

Investment Report for Dutchess Tobacco Asset Securitization Corporation

Fiscal Year Ending: 12/31/2020

Run Date : 03/30/2021

Status: CERTIFIED

Certified Date: 03/30/2021

**Investment Information**

Question	Response	URL (If Applicable)
1. Has the Authority prepared an Annual Investment Report for the reporting period as required by Section 2925 (6) of PAL?	Yes	<a href="https://www.dutchessny.gov/Departments/County-Attorney/Dutchess-Tobacco-Asset-Securitization-Corporation.htm">https://www.dutchessny.gov/Departments/County-Attorney/Dutchess-Tobacco-Asset-Securitization-Corporation.htm</a>
2. Are the Authority's investment guidelines reviewed and approved annually?	Yes	
3. Did the Authority have an independent audit of investments as required by Section 2925(3)(f) of PAL?	Yes	<a href="https://www.dutchessny.gov/Departments/County-Attorney/Dutchess-Tobacco-Asset-Securitization-Corporation.htm">https://www.dutchessny.gov/Departments/County-Attorney/Dutchess-Tobacco-Asset-Securitization-Corporation.htm</a>
4. Has the Authority's independent auditor issued a management letter to the Authority in connection with its annual audit of investments?	No	

**Additional Comments**