\$5,000,000.00	\$0.00	\$2,276.00	\$4,997,724.00
TOTALS		\$25,428,600.00	\$12,500,000.00	\$37,928,600.00	\$17,356,501.50	\$17,958,056.38	\$19,970,543.62



**Department of Public Works
HARLEM VALLEY RAIL TRAIL**

Project #: H0524

Res. / Adoption Date: #2018267 / Nov. 2018

Location: 1 John St., Millerton

Current Funding: \$14,753,350.00

Project Description / H0524 - 2018 HVRT (Phase IV)

Resolution #2018267 dated November 2018 approved the construction of the Harlem Valley Rail Trail (Phase IV) at a total project cost of \$14,753,350. The Resolution authorized \$5,388,350 in serial bonds and \$9,365,000 in Federal grants-in-aid monies. Part of this Resolution relinquishes \$1,800,000 in unspent authorization under Resolution #206327 from Capital Project H0372.

Funding Details

As of December 31, 2021, a grand total of \$14,086,823.78 in revenue has been received. The break-down of the revenues received is as follows: \$4,500,000 in serial bonds; \$9,167,923.10 in federal aid; \$345,003.32 in bond premiums; \$388.60 from Columbia County, and \$73,508.76 in state aid. As of December 31, 2021, a total of \$12,790,338.27 has been expended for the construction of the rail trail.

Financial Summary / Fund H0524 - 2018 HVRT (Phase IV)

REVENUES

Capital Project Transportation Federal	\$9,365,000.00
Serial Bonds	\$5,388,350.00
TOTAL APPROVED FUNDING	\$14,753,350.00

EXPENSES THROUGH DECEMBER 31,2021

Rail Trail	\$11,587,811.75
Professional Services Consultants	\$1,188,448.23
Bond Issuing Costs	\$14,078.29
TOTAL H0524 EXPENSES	\$12,790,338.27

REMAINING APPROVED FUNDING **\$1,963,011.73**



Department of Public Works DUTCHESS STADIUM	
Project #:	H0526
Res. / Adoption Date:	#2018288 / Nov. 2018
Location:	1500 Route 9D, Wappingers Falls
Current Funding:	\$3,024,000.00

Project Description / H0526 - Dutchess Stadium Improvements/Repairs

Resolution #2018288 dated November 2018 was approved for construction improvements at Dutchess Stadium. These improvements include repairing the concrete stadium seating area, replacing all stadium seating, and improving the parking lot. **This Resolution required a waiver of the rules of the Legislature due to its rushed timeline.**

Funding Details

A total of \$3,024,000 was approved for this project; \$2,424,000 in serial bonds and \$600,000 in Empire State Development grant monies. As of December 31, 2021, a total of \$2,424,000 in serial bonds has been issued and \$167,408.42 in bond proceeds has been received. As of December 31, 2021, a total of \$2,944,781.32 has been expended mostly for improvements to the stadium and the parking lot.

Financial Summary / Fund H0526 - Dutchess Stadium Improvements/Repairs	
REVENUES	
Other Economic Asst - Empire State Grant	\$600,000.00
Serial Bonds	\$2,424,000.00
TOTAL APPROVED FUNDING	\$3,024,000.00
EXPENSES THROUGH DECEMBER 31, 2021	
Stadium Improvements	\$1,666,891.24
Parking Lot	\$1,047,479.29
Professional Services Consultants	\$222,060.23
Bond Issuing Costs	\$8,350.56
TOTAL H0526 EXPENSES	\$2,944,781.32
REMAINING APPROVED FUNDING	\$79,218.68



Department of Public Works PARKS IMPROVEMENTS	
Project #:	H0555
Res. / Adoption Date:	#2020169 / Dec. 2020
Location:	Lake Walton Preserve and Quiet Cove Park
Current Funding:	\$1,212,000.00

Project Description / H0555 - Parks Improvements

Resolution #2020169 dated December 2020, was approved to pay for the cost of planning, design, and reconstruction of facilities at the newly acquired Lake Walton Preserve and Quiet Cove Park.

Funding Details

A total of \$1,212,000 in serial bonds was authorized for this project. As of December 31, 2021, a total of \$600,000 in serial bonds and \$6,529.27 in bond proceeds has been received. A total of \$416,489.96 has been expended for this project as of December 31, 2021.

Financial Summary / Fund H0555 - Parks Improvements	
REVENUES	
Serial Bonds	\$1,212,000.00
TOTAL APPROVED FUNDING	\$1,212,000.00
EXPENSES THROUGH DECEMBER 31, 2021	
Parking Lot	\$193,683.19
Professional Services Consultants	\$220,551.00
Bond Issuing Costs	\$2,255.77
TOTAL H0555 EXPENSES	\$416,489.96
REMAINING APPROVED FUNDING	\$795,510.04



Department of Public Works DUTCHESS STADIUM
Project #: H0559
Res. / Adoption Date: #2021017 / Feb. 2021
Location: 1500 Route 9D, Wappingers Falls
Current Funding: \$13,939,250.00

Project Description / H0559 - Stadium Improvements

This project was approved February 2021 by Resolution #2021017 for the purchase and installation of LED lighting at Dutchess Stadium and for design expenses related to other improvements at Dutchess Stadium. In June 2021, Resolution #2021089 was approved which allocated an additional \$12,500,000 for Phase II construction at the Stadium which includes a new team clubhouse, new conference room space, a premium seating area above the clubhouse, a new sign on Interstate 84, and a new pitching and batting facility at the existing clubhouse.

Funding Details

A total of \$1,439,250 in serial bonds was approved for this project. Of the additional \$12,500,000, \$11,600,000 are from the American Rescue Plan (ARP) funds and \$900,000 are from an Empire State Development Grant. As of December 31, 2021, \$71,740.03 in ARP funds has been received. **NOTE: As of the issuance of this report it has been reported by the administration that the full \$11.6 million in ARP funds will not be used to fund this project. An updated ARP Plan was reported to the Department of Treasury in April, shifting \$9.3 million of ARP funds from the Stadium to "Housing/ Infrastructure." The County Executive's Office plans to formally submit a Resolution in August to move the funds from the stadium project to housing and infrastructure initiatives.** A total of \$1,804,170.83 has been expended for this project as of December 31, 2021, for stadium improvements, furniture, fixtures, and equipment and professional services.

Financial Summary / Fund H0559 - Stadium Improvements	
REVENUES	
Other Economic Asst - Empire State Grant	\$900,000.00
Emergency Disaster Asst - ARP	\$11,600,000.00
Serial Bonds	\$1,439,250.00
TOTAL APPROVED FUNDING	\$13,939,250.00
EXPENSES THROUGH DECEMBER 31, 2021	
Furniture, Fixtures, & Equipment	\$706,392.47
Stadium Improvements	\$106,992.45
Professional Service Consultants	\$990,785.91
TOTAL H0559 EXPENSES	\$1,804,170.83
REMAINING APPROVED FUNDING	\$12,135,079.17



Department of Public Works PARKS IMPROVEMENTS	
Project #:	H0566
Res. / Adoption Date:	#2021119 / July 2021
Location:	Lake Walton Preserve, Wilcox & Bowdoin Parks
Current Funding:	\$5,000,000.00

Project Description / H0566 - Parks Improvements

Resolution #2021119 dated July 2021 was approved for improvement projects for the Lake Walton Preserve, Wilcox and Bowdoin Parks. Some of these improvements include the development of a fully accessible loop trail and construction of parking areas for Lake Walton Preserve, a woodland themed splash pad, campground office improvements, an accessible music/sensory playground, and fishing pier for the lower lake at Wilcox Park and playground replacements, a new accessible playground, and outdoor archery range and storage shed at Bowdoin Park.

Funding Details

\$5,000,000 of ARP funds has been allocated for this project. As of December 31, 2021, a total of \$2,276 has been designated as accounts payable for 2021 work completed but not paid.

Financial Summary / Fund H0566 - Parks Improvements	
REVENUES	
Federal AID, Other ARP	\$5,000,000.00
TOTAL APPROVED FUNDING	\$5,000,000.00
EXPENSES THROUGH DECEMBER 31,2021	
Parking Lot ¹	\$2,276.00
TOTAL H0566 EXPENSES	\$2,276.00
REMAINING APPROVED FUNDING	\$4,997,724.00

¹This amount was not paid to the Vendor as of 12/31/21; however, monies were classified as accounts payable for work completed in 2021.

Exhibit I (Provided by Finance Dept., 4/2022)

Capital Project Expenditures (Report as of 12-31-21) Bolded projects are included in this report.

Fund	Fund Description	Budgeted Appropriations	Expenses	Outstanding Encumb.	Remaining to be Spent
1 EA0492	Airport ARFF/SRE Bldg & Wtr Dist	\$8,816,900.00	\$8,738,604.00	\$0.00	\$78,296.00
2 EA0518	On-Airport Water Line	808,000.00	778,666.00	109.00	29,225.00
3 EA0525	2018 Runway Safety Area	469,175.00	280,098.00	185,274.00	3,803.00
4 EA0533	2019 Master Plan	543,000.00	407,770.00	97,730.00	37,500.00
5 EA0536	2019 Airport Guidance Sign Repl	818,000.00	701,772.00	31,458.00	84,770.00
6 EA0553	Airport Design Apron & Taxiway	140,000.00	102,272.00	35,728.00	2,000.00
7 EA0554	Airport Snow Removal Vehicles	900,000.00	872,500.00	-	27,500.00
8 EA0562	Airport Security Enhancement	35,000.00	10,000.00	25,000.00	-
9 EA0564	Airport 2021 Roof Replacement	980,000.00	-	-	980,000.00
10 ET0435	Fuel Tank and Site Rehab	3,449,082.00	3,413,697.00	-	35,385.00
11 ET0483	Bus Replacement	7,578,052.00	7,270,131.00	303,562.00	4,359.00
12 ET0523	2018 Bus Replace/Facilities Imp	2,445,720.00	565,480.00	280,000.00	1,600,240.00
13 ET0551	2019 Bus Replacement/Facilities	1,344,359.00	58,369.00	292,400.00	993,590.00
14 ET0568	Public Transit Bus Replacement	1,143,440.00	-	-	1,143,440.00
15 ET0570	DPW Public Transit- MEP Vehicle	1,020,058.00	-	1,004,268.00	15,790.00
16 H0384	2007 Roads - ISTE A	4,909,699.00	4,352,635.00	-	557,064.00
17 H0466	2014 Jail PODS Project	8,393,303.00	7,273,277.00	-	1,120,026.00
18 H0468	CR 92 Project - DEP	800,000.00	-	-	800,000.00
19 H0477	Water Transmission Line	3,700,000.00	2,669,864.00	-	1,030,136.00
20 H0484	Building Repairs	1,333,200.00	1,323,682.00	-	9,518.00
21 H0486	230 North Rd Renov/Crisis Stabil	4,848,000.00	4,378,840.00	-	469,160.00
22 H0487	DC Justice & Transition Ctr Proj	192,150,000.00	97,141,303.00	67,579,520.00	27,429,177.00
23 H0491	2016 Hwy & Bridge Improvements	7,735,040.00	7,086,029.00	158,560.00	490,451.00
24 H0493	2016 Park Improvements	420,450.00	416,148.00	3,979.00	323.00
25 H0496	2016 Building Repairs/Renovation	3,333,000.00	2,896,732.00	64,280.00	371,988.00
26 H0498	2016 Fallkill Dam Improvements	425,000.00	133,524.00	85,722.00	205,754.00
27 H0506	2017 Hwy & Bridge Improvements	6,928,500.00	5,879,352.00	45,931.00	1,003,217.00
28 H0512	Partner/Manageable Growth	1,000,000.00	907,879.00	-	92,121.00
29 H0514	2018 Emergency Response Train Cr	3,030,000.00	176,940.00	107,281.00	2,745,779.00
30 H0515	2018 Highway & Bridge Improve.	6,948,695.00	5,939,825.00	-	1,008,870.00
31 H0516	2018 Capital Equipment	1,384,104.00	1,181,614.00	-	202,490.00
32 H0521	2018 OFA Building Equipment	1,000,000.00	927,149.00	-	72,851.00
33 H0524	2018 HVRT Construction	14,753,350.00	12,790,338.00	260,302.00	1,702,710.00
34 H0526	2018 Dutchess Stadium Improv/Rpr	3,024,000.00	2,944,781.00	-	79,219.00
35 H0527	2018 Federal Aid Highway Improve	32,119,150.00	27,604,757.00	3,475,035.00	1,039,358.00
36 H0528	2018 Building Repairs/Renovation	6,772,050.00	4,522,181.00	191,711.00	2,058,158.00
37 H0530	2019 Integration/911 Phone Syste	616,793.00	427,258.00	106,367.00	83,168.00
38 H0538	2019 Highway & Bridge Improvemen	10,432,047.00	7,921,993.00	361,165.00	2,148,889.00

Exhibit I (Cont.)

Fund	Fund Description	Budgeted Appropriations	Expenses	Outstanding Encumb.	Remaining to be Spent
39 H0539	2019 HVAC Infrastr. 10,22 Market	\$16,665,000.00	\$311,544.00	\$22,465.00	\$16,330,991.00
40 H0541	2019 Partner/Manageable Growth	485,810.00	1,571.00	-	484,239.00
41 H0542	2019 DRT Extension	855,500.00	604,200.00	196,513.00	54,787.00
42 H0547	2020 Partner/Manageable Growth	2,525,000.00	1,938.00	-	2,523,062.00
43 H0555	2020 Park Improvements	1,212,000.00	416,490.00	757,798.00	37,712.00
44 H0556	2020 Highway & Bridge Improvements	5,433,800.00	1,988,331.00	505,692.00	2,939,777.00
45 H0557	2020 Interoperable Communication	564,024.00	212,950.00	-	351,074.00
46 H0559	Stadium Improvements	13,939,250.00	1,804,171.00	203,806.00	11,931,273.00
47 H0560	2021 Highway & Bridge Improvements	12,160,654.00	3,984,070.00	465,709.00	7,710,875.00
48 H0561	2021 Capital Equipment	1,700,000.00	525,178.00	1,086,256.00	88,566.00
49 H0563	2021 HVAC Projects	995,000.00	13,256.00	57,244.00	924,500.00
50 H0565	DCBH Mobile Office Vehicle	400,000.00	-	-	400,000.00
51 H0566	Parks Improvements	5,000,000.00	2,276.00	-	4,997,724.00
52 H0567	Building Improvements	3,787,500.00	11,063.00	23,938.00	3,752,499.00
53 H0569	Youth Opportunity Center	8,500,000.00	165,323.00	2,857,781.00	5,476,896.00
54 H0571	2021 Vehicle Replacement	1,429,000.00	-	-	1,429,000.00
55 H0574	2021-2 Partnership for Manageable growth	1,515,505.00	-	-	1,515,505.00
56 H0575	Dutchess Urban Trail	11,110,000.00	-	-	11,110,000.00
57 HC0489	DCC Campus Infrastructure Ph II	1,980,000.00	252,881.00	-	1,727,119.00
58 HC0501	DCC Airport Educational Facility	14,394,445.00	13,392,371.00	-	1,002,073.85
59 HC0503	DCC Infrastructure,Phase 3 Roof	626,250.00	204,537.00	-	421,713.00
60 HC0509	DCC 2017 Safety/ Fire Alarm Updt	823,000.00	117,621.00	-	705,379.00
61 HC0510	DCC 2017 Master Plan Update	500,000.00	387,900.00	-	112,100.00
62 HC0522	DCC Roof Replacement Phase II	3,186,800.00	2,585,992.00	-	600,808.00
63 HC0548	DCC South Campus FF&E	900,000.00	889,421.00	6,478.00	4,101.00
64 HC0549	DCC Campus IT Upgrades Phase 1	650,000.00	284,970.00	-	365,030.00
65 HC0550	DCC Campus Site Upgrades Phase 1	473,000.00	-	-	473,000.00
66 HC0572	DCC Campus IT Site Repairs/Upgrades	1,500,000.00	-	-	1,500,000.00
67 HC0573	DCC Plumbing & Utility Repairs	1,000,000.00	-	-	1,000,000.00
		\$460,858,705.00	\$250,253,514.15	\$80,879,062.00	\$129,726,128.85
	Open Capital Projects as of 8-31-12 (per 2013 Capital Plan)	<u>\$292,510,370.00</u>			
		<u>\$168,348,335.00</u>	\$ Increase		
		57.55%	% Increase		

**Exhibit II
(Capital Project List, p. 34 of 2022 Capital Plan)**

Capital Projects	Code / Year (a)	Estimated Total Cost	State and Federal Aid	County Bonds	County Budget App
<u>Future Projects</u> Authorization Expected in Ensuing 5 Fiscal Years					
Campus Site Repairs and Upgrades - Phase 2	2022	\$1,500,000.00	50%		50%
Plumbing and Utility Repairs	2022	1,000,000.00	50%		50%
(FF&E), and Technology Replacements and Upgrades1	2022	200,000.00	100%		
Consolidated Two-way Radio Project	2022	30,000,000.00	33%	67%	
Emergency Response Training Center	2022	2,040,000.00		100%	
2022 Digital Infrastructure Investment	2022	500,000.00		100%	
Partnership for Manageable Growth (PMG)	2022	1,000,000.00		100%	
Runway 6/24 Safety Area Improvements (Final Design	2022	396,000.00	95%		5%
Terminal Apron and Taxiways E & F Rehabilitation (Co	2022	1,496,000.00	95%	5%	
Security Enhancements - Access Surveillance (Constru	2022	355,000.00	95%		5%
Purchase Snow Removal Equipment (SRE) - Replace R	2022	750,000.00	95%		5%
Taxiway A Rehabilitation - Pavement & Lighting - (Des	2022	178,000.00	95%		5%
Roof Replacements at Various Buildings	2022	1,500,000.00		100%	
HVAC Piping & Infrastructure Replacement Program -	2022	1,000,000.00		100%	
Planning, Design and Renovation - Various Buildings	2022	3,000,000.00		100%	
Dutchess County Youth Opportunity Center	2022	15,000,000.00		100%	
230 North Road Campus and Building Renovations (D	2022	1,250,000.00		100%	
Transitional Emergency Housing	2022	2,000,000.00		100%	
Highway & Bridge Improvements and Reconstruction	2022	12,675,000.00	32%	68%	
County-wide Facility Upgrades for ADA compliance	2022	1,500,000.00		100%	
Fallkill Dam Rehabilitation - Construction Phase	2022	2,000,000.00		100%	
Department of Public Works Capital Equipment	2022	1,750,000.00		100%	
Replacement Vehicles for Various Departments	2022	700,000.00		100%	
Law Enforcement Replacement Vehicles	2022	850,000.00		100%	
Parks Master Plan Projects (Design and Construction)	2022	2,500,000.00		100%	
Poughkeepsie Urban Trail (Construction)	2022	10,000,000.00		100%	
Rail Trail Capital Maintenance	2022	500,000.00		100%	
Replace thirteen (13) 30' Medium Duty Buses	2022	1,610,000.00	100%		
14 Commerce Street Transit Center - Facility Rehabilit	2022	5,535,000.00	90%	10%	
Purchase of diagnostic, real time bus maintenance so	2022	250,000.00	20%	80%	
Water Storage Facility2	2022	1,480,000.00	90%	10%	
2022 Summary Total		\$104,515,000.00			

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