

Procurement-Information:

Question	Response	URL (if applicable)
1. Does the Authority have procurement guidelines?	Yes	http://www.co.dutchess.ny.us/CountyGov/Departments/DTASC/DTindex.htm
2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	Yes	
3. Does the Authority allow for exceptions to the procurement guidelines?	No	
4. Does the Authority assign credit cards to employees for travel and/or business purchases?	No	
5. Does the Authority require prospective bidders to sign a non-collusion agreement?	Yes	
6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?.	Yes	
7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	Yes	
8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?	No	
8a If Yes, was a record made of this impermissible contact?		
9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law?	No	

Procurement Transactions Listing:

<p>1. Vendor Name: Marshall & Sterling Inc</p> <p>Type of Procurement: Other Professional Services Award Process: Authority Contract - Non-Competitive Bid Award Date: 11/26/2009 End Date: 11/26/2010 Amount: \$9,500 Amount Expended for Fiscal Year: \$9,500</p> <p>Address Line1: 103 Executive Drive Address Line2: Suite 300 City: NEW WINDSOR State: NY Postal Code: 12553 Plus 4: 5531 Province Region: Country: USA</p> <p>Procurement Description: Directors and Officers Liability Insurance</p>	<p>2. Vendor Name: PricewaterhouseCoopers, LLP</p> <p>Type of Procurement: Financial Services Award Process: Authority Contract - Non-Competitive Bid Award Date: 01/04/2010 End Date: Amount: \$15,400 Amount Expended for Fiscal Year: \$15,400</p> <p>Address Line1: 677 Broadway Address Line2: City: ALBANY State: NY Postal Code: 12207 Plus 4: Province Region: Country: USA</p> <p>Procurement Description: Audit of the 2009 Financials</p>
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Procurement Report for Dutchess Tobacco Asset Securitization Corporation

Run Date: 03/28/2011

Fiscal Year Ending 12/31/2010

Status: CERTIFIED

Procurement Transactions Listing:

Additional Comments: