

**Procurement-Information:**

Question	Response	URL (if applicable)
1. Does the authority have procurement guidelines?	Yes	The authority does not have a website or is unable to post documents to its website.
2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	Yes	
3. Does the authority allow for exceptions to the procurement guidelines?	No	
4. Does the authority assign credit cards to employees for travel and/or business purchases?	No	
5. Does the authority require prospective bidders to sign a non-collusion agreement?	Yes	
6. Does the authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?	Yes	
7. Did the authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	Yes	
8. Did the authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?	No	
8a If Yes, was a record made of this impermissible contact?		
9. Did the authority follow a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law	No	

Procurement Transactions Listing:

1. Vendor Name:	Marshall & Sterling Inc	2. Vendor Name:	PricewaterhouseCoopers, LLP
Award Process:	Authority Contract - Non-Competitive Bid	Award Process:	Authority Contract - Non-Competitive Bid
Award Date:	11/26/2009	Award Date:	04/02/2009
End Date:	11/26/2010	End Date:	
Amount:	\$9,500	Amount:	\$15,400
Amount Expended for Fiscal Year:	\$9,500	Amount Expended for Fiscal Year:	\$15,400
Address Line1:	103 Executive Drive	Address Line1:	677 Broadway
Address Line2:	Suite 300	Address Line2:	
City:	NEW WINDSOR	City:	ALBANY
State:	NY	State:	NY
Postal Code:	12553	Postal Code:	12207
Plus 4:	5531	Plus 4:	
Province Region:		Province Region:	
Country:	USA	Country:	USA
Procurement Description:	Directors and Officers Liability Insurance	Procurement Description:	Audit of the 2008 Financials

Procurement Report for Dutchess Tobacco Asset Securitization Corporation

Run Date: 03/30/2010

Fiscal Year Ending 12/31/2009

Status: CERTIFIED

Procurement Transactions Listing:

Additional Comments: