

Procurement-Information:

| Question | Response | URL (if applicable) |
|--|----------|---|
| 1. Does the Authority have procurement guidelines? | Yes | http://www.co.dutchess.ny.us/CountyGov/Departments/DTASC/DTindex.htm |
| 2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board? | Yes | |
| 3. Does the Authority allow for exceptions to the procurement guidelines? | No | |
| 4. Does the Authority assign credit cards to employees for travel and/or business purchases? | No | |
| 5. Does the Authority require prospective bidders to sign a non-collusion agreement? | Yes | |
| 6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?. | Yes | |
| 7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"? | Yes | |
| 8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law? | No | |
| 8a If Yes, was a record made of this impermissible contact? | | |
| 9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law? | No | |

Procurement Transactions Listing:

1. Vendor Name: Hiscock & Barclay

2. Vendor Name: Marshall & Sterling Inc

Type of Procurement: Legal Services
 Award Process: Authority Contract - Non-Competitive Bid
 Award Date: 12/04/2003
 End Date:
 Amount: \$3,840
 Amount Expended for Fiscal Year: \$3,840

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Non-Competitive Bid
 Award Date: 11/26/2009
 End Date: 11/26/2010
 Amount: \$9,500
 Amount Expended for Fiscal Year: \$9,500

Address Line1: 80 Beaver St
 Address Line2:
 City: ALBANY
 State: NY
 Postal Code: 12207
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Legal Counsel to DTASC

Address Line1: 103 Executive Drive
 Address Line2: Suite 300
 City: NEW WINDSOR
 State: NY
 Postal Code: 12553
 Plus 4: 5531
 Province Region:
 Country: USA
 Procurement Description: Directors and Officers Liability Insurance

Procurement Transactions Listing:

3. Vendor Name: PricewaterhouseCoopers, LLP

Type of Procurement: Financial Services
Award Process: Authority Contract - Non-Competitive Bid
Award Date: 01/04/2011
End Date:
Amount: \$15,400
Amount Expended for Fiscal Year: \$15,400

Address Line1: 677 Broadway
Address Line2:
City: ALBANY
State: NY
Postal Code: 12207
Plus 4:
Province Region:
Country: USA
Procurement Description: Audit of the 2010 Financials

Procurement Transactions Listing:

Additional Comments: