May 9, 2014

New York State
Office of
Children &
Family
Services

Dear Local District Commissioner, Probation Director, and Youth Bureau Director:

This letter is to inform you that the Dutchess County Child and Family Services Plan (CFSP) Annual Plan Update (APU) for January 1, 2014 to December 31, 2014, including the PINS Diversion Services Plan, is approved, effective May 9, 2014. The PINS Diversion Services Plan is approved jointly by the Office of Children and Family Services and the Division of Criminal Justice Services. The approval for the Day Care component will come to you directly from the Division of Child Care Services.

The Office of Children and Family Services is committed to providing the support you need to continue to offer quality services. We look forward to working with your county to implement the provisions of your CFSP.

Sincerely,

Laura M. Velez
Deputy Commissioner
Division of Child Welfare and Community Services

Robert M. Maccarone
Deputy Commissioner and Director
Office of Probation and Correctional Alternatives

cc: File

An Equal Opportunity Employer
Welcome to Dutchess County.

Note: The session timeout for this year has been increased to 2 hours.

This Child and Family Services Plan contains county outcomes and strategies that respond to community needs. Specifically, the plan identifies Local Department of Social Services (LDSS) strategies in the areas of adoption, foster care, preventive, protective and other services for children, and protective and other services for adults. The plan also identifies Youth Bureau strategies for youth development and services for youth. In addition, it contains a description of public participation in the development of the Plan as well as estimates of expenditures and program information.

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| Appendix A - Plan Signatures/Attestation/Valuer - Required | | | | | | Make sure you have completed all appendices you are required to complete before submitting below. Click here to submit your plan to CFSR.
Appendix A for Dutchess County
Plan Signature Page

We hereby approve and submit the Child and Family Services Plan for Dutchess County Department of Social Services and Youth Bureau for the period of January 1, 2013, through December 31, 2013.

We also attest to our commitment to maintain compliance with the Legal Assurances as outlined in Child and Family Services Plan Guidance Document.

Type in all required fields and save changes, then from the manage page select PRINT.

Please scan your signature page and email it to Kristin Gleeson at Kristin.Gleeson@ocfs.state.ny.us upon approval of your plan.

Retain in your records as signed original copies may be requested from the OCFS at any time.

Commissioner County Department of Social Services
Type Name: Robert B. Allers
Date: 12/26/13
Signature:

Executive Director County Youth Bureau
Type Name: June Ellen Notaro
Date: 12/18/13
Signature:

Chair County Youth Board
Type Name: Barbara Donegan
Date: 12/18/13
Signature:

I hereby approve and submit the PINS Diversion Service section of the Child and Family Services Plan for Dutchess County Probation Department for the period of January 1, 2013, through December 31, 2013.

Director/Commissioner County Probation Department
Type Name: Mary Ellen Still
Date:
Signature:

Chair County Youth Board
Type Name: Barbara Donegan
Date: 12/18/13
Signature:

Enclosed is the Child and Family Services Plan for Dutchess County. My signature below constitutes approval of this report.

Chief Elected Officer (or Chairperson of the legislative body if the county does not have a Chief Elected Officer.)
Type Name: Marcus J. Molinaro
Date: 1/14/14
Signature:

WAIVER
Complete and sign the following section if a waiver is being sought concerning the submission of Appendix I - Estimate of Clients to be served.

Dutchess County requests a waiver to 18 NYCRR 407.5(a)(3), which requests a numerical estimate of families, children, and adults requiring each service listed in Section 407.4 of this same Part. Therefore, Appendix I is not included in this Plan submission. I assert that the level of service need and utilization for the full array of services encompassed by the Child and Family Services Planning Process was taken into consideration as part of the Dutchess County Child and Family Services Planning Process.

Commissioner County Department of Social Services
Type Name:
Appendix A
Plan Signature Page

We hereby approve and submit the Child and Family Services Plan for Dutchess County Department of Social Services and Youth Bureau for the period of January 1, 2013, through December 31, 2013. We also attest to our commitment to maintain compliance with the Legal Assurances as outlined in Child and Family Services Plan Guidance Document.

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<td>Mary Ellen Still</td>
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Enclosed is the Child and Family Services Plan for Dutchess County. My signature below constitutes approval of this report.

Chief Elected Officer (or Chairperson of the legislative body if the county does not have a Chief Elected Officer).

| Type Name:       | Marcus J. Molinaro        |
| Signature:       |                            |
| Date:            | 1/29/2014                 |

WAIVER
Complete and sign the following section if a waiver is being sought concerning the submission of Appendix I - Estimate of Clients to be served.
Dutchess County requests a waiver to 16 NYCRR 407.5(a)(3), which requests a numerical estimate of families, children, and adults requiring each service listed in Section 407.4 of this same Part. Therefore, Appendix I is not included in this Plan submission. I assert that the level of service need and utilization for the full array of services encompassed by the Child and Family Services Planning Process was taken into consideration as part of the Dutchess County Child and Family Services Planning Process.

Commissioner County Department of Social Services

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Child and Family Services Plan Program Narrative Appendix

☑ Check if No Change in Section I

Outcome Framework/Mission/Vision

If the district has one, please enter the district's outcome framework, mission, and/or vision. (If your district does not have this, leave this area blank.)

Describe your district's demographic, economic, and social characteristics.

Planning Process

Describe the district's planning process and how that consultation informed your district's needs assessment, priorities, and outcomes.

The Child and Family Services Planning process tasks are assigned to the ICP Workgroup. The DSS Assistant to the Commissioner for Program Planning facilitates the ICP Workgroup meetings. The ICP Workgroup tasks include: • Provision of oversight for Child and Family Services Plan development between DSS, the Youth Bureau, and the Office of Community Corrections and Probation • Assistance in the identification of needs, outcomes, and strategies, Monitoring of the countywide data document, • Monitoring the on-going needs assessment activities, and • Analysis of the data. Over the past twelve years, the ICP Workgroup met at least quarterly focusing on current strategies, identification of emerging trends and possible new strategies for inclusion in the next CFS Plan. Meeting topics were: • Child Protective Services, Foster Care and Adoption • Detention Issues • Runaway & Homeless Youth and Independent Living Needs, Adult Services and Domestic Violence • Child and Maternal Health • Children's Health needs, and • Youth Development. Members brought a range of professional expertise and their knowledge/involvement in other coalitions/committees that support our vision for Dutchess County. These committees include: DC Housing Consortium, Steering Committee of the Domestic Violence Response Team, Interagency Task Force on Child Sexual Abuse, Criminal Justice Council, Juvenile Justice Committee, Enhanced Coordinated Children's Services Initiative, Children's Mental Health Providers, WIB Youth Council, Choices for Change, and DC Elder Abuse Task Force. Many of the ICP Workgroup members are responsible for writing various county plans that direct efforts and funding in regards to children, youth, and families. Current ICP Workgroup membership includes: • DSS, Deputy Commissioner, Assistant to Commissioner for Planning, Director of Children's Services Director of Adult Services • Youth Services, Director and DC Runaway and Homeless Coordinator • Dept. of Health, Health Education and Planning Director and Deputy Commissioner • Dep. of Mental Hygiene, Coordinator for Children and Youth Services • Probation and Community Corrections, Director and Deputy Director • Hudson River Housing, Support Services Grant Administrator • Astor Home for Children, Program Director of High Risk Services & Supervisor of SPOA Coordination • Mental Health Association, Executive Director • Family Services, Vice President • Council on Addiction Prevention Education, Executive Director The planning process also included the following: 1. Public Hearing on the Proposed Plan In accordance with Section 34-a 3(a) of the Social Services law, a Public Hearing on the Plan was held on September 9, 2011. It was advertised in the Poughkeepsie Journal on August 25, 2011. Twenty four persons attended the hearing. 2. Advisory Board for the Department of Social Services The Commissioner and the Deputy Commissioner of the Department of Social Services meet at least quarterly with its 15 member Commissioner's Citizens Advisory Council. The Department's Director of Adult Services attends all meetings of the Council, consults with Council members on a regular basis and serves as the Department's liaison to the Council for the purpose of gathering input and suggestions for the Plan. 3. Youth Board The Youth Board members and the County Executive's Office were kept informed of the on-going county planning process through the monthly Director's reports. The status chart for the last plan's strategies achieved by the Youth Bureau was distributed to members. The on-
going Needs Assessment activities results assisted the Youth Board members in developing their OCFS funding priorities using the Touchstone Objectives. 4. Municipalities There are no municipal youth bureaus in Dutchess County. 5. Broad Based Community Participation The past year's needs assessment activities included broad based community representation through the DC Health Department's Community Health Assessment and Community Health Improvement plan activities; the County Department of Mental Hygiene's three public forums in 2013 covering Adult Mental Health Service Needs, Service Needs of Chemically Dependent Persons and the Mental Health Needs of Children and Youth. Members of the ICP workgroup attend these forums and the concerns raised mtd needs identified me shared with respective Departments, agencies and those responsible for plan strategy development. Also, the Council on Addiction, Prevention and Education reported their significant findings from the Search Institute Survey results in six school districts at the Criminal Justice Council meeting.

I - Child Protective Services

Child Protective Services Self-Assessment: Describe the success and challenges the district has experienced since the last plan update in the program area. Noting the data and trends as identified in Appendix C, and the cumulative district consultations (Appendix B-1 through B-8), describe the underlying conditions or factors that influence your performance in meeting the needs of children, youth, adults and families (as applicable).

2012 OUTCOMES--REPORT TARGET 1 100% of cases conferenced will have plans and services assessed and revised to ensure that all issues of child safety and family needs are met. RESULT 100% of cases that were conferenced during weekly case review meetings had plans and services assessed to ensure that all issues of child safety and family needs are met. CPS and Preventive continue to do case review meetings weekly on Thursday mornings and Foster Care continues to do case reviews on Friday mornings. TARGET 2 Dutchess County DSS will reduce our Recurrence Rate of Child Maltreatment/Abuse by utilizing more community resources for families so that there will be more supportive services attached to the family when CPS is no longer involved. RESULT As of 9/30/12, D.C. DCFS recurrence rate was 15.4% and the rest of the state was at 14.3%. D.C. DCFS continues to work on reducing this percentage to get to the Statewide mark of 12.4%. TARGET 3 Dutchess County DSS in collaboration with NYS OCFS will continue to provide Family Meetings Trains until all staff are fully trained. Staff who have been trained will implement these meetings in their case practice. RESULT D.C.DCFS has implemented Family Team Conferencing and Family Team Meetings as a regular course of practice. In 2012, 56 children services staff were trained by OCFS for this program.

Due to the number of reports received in Dutchess County, CPS workers may be required to carry more than the 12 investigations per month recommended by an OCFS caseload study

Child Protective Services Outcomes: Outcomes are based on the district's performance as identified through the data and trends in the Self-Assessment. Outcomes should be expressed as desired changes within a program area to address the underlying conditions or factors as noted in the district's Self-Assessment. The outcomes must be related to the use of OCFS funding, and/or required areas of services by the social services district and Youth Bureau. Districts may incorporate outcomes from their Child and Family Services Program Improvement Plan (PIP). List the district's outcomes for this program area and identify quantifiable indicators (measures) of the desired changes that you will use to track progress.

OUTCOME 1 CPS issues that affect Dutchess County children and families will be identified and addressed to reduce the risk of future abuse or maltreatment.

1a. One hundred percent (100%) of CPS cases conferenced will have plans and services assessed and revised to ensure that all issues of child safety and family needs are met. 1b. DCFS is presently at 15.4% of recurrence and the rest of the state is at 14.3%. DCFS plans to address this issue with the goal of reaching 12.4% which is the statewide percentage. 1c. Dutchess County DSS in collaboration with NYS OCFS will continue to provide Family Meetings Trains until all staff are fully trained. Staff who have been trained will implement these meetings in their case practice.

Child Protective Services Strategies to Achieve Outcomes: Describe the strategies that will be implemented to achieve the identified outcomes, including those strategies that support your Child and Family Services PIP outcomes. Each strategy should include the timeframe for completion and designation of what agency(ies) or department(s) is/are responsible for implementation. Explain how OCFS-administered funding supports achievement of outcomes.

1a. Dutchess County DSS Children's Services and legal staff members will participate in weekly case conferences to review CPS, Preventive and foster care cases which meet set criteria including complex issues, multiple service providers, differences of opinion, very young children and multiple CPS reports. 1b. Dutchess County DSS will reduce our Recurrence Rate of Child Maltreatment/Abuse by utilizing more community resources for families so that there will be more supportive services attached to the family when CPS is no longer involved. 1c. Dutchess County DSS will utilize Family Meetings to locate resources for children more quickly and to give families an opportunity to assist in developing safety plans to enable children to remain home or exit foster care more quickly.

II - Child Preventive Services

Child Preventive Services Self-Assessment: Describe the success and challenges the district has experienced since the last plan update in the program area. Noting the data and trends as identified in Appendix C, and the cumulative district consultations (Appendix B-1 through B-8), describe the underlying conditions or factors that influence your performance in meeting the needs of children, youth, adults and families (as applicable).
2012 OUTCOMES—REPORT TARGET 1 100% of PREVENTIVE cases conferenced will have plans and services assessed and revised to ensure that all issues of child safety and family needs are met. RESULT 100% of cases that were conferenced during weekly case review meetings had plans and services assessed to ensure that all issues of child safety and family needs are met. CPS and Preventive continue to do case review meetings weekly on Thursday mornings and Foster Care continues to do case reviews on Friday mornings. TARGET 2 100% of families requesting voluntary placement will have assessment to determine whether children can be safely maintained at home with appropriate community supports and services in place prior RESULT 100% of families requesting voluntary placement received an assessment to determine whether the child could be safely maintained at home with services prior to placement. In 2012, 15 families were interviewed for Voluntary Placement and 5 children were placed. TARGET 3 5 children per year will receive in home crisis intervention waiver services to enable them to remain in the community. RESULT 34 children received waiver services in 2012 TARGET 4 Dutchess County DSS in collaboration with NYS OCFS will continue to provide Family Meetings Trainings until all staff are fully trained. Staff who have been trained will implement these meetings in their case practice. RESULT D.C.DCFS has implemented Family Team Conferencing and Family Team Meetings as a regular course of practice. In 2012, 66 children services staff were trained by OCFS for this program. TARGET 5 A minimum of 18 youth per year including runaway, homeless, PINS, JD, abused and neglected children will be provided a safe haven and case management services in times of crisis. RESULT 61 youth (unduplicated count) were served through the respite care contract. This includes the 30 youth referred by Probation.

Dutchess County lost preventive slots for 36 families in 2010 due to budget cuts. There is sometimes a waiting list for preventive services, so Child Protective workers provide prevent services to families waiting for preventive slots.

**Child Preventive Services Outcomes:** Outcomes are based on the district’s performance as identified through the data and trends in the Self-Assessment. Outcomes should be expressed as desired changes within a program area to address the underlying conditions or factors as noted in the district’s Self-Assessment. The outcomes must be related to the use of OCFS funding, and/or required areas of services by the social services district and Youth Bureau. Districts may incorporate outcomes from their Child and Family Services Program Improvement Plan (PIP). List the district’s outcomes for this program area and identify quantifiable indicators (measures) of the desired changes that you will use to track progress.

OUTCOME 1: Families, including nuclear, extended and adoptive families are strengthened and supported so they are able to raise, nurture, and ensure the children's connections to their heritage and in planning for the children's futures (Title IVB Goal 1 & Goal 5).

OUTCOME 2: Dutchess County will assist youth in assuming personal responsibility for their behavior and refraining from violence to avoid the necessity for out of placement.

1a. One hundred percent (100%) of preventive cases conferenced will have plans and services assessed and revised to ensure that all issues of child safety and family needs are met. 1b. One hundred percent (100%) of families requesting voluntary placement will have assessment to determine whether children can be safely maintained at home with appropriate community supports and services in place prior to a voluntary placement being made. 1c. Five (5) children per year will receive CMHW waiver services. 1d. Dutchess County DCFS in collaboration with NYS OCFS will continue to provide Family Meetings Trainings until staff are fully trained. Staff who have been trained will implement these meetings in their case practice.

**Child Preventive Services Strategies to Achieve Outcomes:** Describe the strategies that will be implemented to achieve the identified outcomes, including those strategies that support your Child and Family Services PIP outcomes. Each strategy should include the timeframe for completion and designation of who agency(ies) or department(s) is/are responsible for implementation. Explain how OCFS-administered funding supports achievement of outcomes.

1a. Dutchess County DCFS Children’s Services and legal staff members will participate in weekly case conferences to review Preventive cases which meet criteria including complex issues, multiple service providers, differences of opinion, very young children, and multiple CPS reports. 1b. Birth families who request voluntary placement of children will meet with the DCFS Intake worker and be referred to ECCS1 and/or the Department of Probation if needed to try to prevent the need for a DCFS foster care placement. 1c. Dutchess County DCFS will contract with Astor Home for Children to provide five crisis intervention waiver slots to provide in-home services to children at risk of psychiatric hospitalization and their families, to enable children to remain in the community. 1d. Dutchess County DCFS will utilize Family Meetings to locate resources for children more quickly and to give families an opportunity to assist in developing safety plans to enable children to remain home or foster care more quickly. 1e. Dutchess County DCFS will fund 4 crisis/respite beds at the Riverhaven Shelter for teens. 2a. The Dutchess County Office of Probation will operate a Diversion Unit that provides intake, assessment and case planning services for families that target criminogenic areas of need and risk, and utilize a strength-based approach to increase protective factors and reduce dynamic risk. 2b. When a recommendation for out-of-home placement is being considered, the case will be reviewed by the Dispositional Review Team. 2c. Dutchess County Office of Probation will continue to refer appropriate families to River Haven’s Respite Program. 2d. DC Probation Officers who have been trained as facilitators of cognitive/behavioral curriculums will implement the Crossroads curriculum or other cognitive behavioral evidence based curriculum with a focus on life skills. 2e. Collaborative Solutions Team members and one Probation Officer will provide Functional Family Therapy at the Office of Probation on a part-time basis.

### III - Foster Care
Foster Care Self-Assessment: Describe the success and challenges the district has experienced since the last plan update in the program area. Noting the data and trends as identified in Appendix C, and the cumulative district consultations (Appendix B-1 through B-6), describe the underlying conditions or factors that influence your performance in meeting the needs of children, youth, adults and families (as applicable).

2012 OUTCOMES—REPORT TARGET 1 100% of foster care cases conferenced will have plans and services assessed and revised to ensure that all issues of child safety and family needs are met. RESULT 100% of cases that were conferenced during weekly case review meetings had plans and services assessed to ensure that all issues of child safety and family needs are met. CPS and Preventive continue to do case review meetings weekly on Thursday mornings and Foster Care continues to do case reviews on Friday mornings. TARGET 2 in all cases where children are removed from their birth families, appropriate family resources will be assessed so that Dutchess County children will continue in their family environment wherever possible. RESULT D.C. DCFS continues the practice of exploring all other options and family resources prior to removing children from their birth families. On a regular basis, CPS workers ask for family resources and invite them in to meet with the Supervisor of the Homefinders to go over options with the Family Resource such as Article X custody, relative foster parent, and Article 9 guardianship. TARGET 3 All children coming into foster care will be assessed by DSS case managers and/or Poughkeepsie Children's Home staff so that an appropriate level of care can be achieved. RESULT All children who came into Foster Care in 2012 were assessed by Poughkeepsie children's Home Staff and D.C. DCFS staff to determine appropriate level of placement. TARGET 4 DCDSS will utilize available 2B1 waiver slots as they become available. RESULT In 2012, D.C. DCFS had 26 children receiving 2B1 waiver services in the SED slots, 4 children in the Medically Fragile slots, and 7 children in the Developmentally Disabled slots. TARGET 5 Dutchess County DSS in collaboration with NYS OCFS will continue to provide Family Meetings Trainings until all staff are fully trained. Staff who have been trained will implement these meetings in their case practices. RESULTS D.C. DCFS has implemented Family Team Conferencing and Family Team Meetings as a regular course of practice. In 2012, 56 children services staff were trained by OCFS for this program. TARGET 6 57% of children will exit foster care within 2 years of date of placement. RESULT The children who entered foster care for the first time between 2006 and 2010, 67% exited to a permanent exit within two years. TARGET 7 A minimum of two Independent living training sessions per year will be offered to independent living youth in Dutchess County foster homes. RESULT Two Independent Living training were offered in 2012. One session was Computer Training and another session was ARC Summer Employment Program. TARGET 8 All youth with a goal of Independent living who are in foster homes will receive independent living skills training. RESULT All youth with a goal of Independent living training by their foster homes and DCDCSS staff on an ongoing basis to prepare them for adulthood. TARGET 9 Data will be centralized as a step toward determining the life skills and needs of independent living youth in Dutchess County foster care placements. RESULT A data base is being set up to track all youth who are currently listed with a goal of Independent Living and all youth who are deemed appropriate for Independent Living. The data base will track their schooling, employment, health insurance, credit checks, and their discharge from foster care with an adult mentor.

1. Additional foster parents qualified to care for children aged 0-21 are always needed, so Dutchess continually recruits families to provide foster care. 2. Increasing visitation can have positive effect on reunification of foster children and their biological parents, so Dutchess is looking for ways to achieve this. One strategy employed is that the Children's Museum in Poughkeepsie, NY has partnered with foster care to provide increased visitation for families the museum.

Foster Care Outcomes: Outcomes are based on the district’s performance as identified through the data and trends in the Self-Assessment. Outcomes should be expressed as desired changes within a program area to address the underlying conditions or factors as noted in the district’s Self-Assessment. The outcomes must be related to the use of OCFS funding, and/or required areas of services by the social services district and Youth Bureau. Districts may incorporate outcomes from their Child and Family Services Program Improvement Plan (PIP). List the district’s outcomes for this program area and identify quantifiable indicators (measures) of the desired changes that you will use to track progress.

OUTCOME 1: Children who are removed from their birth families will be ensured stability, continuity, and an environment that supports all aspects of their development (Title IV-B Goal 2).

1a. One hundred percent (100%) of foster care cases conferenced will have plans and services assessed and revised to ensure that all issues of child safety and family needs are met. 1b. DCFS will utilize available 2B1 waiver slots as they become available. 1c. Dutchess County DCFS in collaboration with NYS OCFS will continue to provide Family Meetings Trainings until all staff are fully trained. Staff who have been trained will implement these meetings in their case practice. 1d. Sixty five percent (65%) of children will exit foster care within 2 years of date of placement. 1e. A minimum of two independent living training sessions per year will be offered to independent living youth in Dutchess County foster homes. 1f. All youth with a goal of another planned permanency living arrangement who are in foster homes will receive independent living skills training.

Foster Care Strategies to Achieve Outcomes: Describe the strategies that will be implemented to achieve the identified outcomes, including those strategies that support your Child and Family Services PIP outcomes. Each strategy should include the timeframe for completion and designation of what agency(ies) or department(s) is/are responsible for implementation. Explain how OCFS-administered funding supports achievement of outcomes.

1. Additional foster parents qualified to care for children aged 0-21 are always needed, so Dutchess continually recruits families to provide foster care. 2. Increasing visitation can have positive effect on reunification of foster children and their biological parents, so Dutchess is looking for ways to achieve this. One strategy employed is that the Children’s Museum in Poughkeepsie, NY has partnered with foster care to provide increased visitation for families the museum.
Adoption Self-Assessment: Describe the success and challenges the district has experienced since the last plan update in the program area. Noting the data and trends as identified in Appendix C, and the cumulative district consultations (Appendix B-1 through B-6), describe the underlying conditions or factors that influence your performance in meeting the needs of children, youth, adults and families (as applicable).

2012 OUTCOMES--REPORT TARGET 1 100% of foster and pre-adoptive parents will be trained to increase their awareness of child care, development, issues facing foster children and how to meet the children's needs. RESULT 100% of foster and pre-adoptive parents were trained to increase their awareness of child care development and issues facing children in foster care. MAPP training and Caring For Your Own Trainings were offered in 2012 and 17 new foster parents were certified and 9 new relative foster parents were certified in 2012. TARGET 2 100% of foster and adoptive parents will have ongoing support in place to assist in meeting their needs and the needs of foster and adoptive children. RESULT 100% of foster and adoptive parents who requested support received it. D.C. DCFS has three full time Finders who meet with Foster/Adoptive Parents regularly and address all concerns brought forward. DCDCF no longer has the position of Foster Parent Liaison.

Due to many factors, including children's complex needs, NYS, DSS and family court time frames and available adoption resources, it might be longer than 24 months from the date of foster care placement to the date of adoption. DSS, Dutchess County Family Court and other community agencies are working together to develop strategies to more quickly achieve the permanency goal of adoption for children.

Adoption Outcomes: Outcomes are based on the district's performance as identified through the data and trends in the Self-Assessment. Outcomes should be expressed as desired changes within a program area to address the underlying conditions or factors as noted in the district's Self-Assessment. The outcomes must be related to the use of OCFCS funding, and/or required services of the District's social services district and Youth Bureau. Districts may incorporate outcomes from their Child and Family Services Program Improvement Plan (PIP). List the district's outcomes for this program area and identify quantifiable indicators (measures) of the desired changes that you will use to track progress.

OUTCOME 1: Once a child under 13 or over 14 who consents to being adopted is completely freed in Dutchess County Family Court, a permanent family will be found for the child.

1a. One hundred percent (100%) of children who are freed for adoption by DC Family Court will be assigned an adoption worker within two weeks. 1b. A minimum of one freed foster care youth will secure an adoptive resource through the Wendy's Wonderful Kids Program. 1c. A minimum of eighteen (18) meetings per year between DCFS adoption and foster care staff will be held.

Adoption Strategies to Achieve Outcomes: Describe the strategies that will be implemented to achieve the identified outcomes, including those strategies that support your Child and Family Services PIP outcomes. Each strategy should include the timeline for completion and designation of what agency(ies) or department(s) is/are responsible for implementation. Explain how OCFCS-administered funding supports achievement of outcomes.

1a. Within two weeks of the Court action to free, DCFS will assign an adoption worker to each freed child who will immediately begin the work of finding a permanent home for the child. 1b. DCFS Adoption worker will work with Wendy's Wonderful Kids Program to secure an adoptive resource. 1c. DCFS Adoption and Foster Care staff will meet to review cases and identify barriers to permanency.

V - Detention

Detention Self-Assessment: Describe the success and challenges the district has experienced since the last plan update in the program area. Noting the data and trends as identified in Appendix C, and the cumulative district consultations (Appendix B-1 through B-6), describe the underlying conditions or factors that influence your performance in meeting the needs of children, youth, adults and families (as applicable).

2012 OUTCOMES--REPORT TARGET 1 All PINS Diversion youth who are accepted for services will be screened by the Intake Review Committee (consists of the Unit Administrator of the Diversion Unit, Intake Worker, Astor Community Based Services, Dept. of Mental Hygiene) for early identification of and linkage to community based services. RESULT Achieved. 311 PINS Complaints were received in 2012. 13 were referred to the Youth Services. 9 went to petition immediately and were forwarded to Family Court (Runaway, Refusal to participate in services). The remaining 289 complaints were reviewed by the Intake Review Committee. TARGET 2 Not more than 20% of PINS complaints received will result in a petition to Family Court. RESULT 98 cases were referred to petition after having been accepted in Diversion Services (32%). The increase in cases going to petition may be due, in part, to the increase in the numbers of youth who are entering our system scoring as high risk on the YASI prescreen. In 2011, 225 youth scored as high risk on the YASI prescreen. In 2012, 262 youth scored as high risk on the YASI prescreen. RESULT 3 All youth will be initially evaluated using the pre-screen YASI. RESULT Achieved. In 2012, 696 youth who appeared for Intake for JD appearance tickets and PINS complaints were screened using the YASI pre-screen instrument. TARGET 4 The number of PINS youth placed in the custody of the Department of Social Services will not exceed 20. RESULT Achieved. In 2012, 8 PINS youth were placed in the custody of the Department of Community and Family Services. TARGET 5 15 youth will receive respite services. RESULT Achieved. 30 PINS Diversion youth received respite services at River Haven in 2012. TARGET 6 DC Probation in its leadership role on the
Juvenile Justice Committee will oversee the accomplishments of the DSSI Probation MOU and track the number of PINS youth placed in DSS custody. RESULT Achieved. The Juvenile Justice Committee meetings were held on 1/26/12, 3/22/12, 7/26/12, 9/27/12 and 11/29/12. Detention and out of home placement data were regular agenda items. Detention reduction and other reform issues were also a regular agenda item. TARGET 7 Establish new processes and procedures to increase system coordination and decrease out of home placement. RESULT Achieved. Much of the focus in 2012 was in participation in the DRAI pilot study and developing protocols for implementation of the DRAI. TARGET 8 Every school filed PINS complaint will first result in a meeting of the parties. RESULT In 2012, 144 school filed PINS complaints were received. 125 School Review meetings were held in Poughkeepsie, Millbrook and Beacon. The difference in filings to meetings held can be attributed to some of the end of the year filings being held in 2013, withdrawn complaints and youth and family failure to attend the meetings. TARGET 9 At least 2 Crossroads curriculum groups will be held per year. RESULT Achieved: Early spring of 2012, the Joan Schladale, Taming Violence and Sexuality Abusive Behavior (7yo, all completed) Fall of 2012, Teen Aggression and Bullying curriculum group (13 youth attended and 9 completed) TARGET 10 The Collaborative Solutions Team members and the Probation Officer will provide Functional Family Therapy on site to up to 18 families. 75% of the families served received Functional Family Therapy. RESULT Achieved. 29 PINS diversion families received Functional Family Therapy. None of the families served resulted in the youth being placed out of the home. TARGET 11 Ninety percent of youth remanded to detention will be assessed using the approved NYS OCFS validated detention tool. RESULT Achieved. 91% of the youth remanded to detention in 2012 were screened using the YASI Detention Tab. The DRAI was not ready for use in 2012. TARGET 12 Acquire sufficient data regarding the issue of disproportionate minority confinement in secure and non-secure detention to determine the scope of the problem in Dutchess County. RESULT Discussion regarding disproportionate minority confinement at Juvenile Justice Committee and Court Involved Youth were agenda items. Included were updates from DCFS on the work they were doing with a grant from OCFS with a paid intern to research the matter. TARGET 13 Ten youth will be discharged from detention to Juvenile Pre-trial services. RESULT Achieved. 13 youth were discharged from detention to Juvenile Pre-Trial Services. TARGET 14 Ten youth will be placed on Juvenile Pre-trial services as an alternative to being placed in detention. RESULT Achieved. 63 youth were placed on Juvenile Pre-Trial services (Released Under Supervision) as an alternative to being placed in detention. 90% Successfully completed. TARGET 15 Eighty percent of parents and children who complete curfew monitoring surveys will report at least one positive change. Seventy-five percent of youth who receive curfew monitoring services will avoid detention or out of home placement. Seventy percent of youth who receive electronic monitoring services will avoid detention or out of home placement. RESULT Achieved. 84% of parents and children who completed curfew monitoring surveys reported at least one positive change. 78% of youth who received curfew monitoring services avoided detention and out of home placement. 73% of youth who received electronic monitoring services avoided detention and out of home placement.

NYS OCFS Child welfare data indicates that Dutchess County has a high disparity rate for African American and Hispanic/Latino children and their families in the child welfare system. Addressing disproportionate minority representation locally will require that all of the stakeholders, including the County Executive and staff, Family Court Judges and other personnel, the County Attorney, Department of Social Services, Law Guardians and Probation have a common understanding of the use of the detention screening tool and the alternative detention options available. Ongoing communication through our standing committees, such as the Court Involved Youth Committee and Juvenile Justice Committee, is a necessary component to assess and improve our efforts in this area. We must continue to meet to add outcomes and any process issues that might be addressed to improve outcomes. Our DRAI plan was submitted and approved. All staff who are responsible for completing the DRAI were trained. The DRAI Implementation Team gave a training on the DRAI and DRAI plan to the Juvenile Justice Committee at the July 2013 meeting. Effective October 28, 2013, all youth JD who appeared before the Family Court Judge had a DRAI completed.

Detention Outcomes: Outcomes are based on the district's performance as identified through the data and trends in the Self-Assessment. Detention outcomes should be expressed as desired changes within a program area to address the underlying conditions or factors as noted in the district's Self-Assessment. The outcomes must be related to the use of OCFS funding, and/or required areas of services by the social services district and Youth Bureau. Districts may incorporate outcomes from their Child and Family Services Program Improvement Plan (PIP). List the district's outcomes for this program area and identify quantifiable indicators (measures) of the desired changes that you will use to track progress.

OUTCOME 1: The Dutchess County Office of Probation and Community Corrections will work collaboratively with the Family Court, County Attorney's Office, Law Guardians and the Department of Community and Family Services to ensure that youth in detention have been objectively screened with a validated assessment tool and all alternatives to detention options have been fully utilized.

1a. One hundred (100%) percent of youth remanded to detention for a Juvenile Delinquency act will be assessed using the approved NYS OCFS validated detention tool. 1b. Dutchess County will acquire sufficient data regarding the issue of disproportionate minority confinement to determine the scope of the problem in the county. 1c. Ten (10) youth will be discharged from detention to Juvenile Pre-trial services. 1d. Ten (10) youth will be placed on Juvenile Pre-trial services as an alternative to being placed in detention. 1e. Seventy-five percent (75%) of youth who receive curfew monitoring services will avoid detention or out-of-home placement. 1f. Seventy percent (70%) of youth who receive electronic monitoring services will avoid detention or out-of-home placement.

Detention Strategies to Achieve Outcomes: Describe the strategies that will be implemented to achieve the identified outcomes, including those strategies that support your Child and Family Services PIP outcomes. Each strategy should include the timeframe for completion and designation of which agency(ies) or department(s) is/are responsible for implementation. Explain how OCFS-administered funding supports achievement of outcomes.
VI - Youth Development

Youth Development Self-Assessment: Describe the success and challenges the district has experienced since the last plan update in the program area. Noting the date and trends as identified in Appendix C, and the cumulative district consultations (Appendix B-1 through B-6), describe the underlying conditions or factors that influence your performance in meeting the needs of children, youth, adults and families (as applicable).

TARGET 1 The WIYB Youth Council will implement the TANF Summer Youth Employment Funding. RESULT 99 youth participated in the SYEP. TARGET 2 80% of youth served in academic enrichment and tutoring services during the after school hours will show improvement and advance academically. RESULT - The Youth Board funded one program (Poughkeepsie United Methodist Church Academic Skills program) which served 82 youth and 93% of the youth showed improvement in their academic skills. TARGET 3 The Youth Board will allocate funding for jobs or internships to provide vocational experiences for youth. RESULT - Six programs served a total of 133 youth through subsidized employment and/or internships. There were 19 jobs and 114 internships generated. (Northeast Community Center, Cornell Cooperative Ext, Mid Hudson Children's Museum, T/Hyde Park Recreation, T/Pleasant Valley Recreation, and T/Poughkeepsie Recreation.) TARGET 4 75% of the 100 youth to be served in Programs that teach life skills and help youth obtain employment, housing, and other needed services annually will be living independently or in otherwise appropriate housing, employed and/or attending educational/vocational training at time of discharge. RESULT 153 youth were served & 60 were continuing to receive services by year end. Of the 63 discharged, 77% exited to independent living or otherwise appropriate housing and 75% were employed and/or attending ed/voc program at discharge. TARGET 5 The Youth Board will fund services that strengthen families to increase family support and family communication so that 500 youth will be served and 50% will achieve their targeted outcomes. RESULT 1159 youth were served by four programs with an average of 94% of their outcomes being met. The agencies included Grace Smith House, Hudson River Housing River Haven Shelter, and the Child Abuse Prevention Center CAC. TARGET 6 The County will provide PINS/UD Pre-Diversion and Diversion Services for youth referred by Probation to decrease the number of PINS/UD youth in placement. RESULT 91% of the PINS/UD youth served successfully completed their counseling and did not return to Probation. TARGET 7 The Youth Board will fund services that link youth to intervention and treatment services to provide access to services so that 60% of youth served by funded programs will achieve targeted outcomes. RESULT An average of 88% of the youth served in the following programs achieved the outcomes: Child Abuse Prevention Ctr CAC, Mental Health America, Hudson River Housing River Haven Shelter and Independent Living programs, and Hands On the Hudson Valley YOCS programs. TARGET 8 The Youth Board will fund a continuum of services including: 24 hour crisis intervention services, shelter, case management, counseling, advocacy and referral to needed resources to alleviate the risks encountered by runaway and homeless youth and provide immediate access to services that help them re-unite with their families or secure other appropriate living situations so that 90% of the 1100 youth served will be successfully assisted through crisis intervention services and 95% of the 150 youth sheltered will be re-united with family or placed in an otherwise safe and appropriate living situation at discharge. RESULT 98% of the 1039 youth served were successfully assisted through crisis intervention services. 128 of 132 (97%) discharged from the shelter were re-united with family or placed in an otherwise safe and appropriate living situation at discharge. TARGET 9 Youth Services will serve on the Enhanced Coordinated Children's Services Initiative to reduce out-of-home placement and increase service access. RESULT Staff attended a total of 16 ECCSI meetings (both Tier I and II), TARGET 10 Youth Services will support CAPE's mission and participate in their Coalition meetings as appropriate to support the reduction of community risk factors and increase the community's protective factors. RESULT Staff attended 5 N.E. Coalition meetings and focused on Coalition building,CAPE continues to facilitate the meetings. TARGET 11 Youth will decrease risk factors by modifying risk behavior or changing their attitude to reflect a more constructive, positive way of life through counseling services at Youth Services Unit so that 70% of 180 youth will improve their situation enough to avoid out of home placement while in the program or will be receiving services from an agency to which they were referred. RESULT 91% of the PINS/UD youth served successfully completed their counseling and did not return to Probation. TARGET 12 The Youth Services will participate on the DCDOH Children's Providers Committee and prioritize youth mental health issues to improve system coordination. RESULT Staff attended 5 committee meetings IN 2012. TARGET 13 The Youth Services will provide information electronically to increase community awareness of access to services, effective practices, number of cross systems training and opportunities for funding/collaboration. RESULT Funded agencies received over 20 emails on funding and grant opportunities as well as training issues on a variety of youth development topics and issues. TARGET 14 presentations and reports will be shared with Youth Board members to identify emerging issues and barriers to prevent access to services for families/youth. RESULT The Youth Board members were kept apprised of trends and emerging issues at their meetings and through regular emails. TARGET 15 The Director of Youth Services will serve on the Taconic Region of Youth Bureau Directors committee to increase knowledge of regional/state issues affecting families and youth. RESULT - The Director regularly attended the Taconic meetings and shared regional/state news at staff meetings and Youth Board meetings. TARGET 16 The Youth Board will fund services that increase activities for youth during the out-of-school hours and summer to promote constructive use of time so that 2000 youth are served. RESULT Eight municipal recreation programs along with eight nonprofit agencies were funded. 16 programs served 2280 youth. (HRH Enrichment, Mill St Loft, Cornell Cooperative Extension, Family Services Inc TRAC, Mid Hudson Children's Museum, CAPE Marathon Project, Northeast Community Center Teen Team Leadership, Poughkeepsie United Methodist Church, T/Amenia, T/Beekman, T/Clinton, T/Hyde Park, T/Pleasant Valley, CPoughkeepsie, T/Poughkeepsie, and T/Red Hook. TARGET 17 The Youth
Board will fund and support programs that support youth as volunteers to increase youth opportunities for community service with funded programs successfully completing a community service project. RESULT - Many funded program include community service projects as part of their overall program and 100% completed their projects successfully. Additionally, two funded programs (Mid Hudson Children's Museum and Hands on the Hudson Valley) function as volunteer programs for youth to learn and experience community service and they met their community service outcomes as follows: MHCIM 68% and HOHV 75% TARGET 18 The Youth Services will sponsor the DC Youth Council to provide High School students opportunities and training for youth leadership, development, empowerment and service so that participating Youth Council members will successfully complete two community service projects; participate in one leadership training; invite five guest speakers to present at their monthly meetings; and host or co-host one community event. RESULT For the 2012-13 academic years, the Youth Council completed six community service projects, one leadership training, hosted 5 guest lecturers on topics of teen homeless issues and River Haven services, deaf awareness and disabilities, college preparation and tests, the education process for special needs youth, and child abuse issues from a law enforcement perspective. TARGET 19 The Youth Board will recognize at least one young person who overcame adversity through their annual scholarship fund. RESULT Two students received Youth Board scholarships to attend DCC. TARGET 20 The Youth Board will fund activities that offer skill building experiences, such as social competencies, arts and cultural awareness, athletics and recreation, improve literacy, health information, parenting and conflict resolution to increase youth's skill development so that 80% of funded programs that offer skills development will meet at least 75% of their outcomes. RESULT 26 out of 31 OCFS funded programs offered skill building experiences. The 26 programs achieved an average of 91% success for these components. 2 county funded agencies (Cornell Cooperative Extension and Voluntary Action Center) met 75% of their outcomes. TARGET 21 The Youth Board will fund at least one programs that provides positive mentoring experiences for youth. RESULT Two programs (CAPE Marathon Project and Hands On the Hudson Valley) provided mentoring experiences for the youth served. Additionally, many of our funded programs have Youth Advisory Committees which are overseen by adult mentors and they all report positive relationships with the youth that serve on the Youth Advisory Committees. TARGET 22 Youth Board will review their funding priorities based on the OCFS Quality Youth Development System (QYDS), ICP Workgroup needs assessment results, monitoring of funded programs, and through data/info gained through committee work. RESULT The Youth Board’s funding priorities were shared with prospective grantees at the annual grant information workshop. New Board members were appointed by the County Executives Office. TARGET 23 The Division of Youth Services will participate in sub-committees of the CJC to raise awareness of effective practices that reduce youth violence and foster leadership development. RESULT The Juvenile Justice Committee became a formal sub-committee of the CJC in 2010 and the Chair became a member of the Executive Committee working with the adult system to reduce recidivism and increase public safety. A Youth Services staff member regularly attended the JJ Committee in 2012. TARGET 24 The Youth Board will fund municipal juvenile bureaus and school resource officers programs to reduce youth violent incidents or youth recidivism rates. RESULT Three funded police departments had outcomes for youth to refrain from violence and other illegal behaviors. They had an overall average success rate of 84%. Two other PD’s offered Character Education and Drug Prevention and SRO services with over 95% success in meeting their outcomes. TARGET 25 The Youth Board will fund services that provide assistance to children and youth who are victims of violence to increase access of services for victims of violence. RESULT Three nonprofits programs and 3 police departments were funded to provide a variety of services and referrals to youth who were victims of violence or crime. Overall, the 6 programs had a success rate of 80% in meeting their intended outcomes. The agencies were Grace Smith House, Family Services Inc Domestic Violence Youth, Child Abuse Prevention Center’s Child Advocacy Center, Beacon PD, C/Poughkeepsie PD, and T/Poughkeepsie PD. TARGET 26 The Youth Board will fund educational programs on personal safety and domestic violence to increase youth’s awareness of the signs and causes of violence. RESULT Two programs were funded including Family Services Inc Domestic Violence Youth and Child Abuse Prevention Program. The programs met 94% and 96% of their outcomes, respectively and served 11,765 youth. TARGET 27 The Division of Youth Services staff will participate in interagency committees/coalsitions that address youth violence to improve service coordination and training for adults. RESULT For 2012- Staff regularly attended the Juvenile Justice Committee, Court Involved Youth Committee, the North Eastern Dutchess Coalition, and the Safe Schools Roundtable to address youth violence and its contributing elements in order to coordinate services.

NYS OCFS funding streams, SDPP and YDDP, will end effective 12/31/13. Beginning 1/1/14, a new funding stream called Youth Development Program will take effect. As a result of decreased funding, we are seeing many programs and/or agencies shut down Families are experiencing greater stressors across milieus. The Developmental Assets model has been institutionalized in many of our nonprofit agencies which is directly reflected in their youth programming. The Division of Youth Services will continue to promote the Developmental Assets in program development. We will also continue to increase opportunities for young people to develop leadership skills, life skills, and to As a result of decreased funding, we are seeing many programs and/or agencies shut down Families are experiencing greater stressors across milieus. The Developmental Assets model has been institutionalized in many of our nonprofit agencies which is directly reflected in their youth programming. The Division of Youth Services will continue to promote the Developmental Assets in program development. We will also continue to increase opportunities for young people to develop leadership skills, life skills, and to provide their "voice" in youth programming.

Youth Development Outcomes: Outcomes are based on the district's performance as identified through the data and trends in the Self-Assessment. Outcomes should be expressed as desired changes within a program area to address the underlying conditions or factors as noted in the district's Self-Assessment. The outcomes must be related to the use of OCFS funding, and/or required areas of services by the social services district and Youth Bureau. Districts may incorporate outcomes from their Child and Family Services Program Improvement Plan (PIP). List the district's outcomes for this program area and identify quantifiable indicators (measures) of the desired changes that you will use to track progress.

http://ocfs.state.ny.us/efsn/Print All asa?Username=Dutchess 5/17/2014
OUTCOME 1. Youth will be prepared for their eventual economic self sufficiency. (LA1ES, Goal 11) OUTCOME 2: Children and youth will have optimal physical and emotional health. (LA2PEH, Goal 21) OUTCOME 3: Children will leave school prepared to live, learn and work in a community as contributing members of society. (LA3ED, Goal 31), OUTCOME 4: Children and youth will demonstrate good citizenship as law abiding, contributing members of their families, schools and communities. (LA4CVC, Goal 41), OUTCOME 5: Families will provide children with safe, stable and nurturing environments. (LA5FAM, Goal 51).

1a. Employment/internship funded programs will meet 70% of their outcomes. 1b. 90% of the meetings will be attended to allocate the WIB SYEP funding each year. 2a. 70% of youth served will successfully complete their program services and not reenter/reenter the Juvenile Justice system. 2b. Funded programs will meet 60% of their outcomes. 2c. Funded programs will meet 70% of their outcomes. 3a. A minimum of one scholarship will be awarded annually. 4a. Funded programs will meet 70% of their outcomes. 4b. Funded programs will complete at least one community service project and/or meet 60% of their outcomes. 4c. The Youth Council will successfully complete at least three community service projects, one leadership training, and host four guest speakers to address teen related issues. 4d. Funded programs will meet 75% of their outcomes. 4e. Funded programs will meet 75% of their outcomes. 5a. Funded programs will meet 75% of their outcomes. 5b. Funded programs will meet 75% of their outcomes. 5c. Attendance of at least 70% of the varying committee/coalition meetings each year.

Youth Development Strategies to Achieve Outcomes: Describe the strategies that will be implemented to achieve the identified outcomes, including those strategies that support your Child and Family Services PIP outcomes. Each strategy should include the timeframe for completion and designation of what agency(ies) or department(s) is/are responsible for implementation. Explain how OCFS-administered funding supports achievement of outcomes.

1a. To provide vocational experiences for youth, the Youth Board will allocate funding for jobs and/or internships. 1b. The Director of Youth Services will participate on the WIB Summer Youth Employment Program grant allocation process. 2a. Youth Services will provide counseling services to TANF eligible youth and families to promote mental health. 2b. To provide access to services, the Youth Board will fund services that link youth to intervention and treatment services. 2c. To promote optimal physical health, the Youth Board will fund programs that provide opportunities to increase levels of physical fitness. 3a. To assist youth in achieving higher education, the Youth Board will provide scholarships at Dutchess Community College. 4a. To promote constructive use of time, the Youth Board will fund programs that provide youth with positive and productive activities during the out-of-school hours. 4b. To increase youth opportunities for community service, the Youth Board will fund programs that provide youth with meaningful volunteer opportunities and internships. 4c. The Division of Youth Services will organize and sponsor the DC Youth Council to provide high school students opportunities and training for youth leadership, youth empowerment and develop civic values. 4d. The Youth Board will fund programs that offer skill building experiences, such as social competencies, arts and cultural awareness, athletics and recreation, health information, and conflict resolution. 4e. To reduce youth violent incidents or recidivism rates, the Youth Board will fund municipal juvenile bureaus and school resource officer programs. 5a. To increase access of services for victims of youth violence, the Youth Board will fund services that provide assistance to children and youth who are victims of violence. 5b. To increase youth awareness of the signs and causes of violence, the Youth Board will fund educational program in personal safety and domestic violence. 5c. To improve service coordination and interagency collaboration, The Division of Youth Services staff will participate on interagency committees/coalitions to address youth violence, family issues, substance abuse, and other youth related matters.

VII - Domestic Violence

Domestic Violence Self-Assessment: Describe the success and challenges the district has experienced since the last plan update in the program area. Noting the data and trends as identified in Appendix C, and the cumulative district consultations (Appendix B-1 through B-6), describe the underlying conditions or factors that influence your performance in meeting the needs of children, youth, adults and families (as applicable).

In 2012 the district completed 244 Domestic Violence Liaison (DVL) assessments. Comparatively, in 2013 the district completed 279 DVL assessments. There was a 13% increase in DVL assessments. 2012 OUTCOMES–REPORT TARGET 1 All DSS case managers and supervisors will be trained regarding domestic violence so that safety and support services to families dealing with Domestic Violence cases will be enhanced. RESULT DC DCFS continues to contract with Grace Smith House to have two Domestic Violence Liaisons on site at DCFS to assist children services staff with Domestic Violence cases. Grace Smith House provided 8 trainings to children services staff in 2012 plus 1 cross training session. In addition, children services staff are able to attend any and all domestic violence trainings that are offered by OCFS/CDHS. Seven staff members of Adult Services have completed Domestic Violence Liaison training program. The DVL workers provide support to case managers working with domestic violence cases and are available to make joint visits when necessary. The County offered Domestic Violence overview to Administrative and Supervisory staff to enhance knowledge and intervention strategies on workplace domestic violence. TARGET 2 A minimum of 175 families per year will receive direct services or consultation services from the domestic violence liaison. RESULT 178 families received direct services or consultation services from the domestic violence liaisons in 2012.

Domestic violence often goes unreported. It may exist and workers may be unaware. Risk is highest when the decision to separate is made. Safety is an issue in reporting and leaving the abuser may cause homelessness.

Domestic Violence Outcomes: Outcomes are based on the district's performance as identified through the data and trends
in the Self-Assessment. Outcomes should be expressed as desired changes within a program area to address the underlying conditions or factors as noted in the district’s Self-Assessment. The outcomes must be related to the use of OCFS funding, and/or required areas of services by the social services district and Youth Bureau. Districts may incorporate outcomes from their Child and Family Services Program Improvement Plan (PIP). List the district’s outcomes for this program area and identify quantifiable indicators (measures) of the desired changes that you will use to track progress.

OUTCOME 1: Victims of family violence, both child and adult will be afforded the safety and support necessary to achieve self sufficiency and/or to promote their continued growth and development (child). (Title IV-B goal 3).

1. A minimum of 175 families per year will receive direct services or consultation services from the domestic violence liaison.

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<th>Domestic Violence Strategies to Achieve Outcomes: Describe the strategies that will be implemented to achieve the identified outcomes, including those strategies that support your Child and Family Services PIP outcomes. Each strategy should include the timeframe for completion and designation of what agency(ies) or department(s) is/are responsible for implementation. Explain how OCFS-administered funding supports achievement of outcomes.</th>
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1a. Two domestic violence liaisons will be located in Dutchess County DCFS Children’s Services division to act as a resource and support to Children’s Services staff members in their work with families dealing with domestic violence issues.

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<th>VIII - Child Care</th>
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<th>Child Care Self-Assessment: Describe the success and challenges the district has experienced since the last plan update in the program area. Noting the data and trends as identified in Appendix C, and the cumulative district consultations (Appendix B-1 through B-6), describe the underlying conditions or factors that influence your performance in meeting the needs of children, youth, adults and families (as applicable).</th>
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2012 OUTCOMES--REPORT TARGET 1 100% of families guaranteed childcare will have funds available to them during the fiscal year. RESULT Sufficient Funds were available so that all families guaranteed childcare TARGET 2 75% of investigations are completed timely. RESULT 100% of investigations were completed in a timely manner.

Worsening economic conditions in the County have increased need and forced the district to set eligibility in the optional categories of eligible families to those whose income is at or below 125% of the SIS.

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<th>Child Care Outcomes: Outcomes are based on the district’s performance as identified through the data and trends in the Self-Assessment. Outcomes should be expressed as desired changes within a program area to address the underlying conditions or factors as noted in the district’s Self-Assessment. The outcomes must be related to the use of OCFS funding, and/or required areas of services by the social services district and Youth Bureau. Districts may incorporate outcomes from their Child and Family Services Program Improvement Plan (PIP). List the district’s outcomes for this program area and identify quantifiable indicators (measures) of the desired changes that you will use to track progress.</th>
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OUTCOME 1: Dutchess County will make available and accessible child care to families eligible for child care services under the NYSCBGG program

1a. One hundred percent (100%) of families guaranteed childcare will have CDBG funds available to them during the fiscal year. 1b. Seventy five percent (75%) of investigations are completed timely.

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<th>Child Care Strategies to Achieve Outcomes: Describe the strategies that will be implemented to achieve the identified outcomes, including those strategies that support your Child and Family Services PIP outcomes. Each strategy should include the timeframe for completion and designation of what agency(ies) or department(s) is/are responsible for implementation. Explain how OCFS-administered funding supports achievement of outcomes.</th>
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1a. CDBG expenditures will be monitored so that funding remains available throughout the year for families guaranteed childcare. 1b. Child care Front End Detection investigations will be completed in a manner that will allow the avoidance of improper payments while processing of applications within 30 days.

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<th>IX - Runaway and Homeless Youth</th>
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<th>Runaway and Homeless Youth Self-Assessment: Describe the success and challenges the district has experienced since the last plan update in the program area. Noting the data and trends as identified in Appendix C, and the cumulative district consultations (Appendix B-1 through B-6), describe the underlying conditions or factors that influence your performance in meeting the needs of children, youth, adults and families (as applicable).</th>
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TARGET 1 Division of Youth Services and the RHYSC will support the River Haven TLC and oversee its compliance with OCFS regulations so that the program will maintain certification and federal funding. RESULT Certification and federal funding was sustained through 2012. TARGET 2 The RHYSC will supply data, attend meetings of the DC Housing Consortium and participate in the execution of the County’s Ten Year Plan to End Homelessness Update to ensure that the needs of homeless youth are represented in countywide planning for the homeless. RESULT The RHYSC or designee attended 100% of the DCHC meetings in 2012. TARGET 3 A minimum of 18 youth per year including runaway, homeless, PINS, JD, abused and neglected children will be provided a safe haven and case management services in times of crisis.
RESULT 61 youth (unduplicated count) were served through the respite care contract. This includes the 30 youth referred by Probation. TARGET 4 The RHYS and other RHY providers, as needed will be represented on the ICP Workgroup to ensure RHY needs are evaluated and included in County planning efforts to maximize coordination between the RHY service system and other local service systems. RESULT The RHYS or other RHY providers were represented at more than 80% of ICP Meetings.

NYS RHYA funding appropriation saw a 58% reduction between calendar year 2008 and 2013; County matching dollars and funding from other sources that support local RHY services declined during the same period. In 2011, the River Haven Part I shelter relocated to a newly constructed site, designed to accommodate a teen parents with their child & 12 unaccompanied youth. Due to budget & staffing reductions, the shelter has been unable to house teen parents, but is increasingly relied upon to provide respite care & services for PINS/JD population. Funding for the River Haven Street Outreach Program ended 10/1/2014. Between 2008 & 2013 the capacity of the IL Program declined by about 60% despite increased demand & growing numbers of disconnected youth. The River Haven TLC has also experienced funding reductions & as of 10/1/2013, its 5 year federal grant continued without release of the expected federal RFP. The RFP has since been released, but funding awards will not be made until April 1, 2014 and there is no assurance that even at that time the River Haven TLC will be awarded one of the expected 31 grants to be made nationwide. A small amount of gap funding has allowed the TLC to operate at a reduced capacity with lower levels of supervision, while it awaits funding decisions. HRH is exploring ways to serve older youth/young adults (ages 18-25) within the adult service system. HRH’s NYS Solutions to End Homelessness grant does recognize this age group as a priority population for homeless prevention and rapid re-housing services.

Runaway and Homeless Youth Outcomes: Outcomes are based on the district’s performance as identified through the data and trends in the Self-Assessment. Outcomes should be expressed as desired changes within a program area to address the underlying conditions or factors as noted in the district’s Self-Assessment. The outcomes must be related to the use of OCFS funding, and/or required areas of services by the social services district and Youth Bureau. List the district’s outcomes for this program area and identify quantifiable indicators (measures) of the desired changes that you will use to track progress.

OUTCOME 1: Dutchess County will provide runaway and homeless youth and their families with opportunities to meet their needs for physical, social, moral, and emotional growth (LABCOM, Goal 6) OUTCOME 2: Dutchess County will ensure the coordination of all available county resources for RH youth and their families.

1a. A minimum of 25 youth per year including runaway, homeless, PINS, JD, abused and neglected children will be provided a safe home and case management. 1b. At least 100 runaway & homeless youth will be provided emergency housing and case management services in times of crisis through HRH’s River Haven Shelter. 1c. At discharge from the shelter, 95% of the youth served will be re-united with their families or placed in an otherwise safe and appropriate residence. 1d. At least 65% of the youth served by HRH’s river Haven Shelter will be diverted from ‘out of home’ placement through the juvenile justice, mental health or child welfare systems. 1e. At least 10 older homeless youth will reside in supervised transitional housing at HRH’s River Haven Transitional Living Community (TLC) and participate in services to increase their self-sufficiency skills. 1f. At least 80% of the youth served by TLC will be living independently or in otherwise appropriate permanent housing and will be employed and/or attending an educational program at the time of discharge. 1g. HRH’s River Haven Independent Living Support Program (ILSP) will provide at least 60 older homeless and at risk youth with case management services and other supports to help them increase self sufficiency skills, pursue educational/vocational training and/or employment goals, obtain needed services and secure/sustain appropriate housing. 1h. At discharge from ILSP, 75% of youth served will have safe, stable housing appropriate to their needs and will be employed and/or attending an educational program. 2a. The RHYS and/or RHYA Service Provider will attend at least 75% of the ICP & DCHC meetings share information about RHY needs & services at least annually.

Runaway and Homeless Youth Strategies to Achieve Outcomes: Describe the strategies that will be implemented to achieve the identified outcomes, including those strategies that support your Child and Family Services PIP outcomes. Each strategy should include the timeframe for completion and designation of what agency(ies) or department(s) is/are responsible for implementation. Explain how OCFS-administered funding supports achievement of outcomes. Districts may incorporate outcomes from their Child and Family Services Program Improvement Plan (PIP). If the county receives RHYA funding, outcomes and strategies must be included and should address the coordination of available resources for runaway and homeless youth.

1a. Dutchess County will ensure that runaway, homeless and other at risk have access to safe emergency housing and services by funding, supporting and monitoring Hudson River Housing’s (HRH’s) River Haven Shelter, a 12-bed NYS certified RHYA Part I emergency shelter (4 beds will be available for respite care under contract with DCFS; a fifth shelter respite bed will be added to the contract through a County matched STSJP grant awarded DC Probation. This bed will to divert youth from detention placement and reduce lengths of stay in detention.) 1b. Dutchess County will ensure that older homeless youth have access to supervised housing, support, and the services needed to prepare them for eventual self-sufficiency by supporting HRH’s River Haven Transitional Living Community (TLC a 10 bed, NYS certified RHYA Part II TILPS Supported Residence). 1c. To further ensure older homeless youth have the support and opportunities needed to become self-sufficient, Dutchess County will fund, support and monitor HRH’s River Haven Independent Living Support Program a RHYA Part II Non-Residential Case Management Program. 2a. To ensure the coordination of all available resources for runaway and homeless youth and their families, the RHY Services Coordinator and/or RHY Service Providers will represent the needs of RHY population in County planning processes including the ICP & the DC Housing Consortium’s Continuum of Care (CoC) homeless service plan. 2b. RHY Services Coordinator will ensure that RHY have full access to

http://core.state.ny.us/efsn/Print All asr2Uuserid=Dutchess 5/19/2014
educational services as entitled under the law.

**X - Adult Protective Services**

**Adult Protective Services Self-Assessment:** Describe the success and challenges the district has experienced since the last plan update in the program area. Noting the data and trends as identified in Appendix C, and the cumulative district consultations (Appendix B-1 through B-8), describe the underlying conditions or factors that influence your performance in meeting the needs of children, youth, adults, and families (as applicable).

- In 2012 the district received 548 Protective Services for Adults (PSA) referrals, completed 523 PSA assessments, managed 41 Guardianship cases, managed 127 Representative Payee Cases, and completed 279 Housing Services Intakes. Comparatively, in 2013 the district received 633 PSA referrals, completed 517 PSA assessments, managed 34 Guardianship cases, managed 88 Representative Payee cases, and completed 251 Housing Services intakes. In 2013, the district focused on documenting PSA inquiries and referrals as a means to accurately record referrals and reasons for PSA referral rejections. As a result of this effort there was a 14% increase in referrals. However, the amount of completed PSA assessments remained relatively the same. There was a 17% reduction in Guardianship due to closure of cases for reason of death, and an increase in appointments of approved court Guardians other than The Commissioner of The Department of Community and Family Services. There was also 10% reduction in Housing Services Intakes in 2013, which reflected a 14% reduction in temporary housing expenditures. **2012 OUTCOMES - REPORT**

**TARGET 1**
The number of temporary housing placements and the average length of stay will be reduced by 10%. **RESULT** The number of persons placed in temporary housing decreased by 9%; however, the average length of stay increased by 20%. The Adult Services Director continues to participate with community agencies in the purses of permanent housing solutions. The Adult Services Division Housing Unit updated and maintained comprehensive housing resources lists. The Housing Supervisor oversaw that subsidized/low income housing checklists were updated in the Adult Services records. **TARGET 2**
The Director or the Supervisor will attend Consortium meetings and report back to staff. **RESULT** The Housing Supervisor and Adult Services Director attended quarterly Housing Consortium meetings and reported to Adult Services staff members programs. Services and housing opportunities via monthly staff meetings and unit meetings. **TARGET 3**
All new case managers will be registered for the next available training after the date of hire. **RESULT** In 2012, three case managers completed the Protective Services for Adults Core training program. **TARGET 4**
The Adult Protective Services Manual will be updated and expanded on a continuing basis. **RESULT** In 2012, the Adult Protective Services manual was expanded to include a new case manager workbook, developed by two Adult Services Supervisors. **TARGET 5** The Case Manager will conduct 5 public information sessions per year. **RESULT** In 2012 six public information sessions were conducted in the community. Additionally, The Adult Services Division sent two representatives to the Office for Aging Senior Picnics (10) to provide information and referrals to the Dutchess senior constituents. **TARGET 6**
Dutchess County will explore contracting for in-home psychiatric evaluations and assessments. **RESULT** In 2012, The Adult Services Division was able to utilize the Dutchess County Mental Hygiene Diversion Team for in-home psychiatric evaluations and assessments for individuals with mental illness. The Director of Adult Services continues to explore options for obtaining capacity evaluations in the community for individuals who do not have mental illness. **TARGET 7**
8 service providers will be invited to speak to staff and will make presentations. **RESULT** Three service providers presented an overview of their program to Adult Services staff. **TARGET 8**
A case manager will attend all meetings of the Elder Abuse Task Force. **RESULT** A Supervisor was invited and attended seven elder task force meetings. A Supervisor also attended monthly Coalition on Elder Abuse meetings. **TARGET 9**
The Director of Adult Services staff will attend all meetings of the Commissioner’s Advisory Committee. **RESULT** The Director of Adult Services attended all Commissioner Advisory Committee meetings. **TARGET 10**
The internal DCDCF/CFS Case Consultation Team will review a minimum of 10 cases per year. **RESULT** Eleven cases were reviewed at monthly case consultation meetings.

The goal of Adult Protective Services is to assist individuals to remain safely in their homes' communities. This must always be a consideration. Often victims of abuse or financial exploitation are unwilling to report the perpetrators to the police fearing loss of their homes or the incarceration of a family member. Mental illness, substance abuse, ability to perform the activities of daily living must always be considered.

**Adult Protective Services Outcomes:** Outcomes are based on the district's performance as identified through the data and trends in the Self-Assessment. Outcomes should be expressed as desired changes within a program area to address the underlying conditions or factors as noted in the district's Self-Assessment. The outcomes must be related to the use of OCFCS funding, and/or required areas of services by the social services district and Youth Bureau. Districts may incorporate outcomes from their Child and Family Services Program Improvement Plan (PIP). List the district's outcomes for this program area and identify quantifiable indicators (measures) of the desired changes that you will use to track progress. Districts are required to address at least two of the following State-determined adult services goals:

a. Impaired adults who self-neglect or are abused, neglected, or exploited by others will be identified, have their living situation thoroughly investigated, and be protected.

b. To pursue appropriate legal interventions to address situations where impaired adults are at risk of harm, are unable to make informed decisions, and are refusing necessary services.

c. To utilize multi-disciplinary community resources to improve assessments as well as develop service plans which reduces risk and protect adults.

d. To provide protective services in the least restrictive manner, respecting the adult's rights to self-determination and
OUTCOME 1: Impaired adults who are abused, neglected or exploited by others will have their situation thoroughly investigated and be protected. OUTCOME 2: DCFS will utilize multi-disciplinary community resources to improve assessments and to develop service plans which reduce risk and protect adults.

1a. The Team will develop a training protocol and conduct five (5) sessions per year. 1b. The Team will review a minimum of ten (10) difficult cases per year. 2a. Eight *8) service providers will be invited to speak to staff to facilitate collaborative service plans (2 per year). 2b. A Supervisor or Director will attend all meetings of the Coalition on Elder Abuse, provide updates at staff meetings and develop a resource/contact list for case manager use in servicing abuse cases. 2c. Director of Adult Services staff will attend all meetings of the Commissioner’s Advisory committee (CAC), provide PSA updates to CAC members and provide PSA staff with written updates from CAC meetings to maintain current collaborative resources for service plans. 2d. The Director or the Supervisor will attend all Housing Consortium meetings and report back to staff any programs services pertinent to housing needs of PSA population. 2e. The Supervisor will attend all CASE.E. meetings and provide a report at monthly staff meetings. The Supervisor will share a list of CASE.E. resources with case managers. 2f. The Adult Services Director will form an internal team at DCFS to outline Agency needs and targeted goals and objectives. The team will also investigate other task force models in the state with positive outcomes. The team will conduct community outreach and facilitate the formation of the task force meetings and develop a resource/contact list for case manager use in servicing abuse cases.

Adult Protective Services Strategies to Achieve Outcomes: Describe the strategies that will be implemented to achieve the identified outcomes, including those strategies that support your Child and Family Services PIP outcomes. Each strategy should include the timeframe for completion and designation of what agency(ies) or department(s) is/are responsible for implementation. Explain how OCFS-administered funding supports achievement of outcomes.

1a. An Adult Protective Services Team will be designated to conduct public information sessions about adult abuse to enable the public to better recognize and report it. 1b. Adult Services will present difficult cases to an internal DCFS Case consultation Team. 2a. Service providers will be invited to speak to DCFS staff. 2b. An Adult Services Supervisor will attend meetings of the Coalition on Elder Abuse to strengthen relationships with network providers. 2c. Director of Adult Services staff will attend all meetings of the Commissioner’s Advisory committee (CAC), provide PSA updates to CAC members and provide PSA staff with written updates from CAC meetings to maintain current collaborative resources. 2d. The Supervisor of the DCFS Housing Unit and the Director of Adult Services will participate in Dutches County Housing Consortium meetings and share information about housing opportunities with the DCFS Adult Services Unit. 2e. An Adult Services Supervisor will attend Council of Agencies Serving the Elderly (C.A.S.E.) meetings to expand network of supportive service providers of the elderly and provide PSA information to same providers. 2f. The Adult Services Director will initiate the development of a local Task Force on financial Exploitation of the vulnerable adult population to increase positive outcomes of investigation and/or prosecution of exploitation cases. 1a. The Team will develop a training protocol and conduct five (5) sessions per year. 1b. The Team will review a minimum of ten (10) difficult cases per year. 2a. Eight service providers will be invited to speak to staff to facilitate collaborative service plans.

Plan Monitoring

Describe the methods and the processes that will be used by the district to verify and monitor the implementation of the Child and Family Services Plan and the achievement of outcomes.

The ICP Workgroup will monitor the status of the various strategies annually. The Youth Service’s Child and Family Services Plan outcomes and strategies are reflected in the yearly Division’s goals and shared with the Youth Board, Commissioner of DCFS and County Executive. The Youth Service’s Child and Family Services Plan outcomes and strategies are reviewed annually and shared with the Youth Board and the Commissioner of DCFS. The summary of Funded OCFS Program Services Annual Outcomes is also shared. Brief updates are included in the Youth Services emails to contract agencies as needed. The annual status of the Youth Services are reported to the County Executive for his State of the County Address in January. The annual status of DCFS achievements is reported to the County Executive for his State of the County Address and achievements are disseminated to a wider audience with the Department’s Annual report. DCFS Administrative Staff meeting minutes are sent to the CE, Legislature and DCFS Commissioner’s Advisory Committee. Both DCFS and Youth Services goals and achievements are discussed during the course of the bi-monthly Health and Human Services Cabinet meetings. Opportunities to increase cross-system support and/or processes to improve outcomes for families and children will be studied and recommended to the County Executive. The Cabinet is involved in the long term project to incorporate outcome language into county direct contracted services. Probation shares bi-annually strategies achieved with the Juvenile Justice Committee.

Priority Program Areas

Priority Program Areas: From the Self Assessment in the sections above, please identify the program areas that the district has determined to be priorities.

Overall our economic indicators have worsened since 2007 resulting in a significant increase in the number families under stress. Suicides continue to increase as identified by the Medical Examiner as well as the abuse of Prescription drugs. Exacerbating this is the loss of services in the community with St. Francis Hospital having closed its adolescent psychiatric
bans and reducing its adult psychiatric beds, and Hudson River Psychiatric Center’s closing in October 2011. Currently over 50 adults and youth per month are placed in facilities outside the county causing disruptions for them and their families in treatment, support and long term planning options. Domestic violence homicides increased during 2010 along with DV calls both to Emergency Response and provider hotlines. We must strive to prevent the loss of funding to existing services in this economic climate. Addressing transitioning youth successfully to adult services is still a need. The Youth Board has identified the following Life Areas (LA) and Services, Opportunities & Supports (SOS) as program priorities for the Division of Youth Services: LA Economic Security with SOS’s career counseling, employment, GED prep, independent living skills, matching with employers for internships/work, resume and job search assistance, self-sufficiency skills development, vocational training, work readiness; LA Physical & Emotional Health with SOS’s athletic activities, case management/referrals, chronic disease prevention, counseling, nutrition food programs, recreational activities (both summer and year round), self esteem workshops, suicide prevention/education; LA Education w SOS’s academic services/educationally related services, dropout prevention, study skills; LA Citizenship/Civic Engagement with SOS’s character education, community service/youth activism projects, cultural enrichment/awareness, JAB/Officer, leadership skills development, youth leadership/empowerment; LA Family with SOS’s child abuse & neglect intervention and treatment, child abuse & neglect prevention/education programs to promote positive youth development, domestic violence prevention/education, family support, family violence prevention/education parenting skills, services for pregnant and parenting youth, stress management workshops; and LA Community with SOS’s information dissemination, RHYA Part 1 emergency shelter, RHYA Part 2 TILPS supported residence, violence/crime/delinquency prevention activities, RHYA Part 2 non-residential case management. The main priority for Runaway and Homeless Youth is to maintain the current level and quality of services.

Financing Process

☑ Check if No Change in This Section

Describe the financing for the district’s services.

- Include general information about the types if funds used (e.g. federal, State, local, TANF, or innovative funding approaches). Include new uses of TANF or Flexible Funds for Family Services for program services. Include any innovative approaches to funding or new uses of funds to support the service delivery system.

- If purchase of service agreements are used, describe the criteria and procedures used by the district for selecting providers for all child welfare, adult protective, and non-residential domestic violence purchase of services (e.g. RFP process, news releases on availability of funds, sister agencies panel).

Describe how purchase service contracts will be monitored.

- Describe procedures that will be used to ensure that the services being purchased are effective in meeting the outcomes as outlined in the contract and your plan. Include the frequency of monitoring, tools that will be used, and who will be involved.

APPENDIX F

Program Matrix

Each district will enter their Program Information into the Welfare Management System (WMS). Instructions for completing this process are located in the Plan Guidance Document. Answer the questions below related to the information you entered into the WMS system.

Are there changes to the services your county intends to provide during the County Planning Update cycle?

☒ No ☐ Yes

If there are changes to the services, please indicate what those changes are.
APPENDIX V

Persons In Need of Supervision (PINS) Diversion Services

This appendix refers to the PINS Diversion population only. Complete sections 1 through 4 for PINS Diversion population only.

Section 1: Designation of Lead Agency (check one):

- Probation  ○ LDSS

Section 2: Inventory of PINS Diversion Service Options

Describe below the current inventory of available community services within each category below for the PINS Diversion population. For each service, include the geographic area (countywide or specific cities or towns). Please note that the first three service categories are required.

<table>
<thead>
<tr>
<th>Service Category</th>
<th>Geographic Area</th>
<th>Service Gap</th>
</tr>
</thead>
<tbody>
<tr>
<td>a. Residential Respite – Required</td>
<td>Hudson River Housing Inc.: River Haven provides emergency housing and support services for runaway and homeless youth and young people who can otherwise benefit from short-term/respite housing due to family conflict/emergency, risk of court placement, or other issues. The River Haven Shelter is certified by NYS to house youth ages 10 through 17. Staff are available 24/7 to handle requests for housing, and provide related services including: referrals, information, counseling and crisis intervention for adolescents and their families. River Haven serves all of Dutchess County.</td>
<td>No</td>
</tr>
<tr>
<td>b. Crisis Intervention 24 hours/day – Required</td>
<td>Office of Probation: Mon., Wed., 9:00a.m.-6:00p.m., Thurs. and Tues. 8:00a.m.-6:30p.m., Friday 8:00a.m.-5:00 p.m. Other days/hours: Dutchess County Department of Mental Hygiene HELPLINE for mental health emergencies and River Haven Shelter for respite and housing emergencies. All are available to all of Dutchess County 24/7.</td>
<td>No</td>
</tr>
<tr>
<td>c. Diversion Services/other alternatives to detention – Required</td>
<td>Dutchess County Office of Probation, Diversion Services, Alternatives to Detention including: Pre-trial Services, Electronic Monitoring, Curfew Monitoring, and JRISC are available on a pre-trial basis as an alternative to detention. These services are available county wide.Dutchess County Office of Probation, Diversion Services, Alternatives to Detention including: Pre-trial Services, Electronic Monitoring, Curfew Monitoring, and JRISC are available on a pre-trial basis as an alternative to detention. These services are available county wide.</td>
<td>No</td>
</tr>
<tr>
<td>d. Alternative Dispute Resolution Services – Optional</td>
<td>No longer available on site. Families can</td>
<td>Yes</td>
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</table>
still be referred for this service off site and they will be charged a fee for service.

e. Other:
Other: Functional Family Therapy (FFT)

f. Other:

Section 3: PINS Diversion Procedures

Please provide a description of any changes that have been made to these procedures since the submission of your last comprehensive plan, including any collaborative team processes.

<table>
<thead>
<tr>
<th>PINS Diversion Services Protocol</th>
<th>Responsible Agency(ies)</th>
<th>Brief Description of How Provided</th>
</tr>
</thead>
<tbody>
<tr>
<td>a. Provides an immediate response to youth and families in crisis (includes 24 hours a day response capability)</td>
<td>□ Probation</td>
<td>Families can contact the Dutchess County Office of Probation and Community Corrections Monday, Wednesday and Fridays between the hours of 9:00a.m. and 5:00p.m. and Tuesdays and Thursdays between the hours of 8:30a.m. and 6:30 p.m. for an immediate response to families and youth in need of services. On days and times outside of those hours, families can contact the River Haven Shelter or, for psychiatric emergencies, the Dutchess County Department of Mental Hygiene. The River Haven Shelter can provide respite services and the Emergency Department located at St. Francis Hospital can provide emergency psychiatric services. The local police agencies can be contacted in situations where violence is present.</td>
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<tr>
<td>□ LDSS</td>
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<tr>
<td>□ Both</td>
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<tr>
<td>□ Other (name) River Haven</td>
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<tr>
<td>b. Determines the need for residential respite services and need for alternatives to detention</td>
<td>□ Probation</td>
<td>If a family is in crisis, the probation officer engages any combination of a member of the Collaborative Solutions Team, the Sr. Probation Officer and/or Unit Administrator of the Family Court Diversion Unit to meet with the family immediately at the office, home or school. They assess if the youth or family member requires an emergency psychiatric evaluation or respite housing either at the River Haven shelter or with an appropriate friend or family member. We currently use the YASI Detention tab if any case is forwarded to Family Court. We have an array of alternative to detention options which are considered for any youth who scores medium or high on the detention tab. Unfortunately, it is our understanding that the DRAI tool is only to be used for JD youth.</td>
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<td>□ LDSS</td>
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<td>□ Other (name) Asst. Conty Attorneys</td>
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<tr>
<td>c. Serves as intake agency – accepts referral for PINS diversion services, conducts initial conferencing, and makes PIN eligibility determinations</td>
<td>□ Probation</td>
<td>The Sr. Probation Officer or the Intake/officer who will be conducting the initial interview schedules the initial conference. In cases of emergencies, the Sr. Probation Officer or Unit Administrator will make arrangements for the family to be seen immediately by the appropriate staff. This may include a member of the Collaborative Solutions Team when indicated. All youth under the age of 18 who fit the legal criteria of a PINS will be considered for diversion services. The Sr. Probation Officer of the Dutchess County Office of Probation and Community Corrections Family Court Intake/Diversion Unit will review all complaints to determine if they meet eligibility requirements. If questionable, the Unit Administrator will review the complaint for a final determination. Every potential respondent shall qualify for adjustment services. Exceptions to this would include the respondent's refusal to cooperate with adjustment services or a run away. In these cases, every effort will be made to locate and engage the potential respondent so as to avoid a petition to court. Family</td>
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<td>□ LDSS</td>
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<td>□ Other (name)</td>
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<tr>
<td>d. Conducts assessment of needs, strengths, and risk for continuing with PIN behavior</td>
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<td>Name of assessment instrument used:</td>
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<td>☐ Probation</td>
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- Court is used as a last resort when diversion efforts are unsuccessful.
- At Intake, a YASI pre-screen is completed. As research is clear that low-risk youth are better served in a non-juvenile justice setting, low risk youth TANF eligible may be referred to the Dutchess County Youth Services Unit for services. A full YASI assessment is completed on all medium and high risk youth who have agreed to diversion services. Upon signed consent from parent(s) and youth, a MAYS! screening is also conducted for the purpose of determining any mental health issues that would indicate the need for further intervention.

<table>
<thead>
<tr>
<th>e. Works with youth and family to develop case plan</th>
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<tbody>
<tr>
<td>Name of assessment instrument used:</td>
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<td>☐ Probation</td>
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<td>☐ LDSS</td>
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<td>☐ Other (name)</td>
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- For moderate and high risk youth the assigned probation officer will develop a case plan based on the needs and strengths identified by the YASI. The family will take an active part in the case planning process and will sign off on the plan. The case plan must be specific and measurable and correlate to the assessment. YASI updates will be conducted at 90 day intervals and at closing to measure progress. Should risk levels increase or protective factors decrease, a plan to address these factors must be noted in the case plan.

<table>
<thead>
<tr>
<th>f. Determines service providers and makes referrals</th>
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<tbody>
<tr>
<td>Name of assessment instrument used:</td>
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<td>☐ Probation</td>
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<td>☐ LDSS</td>
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<td>☐ Both</td>
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<td>☐ Other (name)</td>
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- All medium and high risk cases that are accepted for PINS Diversion services are presented to the Intake Review Committee, which is comprised of the Collaborative Solutions Team, Probation supervisor/designee, Astor clinical supervisor and JRISC therapist, to match needs with services including, but not limited to, J-RISC, FFT, Astor Services for Families and Children, Lexington Center for Recovery and the Collaborative Solutions Team. The Intake Review Committee meets weekly to review all cases.

<table>
<thead>
<tr>
<th>g. Makes case closing determination</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name of assessment instrument used:</td>
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<td>☐Probation</td>
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<td>☐LDSS</td>
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<td>☐Other (name)</td>
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- Cases where the goals of the case plan have been met shall be considered for successful case closure. In these instances, the probation officer submits the YASI reassessment with a summary of the youth’s progress to the Sr. Probation Officer or Unit Administrator. In instances where the case has not been successfully diverted and the probation officer in consultation with the Sr. Probation Officer or Unit Administrator determines that diversion efforts have been exhausted and that there is no substantial likelihood that the youth and family would benefit from continued services, a petition may be submitted to Family Court. Unless there are emergency circumstances, generally there is a meeting with the supervisor, probation officer and family and/or consultation with Collaborative Solutions Team members prior to final determination for a petition to be filed.

Section 4: PINS Diversion Services Plan

Development of PINS Diversion Services Plan and MOU

A. Planning activities - Briefly describe all PINS Diversion Services Planning activities the county has engaged in related to this current plan.

The ICP Committee continues to meet as does the Court Involved Youth Committee and the Juvenile Justice Committee.

List stakeholder and service agency involvement in planning.

Dutchess County Office of Mental Hygiene: Attends the ICP, Court Involved Youth and Juvenile Justice Committees. Dutchess County Department of Social Services: Attends the ICP, Court Involved Youth and Juvenile Justice Committees. Dutchess County Office of Probation: Attends the ICP, Court Involved Youth and Juvenile Justice Committees. Hudson River Housing, River Haven: Attends the ICP, Court Involved Youth and Juvenile Justice Committees. Dutchess County Division of Youth Services: Attends ICP, Court Involved Youth Committee and Juvenile Justice Committee. Astor Community Based Services: Attends the Court Involved Youth Committee and Juvenile Justice Committee. Dutchess County Attorney’s Office: Attends the Court Involved Youth and Juvenile Justice Committees. City of Poughkeepsie Police Department Attends the Juvenile Justice Committee. Community Representative: Attends the Juvenile Justice Committee. Attorney: Kent Privette: Attends the Court Involved Youth Committee Family Court Personnel: Attends the Court Involved Youth Committee Non-Secure Detention Provider: Attends the Court Involved Youth Committee Mental Health America: Attends the ICP meetings.
B. Please define the PINS Diversion population in your county for 2012. Specifically, please provide the following as whole numbers (not %):

1. Number of PINS Diversion referrals filed by parents:
   - 145

2. Number of PINS Diversion referrals by schools:
   - 144

3. Number of PINS Diversion referrals other sources:
   - 2

4. Number of PINS Diversion cases closed as Successfully Diverted:
   - 274

5. Number of PINS Diversion cases closed as Unsuccessful and Referred to Petition:
   - 98

6. Identify any aggregate needs assessment conclusions and/or priorities regarding the PINS Diversion Population that have been developed as part of the planning process.

   We continue to use the YASI data to examine the numbers of high risk youth entering our system and to determine dynamic risk reduction and dynamic protective factor increase at the time of closing. We also continue to use this data to assess the efficacy of programs and to match youth with the greatest risk and needs to our more highly structured programs.

Please identify the intended outcomes to be achieved for the PINS Diversion population. For each outcome:

a. In the first column, identify quantifiable and verifiable outcomes of the desired change in conditions or behaviors for the PINS Diversion population.

b. In the second column, identify the specific raw number or percentage change indicator sought for that outcome.

c. In the third column, describe the strategies to be implemented to achieve the identified indicator and outcome. Each strategy should include the timeframe for completion, and a designation of who is responsible for implementation.

<table>
<thead>
<tr>
<th>Identify Outcome</th>
<th>Specify Indicator</th>
<th>Strategy/Plan to achieve</th>
</tr>
</thead>
<tbody>
<tr>
<td>(For PINS Diversion Population)</td>
<td>(Expressed as a raw number or % change)</td>
<td>(Who, what, and when)</td>
</tr>
<tr>
<td>Item 1</td>
<td>Item 1</td>
<td>Item 1</td>
</tr>
<tr>
<td>OUTCOME 1: Families, including nuclear, extended and adoptive families are strengthened and supported so they are able to raise, nurture, and ensure their children's connections to their heritage and in planning for their children's futures and Dutchess County will provide PINS Diversion youth and their families with opportunities to help them meet their needs for physical, social, moral and emotional growth such that 80% of PINS complaints received do not result in a petition to Family Court</td>
<td>80</td>
<td>1. The Dutchess County Office of Probation will operate a Diversion Unit that provides intake, assessment services and case planning services for families that target criminogenic areas of need and risk and utilize a strength-based approach to increase protective factors and reduce dynamic risk. 2. The Dutchess County Office of Probation will use the pre-screen YASI to identify low risk youth who can be diverted from PINS Intake 3. When a recommendation for out of home placement is being considered, the case will be reviewed by the Dispositional Review Team. 4. Dutchess County Office of Probation will continue to refer appropriate families to River Haven's Respite Program. 5. DC Probation in its leadership role on the Juvenile Justice Committee will oversee the accomplishments of the DSS/Probation MOU and track the number of PINS youth placed in DSS custody. 6. DC Probation will participate in the Juvenile Justice Committee and the Court Involved Youth Committee to look at processes, procedures.</td>
</tr>
<tr>
<td>Item 2</td>
<td>Item 2</td>
<td>Item 2</td>
</tr>
<tr>
<td>OUTCOME 2: Dutchess County will assist youth in assuming personal responsibility for their behavior and refraining from violence so that 75%</td>
<td>75</td>
<td>1. For school-filed PINS complaints DC Probation will meet with the family, school official/s, probation officer to give an opportunity for the school and family to present issues of concern and to come to an agreement on strategies to address the issues. 2. DC Probation</td>
</tr>
</tbody>
</table>
of youth served are diverted.

Officers who have been trained as facilitators of cognitive/behavioral curriculums will implement the Crossroads curriculum, which is cognitive/behavioral curriculum with a focus on life skills. 3. Collaborative Solutions Team members and one Probation Officer will provide Functional Family Therapy at the Office of Probation on a part-time basis. 4. The Dutchess County Office of Probation will provide curfew monitoring services when funding is available. 5. The Dutchess County Office of Probation will provide electronic monitoring services.

APPENDIX W

Services to Exploited Children

Social Services Law 447-b requires each social services district to address the needs of sexually exploited children in their child welfare services plan and, to the extent that funds are available, provide short-term safe placement, crisis intervention and other appropriate services.

What is the estimated number of sexually exploited children in your district who meet the definition contained in section 447-a of the Social Services Law and are in need of services?

List those consulted in determining the number of sexually exploited children in your district and their service needs. Check all that apply:

☑ Local law enforcement
☑ Runaway and Homeless Youth Program Providers
☑ Runaway and Homeless Youth Program Coordinator
☑ Probation Department
☐ Local Attorneys for Children
☐ Public Defenders
☐ District Attorneys
☐ Child Advocates
☐ Service Providers who work directly with sexually exploited youth
☑ Local social services commissioner
☐ Local presentment agency

In determining the need for and capacity of services, districts shall recognize that sexually exploited youth have separate and distinct service needs according to gender. To the extent that funds are available, appropriate programming shall be made available. List those services that are provided to sexually exploited youth in your district.

Dutchess County had no sexually exploited children who met the criteria in Social Services Law 447-b that we are aware of. A few years ago there was a large push to identify people, in general, who were victims of sexual trafficking. The District Attorney’s Bureau chief attended a number of meetings with the Sex Offense Subcommittee of the NYS DA’s Association and was asked to gather numbers of these cases. At that time she was unaware of anyone north of the 5 boroughs of New York who had any cases and that included children. The DC DA has continued to keep an eye out for them. Since then they are only aware of one female who was a runaway, not engaging in and "trade" here but was found by the State Police at a local motel.

While we believe this population may exist here, we mainly see children who do not fall into the definition. Even our adult sex workers are largely, these days, not directed by a pimp/madam type. Most are know to be working on their own.

To the extent that funds are available, Dutchess County will ensure that sexually exploited children are provided with crisis intervention/respite services and, when needed, a short term safe placement in Hudson River Housing, Inc.’s River Haven Program, the County’s approved runaway and homeless youth program/respite program. River Haven provides street
outreach, individual counseling, and referrals for services such as educational and vocational training and health care.
February 10, 2014

New York State
Office of
Children &
Family
Services

Mr. Robert B. Allers, Commissioner
Dutchess County Department of Family & Community Services
60 Market St.
Poughkeepsie, NY 12601-3299

http://ocfs.ny.gov

Andrew M. Cuomo
Governor

Sheila J. Poole
Acting Commissioner

Capital View Office Park
52 Washington Street
Rensselaer, NY 12144-2834

Dear Commissioner Allers:

This letter is to inform you that the child care section found in your Child and Family Services Plan, 2014 Annual Plan Update was approved and became effective on February 10, 2014.

This approval is being issued separately from the approval of other sections of your plan in order to accommodate your county’s need to implement the child care services provisions. A letter approving the remaining sections of your plan will be sent upon their approval.

If you have any questions about this approval or the child care section found in your district’s Child and Family Services Plan, 2014 Annual Plan Update, please contact Michael Miller at (518) 408-3395, or by e-mail at Michael.Miller@ocfs.ny.gov.

Sincerely,

Janice M. Molnar, Ph.D.
Deputy Commissioner
Division of Child Care Services

An Equal Opportunity Employer
DUTCHESS COUNTY – 2014 APU
APPENDIX K
Child Care Administration

Describe how your local district is organized to administer the child care program, including any functions that are subcontracted to an outside agency.

1. Identify the unit that has primary responsibility for the administration of child care for:
   - Public Assistance Families: Dutchess County DSS Day Care Unit
   - Transitioning Families: Dutchess County DSS Day Care Unit
   - Income Eligible Families: Dutchess County DSS Day Care Unit
   - Title XX: Dutchess County DSS Day Care Unit

2. Provide the following information on the use of New York State Child Care Block Grant (NYSCCBG) Funds.

   FFY 2009-2010 Rollover funds (available from the NYSCCBG ceiling report in the claiming system): $0.00
   Estimate FFY 2010-11 Rollover Funds: $0.00
   Estimate of Flexible Funds for Families (FFS) for child care subsidies: $0.00
   NYSCBG Allocation 2011-12: $6,284,740.00
   Estimate of Local Share: $193,433.00
   Total Estimated NYSCCCBG Amount: $6,478,173.00

   a. Subsidy: $5,446,853.00
   b. Other program costs excluding subsidy: $0.00
   c. Administrative costs: $826,882.00

3. Does your district have a contract or formal agreement with another organization to perform any of the following functions?

<table>
<thead>
<tr>
<th>Function</th>
<th>Organization</th>
<th>Amount of Contract</th>
</tr>
</thead>
<tbody>
<tr>
<td>☐ Eligibility screening</td>
<td></td>
<td></td>
</tr>
<tr>
<td>☐ Determining if legally-exempt providers meet State-approved additional standards</td>
<td></td>
<td></td>
</tr>
<tr>
<td>☐ Assistance in locating care</td>
<td></td>
<td></td>
</tr>
<tr>
<td>☐ Child Care Information Systems</td>
<td></td>
<td></td>
</tr>
<tr>
<td>☐ Other</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Dutchess County 2014 APU – February 10, 2014
### APPENDIX L
Other Eligible Families if Funds are Available (Required)

Listed below are the optional categories of eligible families that your district can include as part of its County Plan. Select any categories your county wants to serve using the NYSCCDBG funds and describe any limitations associated with the category.

<table>
<thead>
<tr>
<th>Optional Categories</th>
<th>Option</th>
<th>Limitations</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Public Assistance (PA) families participating in an approved activity in addition to their required work activity.</td>
<td>☒ Yes</td>
<td>When caretaker is participating in an approved educational or vocational activity approved by DSS Employment Unit</td>
</tr>
<tr>
<td>2. PA families or families with income up to 200% of the State Income Standard when the caretaker is:</td>
<td></td>
<td>PA recipients only</td>
</tr>
<tr>
<td>a) participating in an approved substance abuse treatment program</td>
<td>☒ Yes</td>
<td></td>
</tr>
<tr>
<td>b) homeless</td>
<td>☐ No</td>
<td></td>
</tr>
<tr>
<td>c) a victim of domestic violence</td>
<td>☐ Yes</td>
<td></td>
</tr>
<tr>
<td>d) in an emergency situation of short duration</td>
<td>☐ No</td>
<td></td>
</tr>
<tr>
<td>3. Families with an open child protective services case when child care is needed to protect the child.</td>
<td>☒ Yes</td>
<td></td>
</tr>
<tr>
<td>4. Families with income up to 200% of the State Income Standard when child care services are needed because the child’s caretaker:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>a) is physically or mentally incapacitated</td>
<td>☐ Yes</td>
<td></td>
</tr>
<tr>
<td>☒ No</td>
<td></td>
<td></td>
</tr>
<tr>
<td>b) has family duties away from home</td>
<td>☐ Yes</td>
<td></td>
</tr>
<tr>
<td>☒ No</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5. Families with income up to 200% of the State Income Standard when child care services are needed for the child’s caretaker to actively seek employment for a period up to six months.</td>
<td>☐ Yes</td>
<td></td>
</tr>
<tr>
<td>☒ No</td>
<td></td>
<td></td>
</tr>
<tr>
<td>6. PA families where a sanctioned parent is participating in unsubsidized employment, earning wages at a level equal to or greater than the minimum amount under law.</td>
<td>☒ Yes</td>
<td>If working towards lifting sanction</td>
</tr>
<tr>
<td>☐ No</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
7. Families with income up to 200% of the State Income Standard when child care services are needed for the child’s caretaker to participate in:

<p>| | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>a)</td>
<td>a public or private educational facility providing a standard high school curriculum offered by or approved by the local school district</td>
<td>☒ Yes</td>
</tr>
<tr>
<td></td>
<td></td>
<td>No</td>
</tr>
<tr>
<td>b)</td>
<td>an education program that prepares an individual to obtain a NYS High School equivalency diploma</td>
<td>☐ Yes</td>
</tr>
<tr>
<td>c)</td>
<td>a program providing basic remedial education in the areas of reading, writing, mathematics, and oral communications for individuals functioning below the ninth month of the eighth grade level</td>
<td>☐ Yes</td>
</tr>
<tr>
<td>d)</td>
<td>a program providing literacy training designed to help individuals improve their ability to read and write</td>
<td>☐ Yes</td>
</tr>
<tr>
<td>e)</td>
<td>English as a second language (ESL) instructional program designed to develop skills in listening, speaking, reading, and writing the English language for individuals whose primary language is other than English</td>
<td>☐ Yes</td>
</tr>
<tr>
<td>f)</td>
<td>a two-year full-time degree granting program at a community college, a two-year college, or an undergraduate college with a specific vocational goal leading to an associate degree or certificate of completion</td>
<td>☒ Yes</td>
</tr>
<tr>
<td>g)</td>
<td>a training program, which has a specific occupational goal and is conducted by an institution other than a college or university that is licensed or approved by the State Education Department</td>
<td>☒ Yes</td>
</tr>
<tr>
<td>h)</td>
<td>a prevocational skill training program such as a basic education and literacy training program</td>
<td>☐ Yes</td>
</tr>
<tr>
<td>i)</td>
<td>a demonstration project designed for vocational training or other project approved by the Department of Labor</td>
<td>☐ Yes</td>
</tr>
</tbody>
</table>

**Note:** The parent/caretaker must complete the selected programs listed under number seven within 30 consecutive calendar months. The parent/caretaker
cannot enroll in more than one program.

| 8. | PA recipients and low-income families with incomes up to 200% of the State Income Standard who are satisfactorily participating in a two-year program other than one with a specific vocational sequence (leading to an associate’s degree or certificate of completion and that is reasonably expected to lead to an improvement in the parent/caretaker’s earning capacity) as long as the parent(s) or caretaker is also working at least 17½ hours per week. The parent/caretaker must demonstrate his or her ability to successfully complete the course of study. | ☑ Yes | Temporary Assistance recipients and low income families with income up to 125% of the SIS for attendance at a college or university in courses that are conducted on the campus, not online. |
| 9. | PA recipients and low-income families with incomes up to 200% of the State Income Standard who are satisfactorily participating in a two-year college or university program (other than one with a specific vocational sequence) leading to an associate’s degree or a certificate of completion that is reasonably expected to lead to an improvement in the parent/caretaker’s earning capacity as long as the parent(s) or caretaker is also working at least 17½ hours per week. The parent/caretaker must demonstrate his or her ability to successfully complete the course of study. | ☑ Yes | Only Temporary Assistance recipients and low income families with income up to 125% of the SIS for attendance at a college or university in courses that are conducted on the campus, not online. |
| 10. | PA recipients and low-income families with incomes up to 200% of the State Income Standard who are satisfactorily participating in a four-year college or university program leading to a bachelor’s degree and that is reasonably expected to lead to an improvement in the parent/caretaker’s earning capacity as long as the parent(s) or caretaker is also working at least 17½ hours per week. The parent/caretaker must demonstrate his or her ability to successfully complete the course of study. | ☑ Yes | Only Temporary Assistance recipients for attendance at a college or university in courses that are conducted on the campus, not online. |
| 11. | Families with incomes up to the 200% of the State Income Standard when child care services are needed for the child’s caretaker to participate in a program to train workers in an employment field that currently is or is likely to be in demand in the future, if the caretaker documents that he or she is a dislocated worker and is currently registered in such a program, provided that child care services are only used for the portion of the day the | ☑ Yes |  |
|   | | ☐ No |  |
caretaker is able to document is directly related to the caretaker engaging in such a program.
APPENDIX M
Reasonable Distance, Very Low Income, Family Share, Case Closing and Openings, Recertification Period, Fraud and Abuse Control Activities Inspections (Required)

Reasonable Distance
Define "reasonable distance" based on community standards for determining accessible child care.

The following defines "reasonable distance": For the purpose of determining whether child care is accessible to a TANF recipient who is a caretaker relative of a child under the age of 13 Dutchess County defines a reasonable distance as one-way travel time up to one and one half hours from home to a work activity with a stop at a child care provider.

Describe any steps/consultations made to arrive at your definition: Dutchess County has a public transportation system that uses the "2 mile" rule for employment purposes. If an individual resides outside of the 2 mile radius of public transportation, alternate arrangements are explored. This is consistent with Dutchess County’s employment plan.

Very Low Income
Define "very low income" as it is used in determining priorities for child care benefits.

“Very Low Income” is defined as 100% of the State Income Standard.

Family Share
“Family share” is the weekly amount paid towards the costs of the child care services by the child’s parent or caretaker. In establishing family share, your district must select a percentage from 10% to 35% to use in calculating the family share. The weekly family share of child care costs is calculated by applying the family share percentage against the amount of the family’s annual gross income that is in excess of the State Income Standard divided by 52.

Family Share Percentage selected by the county 30%.

Note: The percentage selected here must match the percentage selected in Title XX Program Matrix in WMS.

Case Closings
The district must describe below how priority is given to federally mandated priorities and describe local priorities. If all NYSCCDBG funds are committed, the district will discontinue funding to those families that have lower priorities in order to serve families with higher priorities. Describe below how districts will select cases to be closed in the event that there are insufficient or no funds available.

1. Identification of local priorities in addition to the required federal priorities (select one).
   ☐ The district has identified local priorities in addition to the required federal priorities (Complete Section 2)
   ☒ The district has not identified local priorities in addition to the required federal priorities (Complete Section 3).

Dutchess County 2014 APU – February 10, 2014
2. Describe how priority is given to federally mandated priorities and describe local priorities. If all NYSCCBG funds are committed, the district will discontinue funding to those families that have lower priorities in order to serve families with higher priorities. Describe in the space below how the district will select cases to be closed in the event that there are insufficient or no funds available.

a. The district will select cases to be closed based ONLY on income.

☐ No.

☐ Yes. Check 1 or 2 below.

1) ☐ The district will close cases from the highest income to lowest income.

2) ☐ The district will close cases based on income bands. Describe the income bands, beginning at 200% of the State Income Standard and ending at 100% of the State Income Standard:

b. The district will select cases to be closed based ONLY on categories of families.

☐ No.

☐ Yes. List the categories in the order that they will be closed, including the optional categories selected in Appendix L:

c. The district will select cases to be closed based on a combination of income and family category.

☐ No.

☐ Yes. List the categories and income groupings in the order that they will be closed:

d. The district will select cases to be closed on a basis other than the options listed above.

☐ No.

☐ Yes. Describe how the district will select cases to be closed in the event that there are insufficient funds to maintain the district’s current case load:

e. The last cases to be closed will be those that fall under federal priorities. Identify how your district will prioritize federal priorities. Cases that are ranked 1 will be closed last.

Very low income ☐ Rank 1 ☐ Rank 2

Families that have a child with special needs ☐ Rank 1 ☐ Rank 2
3. If all NYSCCBG funds are committed, case closings for families that are not eligible under a child care guarantee and are not a federally mandated priority must be based on the length of time in receipt of services. The length of time used to close cases may be based either on the shortest or longest time the family has received child care services, but must be consistent for all families.

a. Identify how the district will prioritize federal priorities. Cases that are ranked 1 will be closed last.

- Very low income  ☒ Rank 1  ☐ Rank 2
- Families that have a child with special needs  ☐ Rank 1  ☒ Rank 2

b. The district will close cases based on the federal priorities and the amount of time the family has been receiving child care services.

- ☐ Shortest time receiving child care services
- ☒ Longest time receiving child care services

4. The district will establish a waiting list for families whose cases were closed because our county did not have sufficient funds to maintain our current caseload.

- ☒ No.
- ☐ Yes. Describe how these cases will be selected to be reopened if funds become available:

**Case Openings**

Describe below how priority is given to federally mandated priorities and how the district will select cases to be opened in the event that insufficient funds are available.

1. The first cases to be opened will be those that fall under the federal priorities.

Identify how your district will prioritize federal priorities. Cases that are ranked 1 will be opened first.

- Very low income  ☒ Rank 1  ☐ Rank 2
- Families that have a child with special needs  ☐ Rank 1  ☒ Rank 2

2. The district will select cases to be opened based ONLY on income.

- ☐ No.
- ☒ Yes. Check 1 or 2 below.

1) ☒ The district will open cases from the lowest income to highest income.

2) ☐ The district will open cases based on income bands. Describe the income bands, beginning at 200% of the State Income Standard and ending at 100% of the State Income Standard:
3. The district will select cases to be opened based ONLY on category.
   ☑ No.
   ☐ Yes. List the categories in the order that they will be opened, including the optional categories selected in Appendix L:

4. The district will select cases to be opened based on a combination of income and category of family.
   ☑ No.
   ☐ Yes. List the categories and income groupings in the order that they will be opened:

5. The district selects cases to be opened on a basis other than the options listed above.
   ☑ No.
   ☐ Yes. Describe how the district will select cases to be opened in the event that there are not sufficient funds to open all eligible families:

6. The district will establish a waiting list when there are not sufficient funds to open all eligible cases.
   ☑ No.
   ☐ Yes. Describe how these cases will be selected to be opened when funds become available:

The district’s recertification period is every ☐ six months ☑ twelve months

**Fraud and Abuse Control Activities**

Describe below the criteria the district will use to determine which child care subsidy applications suggest a higher than acceptable risk for fraudulent or erroneous child care subsidy payment in addition to procedures for referring such applications to the district’s front-end detection system.

Below is the approved Front End Detection System Plan for Operations for NYSCCBG Child Care Cases and Investigative Unit Operations Plan for Dutchess County:

**DUTCHESS COUNTY FRONT END DETECTION SYSTEM PLAN FOR OPERATIONS FOR NYSCCBG CHILD CARE CASES**

Prepared by: Chris Corman, Supervisor of Special Investigations, (845) 486-3044

FEDS Contact Person:

Chris Corman, Supervisor of Special Investigations, (845) 486-3044

60 Market Street, Poughkeepsie, New York 12601
1. The following criteria will prompt a Child Care FEDS Referral:
   - Self-employed but without adequate business records to support financial assertions
   - Childcare provider lives in the same household as child
   - Working off the books
   - Applicant unsure of own address
   - Applicant has no documentation to verify his/her identity or the identification is suspect
   - Documents or information provided are inconsistent with application, such as different name used for signature
   - Prior history of denial, case closing, or overpayments resulting from an investigation
   - No absent parent information or information is inconsistent with application
   - P.O. Box used as a mailing address without reasonable explanation

2. Childcare Unit Process and Procedure:

   The FEDS referral will be reviewed for each Childcare eligibility interview. When one or more of the circumstances listed above occurs, the referral form and the appointment notice are completed by the Childcare worker. The referral is given to the Childcare supervisor with the case record. The supervisor reviews the referral and, if appropriate, sends the FEDS referral to the Special Investigations Unit (SIU) within 24 hours of the date of application.

3. Special Investigations Unit Process and Procedure:

   The FEDS referral will be logged in and assigned immediately. The investigation will begin within forty-eight (48) hours. The investigation will include collateral contacts and home visits when necessary, computer checks and further interviews with the applicant. A written report will be provided to the Childcare worker within five (5) days of the completion of the investigation. If an investigation cannot be completed within five (5) days, an oral report will be given to the Childcare worker within forty-eight (48) hours and a written report as soon as the investigation can be completed. All investigations will be completed in a manner that is consistent with the processing of applications within thirty (30) days.

   a) Investigative unit processing, includes logging, tracking and brief description of investigative unit processes (i.e., home visit, collateral contact, age, etc.). Also includes the targeted timeframes for reporting investigative results back to eligibility worker for final determination.

4. Procedure for Reporting Final Disposition:

   The lower section of the Investigation Unit’s report has a section for the case disposition. The childcare worker will complete this section when processing the case. The original of the form will be sent to SIU for report purposes. The copy will be filed in the case record.

5. Methodology for completing the monthly FEDS report:

   The SIU Supervisor has a program in place on his computer to summarize the monthly FEDS activity. The SIU Supervisor will prepare the monthly report of FEDS complaints and investigations and forward the report to OTDA via e-mail by the 10th of the following month.

   The FEDS program has been operational for Family Assistance, Safety Net and Medicaid since 1/93. SIU currently has four (4) Investigators involved with both FEDS and fraud referrals.
Dated: 2/9/10

Describe the sampling methodology used to determine which cases will require verification of an applicant's or recipient's continued need for child care, including, as applicable, verification of participation in employment, education, or other required activities.

For all Low income and Transitional Child Care cases, a recertification application is completed yearly (copy attached). An Employment Questionnaire (copy attached) is also completed yearly. This results in contact every six months. Verification of income is required at each contact. A new enrollment form is only required at recertification.

For Child care for TA individuals in training, participating in WEP, attending approved drug/alcohol treatment, or employed, a new enrollment form is required yearly. All informal providers must submit forms to the Child Care supervisor who reviews them and forwards them to the Child Care Council for approval. Payment is made only if provider is approved and verification of attendance/participation is received. Payment is made for actual hours of participation plus reasonable travel time.

Describe the sampling methodology used to determine which providers of subsidized child care services will be reviewed for the purpose of comparing the child care provider's attendance forms for children receiving subsidized child care services with any Child and Adult Care Food Program inspection forms to verify that child care was actually provided on the days listed on the attendance forms.

Dutchess currently has approximately 72 providers who are not day care centers in the CACFP Program. The Council forwards the attendance forms from each inspection to the Department where annually 20 % of the providers are selected for a point in time review. The Supervisor of the Day Care Unit contacts the Child Care Council member who is in charge of the site visits on a monthly basis and requests a list of 3-4 providers that had site visits done that month along with the names of the children present at the time of the visit. He will then compare the information to the attendance forms for that provider to verify that DSS was billed correctly. Any discrepancies will be referred to the Special Investigations Unit for further action.

Inspections of Child Care Provider Records and Premises

The district may choose to make announced or unannounced inspections of the records and premises of a provider/program that provides child care for subsidized children for the purpose of determining whether the child care provider is in compliance with applicable laws and regulations and any additional requirements imposed on such a provider by the social services district Per 18 NYCCR 415.4(h) (3). Does the district choose to make inspections of such child care providers/programs?

☐ No.
☐ Yes. Provide the details of your inspections plan below.

A. The following types of subsidized child care providers/programs are subject to this requirement:

☐ Legally-Exempt Child Care
☐ In-Home; ☐ Family Child Care;
☐ Group programs not operating under the auspices of another government agency
☐ Group programs operating under the auspices of another government agency
☐ Licensed or Registered
  □ Family Day Care; □ Registered School Age Child Care
  □ Group Family Day Care; □ Day Care Centers; □ Small Day Care Centers;

B. The district □ does OR □ does not:
Reserve the right to make inspections PRIOR to subsidized children receiving care in a home where the inspection is for the purpose of determining whether the child care provider is in compliance with applicable laws and regulations and any additional requirements imposed on such a provider by the social services district.

C. The district will report violations of regulations as follows:
  • Violations by a licensed or registered child care provider will be reported to the applicable Office of Children and Families (OCFS) Regional Office.
  • Violations by an enrolled or enrolling legally-exempt child care provider will be reported to the applicable Enrollment Agency.
APPENDIX N
District Options (Required)

Districts have some flexibility to administer their child care subsidy programs to meet local needs. Check which options that your district wishes to include in your county plan. Complete the attached appendices for any area(s) checked.

1. ☐ The district has chosen to establish funding set-asides for NYSCCBG (complete Appendix O).

2. ☐ The district is using Title XX funds for the provision of child care services (complete Appendix P).

3. ☐ The district has chosen to establish additional local standards for child care providers (complete Appendix Q).

4. ☑ The district has chosen to make payments to child care providers for absences (complete Appendix R).

5. ☐ The district has chosen to make payments to child care providers for program closures (complete Appendix S).

6. ☐ The district has chosen to pay for transportation to and from a child care provider (complete Appendix T).

7. ☐ The district has chosen to pay up to 15% higher than the applicable market rates for regulated child care services that have been accredited by a nationally recognized child care organization (complete Appendix T).

8. ☐ The district has chosen to pay up to 15% higher than the applicable market rates for non-traditional hours (complete Appendix T).

9. ☐ The district has chosen to pay up to 75% of the enhanced market rate for legally-exempt family and in-home child care providers who have completed 10 hours of training, which has been verified by the Legally-Exempt Caregiver Enrollment Agency (complete Appendix T).

10. ☑ The district has chosen to pay for child care services while a caretaker who works the second or third shift sleeps (complete Appendix T).

11. ☐ The district has chosen to make payments to child care providers who provide child care services, which exceed 24 consecutive hours (complete Appendix T).

12. ☐ The district has chosen to include 18-, 19- or 20-year-olds in the Child Care Services Unit (complete Appendix U).

13. ☐ The district is seeking a waiver from one or more regulatory provisions. Such waivers are limited to those regulatory standards that are not specifically included in law (complete Appendix U).

14. ☐ The district has chosen to pay for breaks in activity for low income families (non public assistance families). Complete Appendix U.
15. The district has chosen to use local equivalent forms such as, but not limited to, child care application, client notification, and/or enrollment forms (attach copies of the local equivalent forms your district uses).

Any previous approvals for local equivalent forms will not be carried forward into this county plan. Therefore, any local equivalent forms a district wishes to establish or renew must be included in this plan and will be subject to review and approval by OCFS.
APPENDIX O
Funding Set-Asides (Optional)

Total NYSCCBG Block Grant Amount, Including Local Funds

Category: ........................................................................................................... $
Category: ........................................................................................................... $
Category: ........................................................................................................... $
Category: ........................................................................................................... $
Category: ........................................................................................................... $
Category: ........................................................................................................... $
Category: ........................................................................................................... $
Category: ........................................................................................................... $

Total Set-Asides ............................................................................................ $

Describe for each category the rationale behind specific set-aside amounts from the NYSCCBG (e.g., estimated number of children).

Category:
Description:

Category:
Description:

Category:
Description:

The following amounts are set aside for specific priorities from the Title XX block grant:

Category: ........................................................................................................... $
Category: ........................................................................................................... $
Category: ........................................................................................................... $

Total Set-Asides (Title XX) ................................................................................ $

Describe for each category the rationale behind specific amounts set aside from the Title XX block grant (e.g., estimated number of children).

Category:
APPENDIX P
Title XX Child Care (Optional)

Enter projected total Title XX expenditures for the plan’s duration: $.................................

Indicate the financial eligibility limits (percentage of State Income Standard) your district will apply based on family size. Maximum reimbursable limits are 275% for a family of one or two, 255% for a family of three, and 225% for a family of four or more. Districts that are utilizing Title XX funds only for child protective and/or preventive child care services must not enter financial eligibility limits as these services are offered without regard to income.

Family Size: (2) % (3) % (4) %

Programmatic Eligibility for Income Eligible Families (Check all that apply.)

- Title XX: □ employment □ education/training
- □ seeking employment □ illness/incapacity
- □ homelessness □ domestic violence
- □ emergency situation of short duration
- □ participating in an approved substance abuse treatment program

Does the district apply any limitations to the programmatic eligibility criteria?

□ Yes □ No

(See Technical Assistance #1 for information on limiting eligibility.)

If yes, describe eligibility criteria:

Does the district prioritize certain eligible families for Title XX funding?

□ Yes □ No

If yes, describe which families will receive priority:

Does the district use Title XX funds for child care for open child protective services cases?

□ Yes □ No

Does the district use Title XX funds for child care for open child preventive services cases?

□ Yes □ No
APPENDIX Q
Additional Local Standards for Child Care Providers (Optional)

The district may propose local standards in addition to the State standards for legally-exempt providers who will receive child care subsidies. This appendix must be completed for each additional standard that the district wishes to implement.

1. Check or describe in the space provided below the additional local standards that will be required of child care providers/programs.
   - Verification that the provider has given the parent/caretaker complete and accurate information regarding any report of child abuse or maltreatment in which they are named as an indicated subject
   - Local criminal background check
   - Requirement that providers that care for subsidized children for 30 or more hours a week participate in the Child and Adult Food Care Program (CACFP)
   - Site visits by the local district
   - Other (please describe):

2. Check below the type of child care program to which the additional standard will apply and indicate the roles of the persons to whom it will apply in cases where the standard is person-specific.
   - Legally-exempt family child care program. Check all that apply.
     - Provider
     - Provider’s Employee
     - Provider’s Volunteer
     - Provider’s household member age 18 or older
   - Legally-exempt in-home child care program. Check all that apply.
     - Provider
     - Provider’s Employee
     - Provider’s Volunteer
   - Legally-exempt group providers not operating under the auspices of another government agency. Check all that apply.
     - Provider
     - Provider’s Employee
     - Provider’s Volunteer
   - Legally-exempt group providers operating under the auspices of another government or tribal agency. Check all that apply.
     - Provider
     - Provider’s Employee
     - Provider’s Volunteer

3. Districts are responsible for implementation of the additional local standard unless they have a formal agreement or contract with another organization. Check the organization that will be responsible for the implementation of the additional local standard.
   - Local social services staff
   - Provide the name of the unit and contact person:
   - Contracted agency
Provide the name of the agency and contact person:

4. Are there any costs associated with the additional standard?
   □ Yes  □ No
   
   Note: Costs associated with the additional standard cannot be passed on to the provider.

5. Describe the steps for evaluating whether the additional local standard has been met.

6. Indicate how frequently reviews of the additional standard will be conducted. Check all that apply.
   □ Legally-Exempt Programs:
   □ Initial enrollment  □ During the 12-month enrollment period
   □ Re-enrollment       □ Other

7. In the space below, described the procedures the district will use to notify the Legally-Exempt Caregiver Enrollment Agency (EA) as to whether the legally-exempt provider is in compliance with the additional local standards. Districts must notify the EA within 25 days from the date they received the referral from the EA. (Districts need to describe this procedure only if the additional local standard is applied to legally-exempt child care providers.)

8. Describe the justification for the additional standard in the space below.
APPENDIX R
Payment to Child Care Providers for Absences (Optional)

The following providers are eligible for payment for absences (check all that are eligible):

- ☑ Day Care Center
- ☑ Legally-Exempt Group
- ☑ Group Family Day Care
- ☑ School Age Child Care
- ☑ Family Day Care

Our county will only pay for absences to providers with which the district has a contract or letter of intent.

☐ Yes    ☑ No

Base period (check one)  ☑ 3 months    ☐ 6 months

Number of absences allowed during base period:

<table>
<thead>
<tr>
<th>Period</th>
<th>Routine Limits (# of days)</th>
<th>Extenuating Circumstances (# of days)</th>
<th>Total Number of Absences Allowed (# of days)</th>
</tr>
</thead>
<tbody>
<tr>
<td>In a month</td>
<td>12</td>
<td>3</td>
<td>15</td>
</tr>
<tr>
<td>Base period</td>
<td>12</td>
<td>8</td>
<td>20</td>
</tr>
</tbody>
</table>

List reasons for absences for which the district will allow payment:

Illness of the adult or child or a temporary crisis

List any limitations on the above providers' eligibility for payment for absences:

Payment will only be made when the provider charges all customers the same rates with absences

Note: Legally-exempt family child care and in-home child care providers are not eligible to receive payment for absences.
APPENDIX S
Payment to Child Care Providers for Program Closures (Optional)

The following providers are eligible for payment for program closures:

- Day Care Center
- Legally-Exempt Group
- Group Family Day Care
- School Age Child Care
- Family Day Care

The county will only pay for program closures to providers with which the district has a contract or letter of intent.

- Yes
- No

Enter the number of days allowed for program closures (maximum allowable time for program closures is five days).

List the allowable program closures for which the county will provide payment.

Note: Legally-exempt family child care and in-home child care providers are not allowed to be reimbursed for program closures.
APPENDIX T
Transportation, Differential Payment Rates, Enhanced Market Rate for Legally-Exempt and In-Home Providers, and Sleep (Optional)

Transportation
Describe any circumstances and limitations your county will use to reimburse for transportation. Include what type of transportation will be reimbursed (public vs. private) and how much your county will pay (per mile or trip). Note that if the county is paying for transportation, the Program Matrix in WMS should reflect this choice.

Differential Payment Rates
Indicate the percentage above the market rate your county has chosen.

-- Accredited programs may receive a differential payment up to % above market rate.
-- Care during non-traditional hours may be paid up to % above market rate.
-- Limitations to the above differentials:

Payments may not exceed 15% above market rate. However, if your district wishes to establish a payment rate that is more than 15% above the applicable market rate, describe below why the 15% maximum is insufficient to provide access within the district to accredited programs and/or care provided during non-traditional hours.

Enhanced Market Rate for Legally-Exempt Family and In-Home Child Care Providers
Indicate if the district is electing to establish a payment rate that is in excess of the enhanced market rate for legally-exempt family and in-home child care providers who have annually completed 10 or more hours of training and the training has been verified by the legally-exempt caregiver enrollment agency.

☐ No.
☐ Yes. Our market rate will not exceed 75% of the child care market rate established for registered family day care.

Sleep
The following describes the standards that will be used in evaluating whether or not to pay for child care services while a parent or caretaker that works a second or third shift sleeps, as well as any limitations pertaining to payment:
The district will pay for child care while a parent or caretaker relative works a second or third shift when the parent or caretaker relative requests it and the Day Care Unit Director and the Deputy Commissioner determine that it is necessary to allow him/her to get a minimum of 6 hours sleep per day.
Indicate the number of hours allowed by your district (maximum number of hours allowed is eight).
8 hours
APPENDIX U
Child Care Exceeding 24 Hours, Child Care Services Unit, Waivers, and Breaks in Activities (Optional)

Child Care Exceeding 24 Hours
Child Care services may exceed 24 consecutive hours when such services are provided on a short-term emergency basis or in other situations where the caretaker’s approved activity necessitates care for 24 hours on a limited basis. Check below under what circumstances the county will pay for child care exceeding 24 hours.

☐ On a short-term or emergency basis

☐ The caretaker’s approved activity necessitates care for 24 hours on a limited basis

Describe any limitations for payment of child care services that exceed 24 consecutive hours.

Child Care Services Unit (CCSU)
Indicate below if your county will include 18-, 19-, or 20-year-olds in the CCSU, which is used in determining family size and countable family income.

The district will include the following in the CCSU (check all that apply).

☐ 18-year-olds  ☐ 19-year-olds  ☐ 20-year-olds

OR

The district will only include the following in the CCSU when it will benefit the family (check all that apply)

☐ 18-year-olds  ☐ 19-year-olds  ☐ 20-year-olds

Describe the criteria your district will use to determine whether or not 18-, 19-, or 20-year-olds are included in the CCSU.

Waivers
Districts have the authority to request a waiver of any regulatory provision that is non-statutory. Describe and justify why your county is requesting a waiver.

Breaks In Activities
Districts may pay for child care services for low income families during breaks in activities either for a period not to exceed two weeks or for a period not to exceed four weeks when child care arrangements would otherwise be lost and the subsequent activity is expected to begin within that period. Indicate below if your county will make such payments (check one).

☐ Two weeks  ☐ Four weeks

Districts may provide child care services while the caretaker is waiting to enter an approved activity or employment or on a break between approved activities. The following low income families are eligible for child care services during a break in activities (check any that are eligible):

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☐ Entering an activity
☐ Waiting for employment
☐ On a break between activities