### **Attendance Sheets**

District	Last Name	Present	Absent	Present/Late
District 10 - City of Poughkeepsie	Atkins			
District 13 - Towns of LaGrange, East Fishkill, and Wappinger	Bolner	2		
District 8 - City and Town of Poughkeepsie	Brendli	3		
District 21 - Town of East Fishkill	Caswell	4		
District 15 - Town of Wappinger	Cavaccini	5		
District 6 - Town of Poughkeepsie	D'Aquanni	6		
District 22 - Towns of Beekman and Union Vale	Garito	7	0.000	
District 4 - Hyde Park	Geller	8		
District 23 - Towns of Pawling, Beekman and East Fishkill	Hauser	2000 A 20	-	·
District 25 - Towns of Amenia, Washington, Pleasant Valley	Houston	9		
District 9 - City of Poughkeepsie	Johnson	10		
District 11 - Towns of Rhinebeck and Clinton	Kearney	11		
District 5 - Town of Poughkeepsie	Keith	12		
District I - Town of Poughkeepsie	Llaverias			
District 17 - Town and Village of Fishkill	McHoul	13		
District 12 - Town of East Fishkill	Metzger	14		
District 20 - Town of Red Hook/Tivoli	Munn	15		
District 18 - City of Beacon and Town of Fishkill	Page	16		
District 14 - Town of Wappinger	Paoloni	1		
District 3 - Town of LaGrange	Polasek	18		
District 19 - Towns of North East, Stanford, Pine Plains, Milan	Pulver	19		
District 2 - Towns of Pleasant Valley and Poughkeepsie	Sagliano	20		
District 24 - Towns of Dover and Union Vale	Surman		2	
District 7 - Towns of Hyde Park and Poughkeepsie	Truitt	21		
District 16 - Town of Fishkill and City of Beacon	Valdés Smith	22		
Present: <u>23</u>	Total:	22	2	1

Vacant:

Date: 12/18/2023

#### Special Board Meeting

#### of the

#### **Dutchess County Legislature**

Monday, December 18, 2023

The Chairman of Legislature called the meeting to order at 7:00 p.m.

Roll Call by the Clerk of the Legislature

PRESENT:

22 Atkins, Bolner, Brendli, Caswell, Cavaccini,

D'Aquanni, Garito, Geller, Houston, Johnson, Kearney, Keith, McHoul, Metzger, Munn, Page, Paoloni, Polasek,

Pulver, Sagliano, Truitt, and Valdés Smith

ABSENT:

2

Hauser and Surman

PRESENT/LATE: 1

Llaverias

Quorum Present.

#### Roll Call by the Clerk of the Legislature

Pledge of Allegiance to the Flag, Invocation by Rabbi Michael Fessler of Temple Beth-El, Poughkeepsie, followed by a moment of silent meditation.

#### **Commendations**

Legislator A. Gregg Pulver

Acting County Executive William F.X. O'Neil Carly Bulgia, Assistant to the Chair Legislator Benjamin Geller Legislator Joseph D. Cavaccini Legislator Ed Hauser Legislator Thomas L. Keith, Jr. Legislator Giancarlo Llaverias Legislator Don Sagliano Legislator Alan V. Surman Legislator Donna J. Bolner

## Privilege of the Floor with respect to printed agenda items; limited to three minutes

Daniel Boosie, City of Poughkeepsie, spoke against the proposed homeless shelter in the City of Poughkeepsie.

Rosemary Fritz-Grabowska, City of Poughkeepsie, spoke against the proposed homeless shelter in the City of Poughkeepsie.

Resolutions considered out of numerical order will be placed in numerical order for fluidity.

#### **COMMUNICATIONS RECEIVED FOR YEAR-END 2023**

Received from Acting County Executive:

Extension of Local Emergency Orders #1, #2 and #3 of Executive Order No. 5 of 2023 Extending Local State of Emergency May 18, 2023, dated December 8, 2023.

Letter Appointing A. Gregg Pulver to the Office of Comptroller effective December 31, 2023, following Legislative Confirmation.

Extension of Local Emergency Orders #1, #2 and #3 of Executive Order No. 5 of 2023 Extending Local State of Emergency May 18, 2023, dated December 12, 2023.

Extension of Executive Order No. 5 of 2023 Declaration of a Local State of Emergency May 18, 2023, dated December 12, 2023.

Extension of Local Emergency Orders #1, #2 and #3 of Executive Order No. 5 of 2023 Extending Local State of Emergency May 18, 2023, dated December 15, 2023.

#### Received from County Clerk:

Letter to the NYS BOE Commissioners regarding Resignation of Comptroller Robin L. Lois, effective December 30, 2023.

Received from Monroe County, Resolution 23-0185, Memorializing Governor Kathy Hochul to Veto A.4282B/S.3505B "An Act to Amend the Town Law, the Village Law, the County Law, and the Municipal Home Rule Law, in Relation to Moving Certain Elections to Even-Numbered Years".

Received from Sullivan County, Resolution 459-23, Urge the Governor to Veto A.4282B and S.3505B to Move Certain Local Elections to Even-Numbered Years.

Received from Cattaraugus County, Act No. 588-2023, Supporting Repopulation and Restocking of Native Species Elk into Allegany State Park.

Received from Hudson Valley Regional Council:

Hudson Valley Regional Council Audited Financial Statements for the Year Ended December 31, 2022.

Management Letter from the Auditing Firm Nugent & Haeussler, P.C.



#### **COUNTY OF DUTCHESS**

William F.X. O'Neil
County Executive

# EXTENSION OF LOCAL EMERGENCY ORDER #1, #2 AND #3 OF EXECUTIVE ORDER NO. 5 OF 2023 DECLARING A LOCAL STATE OF EMERGENCY Dated May 18, 2023

WHEREAS, a Local State of Emergency was declared by Executive Order No. 5 of 2023 dated May 18, 2023, and

WHEREAS, on May 18, 2023 Local Emergency Orders #1 & #2 were declared and on June 6, 2023 Local Emergency Order #3 was declared pursuant to § 24 of the New York State Executive Law ordering:

#### SECTION 1. LOCAL EMERGENCY ORDERS:

#### Local Emergency Order #1.

I order that all hotels, motels, or any buildings allowing short-term overnight rentals located within the County of Dutchess be prohibited from constructing, enlarging, developing, improving, converting, using, or operating, such building as an emergency shelter, homeless shelter, rooming house, or other long-term overnight shelter. Additionally, all hotels, motels, or any buildings allowing short-term overnight rentals located within the County of Dutchess are prohibited from transferring the operation of such building to another party, organization, entity, municipality, or agent, for use of the building as an emergency shelter, homeless shelter, rooming house, or other long-term overnight shelter.

#### Local Emergency Order #2.

The Acting County Executive, upon the consent of the Chief Executive Officer of any other political subdivision within the County of Dutchess and upon such terms as may be mutually agreed to between them, may use any and all facilities, equipment, supplies, personnel and other resources – including but not limited to city, town and village law enforcement, building code enforcement officers, fire departments, public health inspectors, and zoning code enforcement personnel – in order to effectuate the Acting County Executive's Executive Order declaring a State of Emergency and any Emergency Order attendant thereto, and to take whatever steps are necessary to protect life, property, public infrastructure, to enforce State and County and local codes, laws, and regulations, and to provide such emergency assistance as deemed necessary.

#### Local Emergency Order #3.

I order that upon the direction of a Unit Head, as that term applies pursuant to the Dutchess County Charter, and only during the pendency of such Local State of Emergency declared by Executive Order No. 5 of 2023, any person employed by the County of Dutchess may be assigned to perform the duties of any other position within the County of Dutchess, to the extent that in the discretion of the Unit Head, such appointment, reallocation of resources, or deployment is necessitated by the emergency.

#### **SECTION 2. PUNISHMENT:**

Failure to obey this order is a criminal offense, punishable by law under New York State Executive Law § 24(5). In accordance with Executive Law Section 24(5), any person who knowingly violates the provisions of this order shall be guilty of a Class B Misdemeanor.

#### SECTION 3. EFFECTIVE DATE:

I, WILLIAM F.X. O'NEIL, the Acting County Executive of Dutchess County, in accordance with a declaration of a State of Emergency issued on May 18, 2023, and pursuant to § 24(2) of the New York State Executive Law, do hereby order that the above local emergency orders be extended from December 9, 2023, at 12am through December 13, 2023 at 11:59pm.

#### SECTION 4. DURATION.

This Order shall remain in effect until removed by the order of the County Executive and may also be renewed in five (5) day increments.

Dated: This 8th day of December, 2023 Poughkeepsie, New York 12601

WILLIAM F.X. O'NEIL

ACTING COUNTY EXECUTIVE

**DUTCHESS COUNTY** 

COUNTY OFFICE BUILDING

22 MARKET STREET

POUGHKEEPSIE, NEW YORK



## OFFICE OF THE COUNTY CLERK OF DUTCHESS COUNTY

22 Market Street Poughkeepsie, New York 12601 (845) 486-2374

Bradford H. Kendall County Clerk Anne-Marie Dignan Deputy County Clerk Lisa Ayala Deputy County Clerk

NYS BOARD OF ELECTIONS 40 NORTH PEARL STREET, SUITE 5 ALBANY, NY 12207-2729

Peter S. Kosinski / Co-Chair Douglas A. Kellner / Co-Chair Andrew J. Spano / Commissioner Anthony J. Casale / Commissioner

December 8, 2023

Dear Commissioners:

Pursuant to Public Officers Law Section 31-5, I write to inform you that on this date I have received a letter of resignation (attached) from Robin L. Lois. Dutchess County Comptroller. The letter, dated December 8, 2023, states that the resignation is effective on December 30, 2023.

Pursuant to the Dutchess County Charter, Section 32.02, the position of Comptroller will be filled by appointment of the County Executive until December 31, 2024. The successful candidate in the General Election of 2024 shall take office on January 1, 2025 and serve the balance of the unexpired term which ends on December 31, 2025.\*

Sincerely:

Bradford H. Kendall Dutchess County Clerk

c. Dutchess County Board of Elections
Clerk of the Dutchess County Legislature

#### \* Section 32.02 Vacancy in elective offices; appointment; election.

Except as may otherwise be provided in the New York State Constitution or this Charter, and with the exception of members of the judiciary, all vacancies in elective County offices shall be filled by appointment by the County Executive subject to confirmation by the County Legislature. Each such appointee shall be an elector of the County and qualified for the responsibilities of his/her office. He/She shall have all the powers and perform all the duties of the office to which appointed, and shall hold such of the office until the commencement of the calendar year next following the first annual election held not less than 60 days after the occurrence of such vacancy, at which annual election an officer shall be elected from the County at large for the balance of the unexpired term.



#### **COUNTY OF DUTCHESS**

William F.X. O'Neil
County Executive

# EXTENSION OF LOCAL EMERGENCY ORDER #1, #2 AND #3 OF EXECUTIVE ORDER NO. 5 OF 2023 DECLARING A LOCAL STATE OF EMERGENCY Dated May 18, 2023

WHEREAS, a Local State of Emergency was declared by Executive Order No. 5 of 2023 dated May 18, 2023, and

WHEREAS, on May 18, 2023 Local Emergency Orders #1 & #2 were declared and on June 6, 2023 Local Emergency Order #3 was declared pursuant to § 24 of the New York State Executive Law ordering:

#### SECTION 1. LOCAL EMERGENCY ORDERS:

#### Local Emergency Order #1.

I order that all hotels, motels, or any buildings allowing short-term overnight rentals located within the County of Dutchess be prohibited from constructing, enlarging, developing, improving, converting, using, or operating, such building as an emergency shelter, homeless shelter, rooming house, or other long-term overnight shelter. Additionally, all hotels, motels, or any buildings allowing short-term overnight rentals located within the County of Dutchess are prohibited from transferring the operation of such building to another party, organization, entity, municipality, or agent, for use of the building as an emergency shelter, homeless shelter, rooming house, or other long-term overnight shelter.

#### Local Emergency Order #2.

The Acting County Executive, upon the consent of the Chief Executive Officer of any other political subdivision within the County of Dutchess and upon such terms as may be mutually agreed to between them, may use any and all facilities, equipment, supplies, personnel and other resources – including but not limited to city, town and village law enforcement, building code enforcement officers, fire departments, public health inspectors, and zoning code enforcement personnel – in order to effectuate the Acting County Executive's Executive Order declaring a State of Emergency and any Emergency Order attendant thereto, and to take whatever steps are necessary to protect life, property, public infrastructure, to enforce State and County and local codes, laws, and regulations, and to provide such emergency assistance as deemed necessary.

#### Local Emergency Order #3.

I order that upon the direction of a Unit Head, as that term applies pursuant to the Dutchess County Charter, and only during the pendency of such Local State of Emergency declared by Executive Order No. 5 of 2023, any person employed by the County of Dutchess may be assigned to perform the duties of any other position within the County of Dutchess, to the extent that in the discretion of the Unit Head, such appointment, reallocation of resources, or deployment is necessitated by the emergency.

#### SECTION 2. PUNISHMENT:

Failure to obey this order is a criminal offense, punishable by law under New York State Executive Law § 24(5). In accordance with Executive Law Section 24(5), any person who knowingly violates the provisions of this order shall be guilty of a Class B Misdemeanor.

#### SECTION 3. EFFECTIVE DATE:

I, WILLIAM F.X. O'NEIL, the Acting County Executive of Dutchess County, in accordance with a declaration of a State of Emergency issued on May 18, 2023, and pursuant to § 24(2) of the New York State Executive Law, do hereby order that the above local emergency orders be extended from December 14, 2023, at 12am through December 18, 2023 at 11:59pm.

#### SECTION 4. DURATION.

This Order shall remain in effect until removed by the order of the County Executive and may also be renewed in five (5) day increments.

Dated: This 12th day of December, 2023 Poughkeepsie, New York 12601

WILLIAM F.X. O'NEIL

ACTING COUNTY EXECUTIVE

**DUTCHESS COUNTY** 

COUNTY OFFICE BUILDING

22 Market Street

POUGHKEEPSIE, NEW YORK



#### **COUNTY OF DUTCHESS**

William F.X. O'Neil
County Executive

# EXTENSION OF EXECUTIVE ORDER NO. 5 OF 2023 DECLARING A LOCAL STATE OF EMERGENCY DATED MAY 18, 2023

WHEREAS, Executive Order No. 5 of 2023 dated May 18, 2023, contains a declaration of a Local State of Emergency, and such declaration remains in effect for thirty (30) days or until revoked, whichever is sooner, and

WHEREAS, a national immigration crisis in the United States has resulted in thousands of migrants and/or asylum seekers entering the United States from their countries of origin, and such individuals enter the U.S. with no plans for shelter, and they may require medical, social services, and legal services, but have no immediate plan or access to such services without government assistance, and

WHEREAS, the various circumstances as set forth in the aforementioned Declaration of a Local State of Emergency, dated May 18, 2023, continues to constitute an imminent peril to the public health and safety requiring the adoption of special rules, regulations, procedures and restrictions as emergency measures, and

WHEREAS, pursuant to the powers vested in me as County Executive by Article III of the Dutchess County Charter, Section 3.05(d) of the Administrative Code and pursuant to the provisions contained in New York Executive Law §24, which authorizes the Chief Executive to promulgate Local Emergency Orders upon a finding that the public safety is imperiled by the event of a public emergency or in the event of reasonable apprehension of immediate danger thereof, to protect life and property and to bring an emergency situation under control;

**NOW, THEREFORE**, by the power vested in me as Chief Executive of Dutchess County, it is hereby ordered:

Section 1. Extending Local State of Emergency.

That the Local State of Emergency first declared in Executive Order No. 5 of 2023 is hereby extended for an additional thirty (30) days for the term of December 15, 2023, through January 13, 2024, unless it is terminated, rescinded or modified at an earlier date.

Section 2. Punishment.

In accordance with Executive Law Section 24, any person who knowingly violates the provisions of this order shall be guilty of a Class B Misdemeanor.

Section 3. Effective Date.

This Executive Order shall take effect immediately.

Dated: This 12th day of December, 2023 Poughkeepsie, New York

WILLIAM F. X. O'NEIL

ACTING COUNTY EXECUTIVE

**DUTCHESS COUNTY** 

COUNTY OFFICE BUILDING

22 Market Street

POUGHKEEPSIE, NEW YORK 12601



#### **COUNTY OF DUTCHESS**

William F.X. O'Neil
County Executive

# EXTENSION OF LOCAL EMERGENCY ORDER #1, #2 AND #3 OF EXECUTIVE ORDER NO. 5 OF 2023 DECLARING A LOCAL STATE OF EMERGENCY Dated May 18, 2023

WHEREAS, a Local State of Emergency was declared by Executive Order No. 5 of 2023 dated May 18, 2023, and

WHEREAS, on May 18, 2023 Local Emergency Orders #1 & #2 were declared and on June 6, 2023 Local Emergency Order #3 was declared pursuant to § 24 of the New York State Executive Law ordering:

#### SECTION 1. LOCAL EMERGENCY ORDERS:

Local Emergency Order #1.

I order that all hotels, motels, or any buildings allowing short-term overnight rentals located within the County of Dutchess be prohibited from constructing, enlarging, developing, improving, converting, using, or operating, such building as an emergency shelter, homeless shelter, rooming house, or other long-term overnight shelter. Additionally, all hotels, motels, or any buildings allowing short-term overnight rentals located within the County of Dutchess are prohibited from transferring the operation of such building to another party, organization, entity, municipality, or agent, for use of the building as an emergency shelter, homeless shelter, rooming house, or other long-term overnight shelter.

#### Local Emergency Order #2.

The Acting County Executive, upon the consent of the Chief Executive Officer of any other political subdivision within the County of Dutchess and upon such terms as may be mutually agreed to between them, may use any and all facilities, equipment, supplies, personnel and other resources – including but not limited to city, town and village law enforcement, building code enforcement officers, fire departments, public health inspectors, and zoning code enforcement personnel – in order to effectuate the Acting County Executive's Executive Order declaring a State of Emergency and any Emergency Order attendant thereto, and to take whatever steps are necessary to protect life, property, public infrastructure, to enforce State and County and local codes, laws, and regulations, and to provide such emergency assistance as deemed necessary.

#### Local Emergency Order #3.

I order that upon the direction of a Unit Head, as that term applies pursuant to the Dutchess County Charter, and only during the pendency of such Local State of Emergency declared by Executive Order No. 5 of 2023, any person employed by the County of Dutchess may be assigned to perform the duties of any other position within the County of Dutchess, to the extent that in the discretion of the Unit Head, such appointment, reallocation of resources, or deployment is necessitated by the emergency.

#### **SECTION 2. PUNISHMENT:**

Failure to obey this order is a criminal offense, punishable by law under New York State Executive Law § 24(5). In accordance with Executive Law Section 24(5), any person who knowingly violates the provisions of this order shall be guilty of a Class B Misdemeanor.

#### **SECTION 3. EFFECTIVE DATE:**

I, WILLIAM F.X. O'NEIL, the Acting County Executive of Dutchess County, in accordance with a declaration of a State of Emergency issued on May 18, 2023, and pursuant to § 24(2) of the New York State Executive Law, do hereby order that the above local emergency orders be extended from December 19, 2023, at 12am through December 23, 2023 at 11:59pm.

#### SECTION 4. DURATION.

This Order shall remain in effect until removed by the order of the County Executive and may also be renewed in five (5) day increments.

Dated: This 15th day of December, 2023 Poughkeepsie, New York 12601

WILLIAM F.X. O'NEIL

ACTING COUNTY EXECUTIVE

**DUTCHESS COUNTY** 

COUNTY OFFICE BUILDING

22 MARKET STREET

POUGHKEEPSIE, NEW YORK

Robin L. Lois Comptroller

# Office of the Comptroller Dutchess County 22 Market Street

Poughkeepsie, NY 12601 (845) 486-2050

FAX: (845) 486-2055 E-MAIL: comptroller@dutchessny.gov Brian D. Kelly Deputy Comptroller

Heli Shah Director of Audit

December 8, 2023

Bradford Kendall
Dutchess County Clerk
22 Market Street
Poughkeepsie, NY 12601

Dear Honorable Clerk Kendall,

I do hereby give notice of my resignation as Dutchess County Comptroller effective December 30, 2023.

It has been my honor and privilege to serve as County Comptroller over the past six years. I am grateful for the trust the residents of Dutchess County have placed in me by re-electing me to this very important role. I am also grateful to the wonderful people in county government that I have had the opportunity to work with, especially my amazing co-workers in the comptroller's office.

I am committed to facilitating a smooth transition for my successor to help ensure the continuity of the important daily work of the comptroller's office. I am confident in the dedication and integrity of every member of the current comptroller office staff, and I implore my successor to embrace and keep the high functioning team intact for the benefit of all.

I wish my staff, my successor, and all of Dutchess County government the best in their efforts to make Dutchess County a great place to live and work.

Sincerely,

Robin L. Lois

**Dutchess County Comptroller** 

2023 DEC -8 AM 10: 48

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#### **COUNTY OF DUTCHESS**

William F.X. O'Neil
County Executive

December 8, 2023

Mr. Bradford Kendall Dutchess County Clerk 22 Market Street Poughkeepsie, NY 12601

Dear County Clerk Kendall:

Following the announcement of the upcoming vacancy in the office of County Comptroller, and per the Dutchess County Charter Section 32.02, I hereby appoint A. Gregg Pulver as County Comptroller effective December 31, 2023.

As a third-generation farmer and the owner his family's business, Gregg has gleaned a keen insight into financial operations and fiscal management. Coupled with his decades of public leadership, including most recently as Chairman of the Dutchess County Legislature, his fiscal acumen will serve him well in this new role, and just as importantly, will benefit our county's residents.

Following legislative confirmation, Gregg will assume his new duties, in which I have every confidence for his success.

Sincerely,

C:

Dutchess County Executive

Gregg Pulver
Leigh Wager, Clerk of the Legislator



# . Honroe County Legislature

#### STEVE BREW

MAJORITY LEADER LEGISLATOR - DISTRICT 12

June 12, 2023

Monroe County Legislature 406 Monroe County Office Building 39 West Main Street Rochester, NY 14614

OFFICIAL FILE COPY
No. <u>230185</u>
Not to be removed from the Office of the
Legislature Of
Monroe County
Committee Assignment
Memorializing Referral

Re: Memorializing Governor Kathy Hochul to Veto A.4282B/S.3505B "An Act to Amend the Town Law, the Village Law, the County Law, and the Municipal Home Rule Law, in Relation to Moving Certain Elections to Even-Numbered Years"

Honorable Legislators:

During a time of record voter engagement, rapidly changing and growing information streams, and universal and expanded voting access, New York State seeks to move local elections to even-numbered years under the guise of "voter participation and improving democracy." For years, members of the New York State Legislature have attempted to usurp the local authority of Counties across New York State but have, prior to last Friday, held off following widespread pushback from municipalities and voters across the state. Unfortunately, as stated, New York State Legislator's ignored this near universal years-long opposition and rammed through the bill to passage in the 11<sup>th</sup> hour of this year's Legislative Session.

The reasoning behind the legislation is flawed for many reasons. First off, the proponents of this unconstitutional change state that having odd-year elections "confuses" voters. This is insulting to the millions of voters in New York who turn out to vote year in and year out across the state and to County Boards of Elections who work hard to inform voters when and where they have elections. Voters choosing to only vote in local elections are not confused, they are focused, and choose to engage on the elected officials closed to them—these voters are focused on the governments most impactful to their daily lives.

Adding in local elections for County Executive, County Legislator and more, to the list of elections held on even-years including President, Congress, Senate and/or Governor, Attorney General, State Senate and Assembly will only further lessen voter engagement, not increase it. Local elections have been held on odd-number years for this very reason – it allows important local issues to receive the attention and complexities they deserve. These issues are community-driven and fought for by candidates supported by neighbors, not the multi-million dollar campaigns of the federal government. This means that a County Legislator hoping to share their platform on important local issues from property taxes and sales taxes to local parks improvements will have their voice drowned out by the million dollar advertisements of Presidents and Congressman., local elections being in separate years from state and federal ones allows for voters to be able to separate local issues from national ones. Instead of our residents getting to hear a

Brew Local Election Year Change Resolution Page 2

platform on how they can keep more money in their pockets to raise a family, the airwaves will be consumed by the divisive politics of the federal government.

The last remaining talking point of supporters is that this shift will 'save money.' While this would be a new but welcomed priority from Albany, this is not the case. As the same proponents provide carve-outs for certain elected positions to still be held in even years, they claim this will mean Board of Elections will reduce the number of elections it holds. This is simply incorrect, and even in the event that all local elections were moved, would not result in any meaningful cost savings to taxpayers.

On top of all this, State-lawmakers could be doing all this just to protect themselves from the threat of local government officials making the ascent to Albany. When State incumbents are challenged, it is oftentimes by a local elected official, such as a Town Supervisor or County Legislator. Now, for example, if a County Legislator wanted to run for a State or Federal Office, they would need to relinquish their seat in order to run thus creating a barrier of protection for State elected from effective and popular local leaders. This could deprive constituents of a quality representative or even cause some to not want to risk leaving their current position to effect greater change.

In summation, while the stated purpose of this legislation (namely, increased voter turnout and participation in local elections) may be noble, the reasons 'behind the scene' and likely consequences are harmful, including the drowning out of local issues, lack of cost-saving, and incumbency protection. For these reasons, I ask that you join me in urging Governor Kathy Hochul to veto A.4282B/S.3505B so that the essential reasons of odd-year local elections may be retained.

Sincerely,

Steve Brew Majority Leader

District 12

Memorializing Referral Signature Sheet -	
Referral No. 23-0185 /	
District 1. C. Blake Keller	District 9 Jaul Dona Paul Dondorfer
District 2 Jackie Smith	District 11  Sean M. Delehanty
District Bring DiFlorio	District 12 Steve Brew
District 4 Frank X. Allkofer	District 15 Jebuse Hebert  George J. Hebert
Districts Richard B. Milne	District 19 Kathleen Taylor  Kathleen Taylor
District 6 Sean McCabe	District 20 Resert College
District 7 Kirk Morris	District 27
District 8 Mark Johns	Sabrina LaMar

#### Memorializing Referral Signature Sheet - Referral No. 23-0185

District 10		District 23 NO			
	Howard Maffucci	Linda Hasman			
District 13	Michael Yudelson	District 24 Albert Blankley			
District 14	Susan Hughes Smith	District 25Carolyn Delvecchio Hoffman			
District 16	N () Dave Long	District 26Yversha M. Roman			
District 17	Maria Vecchio	District 28Ricky Frazier			
District 18 _	John B. Baynes	District 29William Burgess			
District 21	Rachel Barnhart				
District 22	ercedes Vazquez Simmons				



#### **Sullivan County**

100 North Street Monticello, NY 12701

#### Certified Copy Resolution: 459-23

File Number: ID-5947 Enactment Number: 459-23

Urge the Governor to Veto A. 4282B and S.3505B to move certain local elections to even-numbered years

If Resolution requires expenditure of County Funds, provide the following information:

Amount to be authorized by Resolution: N/A Are funds already budgeted? Choose an item.

Specify Compliance with Procurement Procedures: N/A

WHEREAS, the Sullivan County Legislature believes that increasing participation in the election process and reducing costs of government operations is good for our republic, and

WHEREAS, both A.4282B and S.3505B legislation was reintroduced that would move local elections to even-number years during the 2023 Legislative Session; and

WHEREAS, versions of this legislation were introduced in previous years and failed to reach the floor for a vote due to a lack of support, and

WHEREAS, this legislation usurps the Home Rule powers reserved by local governments and protected by the Constitution of the State of New York, raising questions of legality and constitutional authority, and

WHEREAS, local municipalities have had the ability to change their elections to even number years for decades and chose not to do so, and

WHEREAS, this legislation excludes more than half of all New Yorkers who vote in cities and villages from the changes to the election law, creating an inequity among New York voters, and

WHEREAS, this legislation unfairly excludes the elections to offices in New York City and all other cities and villages in New York State, as well as certain offices within all counties and towns, and

WHEREAS, the proposed legislation circumvented the legislative committee process during this session, limiting public input and understanding of the impacts, and

WHEREAS, there has been no financial analysis or operational analysis of the impacts on local Boards of Elections, and

WHEREAS, there are concerns that the current election and ballot counting technology would not be able to adequately meet the demands of the significantly increased ballot size, and

WHEREAS, this will create confusion amongst voters in towns and counties across New York State,

and

WHEREAS, moving local elections to even-numbered years would increase the cost of campaigns for local office, reducing the number of candidates willing to invest funds into their own campaign, because the cost of media will increase to compete with national and state level campaign advertisements, and

WHEREAS, there are significant legal and constitutional questions regarding the legality of this measure that have not been adequately addressed;

**NOW, THEREFORE BE IT RESOLVED,** that the Sullivan County Legislature urges the Governor to oppose this legislation, A.4282B and S.3505B and utilize her veto authority to reject this proposal.

**BE IT FURTHER RESOLVED**, that copies of this resolution be distributed by the Clerk to the Sullivan County Legislature to Governor Kathy Hochul, Assemblywoman Aileen Gunther, Senator Peter Oberacker and the New York State Association of Counties (NYSAC).

I, AnnMarie Martin, certify that this is a true copy of Enactment Number 459-23, passed by the Executive Committee on 11/22/2023.

Attest: AnnMarie Martin Date Certified

by Mr. Benson, Mr. Boberg, Mr. Brisky, Mr. Parker and Ms. Schröder And Mrs. Andreano, Mr. Burr, Mr. Helmich, Mr. Higgins, Mrs. Hunt Mr. Klancer, Mr. Marsh, Mr. Morrow, Mr. Parker, Mr. Smith, Mr. Snyder, Mr. VanRensselaer and Mr. Koch

### SUPPORTING REPOPULATION AND RESTOCKING OF NATIVE SPECIES ELK INTO ALLEGANY STATE PARK

Pursuant to Section 153 of the County Law.

l <b>.</b>	WHEREAS, wild North American or "Eastern Elk", also known as "Wapiti" (derived from the Native
American Cree	language, meaning "white" for the Elk rump patch), historically inhabited and naturally ranged
across all of Ne	w York State, including local areas in the Southern Tier and the Finger Lakes, and
II.	WHEREAS, Elk and mankind have interacted since the earliest human habitation of the continent,
forming a critic	al part of our ancestry and history that should be honored and to which tribute should be paid, and
III.	WHEREAS, as the second largest of the deer family, Elk are among the most majestic animals on
the continent (	exceeded only by Moose in size), and they are considered something extraordinary to observe in
forest or fields	, a sight that "widens eyes and quickens blood" according to reports by those that have chosen to
repopulate Elk	in significantly sized publicly owned lands, and
IV.	WHEREAS, in such states that have repopulated Elk into their public lands, the Elk are recognized
as a unique res	source and a potent tourism attraction, and
V.	WHEREAS, the population of wild Eastern Elk that once naturally ranged across New York State
was decimated	by colonization, industrialization, overhunting, illegal poaching and changes to natural habitat,
leading to the	species' extirpation in upstate New York by the mid-1800s, and
VI.	WHEREAS, a well-known and respected Machias-based local Elk Rancher, Timothy Steffenhagen,
has been stead	Ifastly working on a pilot plan to attempt to restock Elk into public lands, to wit: a limited portion
of the New Yo	k State Park system located near Red House in Cattaraugus County, and
VII.	WHEREAS, such plan has been gaining steadfast support among New York State agencies and local
Native America	an Nations, and
VIII.	WHEREAS, Mr. Steffenhagen has manifested a willingness and desire to proactively partner with
	resources to introduce a select and limited population of Elk into the Allegany State Park, far from
cultivated land	s, agricultural areas and populated areas, for the purpose of restoring Elk as a wildlife resource in
this County, ar	
IX.	WHEREAS, if such restocking plan is successful, Cattaraugus County can expect to experience a
	similar to those other jurisdictions that have repopulated Elk in public lands, to wit:
(1) Pe	nnsylvania is now home to the largest free roaming Elk herd in the northeast United

States (currently estimated at 1,400). Visiting "Pennsylvania Elk Region" to catch a glimpse of these majestic creatures has become an annual tradition for thousands of people. Hunters and nature lovers marvel at Elk, and tourists flock to wherever the Elk can be found to photograph them in a natural environment. For instance, while the concept of a town built around "Elk tourism" may seem quaint, it has worked handily for Benezette, PA, which is the unofficial "Elk Capitol of PA," where tourists can enjoy a meal in an Elk-themed hotel/restaurant and/or later visit the Elk County Visitor Center which

offers an extensive and immersive introduction into "all things Pennsylvania Elk".

- (2) Appropriate management of Elk habitat compliments ornithological efforts. Thus, it can be expected that bird watchers will also view Cattaraugus County as a resource for viewing birds that co-exist in Elk habitat.
- (3) Elk are prized hunting game, and the repopulation of the Elk into Cattaraugus County will serve a burgeoning hunting interest in large game. Hunting is conservation. Hunters and the sport of hunting, generate funds critical to continue land and wildlife conservation work-funding which can serve to improve the herds, protect quality Elk range, improve movement/migration corridors and calving areas, and improve public access and viewing opportunities for Elk.
- (4) Pennsylvania's Elk herd growth has succeeded to such an extent that the Commonwealth is able to hold a lottery each year to permit the harvesting of a small number of Elk by hunters. Harvesting helps maintain a herd that is appropriately sized to the natural resources available to support the herd. The first Commonwealth-sanctioned "Elk Hunt" raised over half a million dollars in fees for Pennsylvania due to the holding of a lottery style drawing for the limited hunting licenses---tickets to be entered in the lottery were \$10 and over 50,000 people applied.
- (5) Fostering an Elk habitat has been shown to benefit other species such as deer, bear, turkeys, grouse and a variety of nongame animals,

#### now, therefore, be it

- I. RESOLVED, the Cattaraugus County Legislature does hereby strongly support the plan to repopulate Elk into Cattaraugus County, as outlined above, and be it further
- II. RESOLVED, that the Clerk of the Legislature is hereby directed to send a certified copy of this resolution to Governor Kathy Hochul; the New York State Department of Conservation; the New York State Department of State Parks; the Seneca Nation of Indians; the Onondaga Nation of Indians; the Mohawk Nation of Indians; Senator Borrello and Assemblyman Giglio; New York State Representatives of the U.S. Senate and House of Representatives including, but not limited to, Senator Tenney and Congressman Langworthy; the New York State Association of Counties; and all others deemed necessary and proper.



This is to Certify that I, the undersigned, Deputy Clerk of the Legislature of the County of Cattaraugus, have compared the foregoing copy of resolution with the original thereof on file in this office and which was duly adopted by the Legislature of said County on the  $13^{th}$  day of December, 2023 and that the same is a true and correct transcript of such resolution and of the whole thereof.

In Witness Whereof, I have hereunto set my hand and the official seal of the Legislature of the County of Cattaraugus, this 18th day of December, 2023.

Lori A. Pangborn, Deputy Clerk of the Legislature

#### HUDSON VALLEY REGIONAL COUNCIL AUDITED FINANCIAL STATEMENTS FOR THE YEAR ENDED DECEMBER 31, 2022

#### HUDSON VALLEY REGIONAL COUNCIL

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#### DECEMBER 31, 2022

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INDEPENDENT AUDITORS REPORT

To the Board of Trustees Hudson Valley Regional Council Newburgh, N.Y. 12550 101 Bracken Road Montgomery, New York 12549 Tel (845) 457-1100 Fax (845) 457-1160 e-mail: nh@nhcpas.com

Peter J. Bullis, CPA, FACFEI, DABFA Christopher E. Melley, CPA Gary C. Theodore, CPA Julia R. Fraino, CPA William T. Trainor, CPA Mark M. Levy, CPA, CFP Thomas R. Busse, Jr., CPA Brent T. Napoleon, CPA Jennifer L. Capicchioni, CPA Patrick M. Bullis, CPA Justin B. Wood, CPA Richard P. Capicchioni, CPA

Norman M. Sassi, CPA Walter J. Jung, CPA

**Opinions** 

We have audited the accompanying financial statements of the governmental activities and each major fund of the Hudson Valley Regional Council, as of and for the year ended December 31, 2022, and the related notes to the financial statements, which collectively comprise Hudson Valley Regional Council's basic financial statements as listed in the table of contents.

In our opinion, the financial statements referred to above present fairly, in all material respects, the respective financial position of the governmental activities and each major fund of the Hudson Valley Regional Council, as of December 31, 2022, and the respective changes in financial position for the year then ended in accordance with accounting principles generally accepted in the United States of America.

**Basis for Opinions** 

We conducted our audit in accordance with auditing standards generally accepted in the United States of America and Government Auditing Standards. Our responsibilities under those standards are further described in the Auditor's Responsibilities for the Audit of the Financial Statements section of our report. We are required to be independent of Hudson Valley Regional Council, and to meet our other ethical responsibilities, in accordance with the relevant ethical requirements relating to our audit. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinions.

#### Responsibilities of Management for the Financial Statements

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about Hudson Valley Regional Council's ability to continue as a going concern for twelve months beyond the financial statement date, including any currently known information that may raise substantial doubt shortly thereafter.

#### Auditor's Responsibilities for the Audit of the Financial Statements

Our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinions. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with generally accepted auditing standards will always detect a material misstatement when it exists. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material if there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the financial statements.

In performing an audit in accordance with generally accepted auditing standards, we:

- Exercise professional judgment and maintain professional skepticism throughout the audit.
- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of Hudson Valley Regional Council's internal control. Accordingly, no such opinion is expressed.
- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the financial statements.
- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about Hudson Valley Regional Council's ability to continue as a going concern for a reasonable period of time.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control-related matters that we identified during the audit.

#### **Required Supplementary Information**

Accounting principles generally accepted in the United States of America require that the management's discussion and analysis be presented to supplement the basic financial statements. Such information is the responsibility of management and, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board, who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. We have applied certain limited procedures to the required supplementary information in accordance with auditing standards generally accepted in the United States of America, which consisted of inquiries of management about the methods of preparing the information and comparing the information for consistency with management's responses to our inquiries, the basic financial statements, and other knowledge we obtained during our audit of the basic financial statements. We do not express an opinion or provide any assurance on the information because the limited procedures do not provide us with sufficient evidence to express an opinion or provide any assurance.

#### Other Reporting Required by Government Auditing Standards

In accordance with Government Auditing Standards, we have also issued our report dated December 20, 2023 on our consideration of the Council's internal control over financial reporting and out tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on internal control over financial reporting or on compliance. That report is an integral part of the audit performed in accordance with Government Auditing Standards in considering Hudson Valley Regional Council's internal control over financial reporting and compliance.

Montgomery, New York

Jugant & Hamseler, P.C.

December 20, 2023

#### **Management Discussion and Analysis**

Within this section of the Hudson Valley Regional Council's annual financial report, the Council's management provides narrative discussion and analysis of the financial activities of the Council for the year ended December 31, 2022. The Council's financial performance is discussed and analyzed within the context of the accompanying financial statements and disclosures following this section. Additional information is available in the audit report which precedes management's discussion and analysis.

#### **Financial Highlights**

- In the Statement of Activities the change in net position for the year ended December 31, 2022 reflects an increase of \$354,841, resulting in a Net Position of \$451,616 at the end of the year.
- The results of the General Fund resulted in revenues over expenditures of \$359,506 for the year ended December 31, 2022, resulting in ending fund balance of \$446,259.

#### Independent Auditor's Report

The first item in this report is the independent auditor's report. This report tells the reader what the auditor audited and whether the Hudson Valley Regional Council has followed the accounting and financial reporting rules. For the year ended December 31, 2022, the auditor has given the Hudson Valley Regional Council what is commonly called "a clean opinion". This means that the Hudson Valley Regional Council is following the accounting rules and the following financial statements reflect the financial position and the financial activity for the year ended December 31, 2022. The auditor's report is signed by the independent auditor.

#### **Overview of the Financial Statements**

Management's discussion and analysis introduces the Council's basic financial statements. The basic financial statements include: (1) government-wide financial statements, (2) fund financial statements, and (3) notes to the financial statements. The Hudson Valley Regional Council also includes in this report additional information to supplement the basic financial statements, such as required supplementary information. Comparative data is presented when available.

#### **Government - Wide Financial Statements**

The Council's annual report includes two government-wide financial statements. These statements provide both long-term and short-term information about the Council's overall financial status. Financial reporting at this level uses a perspective similar to that found in the private sector with its basis in full accrual accounting.

The first of these statements is the Statement of Net Position. This is a government-wide statement of position presenting information that includes all of the Council's assets and liabilities, with the difference reported as net position. Over time, increases or decreases in net position may serve as a useful indicator of whether the financial position of the Council taken as a whole is improving or deteriorating.

The second statement is the Statement of Activities which reports how the Council's net position changed during the current year. All current revenues and expenditures are included regardless of when cash is received or paid.

## Management Discussion and Analysis (Continued)

#### **Fund Financial Statements**

A fund is an accountability unit used to maintain control over resources segregated for specific activities or objectives. The Council uses the general fund to ensure and demonstrate compliance with finance-related laws and regulations.

Governmental Funds are reported in the fund financial statements and encompass essentially the same function reported as governmental activities in the government-wide financial statements. However, the focus is very different. These statements report short-term fiscal accountability focusing on the use of spendable resources and balances of spendable resources available at the end of the year. They are useful in evaluating annual financial requirements of governmental programs and the commitment of spendable resources for the near-term.

Since the government-wide focus includes the long-term view, comparisons between these two perspectives may provide insight into the long-term impact of short-term financing decisions.

#### Notes to Financial Statements

The accompanying notes to financial statements provide information essential to a full understanding of the government-wide and fund financial statements.

# Management Discussion and Analysis (Continued)

#### Financial Analysis of the Council as a Whole

The Council's net position at the year ended December 31, 2022 is \$451,616. The following table provides a summary of the Council's net position:

#### Summary of Net Position

	Governmental Activities					
	December 31, 2022		Decen	nber 31, 2021	% Change	
Current Assets	\$	436,269	\$	102,466	325.77%	
Right to Use Assets, Net		71,843		0	N/A	
Capital Assets		7,531		10,022	-24.86%	
Total Assets	11	515,643		112,488	358.40%	
Current Liabilities		12,010		15,713	-23.57%	
Long-Term Liabilities		74,017		0	N/A	
Total Liabilities		86,027		15,713	447.49%	
Net Position:						
Net Investments in Capital Assets		7,531		10,022	-24.86%	
Restricted		444,085		86,753	411.90%	
Total Net Position	\$	451,616	\$	96,775	366.67%	
CONTRACTOR						

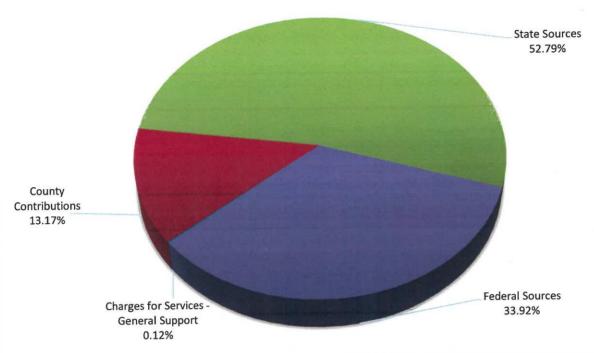
The Council reported an increase in net position for the year in the amount of \$354,841.

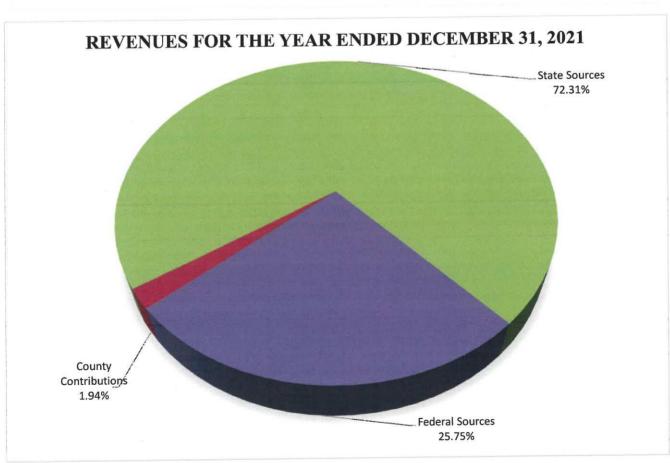
The following table and supporting graphs provides a summary of revenues, expenses and changes in net position for the year ended December 31, 2022:

# HUDSON VALLEY REGIONAL COUNCIL NEWBURGH, NEW YORK STATEMENT OF ACTIVITIES SUMMARY OF CHANGES IN NET POSITION

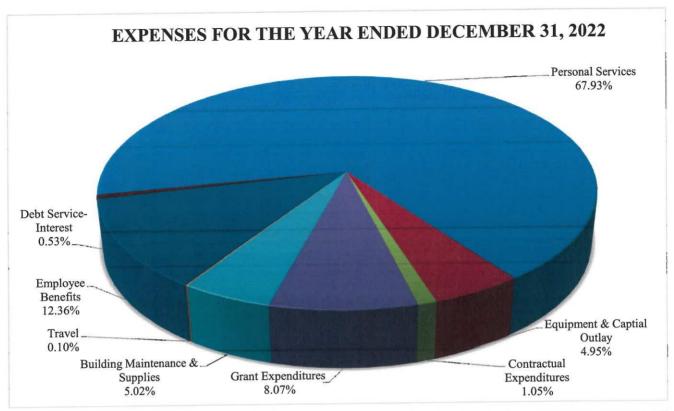
REVENUES:	DECEMBER 31, 2022	%	DECEMBER 31, 2021	%	\$ Change	% Change
Charges for Services - General Support	\$ 1,100		\$ 0	0.00%	\$ 1,100	#DIV/0!
County Contributions	121,000		11,000	1.94%	110,000	1000.00%
State Sources	484,929	52.79%	409,686	72.31%	75,243	18.37%
Federal Sources	311,595	33.92%	145,905	25.75%	165,690	113.56%
TOTAL REVENUES	918,624	100.00%	566,591	100.00%	352,033	62.13%
EXPENSES						
Personal Services	382,951	67.93%	331,040	65.36%	51,911	15.68%
Equipment & Captial Outlay	27,931	4.95%	9,344	1.84%	18,587	198.92%
Contractual Expenditures	5,904	1.05%	4,257	0.84%	1,647	38.69%
Grant Expenditures	45,506	8.07%	101,343	20.01%	(55,837)	-55.10%
<b>Building Maintenance &amp; Supplies</b>	28,296	5.02%	12,789	2.53%	15,507	121.25%
Travel	541	0.10%	209	0.04%	332	158.85%
Employee Benefits	69,658	12.36%	47,488	9.38%	22,170	46.69%
Debt Service - Interest	2,996	0.53%	0	0.00%	2,996	N/A
TOTAL EXPENSES	563,783	99.47%	506,470	100.00%	57,313	11,32%
CHANGE IN NET POSITION	\$ 354,841	_	\$ 60,121		\$ 294,720	50.82%

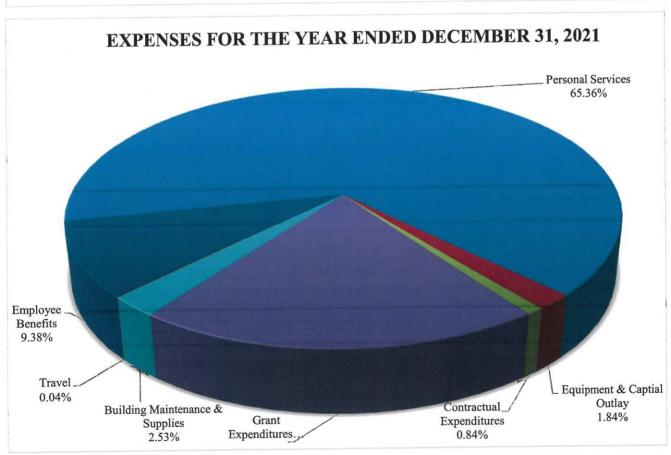
### REVENUES FOR THE YEAR ENDED DECEMBER 31, 2022





# HUDSON VALLEY REGIONAL COUNCIL NEWBURGH, NEW YORK

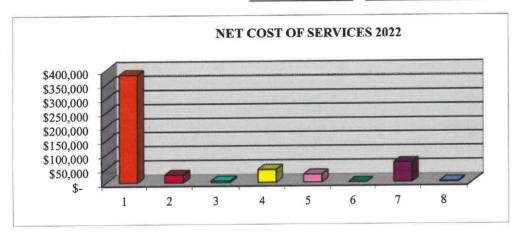




# HUDSON VALLEY REGIONAL COUNCIL NEWBURGH, NEW YORK STATEMENT OF ACTIVITIES NET COSTS

# THE FOLLOWING INFORMATION IS PROVIDED TO DISCLOSE THE NET COST OF GOVERNMENTAL ACTIVITIES:

		37.57	TAL COST SERVICES 2022	- 17	ET COST SERVICES 2022
1	Personal Services	\$	382,951	\$	382,951
2	Equipment & Captial Outlay		97,283		27,931
3	Contractual Expenditures		5,904		5,904
4	Grant Expenditures		45,506		45,506
5	Building Maintenance & Supplies		28,296		28,296
6	Travel		541		541
7	Employee Benefits		69,658		69,658
8	Debt Service - Interest		13,500	70.5	2,996
	TOTAL	\$	643,639	\$	563,783



## HUDSON VALLEY REGIONAL COUNCIL NEWBURGH, NEW YORK

# Management Discussion and Analysis (Continued)

### Financial Analysis of the Council's Funds

The General Fund is the Hudson Valley Regional Council's operating fund and the source of day-to-day service delivery. As discussed, the General Fund is reported in the fund statements with a short-term, inflow and outflow of spendable resources focus. This information is useful in assessing resources available at the end of the year in comparison with upcoming financing requirements. The data presented in the fund financial statements is presented on the modified accrual basis. This means that capital assets purchased during the year are reported as expenditures and no depreciation on these capital assets is reported as an expenditure in the same year. The total fund balances allocated between non-spendable, restricted, assigned, and unassigned fund balance for the General Fund is as follows:

				D	ecember	31, 2022				
	NonSpe	endable	R	estricted	Assi	igned	Unass	signed	2	Total
General Fund	\$	0	\$	446,259	\$	0	\$	0	\$	446,259
				D	ecember	31, 2021				
	NonSpe	endable	R	estricted	Assi	igned	Unass	signed		Total
General Fund	\$	0	\$	86,753	\$	0	\$	0	\$	86,753

# Capital Asset and Debt Administration

### Capital Assets

The Council's investment in capital assets, net of accumulated depreciation for governmental activities as of December 31, 2022 was \$7,531. The net decrease in the investment in capital assets was \$2,491. This decrease reflects depreciation expense of \$2,491 and capital additions of \$0. The following table provides a summary of capital asset activity.

	eginning alance	A	dditions	11000	ements/	Ending Balance
Governmental activities: Capital assets that are depreciated: Furniture & Equipment	\$ 19,566	_\$_	0	\$	0	\$ 19,566
Less: Accumulated Depreciation Furniture & Equipment	 9,544		2,491		0	12,035
Capital Assets	 10,022	\$	(2,491)	\$	0	\$ 7,531

## HUDSON VALLEY REGIONAL COUNCIL NEWBURGH, NEW YORK

# Management Discussion and Analysis (Continued)

# Contacting the Council's Financial Management

This financial report is designed to provide a general overview of the Council's finances, comply with finance-related laws and regulations and demonstrate the Council's commitment to public accountability. If you have questions about this report, or would like to request additional information, contact Carla Castillo at the Council at 105 Ann Street, #2, Newburgh, New York 12550-5561.

# HUDSON VALLEY REGIONAL COUNCIL NEWBURGH, NEW YORK STATEMENT OF NET POSITION DECEMBER 31, 2022

ASSETS	
Cash	\$ 171,298
Other Receivables	22,000
Grants Receivable	264,971
Right to Use Assets, Net	71,843
Capital Assets, Net	7,531
TOTAL ASSETS	 537,643
LIABILITIES	
Accrued Liabilities	9,185
Unearned Revenues	2,825
Lease Payable	74,017
TOTAL LIABILITIES	 86,027
NET POSITION	
Net Investments in Capital Assets	7,531
Restricted	444,085
TOTAL NET POSITION	\$ 451,616

## HUDSON VALLEY REGIONAL COUNCIL NEWBURGH, NEW YORK STATEMENT OF ACTIVITIES FOR THE YEAR ENDED DECEMBER 31, 2022

					NET(I	EXPENSE)
						VENUE
						HANGES
FUNCTIONS/PROGRAMS	E	KPENSES	ADII	JSTMENTS		POSITION
TONCTIONS/TROOM WIS		LI LIVOLO	11000	DITTE TO		
Personal Services	\$	382,951	\$	0	\$	382,951
Equipment & Captial Outlay		97,283		(69,352)		27,931
Contractual Expenditures		5,904		0		5,904
Grant Expenditures		45,506		0		45,506
Building Maintenance & Supplies		28,296		0		28,296
Travel		541		0		541
Employee Benefits		69,658		0		69,658
Debt Service - Interest		13,500		(10,504)		2,996
TOTAL FUNCTIONS						
& PROGRAMS	\$	643,639	\$	(79,856)	\$	563,783
GENERAL REVENUES						
County Contributions						121,000
Charges for Services - General Su	pport					1,100
State Sources						484,929
Federal Sources						311,595
TOTAL GENERAL REVENU	JES					918,624
CHANGE IN NET POSITION						354,841
The second section of the second section of the second second second second section (Section Section S						
NET POSITION, BEGINNING C	F YE	EAR				96,775
- According to the second seco						
NET POSITION, END OF YEAR	1				\$	451,616

# HUDSON VALLEY REGIONAL COUNCIL NEWBURGH, NEW YORK BALANCE SHEET - GENERAL FUND DECEMBER 31, 2022

ASSETS	
Cash	\$ 171,298
Other Receivables	22,000
Grants Receivable	264,971
TOTAL ASSETS	\$ 458,269
LIABILITIES AND FUND BALANCE	
LIABILITIES	
Accrued Liabilities	\$ 9,185
Unearned Revenues	2,825
TOTAL LIABILITIES	12,010
FUND BALANCE	
Restricted	446,259_
TOTAL FUND BALANCE	446,259
TOTAL LIABILITIES AND FUND BALANCES	\$ 458,269

# HUDSON VALLEY REGIONAL COUNCIL

## NEWBURGH, NEW YORK

# STATEMENT OF REVENUES, EXPENDITURES AND CHANGES IN FUND

## **BALANCE-GENERAL FUND**

# FOR THE YEAR ENDED DECEMBER 31, 2022

REVENUES		
County Contributions	\$	121,000
Charges for Services - General Support		1,100
State Sources		484,929
Federal Sources	2	311,595
TOTAL REVENUES		918,624
EXPENDITURES		282.051
Personal Services		382,951
Equipment & Captial Outlay		97,283
Contractual Expenditures		5,904
Grant Expenditures		45,506
Building Maintenance & Supplies		28,296
Travel		541
Employee Benefits		69,658
Debt Service		13,500
TOTAL EXPENDITURES		643,639
OTTATE GOLDON		
OTHER SOURCES		84,521
Proceeds from Lease	-	04,321
EXCESS (DEFICIENCY) OF REVENUES		
AND OTHER SOURCES OVER EXPENDITURES		359,506
		86,753
FUND BALANCE - BEGINNING OF YEAR	*	00,733
FUND BALANCE - END OF YEAR	\$	446,259

# NOTE 1. GENERAL STATEMENT AND SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

### A. Organization and Purpose

The Hudson Valley Regional Council (Council) is a regional planning council established in 1978 as authorized under New York State General Municipal Law Articles 5-G and 12-B. The Council is organized as an intergovernmental agency, whose membership is comprised of the following counties: Dutchess, Orange, Putnam, Rockland, Sullivan, Ulster, and Westchester. However, no one county exerts control such that the Council is not considered part of any other reporting entity including any of its participating counties.

Major issues that the Council considers are water resource management, regional economic development, environmental planning and sustainability, materials management, and marketing of the seven-county region.

#### Tax Status

As a public benefit corporation of the State of New York, the Council is exempt from federal, state and local income taxes.

#### B. Basis of Presentation

#### 1. Government-Wide Statements

The Statement of Net Position and the Statement of Activities present financial information about the Council's governmental activities. These statements include the financial activities of the overall government in its entirety, except those that are fiduciary. Eliminations have been made to minimize the double counting of internal transactions. Governmental activities generally are financed through taxes, intergovernmental revenues, and other exchange and non-exchange transactions.

The Statement of Activities presents a comparison between direct expenses and program revenues for each function of the Council's governmental activities. Direct expenses are those that are specifically associated with and are clearly identifiable to a particular function. Program revenues include charges paid by the recipients of good or services offered by the programs, and grants and contributions that are restricted to meeting the operations or capital requirements of a particular program. Revenues that are not classified as program revenues, including all taxes, are presented as general revenues.

### 2. Fund Financial Statements

The accounts of the Council are organized and operated on the basis of funds. A fund is an independent fiscal and accounting entity with a separate set of self-balancing accounts. Fund accounting segregates funds according to their intended purpose and is used to aid management in demonstrating compliance with finance-related legal and contractual provisions. The minimum number of funds is maintained consistent with legal and managerial requirements.

The major fund of the Council is described below:

<u>General Fund</u>: This is the Council's primary operating fund. It accounts for all financial transactions that are not required to be accounted for in another fund.

# NOTE 1. GENERAL STATEMENT AND SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

# C. Measurement Focus and Basis of Accounting

Measurement focus refers to what is being measured; basis of accounting refers to when revenues and expenditures are recognized in the accounts and reported in the financial statements. Basis of accounting relates to the timing of the measurement made, regardless of the measurement focus applied.

The fund financial statements of the Council are prepared on a modified accrual basis, which is a comprehensive basis of accounting other than generally accepted accounting principles. This basis of presentation differs from accounting principles generally accepted in the United States of America (GAAP) in that certain revenues are recognized when received rather than earned and certain expenses are recognized when paid rather than when the obligation is incurred. Such variances are presumed to be material. The accompanying financial statements are not intended to present the financial position and results of operations in conformity with accounting principles generally accepted in the United States of America.

The Government-wide financial statements are reported using the economic resources measurement focus and the accrual basis of accounting. Revenues are recorded when earned and expenses are recorded at the time liabilities are incurred, regardless of when the related cash transaction takes place. Non-exchange transactions, in which the Council gives or receives value without directly receiving or giving equal value in exchange, include grants and donations. Revenue from grants and donations is recognized in the fiscal year in which all eligibility requirements have been satisfied.

The governmental fund statements are reported using the current financial resources measurement focus and the modified accrual basis of accounting. Under this method, revenues are recognized when easurable and available. The Council considers all revenues reported in the governmental funds to be available if the revenues are collected within 90 days after the end of the fiscal year.

Expenditures are recorded when the related fund liability is incurred, except for principal and interest on general long-term debt, claims and judgments, and compensated absences, which are recognized as expenditures to the extent they have matured. General capital asset acquisitions are reported as expenditures in governmental funds. Proceeds of general long-term debt and acquisitions under capital leases are reported as other financing sources.

## D. Cash and Investments

The Council's cash and cash equivalents consist of cash on hand, demand deposits, and short-term investments with original maturities of three months or less from date of acquisition. New York State law governs the Council's investment policies. Resources must be deposited in FDIC-insured commercial banks or trust companies located within the State. Permissible investments include obligations of the United States Treasury, United States Agencies, repurchase agreements and obligations of New York State or its localities. Collateral is required for demand and time deposits and certificates of deposit not covered by FDIC insurance. Investments are stated at fair value. Bank deposits at times may exceed federally insured limits. The Council has not experienced any losses in such accounts.

# NOTE 1. GENERAL STATEMENT AND SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

### E. Estimates

The preparation of financial statements in conformity with the modified accrual basis of accounting requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosures of contingent assets and liabilities at the date of the financial statements and reported amounts of revenues and expenses during the reporting period. Accordingly, actual results could differ from these estimates.

### F. Equity Classifications

#### 1. Government-wide Statements

In the government-wide statements there are three possible classes of net position:

Net investment in capital assets - consists of net capital assets (cost less accumulated depreciation) reduced by outstanding balances of related debt obligations from the acquisition, constructions or improvements of those assets.

Restricted net position – Reports net position when constraints placed on the assets are either externally imposed by creditors (such as through debt covenants), grantors, contributors, or laws or regulations of other governments, or imposed by law through constitutional provisions or enabling legislation.

Unrestricted net position - reports all other net position that do not meet the definition of the above two classifications and are deemed to be available for general use by the Council.

### 2. Funds Statements

In the fund basis statements there are five possible classifications of fund balance:

Nonspendable: Includes amounts that cannot be spent because they are either (a) not in spendable form or (b) legally or contractually required to be maintained intact. The Council classified \$0 of its fund balances as nonspendable as of December 31, 2022.

Restricted: Constraints have been imposed on the use of these amounts either (a) externally by creditors, grantors, contributors or laws or regulations of other governments; or (b) by law through constitutional provisions or enabling legislation. The Council classified \$446,259 as restricted fund balance as of December 31, 2022.

Committed: Includes amounts that can only be used for specific purposes pursuant to constraints imposed by formal action of the Board of Directors. The Council did not classify any of its fund balances as committed as of December 31, 2022.

# NOTE 1. GENERAL STATEMENT AND SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

### G. Equity Classifications (Continued)

### 2. Funds Statements (Continued)

Assigned: Includes amounts that are constrained by the Council's intent to be used for specific purposes, but are neither restricted nor committed. Assigned fund balance includes (a) all remaining amounts (except for negative balances) that are reported in governmental funds, other than the General Fund, that are not classified as nonspendable and are neither restricted nor committed and (b) amounts in the General Fund that are intended to be used for a specific purpose. By reporting particular amounts that are not restricted or committed in the governmental funds other than the General Fund, the Council has assigned those amounts to the purposes of the respective funds. The Council did not classify any of its fund balances as assigned as of December 31, 2022.

<u>Unassigned</u>: Includes fund balance that has not been assigned to other funds and that has not been restricted, committed, or assigned to specific purposes within the General Fund.

### H. Capital Assets

Capital Assets are reported at cost for acquisitions. Capitalization thresholds (the dollar value above which asset acquisitions are added to the capital asset accounts), depreciation methods, and estimated useful lives of capital assets reported in the financial statements are as follows:

	Capi	italization	Depreciation	Estimated
	Th	Threshold Method		Useful Life
Land Improvements	\$	5,000	Straight Line	15-50 years
Furniture and Equipment		500	Straight Line	5-20 years

### I. Right to Use Assets

A right of use asset is a lessee's right to use an asset over the life of the lease. Right to use assets are reported at present value.

# NOTE 2. <u>EXPLANATION OF CERTAIN DIFFERENCES BETWEEN GOVERNMENTAL FUND STATEMENTS AND GOVERNMENT-WIDE STATEMENTS.</u>

Due to the differences in the measurement focus and basis of accounting used in the governmental fund statements and the Government-wide statements, certain financial transactions are treated differently. The differences result primarily from the economic focus of the Statement of Activities, compared with the current financial resources focus of the governmental funds.

A. Total fund balances of governmental funds vs. net position of governmental activities:

Total fund balances of the Council's governmental funds differ from "net position" of governmental activities reported in the Statement of Net Position. This difference primarily results from the additional long-term economic focus of the Statement of Net Position versus the solely current financial resources focus of the governmental fund Balance Sheets.

1. The costs of building and acquiring capital assets (land, buildings and equipment) financed from the governmental funds are reported as expenditures in the year they are incurred, and the assets do not appear on the Balance Sheet. However, the Statement of Net Position includes those capital assets among the assets of the Council as a whole, with their original costs capitalized and depreciation expensed annually over their useful lives. The balances at December 31, 2022 are as follows:

Original Cost of Capital Assets	\$ 19,566
Accumulated Depreciation	 (12,035)
30000 T N N	\$ 7,531

2. The cost of right to use assets (equipment) financed from governmental funds are reported as expenditures in the year they are incurred, and the assets do not appear on the balance sheet. However, the Statement of Net Position includes those right to use assets among the assets of the Council as a whole, with the present value capitalized and amortization expensed annually of the period of use.

Original Cost of Right to Use Assets	\$	84,521
Accumulated Amortization		(12,678)
	\$	71,843
	_	

3. Long-term liabilities are reported in the Statement of Net Position, but not in the governmental funds, because they are not due and payable in the current period. Balances at year-end were:

Leases \$ 74,017

# NOTE 2. <u>EXPLANATION OF CERTAIN DIFFERENCES BETWEEN GOVERNMENTAL FUND STATEMENTS AND GOVERNMENT-WIDE STATEMENTS.</u> (Continued)

B. Statement of Revenues, Expenditures and Changes in Fund Balance vs. Statement of Activities:

Differences between the governmental funds Statement of Revenues, Expenditures and Changes in Fund Balance and the Statement of Activities are described below:

### 1. Capital Related Differences

Capital related differences include the difference between proceeds for the sale of capital assets reported on governmental fund statements and the gain or loss on the sale of assets as reported on the Statement of Activities and the difference between recording an expenditure for the purchase of capital items in the governmental fund statements and depreciation expense on those items as recorded in the Statement of Activities.

# <u>Explanation of Differences between Governmental Funds Operating Statement</u> and the Statement of Activities

## Total Revenues and Other Funding Sources

Total revenues and other funding sources reported in governmental funds	\$ 918,624
Total revenues in the Statement of Activities	\$ 918,624
Total Expenditures & Other Uses/Expenses	
Total expenditures and other uses reported in governmental funds	\$ 643,639
When the lease of right to use assets are financed through governmental funds, the resources expended for those assets are reported as expenditures in the years they are paid for. However, in the Statement of Activities, the present value of those assets is capitalized and the expense is allocated over the period of use and reported as amortization expense. This is the amount by which right to use assets of \$84,521 were more than amortization expense of \$12,678 in the current year.	(71,843)

# NOTE 2. <u>EXPLANATION OF CERTAIN DIFFERENCES BETWEEN GOVERNMENTAL FUND STATEMENTS AND GOVERNMENT-WIDE STATEMENTS.</u> (Continued)

B. Statement of Revenues, Expenditures and Changes in Fund Balance vs. Statement of Activities: (Continued)

When the purchase or construction of capital assets is financed through governmental funds, the resources expended for those assets are reported as expenditures in the years they are incurred. However, in the Statement of Activities, the cost of those assets is allocated over their estimated useful lives and reported as depreciation expense. This is the amount by which capital expenditures of \$0 were less than depreciation of \$2,491 in the current year.

2,491

Repayment of debt principal is an expenditure in the governmental funds, but reduces long-term liabilities in the Statement of Net Assets, and does not affect the Statement of Activities

(10,504)

Total expenses in the Statement of Activities

\$ 563,783

### NOTE 3. CHANGE IN ACCOUNTING PRINCIPLES.

For the year ended December 31, 2022, the Council implemented GASB Statement No. 87, Leases. which increases the usefulness of government's financial statements by requiring recognition of certain lease assets and liabilities for leases that previously were not classified as operating leases and recognized as inflows of resources or outflows of resources based on the payment provisions of the contract. It establishes a single model for lease accounting based on the foundational principle that leases are financings of the right to use and underlying asset. Under, this Statement, a lessee is required to recognize a lease liability and an intangible right-to-use lease asset, a lessor is required to recognize a lease receivable and a deferred inflow of resources, thereby enhancing the relevance and consistency of information about governments' leasing activities.

# NOTE 4. <u>CASH - CUSTODIAL CREDIT, CONCENTRATION OF CREDIT, INTEREST RATE, FOREIGN CURRENCY RISKS AND INVESTMENT POOL</u>

Custodial credit risk is the risk that in the event of a bank failure, the Council's deposits may not be returned to it. While the Council does not have a specific policy for custodial credit risk, New York State statutes govern the Council's investment policies, as discussed previously in these Notes.

At December 31, 2022, the carrying amount of the Council's deposits (cash, certificates of deposits and interest –bearing savings accounts) was \$171,298 and the bank balance was \$186,029. The Council's deposits at December 31, 2022 were entirely covered by FDIC Insurance.

The Council does not typically purchase investments for a long enough duration to cause it to believe that it is exposed to any material interest rate risk. The Council also does not typically purchase investments denominated in a foreign currency, and is not exposed to foreign currency risk.

# NOTE 5. GRANTS RECEIVABLE

Details of the Grants Receivable at December 31, 2022 were as follows:

NYSERDA CEC 20-26	\$ 36,323
US DOC EDA PPA 22-23	35,000
US DOC EDA CARES 20-22	147,364
NYSDEC 604(B) 22-23	24,503
NYSDEC CLCS 22-24	 21,781
Total	\$ 264,971

### NOTE 6. CAPITAL ASSETS

Changes in the capital assets of the Council were as follows:

	Be	ginning			Retire	ments/	F	Ending
	В	alance	A	dditions	Reclass	ifications	B	alance
Governmental activities:								
Capital assets that are								
depreciated:								
Furniture & Equipment	\$	19,566	_\$_	0	\$	0	\$	19,566
Less: Accumulated Depreciation								
Furniture & Equipment	·	9,544		2,491	7	0		12,035
Capital Assets	\$	10,022	\$	(2,491)	\$	0		7,531

## NOTE 7. RIGHT TO USE ASSETS

Changes in the right to use assets of the Council were as follows:

	Begin Bak	nning ance	A	dditions	•	sals and ifications	Ending Balance
Governmental Activities: Right to Use Assets that are Being Amortized: Building	\$	0	\$	84,521	\$	0	\$ 84,521
Less Accumulated Amortization		0		12,678		0	12,678
Total Right to Use Assets, Net	\$	0	\$	71,843	\$	0	\$ 71,843

### **NOTE 8. LEASES**

### Council as Lessee

Lease Note	Date	Date	December 31, 2022		
Building Lease	04/01/22	03/01/27	\$	74,017	

Annual requirements to amortize long-term obligations and related interest are as follows:

	P	rincipal	Iı	nterest		Total						
December 31,	Amount		Amount		Amount		Amount		A	mount		Amount
2023	\$	15,089	\$	3,361	\$	18,450						
2024		16,475		2,575		19,050						
2025		17,932		1,718		19,650						
2026		19,463		787		20,250						
2027		5,058		42	_	5,100						
	\$	74,017	\$	8,483	\$	82,500						

### NOTE 9. LITIGATION

There is no litigation pending against The Hudson Valley Regional Council at December 31, 2022.

### NOTE 10. SUBSEQUENT EVENTS

Management has evaluated subsequent events through December 20, 2023, the date the financial statements were available to be issued. No significant subsequent events have been identified that would require adjustment of or disclosure in the accompanying consolidated financial statements.



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INDEPENDENT AUDITOR'S REPORT ON INTERNAL CONTROL
OVER FINANCIAL REPORTING AND ON COMPLIANCE
AND OTHER MATTERS BASED ON AN AUDIT OF FINANCIAL
STATEMENTS PERFORMED IN ACCORDANCE WITH GOVERNMENT
AUDITING STANDARDS

Norman M. Sassi, CPA Walter J. Jung, CPA

To the Members of the Board of Trustees Hudson Valley Regional Council Newburgh, N.Y. 12550

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of the governmental activities and each major fund of the Hudson Valley Regional Council, as of and for the year ended December 31, 2022 and the related notes to the financial statements, which collectively comprise the Hudson Valley Regional Council's basic financial statements and have issued our report thereon dated December 20, 2023.

# Report on Internal Control Over Financial Reporting

In planning and performing our audit of the financial statements, we considered the Hudson Valley Regional Council's internal control over financial reporting (internal control) as a basis for designing audit procedures that are appropriate in the circumstances for the purpose of expressing our opinions on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the Hudson Valley Regional Council's internal control. Accordingly, we do not express an opinion on the effectiveness of the Hudson Valley Regional Council's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A material weakness is a deficiency, or a combination of deficiencies, in internal control such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. A significant deficiency is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies and therefore, material weaknesses or significant deficiencies may exist that have not been identified. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified. We did identify certain deficiencies in internal control, described in the accompanying schedule of findings and responses identified as findings 2022-001, and 2022-002, that we consider to be significant deficiencies.

### **Report on Compliance and Other Matters**

As part of obtaining reasonable assurance about whether the Hudson Valley Regional Council's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

### **Hudson Valley Regional Council's Response to Findings**

The Hudson Valley Regional Council's response to the findings identified in our audit is described in the accompanying schedule of findings and responses. The Hudson Valley Regional Council's response was not subjected to the auditing procedures applied in the audit of the financial statements and, accordingly, we express no opinion on it.

### **Purpose of this Report**

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the entity's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the entity's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

Montgomery, New York

Nugent + Haussler, F.C.

December 20, 2023

## HUDSON VALLEY REGIONAL COUNCIL <u>NEWBURGH</u>, NEW YORK <u>SCHEDULE OF FINDINGS AND RESPONSES</u> FOR THE YEAR ENDED DECEMBER 31, 2022

# SECTION I - SUMMARY OF AUDITOR'S RESULTS

Type of Auditor's Report Issued: Unmodified			
Internal Control Over Financial Reporting: Material weakness(es) identified?		Yes	_X_ No
Significant deficiencies identified that are not considered to be material weaknesses	_X_	Yes	No
Noncompliance material to financial statements noted?		Yes	_X No

# HUDSON VALLEY REGIONAL COUNCIL NEWBURGH, NEW YORK SCHEDULE OF FINDINGS AND RESPONSES FOR THE YEAR ENDED DECEMBER 31, 2022

## SECTION II FINANCIAL STATEMENT FINDINGS

<b>Finding</b>	2022-001
----------------	----------

### **Limited Segregation of Duties**

Condition:

The auditor found instances where segregation of duties was limited. Limitations in control activities may be addressed through additional management oversight. Through interviews with management and staff we were able to satisfy ourselves that direct involvement and knowledge of these activities reduced the potential for errors or irregularities.

Criteria:

Segregation of duties relates to how various duties are assigned to different people within the organization. Generally, the responsibility for authorizing transactions, recording transactions, and maintaining custody of assets should be assigned to different people so that no single person is in a position to both commit and conceal errors, irregularities or fraud.

Cause:

Limited resources and personnel available contribute directly to the level of segregation achieved. Another factor is the organizational structure and the assignment of responsibilities within that structure.

Effect:

The financial statements could have been significantly misstated due to errors or irregularities and fraud or misappropriation could occur and not be detected without adequate segregation of duties and responsibilities.

Recommendation:

We recommend that the management review the responsibilities of business staff, as well as the duties actually being performed currently. This review should focus on segregating the functions for authorizing transactions, recording transactions, and maintaining custody of assets. As part of this review, management should consider whether the current staffing level is sufficient to achieve the desired internal control. It may be necessary to hire additional staff.

#### **Finding 2022-002**

# **Management Oversight and Monitoring**

Condition:

The Auditor found instances where oversight and monitoring by management were not documented. Through interviews with management and related personnel we were able to satisfy ourselves that the necessary oversight and monitoring was performed.

Criteria:

Effective internal control over financial reporting requires management oversight and monitoring to establish reasonable assurance that financial reporting is being reliably and accurately completed. Documentation of this oversight and monitoring is necessary to insure that this criteria is met.

## HUDSON VALLEY REGIONAL COUNCIL NEWBURGH, NEW YORK SCHEDULE OF FINDINGS AND RESPONSES FOR THE YEAR ENDED DECEMBER 31, 2022

# SECTION II FINANCIAL STATEMENT FINDINGS (Continued)

Cause: These activities are often informal and performed as a part of the overall management of the

entity's operations. Management's close involvement in operations will typically identify

inaccuracies in financial data.

Effect: The financial statements could have been significantly misstated without adequate

documentation and performance of oversight functions.

Recommendation: We recommend that management formally document its review of all elements of the

financial data reflected in the financial reporting. This would include but not be limited to ledger account reconciliations, journal entries, trial balances, revenue status and budget status

reports.

Management has not completed a response to the above findings as of the date of this audit report. Government Auditing Standards requires management's responses and planned corrective action to be included in this report.



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Richard P. Capicchioni, CPA

December 20, 2023

To the Board of Directors Hudson Valley Regional Council

Norman M. Sassi, CPA Walter J. Jung, CPA

We have audited the accompanying financial statements of the governmental activities and each major fund of the Hudson Valley Regional Council (Council) as of December 31, 2022 and the related notes to the financial statements. Professional standards require that we provide you with information about our responsibilities under generally accepted auditing standards and *Government Auditing Standards*, as well as certain information related to the planned scope and timing of our audit. We have communicated such information in our letter to you dated January 23, 2023. Professional standards also require that we communicate to you the following information related to our audit.

### Significant Audit Findings

Qualitative Aspects of Accounting Practices

Management is responsible for the selection and use of appropriate accounting policies. The significant accounting policies used by the Council are described in Note 1 to the financial statements. No new accounting policies were adopted and the application of existing policies was not changed during the year ended December 31, 2022. We noted no transactions entered into by the Council during the year for which there is a lack of authoritative guidance or consensus. All significant transactions have been recognized in the financial statements in the proper period.

Accounting estimates are an integral part of the financial statements prepared by management and are based on management's knowledge and experience about past and current events and assumptions about future events. Certain accounting estimates are particularly sensitive because of their significance to the financial statements and because of the possibility that future events affecting them may differ significantly from those expected. The most sensitive estimate affecting the Council's financial statements was:

Management's estimate of depreciation of fixed assets is based on estimated useful lives. We evaluated the key factors and assumptions used to develop this estimate in determining that it is reasonable in relation to the financial statements taken as a whole.

Difficulties Encountered in Performing the Audit

We encountered no significant difficulties in dealing with management in performing and completing our audit.

Corrected and Uncorrected Misstatement

Professional standards require us to accumulate all known and likely misstatements identified during the audit, other than those that are trivial, and communicate them to the appropriate level of management. Management has corrected all such misstatements. A listing of the adjusting journal entries recorded accompanies this letter.

### Disagreements with Management

For purposes of this letter, professional standards define a disagreement with management as a financial accounting, reporting, or auditing matter, whether or not resolved to our satisfaction, that could be significant to the financial statements or the auditor's report. We are pleased to report that no such disagreements arose during the course of our audit.

### Management Representations

We have requested certain representations from management that are included in the management representation letter dated December 20, 2023.

# Management Consultations with Other Independent Accountants

In some cases, management may decide to consult with other accountants about auditing and accounting matters, similar to obtaining a "second opinion" on certain situations. If a consultation involves application of an accounting principle to the governmental unit's financial statements or a determination of the type of auditor's opinion that may be expressed on those statements, our professional standards require the consulting accountant to check with us to determine that the consultant has all the relevant facts. To our knowledge, there were no such consultations with other accountants.

### Other Audit Findings or Issues

We generally discuss a variety of matters, including the application of accounting principles and auditing standards, with management each year prior to retention as the Council's auditors. However, these discussions occurred in the normal course of our professional relationship and our responses were not a condition to our retention.

#### Additional Comments

- 1. During our review of the Council's policies and procedures we noted that there were no Board adopted policies for procurement, fund balance, or investment activities.
  - We recommend that the Board adopt policies for procurement, fund balance, and investing activities in compliance with New York State laws and regulations.

This information is intended solely for the use of the Executive Director and members of the Board and management of Hudson Valley Regional Council and is not intended to be and should not be used by anyone other than these specified parties.

Very truly yours,

NUGENT & HAEUSSLER, P.C.

Virgonia & Homessler, F.C.

330,748.00

330,748.00

HVRC1234 - Hudson Valley Regional Council Hudson Valley Regional Council Engagement: 12/31/2022 Period Ending: 3000A.00 - Fund A TB Trial Balance: Workpaper: Adjusting Journal Entries Report Credit Debit W/P Ref Description Account Adjusting Journal Entries Adjusting Journal Entries JE # 2 To record correction to health insurance accrual 43,777.00 2104.00 Accrued Liabilities:HVRC Health Insurance 2104 43,777.00 6101.00 Employee Benefits:Medical Benefits 6101 43,777.00 43,777.00 Total Adjusting Journal Entries JE # 5 To record grants receivable for 2022 264,971.00 1100.00 Accounts Receivable (A/R) 1100 35,000.00 4103.10 Federal Grants Income:US DOC EDA PPA 22-25:PPA 22-23 -- FY1 4103.1 147,363.71 4104 4104.00 Federal Grants Income:US DOC EDA CARES 20-22 24,502.53 4202.40 State Grants:NYSDEC 604(b) WQP 15-19-604(b) 22-23 - FY4 4205.20 State Grants:NYSERDA CEC 20-26;CEC 22-24 - Contract 2 4202.4 36,322.81 4205.2 21,781.95 4206.10 State Grants:NYSDEC CLCS Grant:CLCS 22-24 4206.1 264,971.00 264,971.00 Total Adjusting Journal Entries JE # 7
To book receivable for amoounts due from countles 22,000.00 1100.00 Accounts Receivable (A/R) 1100 22,000.00 4000.00 County Contributions 4000 22,000,00 22,000.00 Total 330,748.00 330,748.00 **Total Adjusting Journal Entries** 

Total All Journal Entries

# **AUDIT REPORT**

DUTCHESS COUNTY
MUNICIPAL INVESTMENT GRANT (MIG) REPORT
2019-2022



**DECEMBER 2023** 



OFFICE OF THE

DUTCHESS COUNTY COMPTROLLER

ROBIN L. LOIS, COMPTROLLER

# Office of the Comptroller Dutchess County 22 Market Street

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FAX (845) 486-2055 E-MAIL: comptroller@dutchessny.gov Brian D. Kelly
Deputy Comptroller

Heli Shah Director of Audit

Dear County Officials and Taxpayers,

The Dutchess County Comptroller's Office has completed an audit report of the Municipal Investment Grant (MIG) Program for the period January 1, 2019, to December 31, 2022. Our objectives included reporting awardees and amounts, validating application and contract compliance, and reviewing reserve account activity.

The MIG Program is administered and directed by the Department of Planning & Development ("Planning"). The program was established in 2013 as a Shared Services Grant Program aimed at incentivizing collaboration between the County and local governments. It has since evolved into a year-to-year program adapting to meet the current needs of the communities.

Dutchess County granted a total of 81 awards, amounting to \$8,255,819, for the audit period 2019-2022. Due to the County's uncertain fiscal future during the COVID-19 pandemic in 2020, the MIG Program was put on hold and no awards were given that year. Our report details the award recipients by year.

Our audit found that 100% of the contracts were executed after the contract term began. This finding has been a prevalent issue throughout County departments and contract agencies that we have audited and reported on. It is recommended that the County Administration and the County Attorney's Office make timely contract execution a priority as to minimize risk to both the County and the contractors.

We found that the MIG Program Shared Services Reserve Fund account holding appropriated funds for projects spanning multiple fiscal years was \$1,051,605 higher than the open project listing as of December 31, 2022. We recommend this account be reconciled as of December 31, 2023, with the remaining funds being either liquidated or reallocated.

Our office also recommends Planning create a written MIG Program Process & Procedure manual to ensure consistency and proper administration of the awarded programs. This includes any exceptions to existing County policies that do not align with the MIG Program process. We also recommend an annual detailed reconciliation of the Reserve Account to ensure proper balances for each project and a project completion signoff checklist. Other detailed recommendations can be found in our report.

We thank Commissioner Wrafter and his staff for their assistance in accumulating the required documentation to conduct this audit.

Respectfully submitted,

Allais

Robin L. Lois

**Dutchess County Comptroller** 

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# **Background/Overview**

The Municipal Investment Grant (MIG) Program, formerly known as the Municipal Innovation Grant Program & Municipal Consolidation & Shared Services Grant Program, is administered and directed by the Department of Planning & Development. Established in 2013, its primary aim is to "provide financial incentives to improve collaboration between the County and local government entities through consolidation of services, regional delivery of services, and the elimination of duplicative layers of government ultimately producing smaller, smarter government that yields savings for taxpayers." Over the years, the program's focus has adapted to meet the needs and priorities of County and local government entities.

Local government entities within Dutchess County that are authorized to impose taxes, such as cities, towns, and villages, public authorities, as well as fire, library, and school districts, can apply for funds through the MIG program in a competitive manner. Projects that encourage collaboration among municipalities and other taxing authorities while ensuring cost savings for taxpayers are given special importance and consideration.

Dutchess County granted a total of 81 awards, amounting to \$8,255,819, for the audit period 2019-2022. A detailed summary of the MIG awards for each year is available in **Exhibit I**.

Year	Award
2019	\$1,223,983
2020	-
2021	2,036,717
2022	4,995,119
Total	\$8,255,819

The total amounts paid for the contracts reviewed in this audit for the years 2019, 2021, and 2022 were \$890,049, \$1,337,685, and \$1,506,230 respectively through December 1, 2023. A contract summary detailing the amounts paid can be found in **Exhibit II**.

# **Objectives & Scope**

This audit covered a review of the Municipal Investment Grant awards from January 1, 2019 to December 31, 2022. Our objective was to determine the following:

- Identify and accurately report County awards issued during the period of 2019-2022.
- Did the awarded applicants sampled meet the necessary eligibility criteria?
- Were the applicants sampled in compliance with the MIG program Policies and Procedures as well as contractual terms?
- Did the denied applications have proper justification?
- Review of Shared Services Special Reserve Account (Reserve Fund) activity.

<sup>&</sup>lt;sup>1</sup>2019 MUNICIPAL INNOVATION GRANT (MIG) PROGRAM APPLICATION GUIDANCE & INSTRUCTIONS (2019) Municipal Investment Grant. Available here.

### **Audit Criteria**

- Dutchess County Financial Records
- Contract Documents
- Dutchess County Contracted Services Policies and Procedures
- Dutchess County Procurement Policies and Procedures
- Application Guidance & Instructions Document for 2019-2022
- Submitted MIG Application Packets
- Community Development Advisory Committee (CDAC) Recommendations and Comments
- 2022 Municipal Investment Grant Reallocation Policy

# Methodology

The audit encompassed the following review processes for selected applicants based on projects completed and the dollar value of the award for each year:

- Analysis of application packages to ensure proper submission, adhering to the criteria outlined in the Application Guidance & Instructions document for each year.
- Evaluation of the CDAC system used to rank applications, and review of the recommendations and comments.
- Examination of approved award letters and verifying that all dates in the letter match, confirming that all
  entities were notified simultaneously.
- Review of rejected grant applications to determine basis for denial.
- Examination of County Contracts to assess timely execution and prompt submission of payment claims.
- Verification of compliance with the Dutchess County Contracted Services Policies and Procedures Guidelines.
- Review of unused current year budgeted funds transferred to the Reserve Fund account.
- Review of Resolutions allocating additional funding.
- Interview with Planning Department personnel handling the administration of the MIG program.

# **Program Overview**

The Department of Planning & Development opens the MIG application process in March each year, with the completed applications due in May. The Application Guidance & Instructions document, available on the Dutchess County Website, outlines the program goals each year. It includes a list of program objectives, priorities, timelines, and general application information that may vary from year to year.

Interested applicants can electronically submit their applications through the Dutchess County Grant Portal. The Community Development Advisory Committee (CDAC), consisting of 12 members appointed by the County Executive, reviews each grant application independently. They assess the quality of the work plan and consider the focus areas outlined in the Application Guidance & Instructions document.

The CDAC provides preliminary funding recommendations to the County Executive for consideration and final approval. Once reviewed, the County Executive announces the list of approved applications and sends out award or declination letters to each applicant.

The Department of Planning & Development initiates the contract process upon approval. Typically, projects are expected to be completed within 18 months to two years, but extensions are permitted if additional time is needed.

# **Summary of Findings & Recommendations**

#### General Findings:

- 100% of contracts were executed after the contract term began, causing project delays. It is noted that
  many delays were caused by delayed responses from entities. For a detailed overview of each contract,
  encompassing contract execution dates, percentage payments, and outstanding balances through December 1,
  2023, please refer to Exhibit IV.
- The current County Contracted Policies and Procedures does not exclude MIG programs from any process and therefore does not address all program needs specific to the MIG program: such as payment request timelines, reporting requirements, and project performance reviews.
- There is no written internal administrative process and procedures manual for MIG program administration.
- The Reserve Fund account balance has not been justified with the provision of a detailed annual reconciliation.
- The MIG funding request in Resolution No. 2023067 included three projects totaling \$343,388 that had
  previously been paid or partially paid to vendors and therefore should not have been included on the resolution
  request.
- The Reserve Fund account is overstated by \$1,051,605 as of December 31, 2022 when compared to the open project listing provided by the Department of Planning & Development.

#### Recommendations:

- The County Attorney's Office and the Department of Planning & Development should work with entities to ensure contracts and contract amendments are promptly executed.
- The Contracted Policies and Procedures should be revised to accommodate the MIG program, or it should have
  its own policy to address the program's specific needs in order to reduce confusion and improve efficiency. The
  Policies and Procedures should establish a realistic contractual payment schedule. This will help ensure that
  projects can be completed within a reasonable timeframe and avoid unnecessary delays.
- The Department of Planning & Development should create a written MIG Program Process and Procedure manual to ensure consistency and proper administration of the awarded programs, including appropriate use of the Reserve Fund.
- To ensure proper fund administration the Reserve Fund account should be reconciled annually including a detailed listing of open projects by year.
- Resolution requests should contain a substantive justification for the needed funds, including project details, to help ensure appropriate project funding.
- The Reserve Fund Account should be reconciled by the Department of Planning & Development in coordination
  with the Finance Department as of December 31, 2023, and the remaining funds be liquidated and or
  reallocated.

#### General Observations:

- 34% of the 29 sampled payments took more than two months to process by the Department.
- While project monitoring documentation was provided, regular and timely project updates from each entity was not evident.
- The current MIG Reallocation Policy does not require verification of available funds.
- Project completion signoff (including site visits) by the Department verifying all contract deliverables was not
  evident.

#### Observation Recommendations:

- A timeline and written process for the department and entities should be established to ensure timely submission and processing of payment claims. This will ensure smooth cash flow and maintain accuracy.
- The Department should request quarterly project updates from the entities and document the progress in a consolidated shared location for management review. Proper documentation of each project visitation and progression is essential for transparency and accountability.
- To ensure proper accounting of the Reserve Fund and available funds to reallocate, a detailed listing of available funds should be required upon reallocation request.
- · Project completions should have written Department verification that all contract deliverables have been met.

# **Program Review by Year**

### 2019 Program Initiative

In the 2019 budget, the Municipal Innovation Grant Program was allocated \$1.1 million. On December 9, 2019, an additional \$550,000 was reassigned from previously awarded unexpended funds due to underspending from the Reserve Fund under Resolution No. 2019305. The program received a total of 20 applications requesting \$2,319,876 in funds.

Out of the 20<sup>2</sup> applications, 12 projects received contracts, totaling \$1,155,958 in MIG grant awards. The remaining 8 applications were denied, each for distinct various reasons. A detailed list of the applicant's funding requests and contracted amounts can be found in **Exhibit III**.

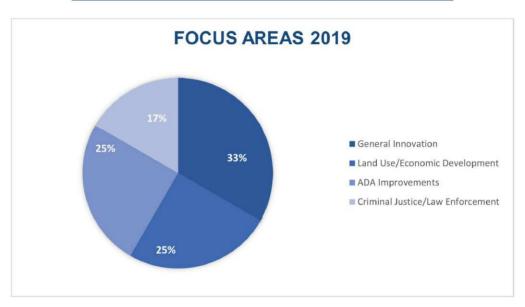
Starting in 2017, the Drug Task Force began receiving funds through the competitive application process while the Child Advocacy Center (CAC)-Juvenile Detective started receiving funds in 2018. Previously Shared Law Enforcement activities were part of the standard competitive application process but in 2019, the County chose to separate the funding for these activities to avoid competing with each other's needs. In 2022, a separate sub-account was established to specifically handle these activities. This change was made to provide more transparency and clarity in the funding allocation, while also addressing the distinct requirements of Shared Law Enforcement activities performed by the Drug Task Force and CAC.

In 2019, the funding prioritized projects related to Criminal Justice/Law Enforcement, Land Use/Economic Development, General Innovation, and Americans with Disabilities Act (ADA) improvements. Applications that aligned with these focuses were considered for approval. The table below illustrates the distribution of the 12 awarded projects among these focus areas. 33% of the awards were allocated to General Innovation, which consisted of entities that demonstrated best practices resulting in immediate savings, efficiencies, or an increase to the municipal tax base. Additionally, 25% of the projects were directed towards ADA Improvements, enhancing accessibility for residents living with disabilities, while another 25% supported Land Use or Economic Development projects. The Land Use or Economic Development projects aimed to assist communities in modernizing and streamlining their land use regulations and review processes. Lastly, 17% of the funding was allocated to projects addressing Criminal Justice or Law Enforcement.

<sup>&</sup>lt;sup>2</sup>Drug Task Force and Child Advocacy Center has been excluded from our reporting as it is not considered a MIG program.

# **Program Review by Year Cont.**

2019	
Focus Area	No. of Awards
General Innovation	4
Land Use/Economic Development	3
ADA Improvements	3
Criminal Justice/Law Enforcement	2
Total	12



# 2020 Program Initiative

As a result of the COVID-19 pandemic in 2020, the Municipal Investment Grant Program was put on hold due to the County's uncertain fiscal future. The Department of Planning & Development did not solicit any new applications.

# Program Review by Year Cont.

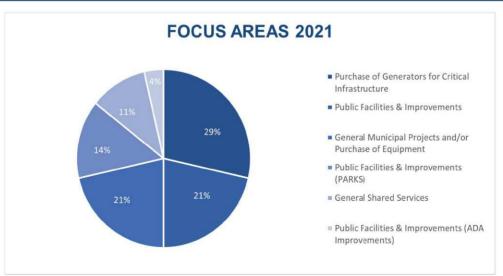
### 2021 Program Initiative Overview

In the 2021 budget, the Municipal Investment Grant Program was allocated \$1 million. Subsequently, in July, the Dutchess County Legislature approved the allocation of an additional \$1 million from the American Rescue Plan (ARP) funds, leading to the expansion of the MIG program's focus areas. The program received 30 applications requesting a total of \$2,092,219.

Of the 30³ applications, 28 projects received contracts, totaling \$2,036,717 in MIG grant funds. The Department of Planning & Development confirmed there was sufficient funding to cover all the applications and the CDAC found no serious flaws in any of the applications. A detailed list of the applicant's funding requests and contracted amounts can be found in **Exhibit III**.

In 2021, the MIG program broadened its focus areas due to the additional allocation of ARP funds. The table below illustrates the distribution of the 28 awarded projects across the new focus areas. As a result, 29% of the projects were for the purchase of generators, ensuring the functionality of critical municipal facilities during emergencies and severe weather events. Another 21% of the projects directed attention to general municipal projects, and/or for the purchase of heavy equipment or operating infrastructure geared towards optimizing overall municipal operations. An additional 21% was allocated to public facilities and improvements with 14% specifically focused on improving existing municipal parks. General Shared Services projects were at 11%, while 4% focused on projects that aimed at providing long term Americans with Disabilities Act (ADA) accessibility improvements at public facilities.

2021	
Focus Area	No. of Awards
Purchase of Generator for Critical Infrastructure	8
Public Facilities & Improvements	6
General Municipal Projects and/or Purchase of Equipment	6
Public Facilities & Improvements (Parks)	4
General Shared Services	3
Public Facilities & Improvements (ADA Improvements)	1
Total	28



<sup>&</sup>lt;sup>3</sup>The Town of Hyde Park's "Body Worn Cameras for Police Reform" was initially included in the list of applicants but was later funded separately through the Body Worn Cameras Shared Services Purchase Program as part of the Dutchess County Police Reform and Modernization Collaborative. Additionally, the Town of Dover submitted two applications for projects at J.H. Ketcham Memorial Park which were combined into one funding award.

# **Program Review by Year Cont.**

### 2022 Program Initiative Overview

In the 2022 budget, the Municipal Investment Grant Program received \$4 million in funds. An additional \$560,000 was appropriated to fulfill the award recommendations made by the CDAC and approved by the County Executive with Resolution No. 2022138 in August 2022. The MIG program received a total of 43 applications requesting \$5,488,729 in funds. Of the 43 applications, 414 projects received contracts, totaling \$4,995,119 in MIG grant funds.

An additional \$678,000 was reallocated from the Reserve Fund to cover the award recommendations shortfall.

Three applications were declined, including two not recommended by the CDAC due to concerns about redundancy with existing services and one deemed ineligible for not meeting the program guidelines. A detailed list of the applicant's funding requests and contracted amounts can be found in Exhibit III.

The funding priorities in 2022 focused on enhancing Fire and Rescue agencies to respond to crises effectively. The program also aimed to support projects that improve quality of life, foster community development, and lower local taxes for citizens by promoting the use of shared services. Based on the chart below, 63% of the funds were allocated to projects with the focus in Fire and Rescue. These projects effectively demonstrated their commitment to addressing critical health and safety issues and enhancing the agency's ability to respond to Fire and Rescue operations. Community and Development focus area constituted 27%, aimed at improving residents' quality of life while strengthening and developing communities. Projects included improvements in public facilities, planning and constructing new or expanding water and wastewater systems and environmental sustainability. The remaining 10% was allocated to the General Shared Services promoting efficiency and cost reduction for local municipalities.

2022	
Focus Area	No. of Awards
Fire and Rescue	26
Community Development	11
General Shared Services	4
Total	41



<sup>&</sup>lt;sup>4</sup>The 41 approved awards include the City of Poughkeepsie Mini Pitch project that was approved post announcement through the MIG program's reallocation policy.

### Reserve Fund Account

In 2017, the Dutchess County Legislature approved a request by the Department of Planning & Development to setup a Reserve Fund account as of December 31, 2016, "for the purpose of retaining funds to carry out the commitments of the Shared Services Grant Program (Program), thereby allowing the Department to carry out Program objectives which span multiple fiscal years." The Reserve Fund was intended to take the place of the annual encumbrance process undertaken by the Department in prior years. As of 12/31/22, the Reserve Fund account balance was \$5,773,015.

### Moving Unexpended Funds to the Reserve Fund Account

At the end of the fiscal year, as part of the closing process, any unused funds are transferred to the Reserve Fund for use in future years. Historically, the Department has processed very few payment requests for MIG projects in the same year in which they are awarded.

The chart below displays the balance of the budgeted unexpended funds from the MIG program that were transferred to the Reserve Fund account at the end of each year respectively.

Payment Date	Description	Amount
12/31/2019	2019 EOY MIG-Moving Funds to Reserve Account	\$345,161
12/31/2020	2020 EOY MIG-Moving Funds to Reserve Account	\$1,217,167
12/31/2021	2021 EOY MIG-Moving Funds to Reserve Account	\$1,385,504
12/31/2022	2022 EOY MIG-Moving Funds to Reserve Account	\$4,987,216

Moving Funds from the Reserve Fund Account to Municipal Investment Grant Program
As prior year projects are being paid in the current year the Department of Planning & Development requests those appropriated funds be transferred from the Reserve Fund to the Municipal Investment Grant Program to accommodate payment.

In 2019 and 2020 the Dutchess County Legislature approved transfers of \$550,000 (Resolution No. 2019305) and \$1,600,000 (Resolution No. 2020120) from the Reserve Fund Account to the Municipal Investment Grant Program. These transfers enabled the Department of Planning & Development to "fulfill its commitments within the program." The transfer from 2019 was to fund new projects awarded in 2019, and the 2020 transfer was allocated to cover projects from preceding years with payments in 2020.

In 2021, given the MIG Program's practice of awarding grants spanning multiple fiscal years, the program established the A.8020.4414.320 expense account "Shared Services Grant Program Prior Year Appropriated Reserves" account. This account is designed for allocating funds from prior fiscal periods separating expenses from current year payments, to facilitate reimbursement for projects whose funds have been transferred to the Reserve Fund. In 2021 and 2022 the Dutchess County Legislature authorized the transfer of \$1,600,000 (Resolution No. 2021132) and \$1,300,000 (Resolution No. 2022082) from the Reserve Fund account to the Shared Services Prior Year Reserve account. The resolutions allowed the Department of Planning & Development to carry out its prior year financial obligations within the MIG program.

### **Additional Funding Request**

On August 25, 2022, the Dutchess County Legislature adopted Resolution No. 2022138, authorizing the transfer of \$560,000 from the Appropriated Fund Balance General account to the Municipal Investment Grant program. This decision was prompted by the need to accommodate many projects recommended for funding by the Community Development Advisory Committee (CDAC) and approved by the County Executive, which exceeded the original budgeted amount.

# **Application Review**

For this audit we examined the applications for 29 of the total approved projects. Each application adhered to all standards required by the Department of Planning, which included a completed application, a MIG Outcome report template, a Project Budget form, and a MIG Timeline form.

#### Observations Regarding the Process of Awarding Projects

- The CDAC's system for ranking applications was inconsistent during our scope of review and lacked both transparency and written procedures.
- In 2019, the CDAC did not recommend providing \$50,000 in funding for the Town of Beekman. However, in the final review the County Executive opted to allocate funds from the Reserve Fund to support this project. Similarly, in 2022, the CDAC did not recommend funding for the Millbrook Fire Department's \$200,000 Training Facility funding request or the \$63,170 Pawling Fire District's Intermunicipal Fire Training Facility funding request, citing concerns about redundancy with existing services. However, the County Executive decided to ultimately fund both projects not originally recommended by the CDAC. The decision to fund these projects is not documented.
- There were inadequate explanations for projects that were declined within the declination letters.
- In 2021, the CDAC recommended two MIG projects that fell under the \$25,000 minimum grant funding request amount noted in the focus area of the Application Guidance & Instructions document. Upon questioning, the Department responded that the projects were approved for funding "due to sufficient funding available from the American Rescue Plan Act."
- The 2022 program funding included an additional \$678,000 in reallocated funds that had no detail explaining where and why the funds were available.

#### Recommendations

- Implement a standardized grading system for project applications (by program year if necessary) to ensure consistency and fairness in the selection process.
- The MIG policy should explain the process by which the County Executive can override the CDAC's recommendations.
- Reasons for project denials should be clearly documented with the accompaniment of denial letters. This will
  provide applicants with an understanding of why their projects were not selected and how they might apply
  again in the future while protecting the County with proper available records.
- The program should strive to adhere to project requirements to ensure a fair system of fund distribution.
- To promote transparency, accountability, and clarity reallocation of funds should be demonstrated with detailed backup of available funds for allocation and approvals when necessary.

## **Project Claims & Completion**

#### Payment Findings

- There is no written exclusion(s) in the County Contracted Services Policies & Procedures for MIG Programs.
  - The Application Guidance & Instruction document indicates that "organizations awarded funding will be required to submit regular payments and progress reports as outlined in the Contracted Services and Policies and Procedures or as stated in the agreement." Section 5, part A of the Policies and Procedures specifies that "organizations are to submit progress reports/payment requests to the department at least quarterly, within 45 calendar days after the end of each quarter." Additionally, the payment section of each contract agreement states that, "payment shall be made to Municipality upon submission of quarterly statements." During our review it was discovered that not all projects were adhering to this requirement between 2019 and 2022. We sampled 21 contract payment claims, of which 14 claimaints submitted their initial payment request more than three months after the contract's execution date. Of these 14 payment claims, 4 of them took longer than one year to submit their first payment request. Upon inquiring further regarding the Department's reporting requirement, the Department explained that the, "45 calendar days submission requirement is not applicable for MIG-funded projects, entities funded through the MIG program have projects that span multiple years; therefore, these project expenses often do not occur on a quarterly basis and payment requests are not required to be submitted quarterly."
  - Project Outcome Progress reports are not required by the Department as dictated by the Contracted Services Policy, the MIG program Application Guidance & Instruction document, and the individual contract terms.

#### Recommendations:

- The County Contracted Services Policies and Procedures language should specifically exclude MIGfunded projects from being required to submit payments on a quarterly basis. However, the contract agreement or the Application Guidance & Instructions document requirements should dictate claiming process and timing.
- Project Outcome Reports should be considered mandatory when claims are submitted to demonstrate the status and percentage completion of the project.

#### Observations:

- Most projects have a completion window of 18 months to two years; however, 55% of the total projects from 2019-2022 remain incomplete.
- There are projects that contracted for higher amounts but only claimed part of the award.
- The completion rate for projects from 2019 stands at 92%. There is one project with no payment activity or payment requests in Dutchess County's financial system.
- Out of the projects awarded in 2021, 50% of the projects have been finalized, leaving 50% unfinished.
- 27% of the projects from 2022 have been completed, 73% remain unfinished.
- Two projects awarded MIG grants in 2021 rescinded their award in 2022. The Town of Poughkeepsie, Wastewater Treatment Plant Odor Control project, and the Town of Pine Plains, Wastewater District Formation project.
- In 2022, **\$240,000** of available funds from the Municipal Investment Grant Program (expense line A.8020.4414) were transferred through Budget approval for the need to support the Historical Sites & Museums Infrastructure grant program **(\$200,000)** and **(\$40,000)** to fund a temporary Community Investment Program Specialist Position.

# **Exhibit I: Detailed Summary of MIG Awards**

Lead Applicant	Project Name	2019	2021	2022
Amenia Fire District #1	High Pressure Breathing Air Compressor System			\$74,767
Arlington Fire District	Special Operations and Technical Rescue Team Training and Equipment Enhancement			300,000
Beekman Fire District	LUCAS Device			19,000
Chelsea Fire District	Heavy Vehicle Extrication Kit			70,000
City of Beacon Fire Dept.	SCBA and Fire Rescue Protective Gear			89,387
City of Poughkeepsie	Crisis Intervention Training (CIT) Phase IV	277,149		
City of Poughkeepsie	Mini Pitch			50,000
City of Poughkeepsie	Streamlining Land Use and Approval Process Study & Implementation	30,000		
City of Poughkeepsie	Upgrades to Mutual Aid Emergency Response Equipment		40,600	
City of Poughkeepsie Fire Dept.	UTV and Storage Shed for Emergency Response			46,000
Fairview Fire District	Enhance Emergency Response Efforts and Access to Trails, Railways, and Parks			70,000
Hughsonville Fire Dept.	Health and Safety Equipment Upgrades			265,584
Hyde Park Fire Dept.	Regional Trail Security and Emergency Response Initiative			94,506
J.H. Ketcham Hose Company	Fire and Rescue UTV and Transport Trailer			40,469
Lagrange Fire District	Cancer Prevention			43,695
Milan Fire Dept.	Critical Upgrades to Lifesaving Tools and Equipment for First Responders			125,354
Millbrook Fire Dept.	Permanent Training Facility			200,000
New Hamburg Fire District	Personal Protective Equipment for Firefighters			92,420
North East Fire District	Fire Rescue Personal Protective Equipment			94,996
Pawling Fire Dept.	Intermunicipal Fire Training Facility			63,170
Pine Plains Fire District	UTV and In-House SCBA Filling Station			107,000
Pleasant Valley Fire District	Fire Mill Pump Upgrade			300,000
Rombout Fire District	Replacement of Aging SCBA Units to Improve Rescue Operations			294,863
Roosevelt Fire District	Firefighting Water Supply Project			182,903
Tivoli Fire Company	Tivoli Firehouse Capital Expansion			300,000
Town of Amenia	Critical Upgrades to Lifesaving Tools		35,000	
Town of Beekman	Town Hall Accessibility Front	50,000		
Town of Beekman	Dalton Farms Water and Wastewater Expansion Feasibility Study		40,000	
Town of Beekman	Emergency Generator for Government Operations		50,000	

# **Exhibit I: Detailed Summary of MIG Awards**

Lead Applicant	Project Name	2019	2021	2022
Town of Dover	Improve Accessibility by Adding Automated Door Openers on Municipal Buildings	\$14,600		
Town of Dover	JH Ketcham Memorial Park Community Center Pavilion, Sensory Garden and Inclusive Transformation of the Children's Playground.		75,000	
Town of Dover	Senior Recreation Center and Town Library Access Improvement			40,000
Town of East Fishkill	Emergency Generator for Heating & Cooling Center		50,000	
Town of East Fishkill	Pocket Parks for Intersection of Route 82 & The Empire State Rail Trail		76,800	
Town of East Fishkill	New World Police Software Shared Service Project			150,000
Town of Fishkill	Emergency Generator for Fire Station		25,000	
Town of Hyde Park	New World Police Software Shared Service Project	187,000		
Town of Hyde Park	Hackett Hill Park Inclusive Playground		170,000	
Town of Hyde Park	Explosive Detection and Tracking K-9 Unit			92,900
Town of LaGrange	Freedom Park Accessible Restroom Facility	48,234		
Town of Milan	Streamlining the Land Use and Approval Process Study & Implementation	25,000		
Town of Milan	Four in One Baseball Field		70,000	
Town of North East	Shared Highway Garage & Fuel Storage Facility Phase II	327,000		
Town of North East	Shared Highway Garage – Phase III		250,000	
Town of North East	Shared Highway Garage Fencing			141,800
Town of Pawling	Lathrop Building Cooling Center/Emergency Shelter Standby Power Generator		50,000	
Town of Pawling	Water District No. 2 Water Main and Storage Improvements			97,000
Town of Pine Plains	Central Septic Feasibility Study	35,000		
Town of Pine Plains*	Wastewater District Formation		32,600	
Town of Pine Plains	All Terrain Wheelchairs for Town Parks and Trails		7,530	
Town of Pine Plains	Stissing Lake Beach Park Wheelchair Accessible Playground		70,000	
Town of Pine Plains	Girls Softball Dugouts and Lighting			50,000
Town of Pleasant Valley	Accessible and Sustainable Restrooms to Enhance Town Parks			100,000
Town of Poughkeepsie	Intermunicipal Water Storage	100,000		
Town of Poughkeepsie	Streamlining the Land Use and Approval Process Study & Implementation	25,000		
Town of Poughkeepsie*	Wastewater Treatment Plant Odor Control		129,015	
Town of Poughkeepsie	Joint Water Meter Replacement		147,400	
Town of Poughkeepsie	Leaf Vacuum		45,000	
Town of Poughkeepsie	Police Department IT Improvements		45,000	
Town of Poughkeepsie	Portable Emergency Generators with Light Towers		31,000	

<sup>\*</sup>Town of Pine Plains and Town of Poughkeepsie rescinded their 2021 MIG awards.

# **Exhibit I: Detailed Summary of MIG Awards**

Lead Applicant	Project Name	2019	2021	2022
Town of Red Hook	Town Hall and Community Center Generators		\$50,000	
Town of Red Hook	St. Margaret's Cultural Center—ADA Accessibility Improvements		47,136	
Town of Red Hook	Recreation Park Connection			100,000
Town of Stanford	Replacement of Swimming Dock System			100,000
Town of Union Vale	Godfrey Park Playground Remodel			100,000
Town of Wappinger	Reese Cultural Center Infrastructure Improvements		50,000	
Union Vale Fire District	Battery Operated Extrication Equipment			85,567
Village of Fishkill Fire Dept.	Fire Rescue Equipment Upgrade			108,117
Village of Millerton	Eddie Collins Memorial Park Revitalization—Phase I		328,000	
Village of Millerton	Wastewater Collection and Treatment System Preliminary Design			200,000
Village of Pawling	Expansion of Water Infrastructure			200,000
Village of Red Hook	IT Upgrades for Police Department		17,881	
Village of Red Hook	Language Access Plan			42,755
Village of Rhinebeck	Police Department Equipment		28,755	
Village of Rhinebeck	Intermunicipal Resource Consolidation and ADA Accessibility			147,000
Village of Tivoli	Sewer System Generator		50,000	
Village of Tivoli	Village Hall Generator		25,000	
Village of Tivoli	ADA Compliant Improvements to Firehouse			100,000
Village of Wappingers Falls	Tri-Municipal Wastewater Treatment Upgrades	105,000		
Village of Wappingers Falls Fire Dept.	Improve Efficiency and Safety of Emergency Services			50,400
West Clinton Fire District	Fire and Rescue UTV and Equipment			165,466
	Totals	\$1,223,983	\$2,036,717	\$4,995,119

# Exhibit II: Contract Summary Paid Amounts by Year through December 1, 2023

Contract #	Lead Applicant	2019 Awards Paid through 12/1/23  Project Name	Amount Paid through 12/1/23
20-0445-12/20-PL	City of Poughkeepsie	Crisis Intervention Training (CIT) Phase IV	\$110,098
19-0635-6/21-PL	City of Poughkeepsie	Streamlining Land Use and Approval Process Study & Implementation	30,000
18-0712-3/20-PL	Town of Beekman	Town Hall Accessibility Front	50,000
19-0567-12/20 PLA	Town of Dover	Improve Accessibility by Adding Automated Door Openers on Municipal Buildings	14,600
19-0585-1/21-PL	Town of Hyde Park	New World Police Software Shared Service Project	184,750
19-0607-1/21-PL	Town of Lagrange	Freedom Park Accessible Restroom	48,234
19-0635-6/21-PL	Town of Milan	Streamlining Land Use and Approval Process Study & Implementation	25,000
19-0573-1/21/PL	Town of North East	Shared Highway Garage & Fuel Storage Facility - Phase II	327,000
19-0625-1/21-PL	Town of Pine Plains	Central Septic Feasibility Study	35,000
19-0635-6/21-PL	Town of Poughkeepsie	Streamlining Land Use and Approval Process Study & Implementation	25,000
19-0566-1/21-PL-A1	Town of Poughkeepsie	Intermunicipal Water Storage	40.368
13-0300-1/21-1 2-1(1	lowir of F ougrikeepsie	Tot	
		2021 Awards Paid through 12/1/23	
Contract #	Lead Applicant	Project Name	Amount Paid through 12/1/23
21-0536-1/23-PL-A1	Amenia Fire District 1	Critical Upgraded Lifesaving Tools	34,780
21-0592-1/23-PL	City of Poughkeepsie	Upgrades to Mutual aid Emergency Response Equipment	40,600
21-0591-1/23-PL	Town of Dover	J.H. Ketcham Memorial Park Community Center Pavilion, Sensory Garden and Inclusive Transformation of the Children's Playground	50,000
21-0524-1/23-PL-A1	Town of Hyde Park	Hackett Hill Park Inclusive Playground	170,000
21-0593-1/23-PL	Town of Milan	Four in One Baseball Field	70,000
21-0540-1/23-PL	Town of North East	Shared Highway Garage - Phase III	225,000
21-0600-1/23-PL	Town of Pawling	Lathrop Building Cooling Center/Emergency Shelter Standby Power Generator	50,000
21-0543-1/23-PL	Town of Pine Plains	All-Terrain Wheelchairs for Town Parks and Trails	7,530
21-0546-1/23-PL	Town of Poughkeepsie	Joint Water Meter Replacement	147,400
21-0547-12/23-PL	Town of Poughkeepsie	Leaf Vacuum	45,000
21-0548-1/23-PL	Town of Poughkeepsie	Police Department IT Improvements	45,000
21-0549-1/23-PL	Town of Poughkeepsie	Portable Emergency Generators with Light Towers	27,739
21-0587-1/23-PL	Town of Red Hook	Town Hall and Community Center Generators	50,000
21-0553-1/23-PL	Village of Millerton	Eddie Collins Memorial Park Revitalization - Phase I	328,000
21-0554-1/23-PL	Village of Red Hook	IT Upgrades for Police Department	17,88
		The second secon	
21-0534-1/23-PL	Village of Rhinebeck	Police Department Equipment	28,755

# Exhibit II: Contract Summary Paid Amounts by Year through December 1, 2023

2022 Awards Paid through 12/1/23				
Contract #	Lead Applicant	Project Name	Amount Paid through 12/1/23	
22-0700-9/24-PL	Amenia Fire District #1	High Pressure Breathing Air Compressor System	\$74,767	
22-0717-9/24-PL	Arlington Fire District	Special Operations and Technical Rescue Team Training and Equipment Enhancement	19,270	
22-0604-9/24-PL	Beekman Fire District	LUCAS Device	18,835	
22-0603-9/23-PL	City of Poughkeepsie	Mini Pitch	50,000	
22-0730-9/24-PL	Fairview Fire District	Enhance Emergency Response Efforts and Access to Trails, Railways, and Parks	51,348	
22-0689-9/24-PL	Hyde Park Fire District	Regional Trail Security and Emergency Response Initiative	70,232	
22-0614-9/24-PL	J.H. Ketcham Hose Company, Inc.	Fire and Rescue UTV and Transport Trailer	40,469	
22-0687-9/24-PL	Lagrange Fire District	Cancer Prevention	43,695	
22-0731-9/24-PL	New Hamburg Fire District	Personal Protective Equipment for Firefighters	76,692	
22-0716-9/26-PL	North East Fire District	Fire Rescue Personal Protective Equipment	63,882	
22-0704-9/24-PL	Pawling Fire District	Intermunicipal Fire Training Facility	38,905	
22-0702-9/24-PL	Rombout Fire District	Replacement of Aging SCBA Units to Improve Rescue Operations	294,863	
22-0598-9/24-PL	Town of Dover	Senior Recreation Center and Town Library Access Improvement	40,000	
22-0719-9/24-PL	Town of East Fishkill	New World Police Software Shared Service Project	93,147	
22-0662-9/24-PL	Town of Hyde Park	Explosive Detection and Tracking K-9 Unit	92,900	
22-0611-9/23-PL	Union Vale Fire District	Battery-Operated Extrication Equipment	85,567	
22-0695-9/24-PL	Village of Fishkill Fire Department	Fire Rescue Equipment Upgrade	108,117	
22-0721-9/24-PL	Village of Rhinebeck	Intermunicipal Resource Consolidation and ADA Accessibility	67,148	
22-0608-9/24-PL	Village of Wappingers Falls Fire Dept.	Improve Efficiency and Safety of Emergency Services	50,341	
22-0720-9/24-PL	West Clinton Fire District	Fire and Rescue UTV and Equipment	126,052	
		Tota	l \$1,506,230	

	2019		
Lead Applicant	Project Name	Funding Requested	<b>Contracted Amount</b>
City of Poughkeepsie	Crisis Intervention Training (CIT) Phase IV	\$277,149	\$209,124
City of Poughkeepsie	Streamlining Land Use and Approval Process Study & Implementation	30,000	30,000
Town of Beekman	Town Hall Accessibility Front	50,000	50,000
Town of Dover	Improve Accessibility by Adding Automated Door Openers on Municipal Buildings	14,600	14,600
Town of Hyde Park	New World Police Software Shared Service Project	187,960	187,000
Town of LaGrange	Freedom Park Accessible Restroom Facility	48,234	48,234
Town of Milan	Streamlining Land Use and Approval Process Study & Implementation	25,000	25,000
Town of North East	Shared Highway Garage & Fuel Storage Facility Phase II	327,000	327,000
Town of Pine Plains	Central Septic Feasibility Study	35,000	35,000
Town of Poughkeepsie	Intermunicipal Water Storage	100,000	100,000
Town of Poughkeepsie	Streamlining Land Use and Approval Process Study & Implementation	25,000	25,000
Village of Wappingers Falls	Tri-Municipal Wastewater Treatment Upgrades	195,643	105,000
Ineligible Projects		1,004,290	
	Totals	\$2,319,876	\$1,155,958

	2021		
Lead Applicant	Project Name	Funding Requested	Contracted Amount
City of Poughkeepsie	Upgrades to Mutual Aid Emergency Response Equipment	\$40,600	\$40,600
Town of Amenia	Critical Upgrades to Lifesaving Tools	35,000	35,000
Town of Beekman	Emergency Generator for Government Operations	50,500	50,000
Town of Beekman	Dalton Farms Water and Wastewater Expansion Feasibility Study	40,000	40,000
Town of Dover	J.H. Ketcham Memorial Park Community Center Pavilion, Sensory Garden, and Inclusive Transformation of the Children's Playground	75,000	75,000
Town of East Fishkill	Pocket Parks for Intersection of Route 82 & The Empire State Rail Trail	76,800	76,800
Town of East Fishkill	Emergency Generator for Heating & Cooling Center	50,000	50,000
Town of Fishkill	Emergency Generator for Fire Station	25,000	25,000
Town of Hyde Park	Hackett Hill Park Inclusive Playground	170,000	170,000
Town of Hyde Park*	Body Worn Cameras for Police Reform	50,000	
Town of Milan	Four in One Baseball Field	70,000	70,000
Town of North East	Shared Highway Garage – Phase III	250,000	250,000
Town of Pawling	Lathrop Building Cooling Center/Emergency Shelter Standby Power Generator	50,000	50,000
Town of Pine Plains	Stissing Lake Beach Park Wheelchair Accessible Playground	50,000	70,000
Town of Pine Plains	Wastewater District Formation	32,600	32,600
Town of Pine Plains	All Terrain Wheelchairs for Town Parks and Trails	7,530	7,530
Town of Poughkeepsie	Joint Water Meter Replacement	152,400	147,400
Town of Poughkeepsie	Wastewater Treatment Plant Odor Control	134,015	129,01
Town of Poughkeepsie	Leaf Vacuum	50,000	45,000
Town of Poughkeepsie	Police Department IT Improvements	50,000	45,000
Town of Poughkeepsie	Portable Emergency Generators with Light Towers	36,000	31,000
Town of Red Hook	Town Hall and Community Center Generators	50,000	50,000
Town of Red Hook	St. Margaret's Cultural Center—ADA Accessibility Improvements	47,136	47,136
Town of Wappinger	Reese Cultural Center Infrastructure Improvements	50,000	50,000
Village of Millerton	Eddie Collins Memorial Park Revitalization—Phase I	328,000	328,000
Village of Red Hook	IT Upgrades for Police Department	17,881	17,88
Village of Rhinebeck	Police Department Equipment	28,755	28,75
Village of Tivoli	Sewer System Generator	50,000	50,000
Village of Tivoli	Village Hall Generator	25,000	25,000
	Totals	\$2,092,219	\$2,036,717

<sup>\*</sup>Town of Hyde Park - Body Worn Camera Project was considered outside of the MIG program.

	2022		
Lead Applicant	Project Name	Funding Requested	<b>Contracted Amount</b>
Amenia Fire District #1	High Pressure Breathing Air Compressor System	\$74,767	\$74,767
Arlington Fire District	Special Operations and Technical Rescue Team Training and Equipment Enhancement	300,000	300,000
Beekman Fire District	LUCAS Device	25,000	19,000
Chelsea Fire District	Heavy Vehicle Extrication Kit	70,000	70,000
City of Beacon Fire Department	SCBA and Fire Rescue Protective Gear	89,387	89,387
City of Poughkeepsie*	Mini Pitch	-	50,000
City of Poughkeepsie Fire Department	UTV and Storage Shed for Emergency Response	46,000	46,000
Fairview Fire District	Enhance Emergency Response Efforts and Access to Trails, Railways, and Parks	70,000	70,000
Hughsonville Fire Department	Health and Safety Equipment Upgrades	266,959	265,584
Hyde Park Fire Department	Regional Trail Security and Emergency Response Initiative	94,506	94,506
J.H. Ketcham Hose Company	Fire and Rescue UTV and Transport Trailer	40,469	40,469
Lagrange Fire District	Cancer Prevention	43,695	43,695
Milan Fire Department	Critical Upgrades to Lifesaving Tools and Equipment for First Responders	125,354	125,354
Millbrook Fire Department	Permanent Training Facility	200,000	200,000
New Hamburg Fire District	Personal Protective Equipment for Firefighters	92,420	92,420
North East Fire District	Fire Rescue Personal Protective Equipment	94,996	94,996
Pawling Fire Department	Intermunicipal Fire Training Facility	66,170	63,170
Pine Plains Fire District	UTV and In-House SCBA Filling Station	107,000	107,000
Pleasant Valley Fire District	Fire Mill Pump Upgrade	300,000	300,000
Rombout Fire District	Replacement of Aging SCBA Units to Improve Rescue Operations	294,863	294,863

<sup>\*</sup>City of Poughkeepsie's Mini Pitch Project was supported through the MIG reallocation policy.

	2022 Cont.		
Lead Applicant	Project Name	Funding Requested	<b>Contracted Amount</b>
Roosevelt Fire District	Firefighting Water Supply Project	\$182,903	\$182,903
Tivoli Fire Company	Tivoli Firehouse Capital Expansion	300,000	300,000
Town of Dover	Senior Recreation Center and Town Library Access Improvement	40,000	40,000
Town of East Fishkill	New World Police Software Shared Service Project	303,532	150,000
Town of Hyde Park	Explosive Detection and Tracking K-9 Unit	92,900	92,900
Town of North East	Shared Highway Garage Fencing	141,800	141,800
Town of Pawling	Water District No. 2 Water Main and Storage Improvements	100,000	97,000
Town of Pine Plains	Girls Softball Dugouts and Lighting	50,000	50,000
Town of Pleasant Valley	Accessible and Sustainable Restrooms to Enhance Town Parks	181,000	100,000
Town of Red Hook	Recreation Park Connection	100,000	100,000
Town of Stanford	Replacement of Swimming Dock System	100,000	100,000
Town of Union Vale	Godfrey Park Playground Remodel	100,000	100,000
Union Vale Fire District	Battery Operated Extrication Equipment	85,567	85,567
Village of Fishkill Fire Dept.	Fire Rescue Equipment Upgrade	110,730	108,117
Village of Millerton	Wastewater Collection and Treatment System Preliminary Design	200,000	200,000
Village of Pawling	Expansion of Water Infrastructure	200,000	200,000
Village of Red Hook	Language Access Plan	42,755	42,755
Village of Rhinebeck	Intermunicipal Resource Consolidation and ADA Accessibility	175,500	147,000
Village of Tivoli	ADA Compliant Improvements to Firehouse	100,000	100,000
Village of Wappingers Falls Fire Dept.	Improve Efficiency and Safety of Emergency Services	76,400	50,400
West Clinton Fire District	Fire and Rescue UTV and Equipment	165,466	165,466
Ineligible Projects		238,590	
	Totals	\$5,488,729	\$4,995,119

# **Exhibit IV: Contract Detail of Execution and Contract Balances**

2019							·
Contract #	Lead Applicant	Project	Term Dates	Contract Executed	Contract Amount	% Paid	Balance
19-0635-6/21-PL	City of Poughkeepsie	Streamlining Land Use and Approval Process Study & Implementation	12/1/2019-6/1/2021	4/27/2020	\$30,000	100%	\$-
20-0445-12/20-PL	City of Poughkeepsie*	Crisis Intervention Training (CIT) Phase IV	1/1/2020-12/31/2020	10/19/2020	\$209,124	53%	\$99,026
18-0712-3/20-PL	Town of Beekman	Improve Accessibility by Adding Automated Door Openers on Municipal Buildings	10/1/2018-3/31/2020, A1 extended to 12/31/2020, A2 extended 1/1/2021-12/31/2021, A3 extended 1/1/2022-12/31/2022, A4 extended to 1/1/2023-12/31/2023	3/5/2019	\$50,000	100%	\$-
19-0567-12/20-PL	Town of Dover	Improved Municipal Accessibility	8/1/2019-12/31/2020, A1 extended to 1/1/2021- 1/31/2021	11/21/2019	\$14,600	100%	\$-
19-0585-1/21-PL	Town of Hyde Park*	New World Police Software Shared Service Project	8/1/2019-1/31/2021, A1 extended to 2/1/2021- 12/31/2021	12/24/2019	\$187,000	99%	\$2,250
19-0607-1/21-PL	Town of Lagrange	Freedom Park Accessible Restroom Facility	8/1/2019-1/31/2021	1/31/2020	\$48,234	100%	\$-
19-0635-6/21-PL	Town of Milan	Streamlining Land Use and Approval Process Study & Implementation	12/1/2019-6/1/2021	4/27/2020	\$25,000	100%	\$-
19-0573-1/21/-PL	Town of North East	Shared Highway Garage & Fuel Storage facility Phase II	8/1/2019-1/31/2021	12/3/2019	\$327,000	100%	\$-
19-0625-1/21-PL	Town of Pine Plains	Central Septic Feasibility Study	8/1/2019-1/31/2021, A1 Extended to 2/1/2021- 12/31/2021	3/6/2020	\$35,000	100%	\$-
19-0635-6/21-PL	Town of Poughkeepsie	Streamlining Land Use and Approval Process Study & Implementation	12/1/2019-6/1/2021	4/27/2020	\$25,000	100%	\$-
19-0566-1/21-PL	Town of Poughkeepsie*	Intermunicipal Water Storage	8/1/2019-1/31/2021, A1 extended to 2/1/2021- 9/1/2021, A2 extended to 9/2/2021- 12/31/2021	11/21/2019	\$100,000	100%	\$59,632
19-0640-9/21-PL	Village of Wappingers Falls	Tri-Municipal Wastewater Treatment Upgrades	7/1/2019-9/30/2021, A1 extended 10/1/2021- 12/31/2023	10/6/2020	\$105,000	0%	\$105,000

<sup>\*</sup>Balance transferred to the MIG Reserve account.

**Exhibit IV: Contract Detail of Execution and Contract Balances** 

2021							
Contract #	Lead Applicant	Project	Term Dates	Contract Executed	Contract Amount	% Paid	Balance
21-0536-1/23-PL	Amenia Fire District #1*	Critical Upgrades to Lifesaving Tools	8/1/2021-1/31/2023	11/23/2021	\$35,000	100%	\$220
21-0592-1/23-PL	City of Poughkeepsie	Upgrades to Mutual aid Emergency Response Equipment	8/1/2021-1/31/2023, A1 extended to 2/1/2023- 1/31/2024	12/10/2021	\$40,600	100%	\$-
21-0537-1/23-PL	Town of Beekman	Dalton Farms Water and Wastewater Expansion Feasibility Study	8/1/21-1/31/2023, A1 extended to 2/1/2023-1/31/2024	11/23/2021	\$40,000	0%	\$40,000
21-0538-1/23-PL	Town of Beekman	Emergency Generator for Government Operations	8/1/2021-1/31/2023, A1 extended to 2/1/2023- 1/31/2024	11/23/2021	\$50,000	0%	\$50,000
21-0591-1/23-PL	Town of Dover	JH Ketcham Memorial Park Community Center Pavilion, Garden and Children's Playground.	8/1/2021-1/31/2023, A1 extended to 2/1/2023- 1/31/2024	12/10/2021	\$75,000	67%	\$25,000
21-0601-1/23-PL	Town of East Fishkill	Emergency Generator for Heating & Cooling Center	8/1/2021-1/31/2023, A1 extended to 2/1/2023- 1/31/2025	12/10/2021	\$50,000	0%	\$50,000
21-0599-1/23-PL	Town of East Fishkill	Pocket Parks for Intersection of Route 82 & The Empire State Rail Trail	8/1/2021-1/31/2023, A1 Extended to 2/1/2023- 1/31/2024	12/10/2021	\$76,800	0%	\$76,800
21-0752-1/23-PL	Town of Fishkill	Emergency Generator for Fire Station	8/1/2021-1/31/2023, A1 extended to 2/1/2023- 1/31/2025	3/24/2022	\$25,000	0%	\$25,000
21-0524-1/23-PL	Town of Hyde Park	Hackett Hill Park Inclusive Playground	8/1/2021-1/31/2023, A1 extended to 2/1/2023- 1/31/2024	11/15/2021	\$170,000	100%	\$-
21-0593-1/23-PL	Town of Milan	Four in One Baseball field	8/1/2021-1/31/2023	12/10/2021	\$70,000	100%	\$-
21-0540-1/23-PL	Town of North East	Shared Highway Garage - Phase III	8/1/2021-1/31/2023, A1 extended to 2/1/2023- 12/31/2023	11/23/2021	\$250,000	90%	\$25,000
21-0600-1/23-PL	Town of Pawling	Lathrop Building Cooling Center/Emergency Shelter Standby Power Generator	8/1/2021-1/31/2023, A1 extended to 2/1/2023- 1/31/2024	12/10/2021	\$50,000	100%	\$-
21-0544-1/23-PL	Town of Pine Plains	Stissing Lake Beach Park Wheelchair Accessible Playground	8/1/2021-1/31/2023, A1 extended to 2/1/2023- 12/31/2023	11/23/2021	\$70,000	0%	\$70,000
21-0543-1/23-PL	Town of Pine Plains	All Terrain Wheelchairs for Town Parks and Trails	8/1/2021-1/31/2023	11/23/2021	\$7,530	100%	\$-
21-0542-1/23-PL	Town of Pine Plains**	Wastewater District Formation	8/1/2021-1/31/2023	11/23/2021	\$32,600	N/A	N/A

<sup>\*</sup>Balance transferred to the MIG Reserve account.

<sup>\*\*</sup>Town of Pine Plains - Wastewater District Formation rescinded their MIG award.

# **Exhibit IV: Contract Detail of Execution and Contract Balances**

2021 Cont.							
Contract #	Lead Applicant	Project	Term Dates	Contract Executed	Contract Amount	% Paid	Balance
21-0545-1/23-PL	Town of Poughkeepsie**	Wastewater Treatment Plant Odor Control	8/1/2021-1/31/2023	11/23/2021	\$129,015	N/A	N/A
21-0547-12/23-PL	Town of Poughkeepsie	Leaf Vacuum	8/1/2021-1/31/2023	11/23/2021	\$45,000	100%	\$-
21-0548-1/23-PL	Town of Poughkeepsie	Police Department IT Improvements	8/1/2021-1/31/2023, A1 extended to 2/11/2023-1/31/2024	11/23/2021	\$45,000	100%	\$-
21-0546-1/23-PL	Town of Poughkeepsie	Joint Water Meter Replacement	8/1/2021-1/31/2023, A1 extended to 2/1/2023- 6/30/2024	11/23/2021	\$147,400	100%	\$-
21-0549-1/23-PL	Town of Poughkeepsie	Portable Emergency Generators with Light Towers	8/1/2021-1/31/2023, A1 extended to 2/1/2023- 12/31/2023	11/23/2021	\$31,000	89%	\$3,261
21-0587-1/23-PL	Town of Red Hook	Town Hall and Community Center Generators	8/1/2021-1/31/2023, A1 extended to 2/1/2023- 1/31/2024	12/8/2021	\$50,000	100%	\$-
21-0588-1/23-PL	Town of Red Hook	St. Margaret's Cultural Center—ADA Accessibility Improvements	8/1/2021-1/31/2023, A1 extended to 2/1/2023- 1/31/2024	12/8/2021	\$47,136	0%	\$47,136
21-0597-1/23-PL	Town of Wappinger	Reese Cultural Center Infrastructure Improvements	8/1/2021-1/31/2023, A1 extended to 2/1/2023- 12/31/2023	12/10/2021	\$50,000	0%	\$50,000
21-0553-1/23-PL	Village of Millerton	Eddie Collins Memorial Park Revitalization -Phase I Project	8/1/2021-1/31/2023, A1 extended to 2/1/2023- 1/31/2024	11/23/2021	\$328,000	100%	\$-
21-0554-1/23-PL	Village of Red Hook	IT Upgrades for Police Department	8/1/2021-1/31/2023	11/23/2021	\$17,881	100%	\$-
21-0534-1/23-PL	Village of Rhinebeck	Police Department Equipment	8/1/2021-1/31/2023	11/23/2021	\$28,755	100%	\$-
21-0586-1/23-PL	Village of Tivoli	Sewer System Generator	8/1/2021-1/31/2023, A1 extended to 2/1/2023- 1/31/2026	12/8/2021	\$50,000	0%	\$50,000
21-0589-1/23-PL	Village of Tivoli	Village Hall Generator	8/1/2021-1/31/2023, A1 extended to 2/1/2023- 1/31/2024	12/8/2021	\$25,000	0%	\$25,000

<sup>\*\*</sup>Town of Poughkeepsie - Waste Water Treatment plant rescinded their MIG award.

# **Exhibit IV: Contract Detail of Execution and Contract Balances**

2022									
Contract #	Lead Applicant	Project	Term Dates	Contract Executed	Contract Amount	% Paid	Balance		
22-0700-9/24-PL	Amenia Fire District #1	High Pressure Breathing Air Compressor System	10/1/2022-9/30/2024	3/22/2023	\$74,767	100%	\$-		
22-0717-9/24-PL	Arlington Fire District	Special Operations and Technical Rescue Team Training and Equipment Enhancement	10/1/2022-9/30/2024	4/21/2023	\$300,000	6%	\$280,730		
22-0604-9/24-PL	Beekman Fire District*	LUCAS Device	10/1/2022-9/30/2024	12/23/2022	\$19,000	99%	\$165		
22-0727-9/24-PL	Chelsea Fire District	Heavy Vehicle Extrication Kit	10/1/2022-9/30/2024	5/10/2023	\$70,000	0%	\$70,000		
22-0670-9/24-PL	City of Beacon Fire Department	SCBA and Fire Rescue Protective Gear	10/1/2022-9/30/2024	2/16/2023	\$89,387	0%	\$89,387		
22-0703-9/24-PL	City of Poughkeepsie Fire Department	UTV and Storage Shed for Emergency Response	10/1/2022-9/30/2024	3/27/2023	\$46,000	0%	\$46,000		
22-0603-9/23-PL	City of Poughkeepsie	Mini Pitch	10/1/2022-9/30/2023 A1 extended 10/1/2022-9/30/2024	12/23/2022	\$50,000	100%	\$-		
22-0730-9/24-PL	Fairview Fire District	Enhance Emergency Response Efforts and Access to Trails, Railways, and Parks	10/1/2022-9/30/2024	5/30/2023	\$70,000	73%	\$18,652		
22-0722-9/24-PL	Hughsonville Fire Department	Health and Safety Equipment Upgrades	10/1/2022-9/30/2024	5/2/2023	\$265,584	0%	\$265,584		
22-0689-9/24-PL	Hyde Park Fire Department	Regional Trail Security and Emergency Response Initiative	10/1/2022-9/30/2024	3/10/2023	\$94,506	74%	\$24,274		
22-0614-9/24-PL	J.H. Ketcham Hose Company	Fire and Rescue UTV and Transport Trailer	10/1/2022-9/30/2024	12/23/2022	\$40,469	100%	\$-		
22-0687-9/24-PL	Lagrange Fire District	Cancer Prevention	10/1/2022-9/30/2024	3/10/2022	\$43,695	100%	\$-		
22-0728-9/24-PL	Milan Fire Department	Critical Upgrades to Lifesaving Tools and Equipment for First Responders	10/1/2022-9/30/2024	5/17/2023	\$125,354	0%	\$125,354		
22-0613-9/23-PL	Millbrook Fire Department	Permanent Training Facility	10/1/2022-9/30/2023 A1 to correct end date 9/30/2024	12/23/2022	\$200,000	0%	\$200,000		
22-0731-9/24-PL	New Hamburg Fire District	Personal Protective Equipment for Firefighters	10/1/2022-9/30/2024	5/30/2023	\$92,420	83%	\$15,728		
22-0716-9/26-PL	North East Fire District	Fire Rescue Personal Protective Equipment	10/1/2022-9/30/2024	4/21/2023	\$94,996	67%	\$31,114		
22-0704-9/24-PL	Pawling Fire Department	Intermunicipal Fire Training Facility	10/1/2022-9/30/2024	3/27/2023	\$63,170	62%	\$24,265		
22-0735-9/24-PL	Pine Plains Fire District	UTV and In-house SCBA Filling Station	10/1/2022-9/30/2024	6/9/2023	\$107,000	0%	\$107,000		

<sup>\*</sup>Balance transferred to the MIG Reserve account.

**Exhibit IV: Contract Detail of Execution and Contract Balances** 

2022 Cont.								
Contract #	Lead Applicant	Project	Term Dates	Contract Executed	Contract Amount	% Paid	Balance	
22-0684-9/24-PL	Pleasant Valley Fire District	Fire Mill Pump Upgrade	10/1/2022-9/30/2024	3/8/2023	\$300,000	0%	\$300,000	
22-0702-9/24-PL	Rombout Fire District	Replacement of Aging SCBA Units to Improve Rescue Operations	10/1/2022-9/30/2024	3/27/2023	\$294,863	100%	\$-	
22-0724-9/24-PL	Roosevelt Fire District	Firefighting Water Supply Project	10/1/2022-9/30/2024	5/2/2023	\$182,903	0%	\$182,903	
22-0607-9/24-PL	Tivoli Fire Company	Tivoli Firehouse Capital Expansion	10/1/2022-9/30/2024	12/23/2022	\$300,000	0%	\$300,000	
22-0598-9/24-PL	Town of Dover	Senior Recreation Center and Town Library Access Improvement	10/1/2022-9/30/2024	12/23/2022	\$40,000	100%	\$-	
22-0719-9/24-PL	Town of East Fishkill	New World Police Software Shared Service Project	10/1/2022-9/30/2024	5/2/2023	\$150,000	62%	\$56,853	
22-0662-9/24-PL	Town of Hyde Park	Explosive Detection and Tracking K-9 Unit	10/1/2022-9/30/2024	2/10/2023	\$92,900	100%	\$-	
22-0708-9/24-PL	Town of North East	Shared Highway Garage Fencing	10/1/2022-9/30/2024	3/28/2023	\$141,800	0%	\$141,800	
22-0736-8/24-PL	Town of Pawling	Water District No. 2 Water Main and Storage Improvements	10/1/2022-9/30/2024	6/28/2023	\$97,000	0%	\$97,000	
22-0709-9/24-PL	Town of Pine Plains	Girls Softball Dugouts and Lighting	10/1/2022-9/30/2024	3/28/2023	\$50,000	0%	\$50,000	
22-0669-6/24-PL	Town of Pleasant Valley	Accessible and Sustainable Restrooms to Enhance Town Parks	10/1/2022-9/30/2024	2/16/2023	\$100,000	0%	\$100,000	
22-0605-9/24-PL	Town of Red Hook	Recreation Park Connection	10/1/2022-9/30/2024	12/23/2022	\$100,000	0%	\$100,000	
22-0714-9/24-PL	Town of Stanford	Replacement of Swimming Dock System	10/1/2022-9/30/2024	4/3/2023	\$100,000	0%	\$100,000	
22-0739-9/24-PL	Town of Union Vale	Godfrey Park Playground Remodel	10/1/2022-9/30/2024	8/30/2023	\$100,000	0%	\$100,000	
22-0611-9/23-PL	Union Vale Fire District	Battery Operated Extrication Equipment	10/1/2022-9/30/2023, A1 to correct end date 9/30/2024	12/23/2022	\$85,567	100%	\$-	
22-0695-9/24-PL	Village of Fishkill Fire Department	Fire Rescue Equipment Upgrade	10/1/2022-9/30/2024	3/17/2023	\$108,117	100%	\$-	
22-0706-9/24-PL	Village of Millerton	Wastewater Collection and Treatment System Preliminary Design	10/1/2022-9/30/2024	3/27/2023	\$200,000	0%	\$200,000	
22-0713-9/24-PL	Village of Pawling	Expansion of Water Infrastructure	10/1/2022-9/30/2024	4/3/2023	\$200,000	0%	\$200,000	
22-0616-9/24-PL	Village of Red Hook	Language Access Plan	10/1/2022-9/30/2024	12/29/2022	\$42,755	0%	\$42,755	
22-0721-9/24-PL	Village of Rhinebeck	Intermunicipal Resource Consolidation and ADA Accessibility	10/1/2022-9/30/2024	5/2/2023	\$147,000	46%	\$79,852	
22-0685-9/24-PL	Village of Tivoli	ADA Compliant Improvements to Firehouse	10/1/2022-9/30/2024	3/9/2023	\$100,000	0%	\$100,000	
22-0608-9/24-PL	Village of Wappingers Falls Fire Dept.*	Improve Efficiency and Safety of Emergency Services	10/1/2022-9/30/2024	12/23/2022	\$50,400	100%	\$59	
22-0720-9/24-PL	West Clinton Fire District	Fire and Rescue UTV and Equipment	10/1/2022-9/30/2024	5/2/2023	\$165,466	76%	\$39,414	

<sup>\*</sup>Balance transferred to the MIG Reserve account.

# **Department Response: Planning & Development**

WILLIAM F.X. O'NEIL COUNTY EXECUTIVE



**EOIN WRAFTER, AICP** COMMISSIONER

#### **COUNTY OF DUTCHESS**

DEPARTMENT OF PLANNING & DEVELOPMENT

December 28, 2023

Robin L. Lois, Comptroller Office of the Comptroller **Dutchess County Office Building** 22 Market Street, 4th Floor Poughkeepsie, NY 12601

RE: 2019-2022 Municipal Investment Grant (MIG) Program Audit

Dear Comptroller Lois:

Our department has administered the MIG Program since its inception in 2013. Since then, the MIG Program has evolved and changed over the years to meet the needs and priorities of the County and our local communities. Our department has seen a significant increase in funding for our various grant programs, particularly within the period covered by this audit, resulting in a substantial increase in the number of contracts our department is responsible for administering. While we agree with many of the recommendations in your report which we plan to implement, there are several findings and observations that we would like to provide additional context:

#### **Summary of Findings & Recommendations**

- o Contract Execution: Our department communicates with the awarded entities to ensure contracts and contract amendments are promptly executed; however, it has been challenging at times to move contracts along due to delayed communications from awarded entities. Further, the Scope of Services and Budget for each contract need to be approved by the awarded entity before a contract can be processed. Additionally, if we do not receive the required documentation, such as updated insurance certificates from the entity, this can further cause delays.
- The Dutchess County Manual of Policies & Procedures for Contracted Services and the contract agreements will be updated to reflect the payment request submission guidelines, timing, and documentation required to verify project completion.
- We will work to create an internal process and procedures manual for MIG Program administration.

#### Reserve Fund Account

- Our department works with the Department of Finance to complete an annual reconciliation of the MIG Reserve account by maintaining a list of open, obligated projects by year which is compared to the total amount of funds available in the MIG Reserve account. That annual reconciliation informs our annual process for requesting funds for prior year projects and to determine if funds are available for reallocation. Our department is working to improve the existing reconciliation tracking process at the end of the 2023 process and beyond so that we have an annual project-level reconciliation.
- We will evaluate the reserve account balance once the 2023 reconciliation is complete to determine what appropriate actions should take place, such as reallocation to new projects or liquidation.
- In previous years, it was not required or requested for our department to submit backup documentation with a resolution request to move funds from the MIG Reserve account to the MIG Prior Year Appropriated Reserves account. Backup documentation will be included with resolution requests for this process moving forward.

# **Department Response: Planning & Development**

#### **Payment Processing**

Since 2020, we experienced a 350% increase in the number of grant-funded activities administered through our department. While we strive to complete all payment requests as timely as we can, that significant increase in volume has caused delays. Also, of the 34% included, a majority required staff to seek clarification and/or additional information from the entity before approval of their payment request could be made, leading to longer review and processing times.

#### **Project Monitoring**

 Our department maintains regular communication with awarded entities and maintains an internal project tracking sheet and project folders with documentation to record project updates. Our department will work on creating a project sign-off/verification document to be completed internally by staff that will accompany the final payment request.

#### **Application Review**

- The Community Development Advisory Committee (CDAC) reviews and scores applications within the Dutchess County Grant Portal. The CDAC evaluates applications based on several metrics while referring to the MIG Program Application Instructions & Guidance document and the Focus Areas for that given year. The CDAC uses that as the starting point for their evaluation and yearly determines how they will formulate their recommendations to the County Executive.
- The CDAC was formed by Executive Order as an advisory committee only, the Country Executive has the sole authority to make project funding decisions. The County Executive reviews the CDAC's funding recommendations but ultimately makes the final decision on project funding, which can result in awards that differ from the CDAC's recommendations.
- Often, the total amount of funding requested far exceeds the total amount of grant funding available. If an application does not score well and there is not enough funding available, the application will not be recommended for funding.

#### Project Claims & Completion

MIG-funded projects span multiple years and various factors can contribute to project delays, including the increasing cost of materials and equipment, delays in receiving equipment and supplies, changes in municipal and fire district leadership, changes in contractual service agreements, weather impacts, etc. These factors need to be considered when stating that 55% of total projects remain incomplete. Also, it should be noted that the 2022 projects have a contract end date of 9/30/2024. Further, the staff maintains constant communication with awarded entities to ensure projects are moving along; however, delays in project execution are unavoidable at times and out of the control of department staff overseeing the MIG-funded project.

Thank you for the opportunity to comment on the audit, as well as to work with your staff to improve our performance in the administration of the MIG Program.

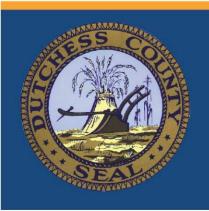
Sincerely,

Eoin Wrafter, AICP Commissioner

C: Julia Kammerer, Community Investment Program Coordinator

# Office of the Dutchess County Comptroller

Robin L. Lois, Comptroller



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# **AUDIT REPORT**

Hudson Valley Regional Airport 2019-2022



**DECEMBER 2023** 



OFFICE OF THE

DUTCHESS COUNTY COMPTROLLER

ROBIN L. LOIS, COMPTROLLER

# Office of the Comptroller Dutchess County 22 Market Street

Robin L. Lois Comptroller POUGHKEEPSIE, N.Y. 12601 (845) 486-2050

Fax (845) 486-2055 E-Mail: comptroller@dutchessny.gov Brian D. Kelly
Deputy Comptroller

Heli Shah Director of Audit

Dear County Officials and Taxpayers,

The Dutchess County Comptroller's Office has completed a follow-up audit report of the Hudson Valley Regional Airport ("the Airport") for the period January 1, 2019, to December 31, 2022. The objective of this audit was to review the financial condition of the Airport since our last report and determine if appropriate action has been taken in response to our recommendations.

Our 2019 review reported that the Airport had made progress over the last six years going from their highest net loss in 2012 of (\$631,591) to a slight profit in 2017 of \$63,332. This review period has demonstrated a trend of losses for the last three years with the highest being (\$355,402) in 2021.

As an Enterprise Fund (business-type activities), these losses require an increase in the needed subsidies to the Airport from the general fund over the last few years, with the 2022 subsidy at \$655,121. Our report details losses and subsidies by year.

Expenses at the Airport started increasing in 2020 due to required remediation costs for per-and-polyfluoroalkyl substances (PFAS), chemicals from fire-fighting foams, that contaminated the surrounding water supply. This remediation will take several years to complete with costs estimated to be no less than \$1 million.

The Airport has had many capital investments over the last five years since our last review, including the construction of the Dutchess Community College Educational Center, the Aircraft Rescue and Firefighting/Snow Removal Equipment (ARFF/SRE) building, terminal upgrades, and the on-Airport water lines. These improvements have increased the marketability and revenue potential of the Airport. There is currently another \$8.3 million appropriated for capital projects, including terminal apron and taxiway rehabilitation. A contract with Tesla Energy Operations, Inc. to lease land for a solar array has resulted in energy credits beginning in 2021 of nearly \$60,000 per year.

A notable improvement is in tenant vacancies, which have declined overall since 2018 with over 90% of the hangars being fully rented and only one vacant space in the terminal.

Like any business operations, the Airport requires constant attention and focus to be successful. Our office recommends continued competitive marketing efforts, active and invested Airport Advisory Committee input, an engaged and proactive Fixed Based Operator (FBO), and continued improvements and maintenance that will attract customers.

In December 2023 the County Executive and Sky Harbour Group announced the execution of a lease for the development of two separate parcels of approximately ninety thousand (90,000) square feet for hangar space, pending the company's feasibility study. The inclusion of this tenant will greatly benefit the Airport operations.

We thank DPW Commissioner Balkind, DPW Deputy Commissioner Whalen, Director of Aviation Durand, and the DPW airport fiscal team for their assistance in gathering the necessary information for this report.

Respectfully submitted,

Soldies

Robin L. Lois

**Dutchess County Comptroller** 

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#### Background

The Hudson Valley Regional Airport is located in the Town of Wappinger, seven miles south of the City of Poughkeepsie and provides corporate and general aviation transportation services. The airport was deeded to Dutchess County ("County") in 1947 with the stipulation that it be open for aviation use. The County is responsible for managing and maintaining the Airport under the guidance and federal regulation standards enforced by the Federal Aviation Administration (FAA).

The Airport is classified as a business-type activity also known as an Enterprise Fund (EA) of the County, whose financial operations are recorded separately on the annual audited financial statements. "The current intent of the County is that the costs of operations of the airport will be financed through charges to users."

The Dutchess County Comptroller's Office issued its last report on the Airport operations in March 2019 for the audit period January 1, 2012 through December 31, 2018. The full report can be found on the Comptroller's website, <u>here</u>.

Our 2019 review reported that the Airport had made progress over the last six years going from their highest net loss in 2012 of (\$631,591) to a slight profit in 2017 of \$63,332. Decreased expenses were noted as contributing to the financial stabilization.

If an Enterprise Fund cannot meet its financial obligations with its own revenue sources, it must receive capital infusions from the County's General fund referred to as a subsidy. It was noted by the NYS Comptroller's Office in their 2013 Dutchess County Financial Condition Report<sup>2</sup> that required subsidies for the Airport can hurt the financial condition of the County.

The independent auditors for the County have repeatedly recommended "the enterprise fund classification of the Airport (and Transportation) operations continue to be reviewed, and consideration should be made as to whether recording as a special revenue governmental fund or as a department of the general fund may more appropriately account for their activity."<sup>3</sup>

The chart below demonstrates the subsidies from the County's General fund to the Airport operations for the last six years.

Transfers-In from the General Fund to	the Airport Fund
2017	\$297,711
2018	\$737,113
2019	\$667,619
2020	\$309,785
2021	\$599,203
2022	\$655,121
Source: Annual Audited Financial Statements	· ·

<sup>&</sup>lt;sup>1</sup>Page 34 - 2022 Dutchess County Audited Financial Statement

<sup>&</sup>lt;sup>2</sup>2013M-370

<sup>&</sup>lt;sup>3</sup>Most recent recommendation in 2022 Dutchess County Independent Audit Report Management Letter (pg. 2).

## **Objective & Scope**

The objective of this audit is to review the financial condition of the Airport for the period of January 1, 2019 to December 31, 2022. This included the examination of revenue, expenditures, tenant billings, and lease agreements to determine if the Airport has taken the steps necessary to address the findings and recommendations made by the Comptroller's Office in March 2019. An additional objective is to report on all capital projects related to the Airport since the prior audit was performed.

# **Methodology & Criteria**

- Appropriation and Expense Review
- Review of all Tenant Leases
- · Review of contracts, billing, and payment processing
- Detail all open Capital Projects (including water and wastewater infrastructure)
- · Review internal controls and accounting processes and procedures
- Review master plan and marketing plan objectives and progress
- Review of sample bank statements & bank reconciliations

# **Organization and Oversight**

The Department of Public Works (DPW) Commissioner is the overseer of the Aviation Division. In addition, oversight of the Airport Administration is provided by the Deputy Commissioner of Public Works. The Airport Director provides oversight of the day-to-day operations including general lease administration, ground maintenance, electrical system and navigational aid maintenance, snow removal, airport rescue, and firefighting. The fiscal records are overseen by the DPW Director of Fiscal Services. An Accounting Clerk provides day-to-day support at the Airport. In addition, there is an Airport Advisory Committee which is part of the County Legislature's body and organizational structure.

The Federal Aviation Administration (FAA) is a key partner in providing oversight, guidance, and funding to the Airport. The FAA annually performs reviews to ensure the Airport complies with its requirements. Regulations, advisory circulars, and guidelines are provided by the FAA. The County receives funding from the Federal Government for airport improvements. In accepting federal funding, the FAA's Airport Compliance Program "serves to protect the public interest in civil aviation and ensure compliance with applicable Federal laws, FAA rules, and policies."

<sup>4</sup>https://www.faa.gov/airports/airport\_compliance/overview/

## Status of Recommendations & Opportunities from 2019

Reinstate the Airport Advisory Committee to help ensure accountability and focus towards set goals.

According to the Dutchess County Airport website there are 20 members (12 voting members and 8 non-voting members) appointed to the Airport Advisory Committee. The Clerk of the Legislature has reported that two meetings took place in 2019, two meetings took place in 2022, and six meetings took place in 2023. There are no committee reports and only two records of meeting minutes available for our office to verify or provide updates on the productivity of the Committee.

Comptroller Review: The Advisory Committee should keep records of meeting agendas, meeting minutes. and provide updates which should be shared with the Legislature and the public. The Committee should add value to airport operations, with their mission of "analyzing and evaluating the future use and development of the DC Airport in order to assist the County Legislature in its decision-making process regarding the airport.

Complete an updated Master Plan focusing on the short and long-term goals to become a competitive regional airport.

An updated Master Plan has not been completed as of the writing of this report. In March 2019 Resolution No. 2019084 was passed by the Legislature to approve a grant request for federal funds for the Airport Master Plan update in accordance with FAA requirements. The resolution allotted \$543,000 for professional services that would be 90% federally funded. The County entered into a contract with C&S Engineers, Inc. (Contract #19-0472) for \$503,000 in September 2019 as consultants for the Airport Master Plan. C&S has been working with DPW administration since 2019 on the Master Plan with the first FAA submission in August of 2020 and latest correspondence in November of 2023. All parts of the Airport Master Plan need FAA approval.

Comptroller Review: The DPW administration has documented the process and submission to the FAA from C&S. It has demonstrated that many submission reviews took 5 to 13 weeks for the FAA to respond to. The Comptroller's Office recommends DPW's continued diligence in engaging the FAA to see this arduous process through to fruition.

Continue to create and follow a Marketing Plan with the Fixed Base Operator (FBO) by reviewing the best market growth areas and target efforts.

As described in Section 28 of County Contract #15-0283-7/20-AV, FlightLevel Dutchess, LLC in consultation with the County, should be submitting an annual Marketing Plan by March 31st of each year. The Marketing Plan should include but not be limited to "promotion, advertising, social media, events, and convention participation." While FlightLevel did provide their 2023 Marketing Plan, it continues to include all airports that FlightLevel conducts business with, and is not specifically targeted to Hudson Valley Regional Airport, its tenants, or the surrounding region.

Think Dutchess Alliance for Business leads the marketing efforts for the airport under the direction of the Assistant County Executive for Economic Development. Promotional items including video, digital, and print advertising are coordinated through Think Dutchess with assistance by FlightLevel. Attendance and participation in National Business Aviation Associations (NBAA) events is also a promotional strategy used over the past years.

Comptroller Review: The Comptroller believes there is opportunity for improvement in the quantity and quality of marketing efforts by FlightLevel specific to HVRA and Dutchess County. Think Dutchess Alliance must continue their leadership and partnership with FlightLevel to ensure consistent and up-to-date promotional activities.

## Status of Recommendations & Opportunities from 2019 Cont.

 Diversify and analyze revenue streams to include community events and non-airport related activities.

DPW has indicated that due to the placement of the DCC Aviation Maintenance and Education Center, the lack of event space and parking space prohibit the ability to host community events.

**Comptroller Review:** It is understandable that the lack of available parking is an issue prohibiting community events. Taking this into account, the airport management team should analyze the current revenue to determine the potential revenues that can and should be generated to cover both current and future expenses and if events would be beneficial towards that goal.

 Continue efforts for expansion of the water and wastewater infrastructure to help encourage future growth and prosperity. Water and wastewater accessibility help to market and grow the possibility of new hangars as well as address safety concerns.

Resolution No. 2018096 was adopted in May 2018, authorizing the issuance of \$808,000 in bonds to fund the construction of a new water main between the existing Central Dutchess Water Main and the Airport. The intent of the extension provided access to a public water supply for the AAG hangar on the airport property as well as some adjacent businesses without the reliance for on-site wells. Outside businesses would be responsible for their own hookup costs and would be metered and billed by Dutchess County Wastewater Authority (DCWWA).

In addition, Resolution Nos. 2016105 and 2018211 authorized the construction of the Aircraft Rescue Fire Fighting/Snow Removal Building on Airport property. As part of the project, a water line extension was constructed connecting from the point of entry at the Airport to the newly constructed building.

**Comptroller Review:** The Airport has made good progress on this initiative and has significantly increased its marketability to larger tenants with this improvement.

 Work with and communicate with the real estate firm to focus on marketing the terminal and vacant parcels.

The Airport terminal currently has only one vacant space which is being marketed through word of mouth and postings at the Airport entryway.

Comptroller Review: The Airport has made progress with filling the vacancy within the Airport terminal. However, more efforts should be made to fill the existing vacancy to help lessen the revenue gap.

 Provide consistent financial oversight of FBO operations, lease arrangements, and tenant relationships.

The Airport staff, in conjunction with the Department of Public Works, work collectively to ensure a trustworthy relationship with its tenants and FlightLevel, LLC, the FBO of the Airport. The Airport Director and Airport Accounting Clerk interact and communicate with various tenants and the FBO to resolve any matters, including lease related issues, that may arise. In addition, DPW meets with FlightLevel on an annual basis to discuss financial reconciliations per the stipulation of the contract with the County.

**Comptroller Review:** The oversight and relationships seem to be in good standing. We recommend continued diligence with existing tenants and focus on growth of new tenants. Hangar and service pricing should also be monitored and increased as the market will bear. Lease rates have only minimally increased once in the last five years.

## Status of Recommendations & Opportunities from 2019 Cont.

The Department of Finance and the DPW Administration should create an evaluation timeline to determine at what point to either continue the Airport as an Enterprise/Proprietary fund or fold the operations into the General fund as a department.

Based on the continued capital improvements planned for the Airport, as well as additional monies necessary for the County to transfer into the Enterprise fund for the contamination mitigation plan, the Airport will not be self-sustaining in the near future.

Comptroller Review: The Department of Finance and the Administration should re-examine the longterm goals and opportunities of the Airport to determine whether to continue to classify it as an Enterprise/ Proprietary fund or to classify it as its own department under the General fund.

# Recommendations & Opportunities 2019-2022

#### Observations:

- Monthly rental rates for aircraft and storage serve as one of the Airport's primary sources of revenue. These rates have not changed for the period of this audit. Rates were minimally increased for the first time in five years effective
- There are delinquent tenant invoice billings which create aged receivables dating back to 2018.
- Multiple departments continue to be involved in receiving tenant payments, and checks are mailed via courier from the Airport to the County for proper recording and deposit.
- FlightLevel, LLC (FBO) continues to provide an annual Marketing Plan not specifically pertaining to the Airport and the surrounding region.

#### Recommendations:

- Monthly rental rates should be reviewed regularly by the Airport staff and DPW Finance to optimize revenue for the Airport Enterprise fund.
- All aged receivables should be re-examined by DPW and Finance as to whether any invoices can be written off. As of the writing of this report, DPW and Finance were able to write off one tenant's invoices held due to a lien and dating back to 2016 totaling \$2,854.
- To facilitate the timeliness of bank deposits, the Airport should have a check scanner for tenant checks that are mailed and/or dropped off onsite. As of the writing of this report, the Airport Accounting Clerk was issued a check scanner to remit deposits directly to the Airport's bank account.
- The contract language in the lease between the County and FBO should be amended to reflect that an annual Marketing Plan be provided specific to the Airport and the surrounding Hudson Valley region.

#### Findings:

- The Dutchess County Legislature's Airport Advisory Committee was unable to produce meeting agenda or minutes for most of the audit period 2019-2023. December 2022 and November 2023 were the only meetings provided. They also currently do not present any agendas or meeting minutes for public view on the Dutchess County website.
- There is no written policy as to how solar credits from the Tesla array are applied to the Airport and County buildings.
- There are no written financial policy and procedures for Airport operations.

#### Recommendations:

- The Airport Advisory Committee in conjunction with the Legislature should ensure that all agendas and meeting minutes are recorded and shown on the Dutchess County website for public search and view in a timely manner.
- A written procedure should be established that explains how the energy savings (credits) are to be applied and allocated to various County buildings.
- The Airport should create a written financial policy and procedure manual for airport operations to ensure consistency and continuity.

## **Fiscal Recap**

The Airport is classified as an Enterprise Fund in the County financial records and reported separately on the County's audited financial statements. The business-type activities of the Airport are intended to have user fees and charges be sufficient to cover expenses, therefore not requiring any subsidy from the County's General fund. The following chart displays the revenues and expenses not including depreciation for the years 2017 through 2022 with the respective net profit (loss). This information was derived from the County's audited financial statements for each year.<sup>5</sup>

Net Profit (Loss) by Year							
Year	Revenue	Expenses Less Depreciation	Net Profit (Loss)				
2017	\$1,243,367	\$1,180,035	\$63,332				
2018	\$1,158,118	\$1,104,073	\$54,045				
2019	\$1,181,814	\$882,925	\$298,889				
2020	\$1,117,404	\$1,275,143	\$(157,739)				
2021	\$1,282,9110	\$1,638,313	\$(355,403)				
2022	\$1,507,997	\$1,670,227	\$(162,230)				
Source: Annual Audited Financial Statements							

#### Observations:

Included in the chart above for the years 2019-2022, there are expenses totaling \$533,351 for per-and polyfluoroalkyl substances (PFAS) remediation work. Please see Page 12 of this report for additional details regarding PFAS. The remediation was directed by an Order on Consent and Administration Settlement with the NYS Department of Environmental Conservation (NYSDEC). The chart below depicts the net profit (loss) without PFAS expenses. Removing these expenses continues to demonstrate that the Airport is operating at a net loss for the years 2020-2022, with the biggest variation decline occurring between 2019-2020. The chart below compares the Net Profit (Loss) including the PFAS Expenses vs. Excluding the PFAS Expenses by year.

Net Profit (Loss) Including/Excluding PFAS Expenses								
Year	Revenue	Expenses Less Depreciation	Net Profit (Loss) Including PFAS Expenses	PFAS Expenses	Net Profit (Loss) Excluding PFAS Expenses			
2017	\$1,243,366	\$1,180,035	\$63,331	\$-	\$63,331			
2018	\$1,158,118	\$1,104,073	\$54,045	<del> </del>	\$54,045			
2019	\$1,181,814	\$882,890	\$298,889	17	\$298,906			
2020	\$1,117,404	\$1,168,951	\$(157,739)	53,096	\$(104,643)			
2021	\$1,282,911	\$965,300	\$(355,402)	336,507	\$(18,896)			
2022	\$1,507,997	\$1,382,765	\$(162,230)	143,731	\$(18,499)			
		То	tal PFAS Expenses	\$533,351				
Source: Ar	nnual Audited Finar	ncial Statements						

Resolution No. 2023228 was passed during the December 2023 Legislature Board meeting, authorizing an amendment to the 2023 budget to provide additional funding of \$131,140 for expenses related to the Order on Consent and Administration Settlement. The anticipated full year 2023 net profit/loss is unknown at the time of the writing of this audit report.

<sup>&</sup>lt;sup>5</sup>Audited Financial Statements

## **Contributions to the Airport**

	2017	2018	2019	2020	2021	2022		
Capital Contributions	\$464,799	\$658,844	\$8,285,889	\$1,948,311	\$1,770,741	\$505,339		
Transfers In	297,711	737,113	667,619	309,785	599,203	655,121		
Transfers Out	=	=	-	-	-	-		
Total Contributions	\$762,510	\$1,395,957	\$8,953,508	\$2,258,096	\$2,369,944	\$1,160,460		
Source: Annual Audited Financials								

Capital Contributions – These funds represent Capital Grant Funds received from both the Federal Aviation Administration and New York State approved through resolution. The County applies for and is approved for the funding prior to the start of the capital project.

Transfers-In – These funds represent County contributions approved through resolution to match a capital project expense based on the grant application requirements and to subsidize operating expenses when needed

#### Observations:

Overall capital contributions have declined since 2019. This is attributed to the construction completion of the Dutchess Community College Educational Center, the Aircraft Rescue and Firefighting/Snow Removal Equipment (ARFF/SRE) building, as well as terminal upgrades. Furthermore, federal aid was received in 2021 for the Airport Guidance sign replacement project as well as a snow removal vehicle. With additional capital improvement projects planned, it is anticipated that capital contributions will continue to be necessary.

Transfers-In has increased since 2017, which subsidies include monies transferred to match capital project expenses based on grant application requirements. Also included are County transfers of \$688,500 from 2019 through 2022 to cover the expenses pertaining to Order on Consent/PFAS and Administration Settlement with the NYS Department of Conservation (NYSDEC). Please see Page 12 of this audit report for additional details regarding the Order on Consent.

## **Revenue and Expenses**

The detail as provided in the Audited Financial Statements for revenues and expenses less depreciation follows:

	2017	2018	2019	2020	2021	2022
Operating Revenues:						
Charges for Services	\$926,125	\$968,026	\$954,540	\$921,812	\$1,094,367	\$893,774
Other Revenue	147,591	9,137	7,504	32,682	23,259	276,304
Total Operating Revenues:	\$1,073,716	\$977,163	\$962,044	\$954,494	\$1,117,626	\$1,170,078
Non-Operating Revenues:						
Interest Income	\$169,651	\$180,955	\$219,770	\$162,910	\$165,284	\$48,768
Other	-	-	-	-	-	\$289,151
Total Revenue	\$1,243,367	\$1,158,118	\$1,181,814	\$1,117,404	\$1,282,910	\$1,507,997
Operating Expenses:						
Personal Services	\$443,983	\$400,206	\$428,790	\$425,745	\$402,559	\$500,853
Employee Benefits	381,004	278,701	78,392	442,277	526,421	582,963
Contracted Services	309,663	360,828	294,543	366,201	675,430	543,548
Total Operating Expenses	\$1,134,650	\$1,039,735	\$801,725	\$1,234,223	\$1,604,410	\$1,627,364
Interest Expense	\$45,385	\$64,338	\$81,200	\$40,920	\$33,903	\$42,863
Principal - Serial Bonds	0	0	0	0	0	0
Total Expenses	\$1,180,035	\$1,104,073	\$882,925	\$1,275,143	\$1,638,313	\$1,670,227
Operating (Loss) Prior to County Contributions and Transfers	\$63,332	\$54,045	\$298,889	(\$157,739)	(\$355,403)	(\$162,230)
Source: Annual Audited Financials						

#### Revenue Observations:

The Airport's current revenue stream is not sufficient to cover annual expenses. The primary source of revenue is from the general rental of hangars, tie-downs, and terminal office space. The County Attorney's Office in conjunction with the Department of Public Works aids in negotiating the leases with the tenants. Our office has reviewed several tenant accounts and contracts to ensure proper payment terms, rates, billings, collections, and any receivables were correct and accurate. Minor issues were found and corrected by the Airport Accounting Clerk immediately.

In addition, the County continues to have a contract with FlightLevel Dutchess, LLC, who serves as the Fixed Based Operator (FBO) at the Airport as described in the section below.

Subsequent to our review, the County executed a contract with POU Development LLC, (a subsidiary of Sky Harbour LLC out of White Plains) dated December 14, 2023, for a ground lease of approximately ninety thousand (90,000) square feet of hangar space. The Tenant investment is estimated at \$25,000,000 in capital improvements to the premises. The hangars will be for private and commercial use subject to design approval by the Airport, Landlord, and other authorities having jurisdiction. There is a 270-day due diligence period for the tenant to determine feasibility of the project. Rent shall commence no later than twenty-four months from the date of execution of the agreement at a rate of \$.065 per square feet (approx. \$58,500 annually).

This lease arrangement will significantly improve the financial outlook of the airport if it materializes as planned.

# Revenue and Expenses Cont.

#### **General Airport Lease Rates**

Rates are established and approved through the budget process. Below is a chart demonstrating the rates in 2018 vs the current rates. In 2023, the monthly rates increased for the first time in 5 years at an average of 5.8%. Hangars and office space are separate fees based on square footage and/or contract agreements.

Hudson Valley Regional Airport Fee Schedule and Monthly Rental Rates							
	Monthly Rate 2018-2022	Monthly Rate Effective 1/1/23					
Paved Tie-Down	\$92	\$97					
Gulf T 40'W x 32'L	\$267	\$285					
Juliet T 40'W x 33'L Door Height 12'	\$445	\$470					
Ranch #83	\$812	\$860					
Ranch Hangar 44 1/2' W x 41' L Door Height 14', 1,824 Sq. Ft.	\$705	\$745					
Roll-up Storage Hangars	\$45	\$50					
Nightly Hangar	\$30	\$30					
Nightly Tie-Down	\$7	\$7					
Landing Fees							
Landing Light Turbine	\$31	\$40					
Landing Medium Turbine	\$31	\$60					
Landing Large/Heavy	\$58	\$80					

#### FlightLevel Dutchess, LLC - Fixed Based Operator (FBO) Agreement

In 2015, the County entered into a contract with FlightLevel Dutchess, LLC in which they would become the Airport's Fixed-Based Operator (FBO). Under its contract, FlightLevel provides services such as refueling and aircraft storage on the Airport property. In addition, FlightLevel manages, operates, and maintains the fuel farm under the County's ownership. The FBO also sub-leases to the New York City Department of Environmental Protection for space for two of their helicopters and the accompanying hangar operations and office space. The current contracts between FlightLevel and the County are set to expire July 31, 2031.

The amended contract as of December 31, 2022, included leased space within the terminal building, Hangar 9, fuel farm storage and the Aviation Development Area. As of June 2023, FlightLevel no longer leases the Aviation Development Area, as the County intends to market the space for private development relating to a commercial hangar project (as of 12/15/23, a 15-year ground lease was executed for 7 acres of Airport land with POU Development LLC [Sky Harbour]). In addition, the agreement allows the County to collect fees for fuel flow and aircraft landings as well as 30% of net profits for all commercial and general aviation activities.

The contract with FlightLevel allows for a minimum annual guarantee (MAG) payment made payable to the County in the amount of \$200,000 per year. Minimum Monthly Guarantee (MMG) payments of \$12,000 will be made until the end of the lease in 2031 or until another amendment occurs. The Airport Accounting Clerk invoices FlightLevel their MMG amount monthly, and the check is received by the Department of Public Works. DPW sends the check to the Finance Department for deposit.

At the end of the calendar year, FlightLevel provides sales reports and a reconciliation showing the calculation of the percentage of net profit, as well as the fuel farm storage fee, and the fuel flow fee. It is compared to the MAG and the difference is owed to the County. DPW receives the FlightLevel reports and reconciliation and subsequently performs a reconciliation of their own ensuring accuracy. The two parties will meet in the first quarter of the following year to discuss the reconciliation and agree to any amount owed to the County.

# Revenue and Expenses Cont.

#### **Expense Observations:**

#### **PFAS Expenses**

The Airport is one of 23 airports in New York State that hold a Part 139 Certificate. This certificate denotes that the facility is required to meet certain minimum safety standards as required by the FAA. The Airport must also demonstrate the capability to handle an onsite emergency response should the need arise. To meet the FAA Part 139 requirement, the Airport owns and operates an Aircraft Rescue Fire Fighting (ARFF) vehicle, which is capable of releasing aqueous film forming foam in order to extinguish any aviation fuel spill or fire. In addition, staff training is required and the spray apparatus on the ARFF vehicle is tested at least once a year to ensure the correct chemical compound mixture is accurately discharged from the nozzle. The tests on the foam application are conducted onsite at the far ends of the airport's runways.

After an investigation involving contaminants including Per- and Polyfluoroalkyl substances (PFAS) affecting the drinking water in the City of Newburgh, a questionnaire was sent out in 2016 by New York State's Water Quality Rapid Response Team to all airports statewide to identify facilities that may have used foam containing PFAS into the environment. Based on the County's response to the survey, groundwater sampling in and around the Airport was conducted by the NYS Department of Health. The findings of the sampling resulted in the County entering an Order on Consent and Administrative Settlement in March 2018 with the NYS Department of Environmental Conservation (NYSDEC).

Since the Order, the County has committed to investigate any potential PFAS contamination in and around the Airport property. This includes the installation, maintenance, and water testing of three Point of Entry Treatment (POET) systems. The County has entered into an agreement with C.T. Male Associates who has prepared and submitted numerous iterations of a Site Characterization Report as required by NYSDEC. In November 2023, NYSDEC responded to the County's most recent submission and accepted the Site Characterization Report with the understanding that additional testing and sampling may be warranted during the Remedial Investigation. As of December 31, 2022, the County has spent \$533,351 in PFAS expenses, with an anticipated minimum spend of \$1M for further remediation in the coming years.

#### **Tesla Solar Array**

In 2015, the County entered into an agreement with Tesla Energy Operations, Inc. (F.K.A. SolarCity Corporation) to lease the land and install a solar energy system on County-owned property of approximately 15 acres adjacent to the Airport east of New York State Route 376. The lease term is for 20 years beginning on the Commercial Operation Date and allowing for 2 additional terms of five years each. The date of operation began on October 1, 2020. Tesla invoices the Department of Public Works on a monthly basis for the electricity generated at \$.0855/kWh. Simultaneously, Central Hudson will invoice the various accounts at the Airport which will include the solar credits on the electricity bill. DPW determines how the solar credits get allocated and applied to the various County buildings/sites.

While electric charges have decreased significantly since 2021 as a result of the solar array, the lease with Tesla has some ambiguity in its contract language. The County charges an annual amount of \$8,200 to Tesla as a ground lease which is agreed upon between the two parties and stated within the contract. In 2018, Tesla contracted with and paid for a Dutchess County real estate appraisal firm who determined the market rental value of the property to be \$8,200. Although there is no formal procedure in place on how the solar credits are being applied to County buildings, DPW Finance applies the solar savings to offset the Airport's electric costs first before applying the savings to other County buildings.

## **Rental Summary and Vacancy**

	Monthly Cost	Amount of Spaces	Total Anticipated Monthly Income	Current Occupied Space as of 12/31/22	Estimated Tenant Vacancy	*Other
Tie Down Leases	\$92	58	\$5,336	22 @ \$92 / 3 @ \$80	23	10
Gulf Storage Hangers	\$267	11	\$2,397	8 @ \$267	0	3
Gulf Storage Hanger Small	\$45	1	\$45	1 @ \$45	0	0
	Based on			1 @ \$900		
Large Hangers	Square	3	\$13,712	1 @ \$812	0	0
	Footage			1 @ \$12,000		
Ranch Hangers	\$705	10	\$7,050	10 @ \$705	0	0
Juliet Hangers	\$445	60	\$26,700	59 @ \$445	0	1
Juliet Storage	Variana	4 @ \$264	\$1,056	1 @ \$264 (DA)	0	2
Hangers	Various	3 @ \$45	\$135	3 @ \$45	0	3
Terminal Building	Various	13	Varies by Lease	12 @ Various	1	0

Other spaces that may not be available for rent on a consistent basis due to season or Dutchess County use.

#### Observation

Tenant vacancies have declined overall since 2018 with over 90% of the hangars being fully rented. There are currently 17 various tie-down and hangar spaces that are either deemed un-usable by the Airport or they are being utilized for equipment storage. The airport terminal is almost at 100% capacity except for one office space. It should be noted that one tenant receives a discounted tie down lease rate of \$80/month due to the tenant renting three spaces.

#### Contracts, Billing, and Payment Process

The Airport has contract agreements with its tenants which include provisions for payment. If more than one individual is utilizing the hangar space, then all parties must be listed on the contract along with having their own certificate of insurance. The Airport Accounting Clerk maintains detailed records of all tenant contracts and insurance records to ensure that documents are up to date.

The Airport Accounting Clerk invoices the tenants between the 16th-19th of the month via a hardcopy mailing with rent payment due the first of the following month. Tenants are given a 10-day grace period after which a 1.5% late fee is charged. Acceptable forms of payment include money orders, checks, bank checks, and credit cards. A receipt is issued to the payor. The Airport, the Finance Department, and the Department of Public Works are all notified if an online payment or wire transfer is made. An accrual is established by the Airport Accounting Clerk for all monies that are billed and due.

All deposits are prepared by the Airport Accounting Clerk and are given to the Finance Department via courier. Finance deposits the monies into an Airport specific bank account and notifies the Airport Accounting Clerk that the checks have been deposited, at which time the Airport Accounting Clerk applies the payment to the invoice in the County's financial system. The monthly bank reconciliation is currently handled by the Finance Department. As of the writing of this audit, the Airport Accounting Clerk now uses a check scanner so that all monies deposited go directly to the bank account.

## Status of Recommendations & Opportunities from 2019

 To save on printing and mailing costs, it is recommended monthly reminders be sent via e-mail to tenants rather than printing invoices and mailing.

Monthly invoices continue to be printed and mailed to tenants. If after two months there is a delinquent payment, the Airport Accounting Clerk and Airport Director will contact the tenant by e-mail and/or telephone. After three months of delinquent payment, the County Attorney will send a formal letter to the tenant informing them the delinquent payment is a breach of the lease agreement and risks possible suspension of lease.

Comptroller Review: DPW Administration should explore options to making the invoicing paperless.

All funds should be sent to one designated accounts receivable person for consistency.
 Funds continue to be collected from multiple persons across various departments within the County. The
Airport Accounting Clerk and the Department of Finance both receive various forms of tenant payment. In
addition, the Department of Public Works receives monthly payment from FlightLevel, the Fixed Base operator
(FBO).

**Comptroller Review:** A process designating one accounts receivable person should be adopted and communicated to tenants.

 To ensure transparency and consistency, all adjusting entries should have a clear written explanation at the time of entry.

There were no adjusting entry issues during this review period.

**Comptroller Review:** Although there were no issues during this review, there is no written policy regarding adjustments. Since multiple departments have the capability to enter adjusting entries, it is recommended that a detailed process be written and communicated.

• DPW Airport administration should periodically review the accounts receivable policy to ensure proper and consistent handling.

Although the 90+ day aged accounts receivable for Airport tenant billings was slight, there was one tenant dating back to 2016 totaling \$2,854 that could have been written off. In November 2023, the Comptroller's Office received confirmation from DPW's Director of Fiscal Services that this aged receivable was written off by the Finance department.

**Comptroller Review:** This process has improved since our prior audit. DPW should continue to monitor all accounts receivables to ensure minimal aging.

 Risk Management and County Attorney should continue to ensure timeliness of lease renewals and compliance of tenant leases.

The Airport Accounting Clerk keeps a detailed file of when upcoming tenant leases and insurance certificate expirations are set to expire. However, it is not known whether Risk Management and County Attorney keep a similar listing and who initiates any lease renewal. Some of the tenant contracts and renewal contracts that were sampled continue to be reviewed and signed after the term start date. Tenants continue to pay during lease negotiations.

Comptroller Review: This recommendation stands and should continue to be addressed.

# Status of Recommendations & Opportunities from 2019 Cont.

All billing adjustments should have explanations and written approval by a supervisor. Consultation with OCIS is recommended to determine if the comment field on adjustments can be made mandatory to help ensure an explanation is included.

In reviewing our sample of tenant billings there were no billing adjustment entries made by either the Airport Account Clerk or Finance. However, it should be noted that the Airport Account Clerk populated the description field with detailed and relevant information for the monthly tenant billings (i.e., a monthly amount billed specified dates if the bill was pro-rated).

Comptroller Review: There has been improvement in all description fields since our last review.

# **Capital Projects**

Dutchess County DPW in conjunction with the FAA annually evaluate capital projects that are necessary and required to create a 5-Year Capital Plan. Funding for these projects is typically split between the County (5%), New York State through the Department of Transportation (5%), and the Federal Government through the FAA (90%). Capital projects are initiated at the discretion of the Executive Branch, with funding approval from the County Legislature.

Looking ahead, the 2024 5-Year Capital Plan indicates that four non-recurring capital projects are planned at the Airport, including runway safety improvements and taxiway reconstruction.

Projects completed since our last review:

Capital Account	Purpose	Resolution #	Adopted Date	Airport Funds Appropriated	Expended Total as of 12/31/22
EA0485	2015 Airport Obstruction Removal	2015234	9/8/2015	\$290,000	\$284,500
EA0502	2016 Airport Property Renovations	2017009	1/23/2017	988,500	979,452
EA0504	2017 Airport Vehicle Bond	2017066 & 2019170	5/8/2017 & 6/10/2019	45,955	40,447
EA0516	2018 Capital Equipment	2018129	6/11/2018	78,078	77,009
EA0518	2018 On-Airport Water Line	2018096	5/14/2018	808,000	778,775
EA0520	2018 Airport Vehicle Bond	2018128	6/11/2018	73,730	73,266
EA0528	2018 Airport Building Repairs/ Renovation	2018289	12/6/2018	25,250	17,153
EA0534	2019 Airport Site Characterization and Necessary Mitigation	2019083 &2019235 & 2021046 & 2022159	3/11/2019 & 9/9/2019	165,000	164,880
EA0535	2019 Airport Vehicles and Equipment	2019105	4/8/2019	167,500	134,227
EA0536	2019 Airport Guidance Sign Replacement	2019085 & 2020058	3/11/2019 & 3/9/2020	818,000	714,746
EA0554	2020 Airport Snow Removal Equipment	2020060	3/9/2020	900,000	872,500
EA0562	Airport Security Enhancements	2021045 & 2022035	3/8/2021 & 2/14/2022	390,000	35,812
EA0492	Airport ARFF/SRE Building & Water	2016105 & 2018211	5/9/2016 & 8/20/2018	8,816,900	8,804,898
EA0501	DCC Airport Educational Facility	2016271, 2018285 & 2019222	12/8/2016 & 11/13/2018	14,394,445	2,402,569
			Totals	\$27,961,358	\$15,380,234

### **Capital Projects Cont.**

### Current open projects:

Capital Account	Purpose	Resolution#	Adopted Date	Airport Funds Appropriated	Expended/ Encumbered Total as of 12/31/22
EA0525	2018 Runway Safety Area	2018266 & 2022033	10/9/2018 & 2/14/2022	\$870,175	\$382,157
EA0533	2019 Airport Master Plan	2019084	3/11/2019	543,000	408,807
EA0553	2020 Terminal Apron & Taxiway Rehabilitation	2020059, 2022032 & 2022201	3/9/2020, 2/14/2022 & 11/9/2022	2,487,090	137,094
EA0564	2021 Roof Replacements	2021061	4/12/2021	980,000	23,251
EA0579	2022 Airport Snow Removal Vehicle	2022034	2/14/2022	950,000	-
EA0580	Taxiway A Rehabilitation	2022029	2/14/2022	178,000	2,000
EA0581	Runway 6-24 Lighting and Signage	2022030	2/14/2022	113,000	2,000
EA0582	Operational Support Buildings	2022031	2/14/2022	1,581,880	-
EA0589	Build Now-NY Program	2022066	4/11/2022	350,000	190,664
EA0595	2022 Capital Equipment	2022180	11/9/2022	239,170	99,613
			Totals	\$8,292,315	\$1,245,586

### Department Response: Department of Public Works

WILLIAM F.X. O'NEIL COUNTY EXECUTIVE



ROBERT H. BALKIND, P.E. COMMISSIONER

> DAVID C. WHALEN DEPUTY COMMISSIONER

December 29, 2023

Robin Lois, Comptroller County Office Building, 4th Floor 22 Market Street Poughkeepsie, NY 12603

2023 COUNTY AIRPORT AUDIT RE:

Dear Comptroller Lois:

Thank you for the opportunity to provide comments on the 2023 Airport Audit report. The Department of Public Works, through its Aviation Division, is responsible for managing Hudson Valley Regional Airport. Since 2012 the Department of Public Works (DPW) has worked collaboratively with the County Executive's Office to foster increased economic development at the airport, and has made great strides to reduce the County's financial obligations for the airport. Most lease spaces are filled, and FlightLevel, the airport's fixed base operator, has increased their earnings to the degree that they are no longer in arrears on the minimum annual guarantee that they pay to the County in accordance with their lease. The Department has recently executed a land lease agreement with a developer who intends to build two large aircraft storage facilities at the airport. This long-term lease is expected to spur additional private sector investment at Hudson Valley Regional Airport in the coming years.

The following comments are offered to specific sections of the report:

- The Airport Advisory Committee is a component of the Legislature, and recommendations concerning the Committee's record-keeping and meeting minutes will be shared with the Legislature.
- The question of whether the Airport should remain as an enterprise account will be discussed with the County Executive, Finance Department and Law Department.
- With regard to the Tesla solar array and a policy for the application of electricity offsets, the Department will discuss with others within the County to determine if a policy is warranted.
- The Department will work with our fixed base operator to focus their marketing efforts to be more specific to Hudson Valley Regional Airport.

Generally, the audit appears to be complete and accurate. As with all audits, the Department will use your report as an opportunity to improve its performance in managing Hudson Valley Regional

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### **Department Response: Department of Public Works**

Airport. I wish to acknowledge DPW Director of Fiscal Services Mary Aldrich, DPW Deputy Commissioner Dave Whalen, DPW Airport Director Jeff Durand, and Assistant County Executive Ron Hicks for their steadfast support of Hudson Valley Regional Airport. Their contributions will have an enduring legacy on the airport's economic sustainability and growth. Thank you again for the opportunity to offer this response to the 2023 County Airport Audit report.

Sincerely,

Robert H. Balkind, P.E.

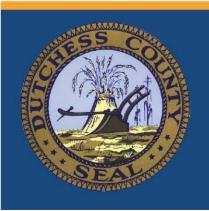
Commissioner

c: David C. Whalen, DPW Deputy Commissioner

Mary F. Aldrich, MA, CPFO, DPW Director of Fiscal Services

# Office of the Dutchess County Comptroller

Robin L. Lois, Comptroller



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Legislator Bolner made a motion, duly seconded by Majority Leader Truitt, to override the Country Executive's veto of the following line item from Resolution No. 2023214, ADOPTION OF THE TENTATIVE BUDGET FOR DUTCHESS COUNTY FOR THE YEAR 2024, SUBJECT TO THE AMENDMENTS HEREIN CONTAINED:

A.6070 4400.4559 Contract Agencies Family Services, increase of \$3,000, Cell Phones for Specific Police Officers

Roll call on the foregoing motion resulted as follows:

AYES:

23 Atkins, Bolner, Brendli, Caswell, Cavaccini,

D'Aquanni, Garito, Geller, Houston, Johnson, Kearney,

Keith, Llaverias, McHoul, Metzger, Munn, Page,

Paoloni, Polasek, Pulver, Sagliano, Truitt, and Valdés

Smith

NAYS:

0

ABSENT:

2 Hauser and Surman

Veto overridden.

Legislator Brendli made a motion, duly seconded by Legislator Munn, to override the Country Executive's veto of the following line item from Resolution No. 2023214, ADOPTION OF THE TENTATIVE BUDGET FOR DUTCHESS COUNTY FOR THE YEAR 2024, SUBJECT TO THE AMENDMENTS HEREIN CONTAINED:

A.4310 4653 Public Info and Services, increase of \$20,000, Human Rights Commission, which changed A.9998.95990.01 Appropriated Fund Balance

Roll call on the foregoing motion resulted as follows:

AYES:

10 Atkins, Bolner, Brendli, Johnson, Kearney, Llaverias,

McHoul, Munn, Page, and Valdés Smith

NAYS:

13 Caswell, Cavaccini, D'Aquanni, Garito, Geller,

Houston, Keith, Metzger, Paoloni, Polasek, Pulver,

Sagliano, and Truitt

ABSENT:

2 Hauser and Surman

Veto sustained.

Legislator Atkins made a motion, duly seconded by Legislator Kearney, to override the Country Executive's veto of the following line items from Resolution No. 2023214, ADOPTION OF THE TENTATIVE BUDGET FOR DUTCHESS COUNTY FOR THE YEAR 2024, SUBJECT TO THE AMENDMENTS HEREIN CONTAINED:

A.4320.43 1010 Positions, increase of \$26,560, Recovery Coach for Youth, which changed A.9998.95990.01 Appropriated Fund Balance

A.4320.43 8200 Social Security, increase of \$2,033, which changed A.9998.95990.01 Appropriated Fund Balance

A.4320.43 8350 Short Term Disability, increase of \$102, which changed A.9998.95990.01 Appropriated Fund Balance

A.4320.43 8400 Hospital, Med & Surg Ins, increase of \$22,292, which changed A.9998.95990.01 Appropriated Fund Balance

A.4320.43 8450 Optical Insurance, increase of \$221, which changed A.9998.95990.01 Appropriated Fund Balance

A.4320.43 8500 Dental Insurance, increase of \$1,050, which changed A.9998.95990.01 Appropriated Fund Balance

A.4320.43 8710 Paid Family Leave Insurance, increase of \$91 which changed A.9998.95990.01 Appropriated Fund Balance

Roll call on the foregoing motion resulted as follows:

AYES:

10 Atkins, Bolner, Brendli, Johnson, Kearney, Llaverias, McHoul, Munn, Page, and Valdés Smith

NAYS:

Caswell, Cavaccini, D'Aquanni, Garito, Geller, Houston, Keith, Metzger, Paoloni, Polasek, Pulver, Sagliano, and Truitt

ABSENT:

2 Hauser and Surman

Veto sustained.

Assistant Minority Leader Atkins made a motion, duly seconded by Legislator Munn, to override the Country Executive's veto of the following line item from Resolution No. 2023214, ADOPTION OF THE TENTATIVE BUDGET FOR DUTCHESS COUNTY FOR THE YEAR 2024, SUBJECT TO THE AMENDMENTS HEREIN CONTAINED:

A.7310.56 4401.106 Professional Services-Program, increase of \$25,000, Path to Promise, which changed A.9998.95990.01 Appropriated Fund Balance

Roll call on the foregoing motion resulted as follows:

AYES:

10 Atkins, Bolner, Brendli, Johnson, Kearney, Llaverias,

McHoul, Munn, Page, and Valdés Smith

NAYS:

13 Caswell, Cavaccini, D'Aquanni, Garito, Geller,

Houston, Keith, Metzger, Paoloni, Polasek, Pulver,

Sagliano, and Truitt

ABSENT:

2

Hauser and Surman

Veto sustained.

Resolution No. 2023225, A RESOLUTION AUTHORIZING THE ISSUANCE OF AN ADDITIONAL \$15,352,000 BONDS OF THE COUNTY OF DUTCHESS, NEW YORK, TO PAY PART OF THE COST OF THE RECONSTRUCTION OF THE HEATING, VENTILATION AND AIR-CONDITIONING SYSTEMS AT THE COUNTY COURTHOUSE AND COUNTY OFFICE BUILDING, IN AND FOR SAID COUNTY, was offered for discussion only at the December 4, 2023, Public Works and Capital Projects Committee Meeting, and considered at the December 18, 2023, Regular Board Meeting.

Roll call vote on the foregoing resolution at that time resulted as follows:

AYES:

23 Atkins, Bolner, Brendli, Caswell, Cavaccini,

D'Aquanni, Garito, Geller, Houston, Johnson, Kearney,

Keith, Llaverias, McHoul, Metzger, Munn, Page,

Paoloni, Polasek, Pulver, Sagliano, Truitt, and Valdés

Smith

NAYS:

0

ABSENT:

2 Hauser and Surman

Resolution adopted.

Resolution No. 2023226, A RESOLUTION AUTHORIZING THE ISSUANCE OF \$1,166,651 SERIAL BONDS OF THE COUNTY OF DUTCHESS, NEW YORK, TO PAY A PORTION OF THE COST OF THE CULVERT REPLACEMENT ON COUNTY ROUTE 49 (TITUSVILLE ROAD), IN AND FOR SAID COUNTY was offered for discussion only at the December 4, 2023, Public Works and Capital Projects Committee Meeting, and considered at the December 18, 2023, Regular Board Meeting.

Roll call vote on the foregoing resolution at that time resulted as follows:

AYES:

23 Atkins, Bolner, Brendli, Caswell, Cavaccini,

D'Aquanni, Garito, Geller, Houston, Johnson, Kearney,

Keith, Llaverias, McHoul, Metzger, Munn, Page,

Paoloni, Polasek, Pulver, Sagliano, Truitt, and Valdés

Smith

NAYS:

0

ABSENT:

2

Hauser and Surman

Resolution adopted.

#### RESOLUTION NO. 2023239

RE: AMENDING THE 2023 ADOPTED COUNTY BUDGET AS IT PERTAINS TO THE DEPARTMENT OF BEHAVIORAL AND COMMUNITY HEALTH

Legislators GARITO and McHOUL offer the following and move its adoption:

WHEREAS, the Commissioner of the Department of Behavioral and Community Health ("DBCH") advises that additional funding is available from the New York State Department of Office of Mental Health ("OMH"), and

WHEREAS, the Commissioner further advises that to date the County has received fourteen cost of living adjustments and updates of State Aid related to OASAS and OMH programs, and this additional funding shall allow the County to pass through this additional aid to various contract agencies, and

WHEREAS, upon the County's receipt of this additional funding, the County shall pass the additional State Aid funds through to various contract agencies which provide services to County residents on behalf of the County, and

WHEREAS, it is necessary to amend the 2023 Adopted County Budget to accept the additional funds and then provide same to the various contract agencies so that the agencies may assist County residents with mental health needs, now therefore, be it

RESOLVED, that the Commissioner of Finance is authorized, empowered and directed to amend the 2023 Adopted County Budget as follows:

#### <u>APPROPRIATIONS</u>:

<u>Increase</u>		
A.4320.42.4400.4420	Contract Agencies Taconic Resources for Independence	\$ 2,047
A.4320.42.4400.4423	Contract Agencies Mental Health America of DC	\$191,085
A.4320.42.4400.4425	Contract Agencies Hudson River Housing	\$ 82,326
A.4320.42.4400.4429	Contract Agencies Gateway	\$102,037
A.4320.42.4400.4436	Contract Agencies Lexington Center	\$173,242
A.4320.42.4400.4443	Contract Agencies Council on Addiction Prevention	\$ 17,660
A.4320.42.4400.4447	Contract Agencies Astor Home	\$204,379
A.4320.42.4400.4455	Contract Agencies Rehab Programs Inc.	\$ 7,738
A.4320.42.4400.4463	Contract Agencies DC ARC	\$ 3,605
A.4320.42.4400.4466	Contract Agencies Rehab Supp. Serv.	\$270,982
A.4320.42.4400.4472	Contract Agencies Access Supports for Living	\$ 7,963
A.4320.42.4400.4506	Contract Agencies Career Support Solutions	\$ 373
A.4320.42.4400.4655	Contract Agencies PEOPLE	\$219,166
	Č	\$1,282,603

#### **REVENUES**:

<u>Increase</u>		
A.4320.42.34860.03	Narc. Addiction Cntrl. Cncl. On Add. Prev. & Ed	\$ 17,660
A.4320.42.34900.07	Mental Health State Aid MHA	\$191,085
A.4320.42.34900.20	Mental Health RSS	\$270,982
A.4320.42.34900.21	Mental Health Gateway	\$102,037
A.4320.42.34900.22	Mental Health Taconic Resources	\$ 2,047
A.4320.42.34900.35	Mental Health Lexington Ctr	\$173,242
A.4320.42.34900.40	Mental Health Astor Child Guidance	\$204,379
A.4320.42.34900.50	Mental Health Rehab Prog. Inc.	\$ 7,738
A.4320.42.34900.55	Mental Health PEOPLE	\$219,166
A.4320.42.34900.62	Mental Health Access: Supports for Living, Inc.	\$ 7,963
A.4320.42.34900.64	Mental Health Hudson River Housing	\$ 82,326
A.4320.42.34900.80	Mental Health DC ARC	\$ 3,605
A.4320.42.34900.90	Mental Health Career Supp. Solutions CSS	<u>\$ 373</u>
	• •	<u>\$1,282,603</u>

CA-174-23 CRC/rjw 11/27/23; rev'd 12/4/23 G-1652-B

Fiscal Impact: See Attached

WILLIAM F.X. O'NEIL ACTING COUNTY EXECUTIVE

Date 12/20/23

STATE OF NEW YORK

COUNTY OF DUTCHESS

This is to certify that I, the undersigned Clerk of the Legislature of the County of Dutchess, have compared the foregoing resolution with the original resolution now on file in the office of said clerk, and which was adopted by said Legislature on the 18th day of December 2023, and that the same is a true and correct transcript of said original resolution and of the whole thereof.

IN WITNESS WHEREOF, I have hereunto set my hand and seal of said Legislature this 18th day of December 2023.

Keigh (

CLERK OF THE REGISLATURE

WILLIAM F.X. O'NEIL COUNTY EXECUTIVE



### LIVIA SANTIAGO ROSADO, MD, FACEP

COMMISSIONER

ANTHONY J. RUGGIERO, MPA ASSISTANT COMMISSIONER

#### COUNTY OF DUTCHESS

DEPARTMENT OF BEHAVIORAL & COMMUNITY HEALTH Administration

# **M**EMORANDUM

To:

Rachel Kashimer, Deputy County Executive

FROM:

Karl Schlegel, Director of Fiscal Services

DATE:

30 November 2023

SUBJECT:

Resolution Request – Amending the 2023 Budget to reflect

Increased State Aid Program Allocations

DBCH is seeking authorization to amend the 2023 budget to recognize additional State aid and associated appropriations that will allow the County to pass through aid to contract agencies.

For Fiscal Year 2023 DBCH has received fourteen cost of living adjustments and updates to State aid letters related to OASAS and OMH programs. Following submission of fiscal and budget reports to New York State earlier this month, DBCH was able to determine final aid allocations to contract agencies that receive pass-through funds via the State's Allocation Tracker.

This resolution will allow for DBCH to pass these additional State aid funds through to intended contract agencies that provide services on behalf of Dutchess County; because of the pass-through nature this has no net impact to the County.

DBCH is not seeking to increase revenues or appropriations for State aid award directly to County-provided programs or services, as existing appropriations are sufficient to meet 2023 program needs; additional revenue will be recognized through the year-end process to reduce net cost to the County.

Please do not hesitate to contact me if you have any questions or concerns.

### FISCAL IMPACT STATEMENT

□ NO FISCAL IMPACT PROJECTED	·
APPROPRIATION RESOL (To be completed by requesting of	
Total Current Year Cost \$ 1,282,603	
Total Current Year Revenue \$ 1,282,603 and Source	
State aid (from OMH and OASAS).	
Source of County Funds (check one): Existing Appropriations, Additional	opropriations, Contingency, al Appropriations, Other (explain).
Identify Line Items(s): Increase in State aid award, which is passed through to contra	ct agencies. See attached schedule.
Related Expenses: Amount \$ 0  Nature/Reason: Cost of living adjustment.	
Anticipated Savings to County: \$0	
Net County Cost (this year): \$0 Over Five Years: \$0	
Additional Comments/Explanation: A budget amendment is necessary to reflect additional state aid reven appropriations for contract agencies so that DBCH may pass these full Because these are pass-through funds there is no net impact to the C additional appropriations related to State Aid awarded directly to the C cover program costs.	ounty budget. DBCH is not seeking any
Prepared by: Karl Schlegel, Director of Fiscal Services	Prepared On: 11/30/2022

**Appropriations** 

	Appropriations	
A.4320.42.4400.4420	Contract Agencies Taconic Resources For Independ	2,047
A.4320.42.4400.4423	Contract Agencies Mental Health America of DC	191,085
A.4320.42.4400.4425	Contract Agencies Hudson River Housing	82,326
A.4320.42.4400.4429	Contract Agencies Gateway	102,037
A.4320.42.4400.4436	Contract Agencies Lexington Ctr	173,242
A.4320.42.4400.4443	Contract Agencies Council on Addiction Prevention	17,660
A,4320.42.4400.4447	Contract Agencies Astor Home	204,379
A.4320.42.4400.4455	Contract Agencies Rehab Programs Inc	7,738
A.4320.42.4400.4463	Contract Agencies DC ARC	3,605
A.4320.42.4400.4466	Contract Agencies Rehab Supp Serv	270,982
A.4320.42.4400.4472	Contract Agencies Access Supports For Living	7,963
A.4320.42.4400.4506	Contract Agencies Career Support Solutions	373
A.4320.42.4400.4655	Contract Agencies PEOPLE	219,166
A,4320.42.1100.1033	Total	1,282,603
	Revenues	17.000
A.4320.42.34860.03	Narc. Addictn Cntrl Cncl on Add Prev & Ed	17,660
A.4320.42.34900.07	Mental Health State Aid MHA	191,085
A.4320.42.34900.20	Mental Health RSS	270,982
A.4320.42.34900.21	Mental Health Gateway	102,037
A.4320.42.34900.22	Mental Health Taconic Resources	2,047
A.4320.42.34900.35	Mental Health Lexington Ctr	173,242
A.4320.42.34900.40	Mental Health Astor Child Guidance	204,379
A.4320.42.34900.50	Mental Health Rehab Prog Inc	7,738
A.4320.42.34900.55	Mental Health People	219,166
A.4320.42.34900.62	Mental Health Access: Supports for Living, Inc	7,963
A.4320.42.34900.64	Mental Health Hudson River Housing	82,326
A.4320.42.34900.80	Mental Health DC ARC	3,605
A.4320.42.34900.90	Mental Health Career Supp Solutions CSS	373
	Total	1,282,603

	Abilities 1st	Access	Astor	CAPE	CSS	Gateway	Hudson River Housing	Lexington	мна	NYSARC	PEOPLe	RSS	Taconic	
Situ tipe, 54,4000 427 2023 Adopted Budget - State Aid Revenue	14560.50 113,359	326,197	1,318,529	94860.03 588,635	34800.90 <b>5,276</b>	34909.01 923,286	3.1960.53 764,844	3,552,392	3,960.0.° 2,985,968	3.996.80 50,830	9/900.35 <b>1,578,097</b>	94900.20 2,605,485	42,283	
2023 Adjusted State Aid - OMH 2023 Adjusted State Aid - OASAS	\$ 121,097	\$ 334,160	\$ 1,522,908	\$ 606,295	\$ 5,649	\$ 1,025,323	\$ 847,170	\$ 1,725,634	\$ 3,118,589 \$ 58,464	\$ 54,435		\$ 2,876,467		
Total Adjusted Aid Revenue	121,097	334,160	1,522,908	606,295	5,649	1,025,323	847,170	1,725,634	3,177,053	54,435	1,797,263	2,876,467	44,330	
Agency Differences: Budget to Approved Aid	7,738	7,963	204,379	17,660	373	102,037	82,326	173,242	191,085	3,605	219,166	270,982	2,047	
Galling (a CCC) (C) Resolution Request	349 <i>6</i> 9.50 <b>7,738</b>	s4900.62 <b>7,963</b>	ತನಾಡಿಸು 204,379	3.0360.03 <b>17,660</b>	3/830.99 <b>373</b>	3750777 <b>102,037</b>	34°06°32 <b>82,326</b>		3/900.07 <b>191,085</b>	3,900.30 <b>3,605</b>	34960.65 <b>219,166</b>	34900.20 <b>270,982</b>	3,000.20 <b>2,047</b>	Total 1,282,603



KATHY HOCHUL

ANN MARIE T. SULLIVAN, M.D.

MOIRA TASHJIAN, MPA

Governor

Commissioner

**Executive Deputy Commissioner** 

December 14, 2022

Livia Santiago-Rosado Commissioner **Dutchess County Department of** Poughkeepsie, NY 12601

Dear Commissioner Santiago-Rosado:

The NYS Office of Mental Health (OMH) is issuing your January 1, 2022 to December 31, 2022 State Aid Letter (SAL) to reflect your latest allocation. Your total allocation amount currently is \$13,603,420. The allocations authorized in this letter include the full annual funding for initiatives previously

authorized as well as:

Additional funding for Workforce Development included in the 2022-23 Enacted State Budget for all PROS Programs. Additional details and guidance will be forthcoming.

Additional ACT funding to reflect the updated models and rates effective 4/1/2022.

Federal Funds - CMHS: FFY 2021 CMHS Coronavirus Response and Relief Supplemental Appropriations Act (CRRSAA) and American Rescue Plan Act (ARPA) awards (FSC 041H, 041Z, 044C, and 044S) are funded for awards issued to date.

Please ensure the County Allocation Tracker (CAT) is updated to agree with the State Aid Letter allocations and reflects all county contracts funded with State Aid. Guidelines for completion of the CCR/CFR can be accessed through the OMH website. If any of your providers need assistance in completing these forms, they should contact the OMH Help Desk at 1-800-HELPNYS.

The Aid to Localities Spending Plan Guidelines, which explain the reporting and use requirements of your authorized funding, can also be accessed through the OMH website. Please share this website with all of your subcontract providers so that they may become familiar with the guidelines that apply to them and refer to the guidelines as necessary. As a reminder, failure to submit the CAT, and/or CCR/CFR schedules in a timely manner may result in the delay of subsequent State Aid payments and/or Medicaid payments.

Inherent in OMH's budget and claiming policy is the expectation that your department will monitor expenditures against budgeted costs throughout the year. Please notify your OMH Field Office of any significant fiscal or programmatic problems as soon as they become known.

If you have questions regarding any local mental health fiscal issues, including questions regarding the information or instructions that are included in this letter, please call Constance Bowens at OMH Hudson River Field Office at (845) 454-8229.

Sincerely,

Rachell Gaul, Assistant Director Community Budget & Financial Management

# New York State Office of Augustion Services and Supports State Aid Funding Authorization

SE ALB100 12/28/2022 10:56

County: Dutchess (14)

Fiscal Year: 2023

As of: 12/28/2022

Region: Hudson

						Ap	proved Budge	eted Amounts					
Agency Number/Name	Init Code	Program Code/Index	PRU Direct	Gross	Revenue	Net	Funded Net	Funding Code/Source	One- time	Approved State Aid	Local Share	Non- Funded	Rest Code
14020		3470 00	53597	380,237	323,476	56,761	56,761	013S		56,761	0	0	
		3480 00	53596 D	206,888	54,158	152,730	152,730	013S		152,730	0	0	
Mental Health America of Dutchess County, Inc.		3510 00	53595 D	1.001,692	196,607	805,085	805,085	013S		805,085	0	0	
Dutchess County, mo.	A: 000000000	3570 00	53594 D	550,401	256.627	293,774	293,774	013S		293,774	0	0	
		3570 01	53593 D	568,366	248,664	319,702	319,702	013S		319,702	0	0	
		3570 02	53592 D	400,207	139,644	260,563	260,563	013S		260,563	0	0	
·		Agency 140		3,107,791	1,219,176	1,888,615	1,888,615	Ali		1,888,615	0	0	
		0500 04	51777	1,255,677	566,368	689,309	689,309	013S		611,114	78,195	0	1
34200		3520 01 3520 02	50577	2.184,534	857,957	1,326,577	1,326,577	013S		804,271	522,306	0	1
Lexington Center for			52586	1,544,322	1,284,335	259,987	259,987	013S		259,987	0	0	,
Recovery, Inc.		3520 06 Agency 342		4,984,533	2,708,660	2,275,873	2,275,873	All		1,675,372	600,501	0	į
		0950 00	52981	158,100	0	158.100	158,100	013S		158,100	0	C	)
34340			90036	467,283	124,000	343,283	343,283	013S	MINISTER ST. 180	323,816	19,467	C	)
Council on Addiction			90674	208,518	101,799	106.719	106,719	013S		106,719	0		)
Prevention and Education of Dutchess County, Inc.		5550 00 Agency 343		833,901	225,799	608,102	608,102	All		588,635	19,467	O	)
70100		0890 00	70016	424,042	216,550	207,492	207,492	013S		113,029	94,463		0
70180		4072 00		77,069	520	76,549	76,549	013S		76,549	0		0
Dutchess County	JB	4072 00		189,752	29,752	160,000	160,000	013S		160,000	0	(	0
Department of Behavioral and Community Health (DBCH)	JR	Agency 70		690,863	246,822	444,041	444,041	All		349,578	94,463	(	0

# New York State Office of Agaiction Services and Supports State Aid Funding Authorization

SE PALB100 12/28/2022 10:56

County: Dutchess (14)

Fiscal Year: 2023

As of: 12/28/2022

Region: Hudson

**Approved Budgeted Amounts** 

Agency Number/Name	Init Program Code Code/Index PRU Direct	Gross	Revenue	Net	Funded Net	Funding Code/Source	One- time	Approved State Aid	Local Share		Restr. Code
County Dutchess (1	4) Summary - All Agencies:	9,617,088	4,400,457	5,216,631	5,216,631	All		4,502,200	714,431	0	
Less	Direct Contracts/DASNY:	2,727,554	895,700	1,831,854	1,831,854	All		1,831,854	0	0	
Approved LGU Fund	ding:	6,889,534	3,504,757	3,384,777	3,384,777	All		2,670,346	714,431	0	

Signature

Date

KATHY HOCHUL

ANN MARIET. SULLIVAN, M.D.

MOIRA TASHJIAN, MPA

Governor

Commissioner

**Executive Deputy Commissioner** 

September 29, 2023

Livia Santiago-Rosado Commissioner Dutchess County Department of Poughkeepsie, NY 12601

Dear Commissioner Santiago-Rosado:

The NYS Office of Mental Health (OMH) is issuing your January 1, 2023 to December 31, 2023 State Aid Letter (SAL) to reflect your latest allocation. Your total allocation amount currently is \$15,413,860. The allocations authorized in this letter include the full annual funding for initiatives previously authorized as well as:

Federall Funds CMHS FSC 041H, 041Z, 044C, and 044S: FFY 2021 CMHS Coronavirus Response and Relief Supplemental Appropriations Act (CRRSAA) and American Rescue Plan Act (ARPA) awards are allocated for awards issued to date.

Federal Funds CMHS FSC 041 and 044: The FFY 2022 awards are allocated with the January ¿ December 2023 payment dates.

Please ensure the County Allocation Tracker (CAT) is updated to agree with the State Aid Letter allocations and reflects all county contracts funded with State Aid. Questions pertaining to the CAT can be directed to your Field Office.

Guidelines for completion of the Consolidated Fiscal Report (CFR), which includes the Consolidated Claim Report (CCR), can be accessed through the OMH website: https://omh.ny.gov/omhweb/finance/main.htm. The CFR must be completed by service providers that receive funding to operate an OMH program, operate an OMH certified program or are authorized to operate an OMH program through a designation process. If any of your providers need assistance in completing these forms, they should contact the OMH CFR Unit at CFR@omh.ny.gov.

The Aid to Localities Spending Plan Guidelines, which outline the rules and intent of the funding,, can be obtained through your Field Office. Please share these guidelines with your subcontract providers so that they may become familiar with the guidelines that apply to them and refer to the guidelines as necessary.

As a reminder, failure to submit the CAT, and/or CFR/CCR schedules in a timely manner may result in the delay of subsequent State Aid payments and/or Medicaid payments.

Inherent in OMH's budget and claiming policy is the expectation that your department will monitor expenditures against budgeted costs throughout the year. Please notify your OMH Field Office of any significant fiscal or programmatic problems as soon as they become known.

If you have questions regarding any local mental health fiscal issues, including questions regarding the information or instructions that are included in this letter, please call Constance Bowens at OMH Hudson River Field Office at (845) 454-8229.

Sincerely,

munity Budget 8	stant Director & Financia <b>l</b> Ma	nagement			
	·				

# New York State Office of Acurction Services and Supports State Aid Funding Authorization

SB<sub>1</sub> ALB100 11/16/2023 12:05

County: Dutchess (14)

Fiscal Year: 2023

As of: 11/16/2023

Region: Hudson

			and a second			Α	pproved Budge	eted Amounts					
Agency Number/Name		gram e/Index	PRU Direct	Gross	Revenue	Net	Funded Net	Funding Code/Source	One- time	Approved State Aid	Local Share	Non- Funded	Restr. Code
14020	347	0 00	53597	531,249	472,785	58,464	58,464	013S		58,464	0	0	
Mental Health America of Dutchess County, Inc.	Agen	cy 140	20 Total:	531,249	472,785	58,464	58,464	All		58,464	0	0	
34200	352	0 01	51777	1,305,939	566,368	739,571	739,571	0138		661,376	78,195	. 0	
Lexington Center for	352	0 02	50577	2,184,534	857,957	1,326,577	1,326,577	013S		804,271	522,306	0	
Recovery, Inc.	352	0 06	52586	1,544,322	1,284,335	259,987	259,987	013S		259,987	0	0	
	Ager	ıcy 342	:00 Total:	5,034,795	2,708,660	2,326,135	2,326,135	All		1,725,634	600,501	0	
34340	095	50 00	52981	158,100	0	158,100	158,100	013S		158,100	0	0	
Council on Addiction	552	20 00	90036	484,943	124,000	360,943	360,943	0138		341,476	19,467	0	
Prevention and	555	00 00	90674	208,518	101,799	106,719	106,719	013S		106,719	0	0	
Education of Dutchess County, Inc.	Age	ncy 343	340 Total:	851,561	225,799	625,762	625,762	All		606,295	19,467	. 0	
70180	089	90 00	70016	424,042	216,550	207,492	207,492	013S		113,029	94,463	0	)
Dutchess County	40	72 00	52439	87,558	520	87,038	87,038	013S		87,038	0	0	)
Department of	JB 40	34 00	53436	189,752	29,752	160,000	160,000	013S		160,000	0	0	)
Behavioral and Community Health (DBCH)	Age	1cy 70	180 Total:	701,352	246,822	454,530	454,530	All		360,067	94,463	0	
County Dutchess (14) Summary - All Agencies:		encies:	7,118,957	3,654,066	3,464,891	3,464,891	All		2,750,460	714,431	0		
Less Dire	ct Contract	s/DASI	NY:	0	0	0	0	All .		0	0	0	
Approved LGU Funding				7,118,957	3,654,066	3,464,891	3,464,891	All		2,750,460	714,431	0	

Signature	Date

# Family and Human Services Committee Roll Call

	District	Name	Yes	No
District 19	- Towns of North East, Stanford, Pine Plains, Milan	Pulver*		
	District 7 - Towns of Hyde Park and Poughkeepsie	Truitt*		
District :	25 - Towns of Amenia, Washington, Pleasant Valley	Houston*		
	District 16 - Town of Fishkill and City of Beacon	Valdés Smith*		
	District 10 - City of Poughkeepsie	Atkins*		
	District 3 - Town of LaGrange	Polasek		
	District 4 - Hyde Park	Geller		
	District 8 - City and Town of Poughkeepsie	Brendli		***************************************
District 13	- Towns of LaGrange, East Fishkill, and Wappinger	Bolner		
	District 17 - Town and Village of Fishkill	McHoul (VC)		
	District 18 - City of Beacon and Town of Fishkill	Page		
	District 22 - Towns of Beekman and Union Vale	Garito (C)		
Present:	12 Resolution:	Total :	12	0
Absent: Vacant:		Abstentions:	O <sup>Yes</sup>	No

# **Roll Call Sheets**

District 19 - Towns of North East, Stanford, Pine Plains, Milan			
<u> </u>	Pulver	V	
District 7 - Towns of Hyde Park and Poughkeepsie	Truitt		
District 25 - Towns of Amenia, Washington, Pleasant Valley	Houston		
District 16 - Town of Fishkill and City of Beacon	Valdés Smith		
District 10 - City of Poughkeepsie	Atkins		
District 1 - Town of Poughkeepsie	Llaverias	<u> </u>	
District 2 - Towns of Pleasant Valley and Poughkeepsie	Sagliano		
District 3 - Town of LaGrange	Polasek		
District 4 - Hyde Park	Geller		
District 5 - Town of Poughkeepsie	Keith		
District 6 - Town of Poughkeepsie	D'Aquanni		
District 8 - City and Town of Poughkeepsie	Brendli		
District 9 - City of Poughkeepsie	Johnson		
District 11 - Towns of Rhinebeck and Clinton	Kearney		
District 12 - Town of East Fishkill	Metzger		
District 13 - Towns of LaGrange, East Fishkill, and Wappinger	Bolner		
District 14 - Town of Wappinger	Paoloni		
District 15 - Town of Wappinger	Cavaccini		
District 17 - Town and Village of Fishkill	McHoul		
District 18 - City of Beacon and Town of Fishkill	Page		
District 20 - Town of Red Hook/Tivoli	Munn		
District 21 - Town of East Fishkill	Caswell		
District 22 - Towns of Beekman and Union Vale	Garito		
District 23 - Towns of Pawling, Beekman and East Fishkill	Hauser	abs	eut.
District 24 - Towns of Dover and Union Vale	Surman	abs	ent
ent: Resolution: V	Total :	_23	

2023239 AMENDING THE 2023 ADOPTED COUNTY BUDGET AS IT PERTAINS TO THE DEPARTMENT OF BEHAVIORAL AND COMMUNITY HEALTH

RESOLUTION NO. 2023240

RE: APPOINTMENT AND REAPPOINTMENT TO THE RESOURCE RECOVERY AGENCY BOARD OF DIRECTORS

Legislators CAVACCINI and KEITH offer the following and move its adoption:

WHEREAS, there exist vacancies on the Board of Directors of the Dutchess County Resource Recovery Agency by reason of the resignation of David E. Petrovits, who was jointly appointed by the County Executive and the Chairman of the Legislature, and the expiration of term of Dean Michael, who was appointed by the Chairman of the Legislature, and

WHEREAS, Public Authorities Law Section 2047-c provides for the appointment of one member of the Dutchess County Resource Recovery Agency jointly by the County Executive and the Chairman of the Legislature, subject to the confirmation of the Legislature, and

WHEREAS, the Acting County Executive and the Chairman of the Dutchess County Legislature have jointly appointed Allan R. Page of Poughkeepsie, New York to fill a new term to the Board subject to confirmation by the Dutchess County Legislature, and

WHEREAS, the Chairman of the Legislature has reappointed Dean Michael to the Board of the Dutchess County Resource Recovery Agency subject to confirmation by the Dutchess County Legislature, now therefore, be it

RESOLVED, that the Dutchess County Legislature does hereby ratify and confirm the appointment of Allan R. Page and reappointment of Dean Michael for terms to expire on December 31, 2026.

APPOINTMENT:

TERM:

Allan R. Page 6 Gaskin Road

Poughkeepsie, NY 12601

SS:

(Filling term of David E. Petrovits resignation)

REAPPOINTMENT:

TERM:

Dean Michael

CA-176-23 CEB/rjw/G-0852-E 12/08/23

Fiscal Impact: See Attached

STATE OF NEW YORK

COUNTY OF DUTCHESS

1/1/2024 through 12/31/2026

1/1/2024 through 12/31/2026

/ /WILLIAM F.X. O'NEIL ACTING COUNTY EXECUTIVE

Date 12/20/23

This is to certify that I, the undersigned Clerk of the Legislature of the County of Dutchess, have compared the foregoing resolution with the original resolution now on file in the office of said clerk, and which was adopted by said Legislature on the 18th day of December 2023, and that the same is a true and correct transcript of said original resolution and of the whole thereof.

IN WITNESS WHEREOF, I have hereunto set my hand and seal of said Legislature this 18th day of December 2023



## FISCAL IMPACT STATEMENT

NO FISCAL IMPACT PROJECTED
APPROPRIATION RESOLUTIONS (To be completed by requesting department)
Total Current Year Cost \$
Total Current Year Revenue \$and Source
Source of County Funds <i>(check one):</i> Existing Appropriations, Contingency, Transfer of Existing Appropriations, Additional Appropriations, Other <i>(explain).</i>
Identify Line Items(s):
Related Expenses: Amount \$ Nature/Reason:
Anticipated Savings to County:
Net County Cost (this year): Over Five Years:
Additional Comments/Explanation: Apointment of Allan Page and Reappointment of Dean Michael to Resource Recovery Agency
Prepared by: C. Baiano Prepared On: 12/6/2023

(845) 471-8634 APage@APageAssociates.com

### OPERATIONS & BUSINESS DEVELOPMENT EXECUTIVE

Accomplished at creating profitable business units while maximizing corporate short- and long-range strategies

Senior-level executive with vast experience in driving organizational change and a well-documented record for delivering sustainable results despite market, regulatory and competitive challenges. Skilled at identifying emerging opportunities and leveraging competencies to turnaround troubled projects while guiding others through growth and expansion. Combines a proactive and decisive leadership style with strong strategic planning, visioning and mentoring skills. Able to build consensus among diverse groups and develop cooperative relationships with government agencies and business partners.

#### EXECUTIVE LEADERSHIP COMPETENCIES

- Strategy, Vision & Tactical Development
- Organizational Culture & Mission Planning
- Regulatory Affairs & Compliance
- Growth & Development Strategy, Value Analysis
- Acquisition, Integration & Post-Integration Leadership

#### FINANCIAL / P&L QUALIFICATIONS

- Multi-Site Operating Management
- Corporate and Investor Relations / P&L
- Internal Change / Reorganization / Turnarounds
- Business Planning & Organizational Development
- Deal Structuring / Contract Negotiations

#### PROFESSIONAL EXPERIENCE

#### A. PAGE & ASSOCIATES LLC

2002 to Present

Founder and Principal of an energy consulting firm, providing organizational development and market related services to business and government. Developed bulk power electrical interconnection and sale of output agreements for renewable energy projects in the US, Dominican Republic, Jamaica, Uganda, Romania, and Italy. Developed proposals and negotiated contracts for the purchase of bulk power supplies through the New York Independent System Operator's market place. Represented or followed proceedings for clients before the New York State Public Service Commission or Federal Energy Regulatory Commission. Developed and managed the implementation of Strategic and Tactical Plans for clients. Provided operational, policy, and strategic-advise and counsel-to key leadership personnel in business and government. In trade missions to The United Arab Emirates and India, represented renewable energy businesses located in the Hudson Valley of New York State.

#### CHENERGY GROUP (Formerly Central Hudson Gas & Electric)

2000 to 2002

(Served as President for this holding company of regulated and competitive business units.)

President & COO—<u>Central Hudson Energy Services</u> (1999 to 2002) Holding company under CH Energy Group Guided the critical transition into the competitive marketplace with the challenge to develop market vision, tactical business plans and operating infrastructure for four entrepreneurial business units. Despite aggressive reorganization, accelerated profits by \$1.76M and concurrently grew asset value from \$22M to \$200M within three years.

President & CEO—Central Hudson Enterprises Corp. (1998 to 2002) \$41M annual revenues

Subsidiary company providing engineered energy-efficiency services, power marketing and retail electric supply to the marketplace. Captured 60% of the electric retail market in Mid-Hudson Valley. Accelerated revenue and profit performance through process redesign, cost-saving initiatives and technology improvements.

#### President & CEO-Scasco (1998 to 2002)

\$38M annual revenues

Acquired a retail oil company in Northwestern CT and initiated several key acquisitions (\$22M in investments) to build customer base from 5,000 to 18,000. Designed business infrastructure and several support programs to achieve seamless integration. Entered the retail propane market in CT and eastern NY. Started and grew the natural gas business organically by 30% over two years to become one of the top five natural gas suppliers to New England.

Acquired the largest retail oil company in the Washington DC area (43,000 customers). Spurred further growth and expansion through purchase of six companies (five oil and one propane)—bringing customer count to 60,000. Launched a residential marketing campaign and grew customer base by 4.7% (despite an industry net-growth average of near zero).

#### President & CEO—CH Resources (1998 to 2002)

\$35M annual revenues

Launched the development, set-up and start-up of electric generation power plants in the Northeast. Brought plants on-line and sold the output in the daily NY market and through long-term contracts. Exceeded profit, environmental and efficiency goals through a strong operational infrastructure and engineered plant enhancements. Established a zero-cost tire derived fuel supply. Sold business unit for \$61M realizing a pre-tax gain of 45% over total asset value.

#### CENTRAL HUDSON GAS & ELECTRIC

1970 to 1998

#### Executive VP Energy Resources—Development & Transition (1998 to 1999)

Led aggressive repositioning efforts involved with restructuring Central Hudson in preparation for deregulation. Given full leadership authority for negotiating complex business partnerships and creating business plans to expand market penetration. Defined business opportunities, outlined critical success factors, developed corporate strategy and reinvented virtually all major operating processes to eliminate redundancy. Increased Power Marketing's gross off-system sales revenues net of fuel from \$4.5M to \$16M within one year.

#### Vice President/Senior Vice President of Corporate Services

(1992 to 1996/1996 to 1998 respectively)

Senior management responsibility for Corporate Information Systems, Energy Control, System Operations Gas & Electric, Stock Purchasing, Stores, Transportation, Security and Fuels Purchasing (nine direct reports and 300+ employees).

#### Vice President of Customer Services

(1988 to 1992)

Highly visible position involving direct oversight of all customer relation functions and direct on-site services for CHG&E's customer base of 300,000. Also accountable for leading the operations and construction efforts of gas and electric transmission and distribution facilities for the entire CHG&E system.

### CENTRAL HUDSON GAS & ELECTRIC (ENGINEERING/MANAGEMENT PROGRESSION)

Assistant Vice President of Engineering

(1984 to 1988)

Regional & Division Management positions

(1979 to 1984)

Regional & Staff Engineering positions

(1970 to 1979)

#### EDUCATION / CREDENTIALS

#### Union College, Schenectady, NY

M.S. in Industrial Administration

B.S. in Electrical Engineering

B.S.C.E & B.S. in Physics

#### **Professional Development**

Edison Electric Institute Executive Program University of Michigan Executive Program NYS Professional Engineer's License

#### COMMUNITY SERVICE (PARTIAL LIST)

#### **Current Service**

- -Founder & Chair of The Hudson Renewable Energy Institute, Inc
- -Board of Hudson Valley World Affairs Council
- -Board and Former Chair of The Hudson Valley Council of the Boy Scouts of America

#### Past Service (Leadership Positions Held)

- -St. Francis Hospital Board of Trustees
- -Dutchess County United Way (Campaign Chair)
- -MetroPool Inc. Board Chair
- -Arlington Rotary (President)
- -Southern Dutchess Chamber of Commerce (President)
- -Dutchess County Work Force Investment Board (Chair)
- -Dutchess County Electrical Licensing Board (Chair)
- -Union College Deans Engineering Council (Chair)

## Environment Committee Roll Call

	District	Name	Yes	No
District 19 - Tov	vns of North East, Stanford, Pine Plains, Milan	Pulver*		
Distr	rict 7 - Towns of Hyde Park and Poughkeepsie	Truitt*		
District 25 - T	owns of Amenia, Washington, Pleasant Valley	Houston*		
. C	District 16 - Town of Fishkill and City of Beacon	Valdés Smith*		
	District 10 - City of Poughkeepsie	Atkins*		
	District 1 - Town of Poughkeepsie	Llaverias	abs	ent
	District 6 - Town of Poughkeepsie	D'Aquanni		
District 13 - Tov	vns of LaGrange, East Fishkill, and Wappinger	Bolner		
	District 15 - Town of Wappinger	Cavaccini (C)		
	District 20 - Town of Red Hook/Tivoli	Munn		
District 23 -	Towns of Pawling, Beekman and East Fishkill	Hauser (VC)	abs	ent
	District 24 - Towns of Dover and Union Vale	Surman	abs	ent
Present:	Resolution:	Total :	9	_0
Absent: 3	Motion:	Abstentions	Yes	No

## **Roll Call Sheets**

rict .	Last Name	YES/	NO
District 19 - Towns of North East, Stanford, Pine Plains, Milan	Pulver		
District 7 - Towns of Hyde Park and Poughkeepsie	Truitt		
District 25 - Towns of Amenia, Washington, Pleasant Valley	Houston		
District 16 - Town of Fishkill and City of Beacon	Valdés Smith		
District 10 - City of Poughkeepsie	Atkins		
District 1 - Town of Poughkeepsie	Llaverias		
District 2 - Towns of Pleasant Valley and Poughkeepsie	Sagliano		
District 3 - Town of LaGrange	Polasek		
District 4 - Hyde Park	Geller		
District 5 - Town of Poughkeepsie	Keith		
District 6 - Town of Poughkeepsie	D'Aquanni		
District 8 - City and Town of Poughkeepsie	Brendli		
District 9 - City of Poughkeepsie	Johnson		
District 11 - Towns of Rhinebeck and Clinton	Kearney		
District 12 - Town of East Fishkill	Metzger		
District 13 - Towns of LaGrange, East Fishkill, and Wappinger	Bolner		
District 14 - Town of Wappinger	Paoloni		
District 15 - Town of Wappinger	Cavaccini		
District 17 - Town and Village of Fishkill	McHoul		
District 18 - City of Beacon and Town of Fishkill	Page		
District 20 - Town of Red Hook/Tivoli	Munn		
District 21 - Town of East Fishkill	Caswell		
District 22 - Towns of Beekman and Union Vale	Garito	la de la dela de	
District 23 - Towns of Pawling, Beekman and East Fishkill	Hauser	ab	leut
District 24 - Towns of Dover and Union Vale	Surman	ahs	eut
nt: Resolution:	Total :	23	_0

2023240 APPOINTMENT AND REAPPOINTMENT TO THE RESOURCE RECOVERY AGENCY BOARD OF DIRECTORS

**GOVERNMENT SERVICES & ADMINISTRATION** 

RESOLUTION NO. 2023241

RE: ESTABLISHING DATE OF 2024 ORGANIZATIONAL MEETING

Legislators CASWELL, TRUITT, and HOUSTON offer the following and move its adoption:

RESOLVED, that pursuant to Article I of the Permanent Rules of the Dutchess County Legislature and Section 151 of the County Law, the Dutchess County Legislature shall conduct the Organizational Meeting of the Dutchess County Legislature for 2024 on January 3 at 6:00 p.m. in the Legislative Chambers in the Dutchess County Office Building, 22 Market Street, Poughkeepsie New York.

WILLIAM F.X. OTNEHL ACTING COUNTY EXECUTIVE

Date 12/20/23

CLERK OF THE LEGISLATURE

STATE OF NEW YORK

COUNTY OF DUTCHESS

This is to certify that I, the undersigned Clerk of the Legislature of the County of Dutchess, have compared the foregoing resolution with the original resolution now on file in the office of said clerk, and which was adopted by said Legislature on the 18th day of December 2023, and that the same is a true and correct transcript of said original resolution and of the whole thereof.

IN WITNESS WHEREOF, I have hereunto set my hand and seal of said Legislature this 18th day of December 2023.

# Government Services and Administration Roll Call

	District Na	те	Yes,	No
District	19 - Towns of North East, Stanford, Pine Plains, Milan	Pulver*		
	District 7 - Towns of Hyde Park and Poughkeepsie	Truitt*		
Distri	ct 25 - Towns of Amenia, Washington, Pleasant Valley	Houston*		
	District 16 - Town of Fishkill and City of Beacon	Valdés Smith*		
	District 10 - City of Poughkeepsie	Atkins*		
D	District 2 - Towns of Pleasant Valley and Poughkeepsie	Sagliano		
District	13 - Towns of LaGrange, East Fishkill, and Wappinger	Bolner		
	District 14 - Town of Wappinger	Paoloni (VC)		
	District 18 - City of Beacon and Town of Fishkill	Page		
44.000000778.000.000777	District 20 - Town of Red Hook/Tivoli	Munn		
	District 21 - Town of East Fishkill	Caswell (C)		
	District 22 - Towns of Beekman and Union Vale	Garito		
resent:	Resolution:	Total:	12	
bsent: acant:	$\frac{O}{O}$ Motion:	Abstentions:	Yes	No

# **Roll Call Sheets**

	Last Name	YES	NO
District 19 - Towns of North East, Stanford, Pine Plains, Milan	Pulver	V	
District 7 - Towns of Hyde Park and Poughkeepsie	Truitt		
District 25 - Towns of Amenia, Washington, Pleasant Valley	Houston		
District 16 - Town of Fishkill and City of Beacon	Valdés Smith		
District 10 - City of Poughkeepsie	Atkins		
District 1 - Town of Poughkeepsie	Llaverias		
District 2 - Towns of Pleasant Valley and Poughkeepsie	Sagliano		
District 3 - Town of LaGrange	Polasek		
District 4 - Hyde Park	Geller		
District 5 - Town of Poughkeepsie	Keith		
District 6 - Town of Poughkeepsie	D'Aquanni		
District 8 - City and Town of Poughkeepsie	Brendli		
District 9 - City of Poughkeepsie	Johnson		
District 11 - Towns of Rhinebeck and Clinton	Kearney		
District 12 - Town of East Fishkill	Metzger		
District 13 - Towns of LaGrange, East Fishkill, and Wappinger	Bolner		
District 14 - Town of Wappinger	Paoloni		
District 15 - Town of Wappinger	Cavaccini		
District 17 - Town and Village of Fishkill	McHoul		
District 18 - City of Beacon and Town of Fishkill	Page		
District 20 - Town of Red Hook/Tivoli	Munn		
District 21 - Town of East Fishkill	Caswell		
District 22 - Towns of Beekman and Union Vale	Garito		
District 23 - Towns of Pawling, Beekman and East Fishkill	Hauser	absi	ent.
District 24 - Towns of Dover and Union Vale	Surman	ab	ent
ent: Resolution: V	Total:	_ <u>23</u> Yes	 No

RESOLUTION NO. 2023242

CONFIRMING THE APPOINTMENT OF STEPHEN OSCARLECE AS RE: ACTING COMMISSIONER OF THE DUTCHESS COUNTY DEPARTMENT OF CENTRAL AND INFORMATION SERVICES

Legislators CASWELL and TRUITT offer the following and moves its adoption:

WHEREAS, Glenn R. Marchi, Ph.D., the Commissioner of the Dutchess County Department of Central and Information Services ("OCIS"), is separating from county service on or about December 6, 2023, thus creating a vacancy in the position of Commissioner of OCIS, and

WHEREAS, pursuant to Section 3.04 of the Dutchess County Charter, the County Executive appointed Stephen Oscarlece as Acting Commissioner of OCIS effective December 7, 2023, and

WHEREAS, the County Executive has requested that the appointment of Acting Commissioner be extended for a period of time through December 31, 2024, and

WHEREAS, pursuant to Section 3.04 of the Dutchess County Charter, this Legislature has the authority to extend Mr. Oscarlece's appointment for this time period, now, therefore, be it

RESOLVED, that the Legislature of the County of Dutchess does hereby confirm the appointment of Stephen Oscarlece as Acting Commissioner of Central and Information Services of Dutchess County effective December 7, 2023 and is extended through December 31, 2024.

CA-175-23 CEB/rjw G-0115 12/1/2023

STATE OF NEW YORK

COUNTY OF DUTCHESS

This is to certify that I, the undersigned Clerk of the Legislature of the County of Dutchess, have compared the foregoing resolution with the original resolution now on file in the office of said clerk, and which was adopted by said Legislature on the 18th day of December 2023, and that the same is a true and correct transcript of said original resolution and of the whole thereof.

IN WITNESS WHEREOF, I have hereunto set my hand and seal of said Legislature this 18th day of December 2023.



### **COUNTY OF DUTCHESS**

William F.X. O'Neil
County Executive

November 29, 2023

Mr. Bradford Kendall Dutchess County Clerk 22 Market Street Poughkeepsie, NY 12601

Dear County Clerk Kendall:

I am pleased to appoint Steven Oscarlece as Acting Commissioner of the Dutchess County Office for Central and Information Services (OCIS), effective December 7, 2023.

Steve's two decades of service to Dutchess County Government in OCIS have prepared him well for this new role, and I am grateful he has accepted this challenge, helping the department seamlessly transition until the next County Executive appoints a permanent Commissioner.

Since joining county government in 2003, Steve has a track record of leadership, communication skills, a never-ceasing willingness to collaborate, and, of course, exceptional technical expertise. I congratulate him on this latest achievement, and I'm confident Steve will have every success in this interim role and lead his department well.

Sincerely,

William F. X. O'Neil

**Dutchess County Executive** 

C: Leigh Wager, Clerk of the Legislature

### FISCAL IMPACT STATEMENT

NO FISCAL IMPACT PROJECTED
APPROPRIATION RESOLUTIONS  (To be completed by requesting department)
Total Current Year Cost \$ 0
Total Current Year Revenue \$and Source
Source of County Funds (check one):
Related Expenses: Amount \$ Nature/Reason:
Anticipated Savings to County:
Net County Cost (this year): \$0 Over Five Years:
Additional Comments/Explanation:  Following the resignation of Commissioner of Central and Information Services, Glenn Marchi Ph.D., the Acting County Executive has determined that Stephen Oscarlece is qualified to perform the duties and to step in as Acting Commissioner of Central and Information Services. The vacancy savings in Stephen Oscarlece's Deputy position will offset the cost of the increase.
Prepared by: Tiffanie Massey Prepared On: 12/1/2023

### Government Services and Administration Roll Call

	District Na	me	Yes	No
District 19	- Towns of North East, Stanford, Pine Plains, Milan	Pulver*		
	District 7 - Towns of Hyde Park and Poughkeepsie	Truitt*		
District 2	5 - Towns of Amenia, Washington, Pleasant Valley	Houston*		
	District 16 - Town of Fishkill and City of Beacon	Valdés Smith*		
	District 10 - City of Poughkeepsie	Atkins*		
Distr	rict 2 - Towns of Pleasant Valley and Poughkeepsie	Sagliano		
District 13	- Towns of LaGrange, East Fishkill, and Wappinger	Bolner		
	District 14 - Town of Wappinger	Paoloni (VC)		
	District 18 - City of Beacon and Town of Fishkill	Page		
	District 20 - Town of Red Hook/Tivoli	Munn		
	District 21 - Town of East Fishkill	Caswell (C)		and the same of th
, 1	District 22 - Towns of Beekman and Union Vale	Garito		
resent:	Resolution:	Total :	12	0
bsent:	O Motion:	Abstentions:	Yes	No

2023242 CONFIRMING THE APPOINTMENT OF STEPHEN OSCARLECE AS ACTING COMMISSIONER OF THE DUTCHESS COUNTY DEPÄRTMENT OF CENTRAL AND INFORMATION SERVICES

### **Roll Call Sheets**

rict	Last Name	YES	NO
District 19 - Towns of North East, Stanford, Pine Plains, Milan	Pulver		
District 7 - Towns of Hyde Park and Poughkeepsie	Truitt	2	
District 25 - Towns of Amenia, Washington, Pleasant Valley	Houston	3	
District 16 - Town of Fishkill and City of Beacon	Valdés Smith	4	
District 10 - City of Poughkeepsie	Atkins	5	
District 1 - Town of Poughkeepsie	Llaverias	6	
District 2 - Towns of Pleasant Valley and Poughkeepsie	Sagliano	7	
District 3 - Town of LaGrange	Polasek	8	
District 4 - Hyde Park	Geller	9	
District 5 - Town of Poughkeepsie	Keith	10	
District 6 - Town of Poughkeepsie	D'Aquanni	///	
District 8 - City and Town of Poughkeepsie	Brendli	12	
District 9 - City of Poughkeepsie	Johnson	13	
District 11 - Towns of Rhinebeck and Clinton	Kearney	14	
District 12 - Town of East Fishkill	Metzger	15	
District 13 - Towns of LaGrange, East Fishkill, and Wappinger	Bolner	16	
District 14 - Town of Wappinger	Paoloni	17	
District 15 - Town of Wappinger	Cavaccini	18	
District 17 - Town and Village of Fishkill	McHoul	19	
District 18 - City of Beacon and Town of Fishkill	Page	20	
District 20 - Town of Red Hook/Tivoli	Munn	21	
District 21 - Town of East Fishkill	Caswell	22	
District 22 - Towns of Beekman and Union Vale	Garito	23	
District 23 - Towns of Pawling, Beekman and East Fishkill	Hauser	abs	eut
District 24 - Towns of Dover and Union Vale	Surman	also	ent
ent: $\frac{23}{}$ Resolution: $\frac{}{}$	Total:	_23	<u> </u>
nt: <u>-</u> Motion:	Abstenti	Yes	No

2023242 CONFIRMING THE APPOINTMENT OF STEPHEN OSCARLECE AS ACTING COMMISSIONER OF THE DUTCHESS COUNTY DEPARTMENT OF CENTRAL AND INFORMATION SERVICES

#### RESOLUTION NO. 2023243

RE: UNPAID WATER AND SEWER RENTS

Legislators POLASEK and PULVER offer the following and move its adoption:

WHEREAS, the Director of the Real Property Tax Service Agency has submitted a statement showing the unpaid water and sewer rents as referred by the various towns in Dutchess County and the Dutchess County Water District, as attached hereto, now, therefore, be it

RESOLVED, that pursuant to Section 198, paragraph 3, subdivision (d) and Section 198, paragraph 1, subdivision (k) of the Town Law and Article 5, Title 6-C of the Public Authorities Law, there be levied and assessed unpaid sewer and water rents as certified by the various Town Boards in the water and sewer districts and by the Director of Dutchess County Water and Wastewater Authority for the Dutchess County Water District, as attached hereto, and be it further

RESOLVED, that the assessments as levied and assessed on the attached list, when collected, be paid to the Supervisor of the various Towns, and, for the Dutchess County Water District, to the Dutchess County Commissioner of Finance.

CA-127-23 CRC/rjw G-0131 10/2/23

Fiscal Impact: See Attached

WILLIAM F.X. O'NEIL ACTING COUNTY EXECUTIVE

Date 12/20/23

STATE OF NEW YORK

COUNTY OF DUTCHESS

This is to certify that I, the undersigned Clerk of the Legislature of the County of Dutchess, have compared the foregoing resolution with the original resolution now on file in the office of said clerk, and which was adopted by said Legislature on the 18th day of December 2023, and that

the same is a true and correct transcript of said original resolution and of the whole thereof.

IN WITNESS WHEREOF, I have hereunto set my hand and seal of said Legislature this 18th day of December 2023.



R, CLERK OF THE REGISLATURE

#### **UNPAID WATER AND SEWER RENT**

#### 2023/2024

TOWN	WATER	SEWER	MISC.
AMENIA	24,180.37		
BEEKMAN	12,521.53	12,521.53	
EAST FISHKILL	225,336.93	125,681.49	
FISHKILL	214,267.99		•
LAGRANGE	158,177.21	•	9,961.38
NORTH EAST	8,929.75		
PAWLING	21,641.23	39,463.23	
PINE PLAINS	13,106.98		
POUGHKEEPSIE	422,619.64	266,068.01	
RED HOOK	13,653.54		
WAPPINGER	212,706.20	330,365.20	
DUTCHESS COUNTY WATER/WASTEWATER	737,719.66		

# Budget, Finance, and Personnel Committee Roll Call

	District	Name	Yes	No
Dis	strict 19 - Towns of North East, Stanford, Pine Plains, Milan	Pulver*	V	
	District 7 - Towns of Hyde Park and Poughkeepsie	Truitt*		
	District 25 - Towns of Amenia, Washington, Pleasant Valley	Houston*		And the second s
	District 16 - Town of Fishkill and City of Beacon	Valdés Smith*		
	District 10 - City of Poughkeepsie	Atkins*		
	District 2 - Towns of Pleasant Valley and Poughkeepsie	Sagliano		
	District 3 - Town of LaGrange	Polasek (C)		
	District 5 - Town of Poughkeepsie	Keith		
	District 8 - City and Town of Poughkeepsie	Brendli		
	District 9 - City of Poughkeepsie	Johnson		
	District 12 - Town of East Fishkill	Metzger		
	District 21 - Town of East Fishkill	Caswell (VC)		
Present:	12 Resolution:	Total :	12	0
Absent:			Yes	No
Vacant:	<u>U</u>	Abstentions	:_ <u>U</u>	

## **Roll Call Sheets**

Bookse 26 Tarasa (2012) Basic Basic Bookse Brown Brown	1 200	//	
District 19 - Towns of North East, Stanford, Pine Plains, Milan	Pulver	V	
District 7 - Towns of Hyde Park and Poughkeepsie	Truitt		
District 25 - Towns of Amenia, Washington, Pleasant Valley	Houston		
District 16 - Town of Fishkill and City of Beacon	Valdés Smith		
District 10 - City of Poughkeepsie	Atkins		
District 1 - Town of Poughkeepsie	Llaverias		
District 2 - Towns of Pleasant Valley and Poughkeepsie	Sagliano	a (fayoda	
District 3 - Town of LaGrange	Polasek		
District 4 - Hyde Park	Geller		
District 5 - Town of Poughkeepsie	Keith		
District 6 - Town of Poughkeepsie	D'Aquanni		
District 8 - City and Town of Poughkeepsie	Brendli		
District 9 - City of Poughkeepsie	Johnson		
District 11 - Towns of Rhinebeck and Clinton	Kearney		
District 12 - Town of East Fishkill	Metzger		
District 13 - Towns of LaGrange, East Fishkill, and Wappinger	Bolner		
District 14 - Town of Wappinger	Paoloni		
District 15 - Town of Wappinger	Cavaccini		
District 17 - Town and Village of Fishkill	McHoul		
District 18 - City of Beacon and Town of Fishkill	Page		
District 20 - Town of Red Hook/Tivoli	Munn		
District 21 - Town of East Fishkill	Caswell		
District 22 - Towns of Beekman and Union Vale	Garito		
District 23 - Towns of Pawling, Beekman and East Fishkill	Hauser	ab	sent
District 24 - Towns of Dover and Union Vale	Surman	ab	sent
nt: 🔑 Resolution: 📈	Total:	23	_0

2023243 UNPAID WATER AND SEWER RENTS

#### BUDGET, FINANCE & PERSONNEL

#### RESOLUTION NO. 2023244

LEVY OF COUNTY, TOWN, VILLAGE, AND SPECIAL DISTRICT TAXES RE:

Legislators POLASEK and PULVER offer the following and move its adoption:

RESOLVED, that the amount of the taxes levied and assessed on the taxable property of the Towns and Villages of Dutchess County for the County, Town, Village and Special District taxes be and the same hereby is established in accordance with the Report of the Director of Real Property Tax Service annexed hereto and made a part of this resolution as Exhibit "A", and be it further

RESOLVED, that the tax warrant of the several Towns of Dutchess County as signed by the Chairman of the Legislature and the Clerk of the County Legislature be and is hereby ratified and confirmed and the collectors of said Towns are hereby directed to enforce the collection of taxes as required by law.

CA-128-23 CRC/rjw/G-0131 10/03/23 Fiscal Impact: See Attached

**ACTING COUNTY EXECUTIVE** 

STATE OF NEW YORK

COUNTY OF DUTCHESS

This is to certify that I, the undersigned Clerk of the Legislature of the County of Dutchess, have compared the foregoing resolution with the original resolution now on file in the office of said clerk, and which was adopted by said Legislature on the 18th day of December 2023, and that the same is a true and correct transcript of said original resolution and of the whole thereof.

IN WITNESS WHEREOF, I have hereunto set my hand and seal of said Legislature this 18th day of December 2023

RPTL §844		FINAL	2024	FISCAL YEAR		Та	xable values as of:	11/27/2023	
	TAXABLE VALUE	VETERAN	CLERGY	TAXABLE FOR	EQUALIZ	TXBL TRUE VALUE	TRUE VALUE	PORTION OF	EL DIAM AND DESCRIPTION OF THE PARTY OF THE
TOWN		RPTL §458	and product of the contract of	APPORTIONMENT	RATE	ACTUAL	FOR APPORT	LEVY	RATE
				_,			Per RPTL §844		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
AMENIA	1,261,847,618	0	0	1,261,847,618	100.00	1,261,847,618	1,261,847,618	\$ 2,807,859	0.002225197
BEEKMAN	1,292,256,733	6,500	3,000	1,292,266,233	67.85		1,904,592,827		0.003279607
CLINTON	1,106,950,011	7.082	0	1,106,957,093	100.00	·	1,106,957,093	\$ 2,463,197	0.002225211
DOVER	381,226,461	1,783	0	381,228,244	35.50	1,073,877,355	1,073,882,377	\$ 2,389,599	0.006268189
EAST FISHKILL	5,373,090,623	2,850	3,000	5,373,096,473	100.00	5,373,090,623	5,373,096,473	\$ 11,956,196	0.002225199
FISHKILL	3,115,719,625	5,000	3.000	3,115,727,625	100.00	3,115,719,625	3,115,727,625	\$ 6,933,107	0.002225202
HYDE PARK	952,824,566	0	4,500	952,829,066	38.50	2,474,869,003	2,474,880,691	\$ 5,507,096	0.005779759
LAGRANGE	1,948,865,697	0	0	1,948,865,697	71.20	2,737,170,923	2,737,170,923	\$ 6,090,744	0.003125276
MILAN	714,527,680	5,000	0	714,532,680	100.00	714,527,680	714,532,680	\$ 1,589,976	0.002225212
NORTHEAST	736,370,023	0	0	736,370,023	100.00		736,370,023	\$ 1,638,568	0.002225197
PAWLING	531,807,113	0	0	531,807,113	32.78	afaranan in an annan an an annan an an an an an an	1,622,352,389	\$ 3,610,053	0.006788275
PINE PLAINS	487,012,692	0	0	487,012,692	100.00	alga a commenciare de la companie de	487,012,692	\$ 1,083,699	0.002225197
PLEASANT VALLEY	1,008,000,939	1,000	0	1,008,001,939	78.69	1,280,977,175	1,280,978,446	\$ 2,850,429	0.002827804
POUGHKEEPSIE	5,137,541,758	18,550	9,000	5,137,569,308	100.00	5,137,541,758	5,137,569,308	\$ 11,432,102	0.002225209
RED HOOK	1,653,493,601	0	0	1,653,493,601	100.00	1,653,493,601	1,653,493,601	\$ 3,679,348	0.002225197
RHINEBECK	2.357,141,080	0	4,500	2,357,145,580	100.00	2,357,141,080	2,357,145,580	\$ 5,245,112	0.002225201
STANFORD	1,101,267,562	2,950	0	1,101,270,512	100.00	1,101,267,562	1,101,270,512		0.002225203
UNION VALE	622,118,962	0	0	622,118,962	71.25	873,149,420	873,149,420		0.003123083
WAPPINGER	3,629,715,741	5,000	3,000	3,629,723,741	100.00	3,629,715,741	3,629,723,741	\$ 8,076,849	0.002225202
WASHINGTON	1,232,430,877	0	0	1,232,430,877	78.00	1,580,039,586	1,580,039,586	\$ 3,515,899	0.002852816
BEACON	1,947,630,920	0	0	1,947,630,920	100.00	1,947,630,920	1,947,630,920	\$ 4,333,862	0.002225197
CITY POUGHKEEPSIE	2,454,186,673	22,150	9,000	2,454,217,823	100.00	2,454,186,673	2,454,217,823	\$ 5,461,117	0.002225225
TOTALS	39,046,026,955	77,865	39,000	39,046,143,820		44,623,510,283	44,623,642,348	\$ 99,296,380	
ADOPTED TAX LEVY:	\$99,400,000.00		TXBL\$ CHG:	3,144,349,566	true CHG\$	4,793,465,425	4,793,460,189	True Rate:	0.002225203
OMITTED: (-)	\$103,619.71	L	TXBLE CHG%:	The second commence of the com	true CHG%		12.034743		2.225203
ADJUSTED TAX LEVY	and the contract of the second contract of the		TR RATE CHG	-10.79					rate / M
		-	County FV Rate	2.23					

# DUTCHESS COUNTY Final Tax Levy Distribution

2024 Fiscal Year

Portion	Rate / 1,000 AV
\$2,807,859	2.225197
\$4,238,094	3.279607
\$2,463,197	2.225211
\$2,389,599	6.268189
\$11,956,196	2.225199
\$6,933,107	2.225202
\$5,507,096	5.779759
\$6,090,744	3.125276
\$1,589,976	2.225212
\$1,638,568	2.225197
\$3,610,053	6.788275
\$1,083,699	2.225197
\$2,850,429	2.827804
\$11,432,102	2.225209
\$3,679,348	2.225197
\$5,245,112	2.225201
\$2,450,543	2.225203
\$1,942,929	3.123083
\$8,076,849	2.225202
\$3,515,899	2.852816
\$4,333,862	2.225197
\$5,461,117	2.225225
	\$2,807,859 \$4,238,094 \$2,463,197 \$2,389,599 \$11,956,196 \$6,933,107 \$5,507,096 \$6,090,744 \$1,589,976 \$1,638,568 \$3,610,053 \$1,083,699 \$2,850,429 \$11,432,102 \$3,679,348 \$5,245,112 \$2,450,543 \$1,942,929 \$8,076,849 \$3,515,899 \$4,333,862

Apportioned Levy:

\$99,296,380.28

Omitted Taxes:

\$103,619.71

**BUDGET LEVY:** 

\$99,400,000.00

AMENIA	2023/2024	TAXABLE VALUE	LEVY	TAX RATE
	TOWN RATE	1,259,722,281.00	2,433,570.35	1.931831
	AMENIA LIBRARY	1,259,722,281.00	225,000.00	0.178611
AF000	AMENIA FIRE	1,037,630,606.00	1,165,399.00	1.123135
AL040	AMENIA LIGHT	122,100,514.00	18,500.00	0.151515
AW090	AMENIA WATER	96,471,761.00	63,929.00	0.662671
WF001	WASSAIC FIRE	309,983,878.00	440,900.00	1.422332
WL041	WASSAIC LIGHT	33,779,459.00	6,700.00	0.198345

BEEKMAN	2023/2024	TAXABLE VALUE	LEVY	TAX RATE
	TOWN RATE	1,290,860,894.00	2,553,468.61	1.978113
	BEEKMAN LIBRARY	1,290,860,894.00	538,889.00	0.417465
BF002	BEEKMAN FIRE	1,347,323,422.00	1,688,220.00	1.253018
DRW01	DOVER RIDGE SEWER	71.00	14,200.00	200.000000
DRWTR	DOVER RIDGE WATER	71.00	14,200.00	200.000000
SD003	DCWWA	6,207.50	167,379.03	26.964000
SDA01	DCWWA	4,801.00	237,361.44	49.440000
SDB01	DCWWA	1.00	4.94	4.940000
WZHDF	DCWWA	6,537.50	162,005.79	24.781000
WZKBH	DCWWA	695.00	72,735.23	104.655007

CLINTON	2023/2024	TAXABLE VALUE	LEVY	TAX RATE
	TOWN RATE	1,102,383,727.00	1,914,732.51	1.736902
	CLINTON COMMUNITY LIBRARY	1,102,383,727.00	149,800.00	0.135887
CF003	EAST CLINTON FIRE	517,143,109.00	539,150.00	1.042555
CF004	WEST CLINTON FIRE	653,659,759.00	579,645.55	0.886770

DOVER	2023/2024	TAXABLE VALUE	LEVY	TAX RATE
	TOWN RATE	383,190,603.00	1,947,399.60	5.082065
	DOVER LIBRARY	383,190,603.00	275,000.00	0.717659
DF005	DOVER FIRE PROT	398,740,324.00	1,013,930.00	2.542833
DL042	DOVER LIGHT (SL1)	35,149,292.00	15,000.00	0.426751
DL0Q8	DOVER LIGHT DIST2 (SL3)	4,843,464.00	1,300.00	0.268403
WL043	WINGDALE LIGHT (SL2)	28,806,380.00	16,000.00	0.555433

EAST FISHKILL	2023/2024	TAXABLE VALUE	LEVY	TAX RATE
	TOWN RATE	5,354,824,579.00	14,616,463.32	2.729588
BKSWR	BEEKMAN SEWER	301.00	80,560.00	267.641196
BKWTR	BEEKMAN WATER	301.00	81,010.00	269.136213
FCLTD	FOUR CORNERS LIGHTING DIST.	178,218,700.00	26,000.00	0.145888
FKPWD	FISHKILL PLAINS WATER DISTRICT	424.35	142,455.00	335.701661
FRCSD	FOUR CORNERS SEWER DIST.	271.30	70,107.00	258.411353
FRCWD	FOUR CORNERS WATER DIST.	272.60	75,960.00	278.650037
HGDD1	HOPEWELL GLEN DRAINAGE DISTRICT	290.00	2,000.00	6.896552
HGSW1	HOPEWELL GLEN SIDEWALK DISTRICT	290.00	14,000.00	48.275862
LOMPK	LOMALA PARK	32,492,520.00	7,110.00	0.218820
SAGSD	SAGAMORE SEWER	118.00	98,443.00	834.262712
WFESD	WILD FLOWER EXTENSION SEWER	88.00	40,300.00	457.954545
AL046	ARICH LIGHT	44,008,900.00	7,200.00	0.163603
BCCL4	BEEKMAN CC LIGHT IV	225,595,700.00	52,500.00	0.232717
BRVWD	BRETTVIEW WATER DST	315.00	10,000.00	31.746032
BV2WD	BRETTVIEW II WATER DST	41.80	27,264.00	652.248804
DL047	DOGWOOD KNOLLS LIGHT	51,461,800.00	5,000.00	0.097159
EF006	EAST FISHKILL FIRE	5,573,135,678.00	3,852,544.00	0.691270
EFPL1	EAST FISHKILL PUBLIC LIBRARY	5,572,298,178.00	1,117,138.26	0.200481
HHSD2	HOPEWELL HAMLET SWR	1,107.40	901,881.00	814.413040
HL044	HOPEWELL LIGHT	469,602,418.00	66,522.62	0.141657
HL045	HILLSIDE LAKE LIGHT	116,895,800.00	26,750.00	0.228836
LS0XA	LITTLE SWITZ WATER	13,860.00	70,500.00	5.086580
PW0X1	PINEWOOD KNOLLS WTR	4,400.00	33,600.00	7.636364
RW0X2	REVER PARK WATER	7,700.00	32,100.00	4.168831
TE0XB	TACONIC ESTATES WTR	4,180.00	19,170.00	4.586124
WD0HW	HAMLET WATER DST 1	21,991.20	28,600.00	1.300520

FISHKILL	2023/2024	TAXABLE VALUE	LEVY	TAX RATE
and the second of the second o	TOWN RATE - HOMESTEAD	2,394,142,967.00	4,778,042.75	1.995722
	VILLAGE RATE - HOMESTEAD	125,411,305.00	130,500.06	1.040576
	TOWN RATE - NON-HOMESTEAD	657,229,327.00	1,923,391.34	2.926515
	VILLAGE RATE - NON-HOMESTEAD	288,477,412.00	415,637.45	1.440797
LD0BM	BLODGETT LIBRARY DST	2,385,688,441.00	800,192.04	0.335413
999AX	AMBULANCE DIST #1	1,975,965,309.00	250,572.34	0.126810
999RL	ROMBOUT LADDER ZN	629,928,805.00	171,833.58	0.272783
BW093	BEACON HILLS WTR	105,624,170.00	149,213.00	0.001413
CF009	CHELSEA FIRE	593,065,142.00	410,781.00	0.692641
DF011	DUTCHESS JCT FIRE	92,787,539.00	103,000.00	1.110063
FKWLD	FISHKILL WOODS LIGHTING DIST.	930.00	9,459.00	10.170968
FLT01	FISHKILL LIGHT	288.00	71,361.00	247.781250
GF008	GLENHAM FIRE	438,904,961.00	660,495.00	1.504870
GL048	GLENHAM LIGHT	503,125,455.00	61,425.00	0.122087
LTOMP	MERRITT LIGHTING	541.00	62,647.00	115.798521
OLPLD	OVERLOOK POINTE LIGHTING DIST.	1,740.00	37,021.00	21.276437
RF007	ROMBOUT FIRE	1,978,363,909.00	1,954,883.19	0.988131
RL052	ROUND HILL LIGHT	133,263,636.00	19,535.00	0.146589
RVLD1	ROMBOUT VILLAGE LIGHTING	1,440.00	10,531.00	7.313194
SD0TZ	ROMBOUT SEWER	21,233.00	203,444.00	9.581500
SLD01	SIDEWALK LIGHTING	256,333,455.00	8,317.42	0.032448
WD0MP	MERRITT PARK WATER DISTRICT	7,320.00	130,000.00	17.759563
WD0TX	ROMBOUT WATER DIST	18,481.00	77,271.00	4.181105

HYDE PARK	2023/2024	TAXABLE VALUE	LEVY	TAX RATE
	TOWN RATE	963,469,611.00	6,878,250.35	7.139042
RFCON	ROOSEVELT FIRE CONS	606,162,641.00	1,894,945.49	3.126134
RFDBT	ROOSEVELT FIRE DEBT	434,469,336.00	192,606.00	0.443313
SD7PB	DCWWA PBS	1,332.00	78,779.81	59.144002
SDVCS	DCWWA VCS	130.00	5,694.39	43.803000
SD8AG	DCWWA GFS Zn A	2,874.00	151,264.37	52.632001
SD8BG	DCWWA GFS Zn B	952.00	1,527.96	1.605000
CF051	WEST CLINTON FIRE	24,640,401.00	64,354.00	2.611727
FF012	FAIRVIEW FIRE	76,999,297.00	1,626,838.00	21.127959
GS081	GREENFIELDS SWR	28,457.00	17,038.00	0.598728
HF013	HILLSIDE FIRE	13,477,017.00	11,567.00	0.858276
HL049	HYDE PARK LIGHT	345,518,467.00	150,000.00	0.434130
HPLIB	HYDE PARK LIBRARY	794,886,464.00	512,296.00	0.644490
PF016	PL VALLEY FIRE	28,560,640.00	94,690.00	3.315402
PBKLD	PINEBROOK LIGHT DIST	132.00	7,800.00	59.090909
QLA16	QUAKER LANE AMB	28,560,640.00	20,000.00	0.700264
STLIB	STAATSBRG LIBRARY	187,287,845.00	200,917.00	1.072771
WZACD	DCWW DEV COMM ZNA	1,126.00	434,455.84	385.840000
WZACV	DCWW VAC COMM ZNA	130.00	18,920.20	145.540000
WZARD	DCWW DEV RES ZNA	1,238.00	376,401.52	304.040000
WZARV	DCWW VAC RES ZNA	308.00	39,611.88	128.610000
WZBCD	DCWW DEV COMM ZNB	1,286.00	496,190.24	385.840000
WZBCV	DCWW VAC COMM ZNB	1,104.50	160,748.93	145.540000
WZBRD	DCWW DEV RES ZNB	8.00	2,707.68	338.460000
WZBRV	DCWW VAC RES ZNB	177.00	22,763.97	128.610000
WZCSB	DCWW STAATS ZNC	7,784.50	341,770.69	43.904000
WZDHH	DCWW HARBOURD HILLS ZND	4,844.00	296,418.89	61.193000
WZJGR	DCWW J GROVES	421.00	18,601.46	44.183990
WZLCD	DCWW ZONE L COMM DEV	46.00	11,844.54	257.490000
WZLCV	DCWW ZONE L COMM VA	32.00	3,107.84	97.120000
WZLRD	DCWW ZONE L RES DEV	. 232.00	52,401.84	225.870000
WZLRV	DCWW ZONE L RES VAC	53.00	4,548.99	85.830000
WZQGB	DCWW GREENBUSH W ZN Q	3,573.00	164,032.86	45.909001
WZRBP	DCWW ZN R PINE	1,332.00	65,068.20	48.850000

LA GRANGE	2023/2024	TAXABLE VALUE	LEVY	TAX RATE
	TOWN RATE	1,958,800,201.00	6,381,872.10	3.258052
DFEWD	DALEY FARM EXT WATER DISTRICT	130.00	47,506.00	365.430769
DFSWR	DALEY FARM SEWER DISTRICT	135.00	25,650.00	190.000000
FFSF3	FRANK FARMS SLEIGHT FARMS SEWER	313.00	22,940.00	73.290735
FFSW2	FRANK FARMS STORMWATER	132.00	20,000.00	151.515152
FPWTR	FREEDOM PLAINS WATER	625.74	112,763.00	180.207434
HPSWD	HIDDEN POND DRAIN	5.00	2,025.00	405.000000
HRSDD	HARVEST RIDGE DRAINAGE DISTRICT	50.00	16,000.00	320.000000
MANSD	MANCHESTER SEWER	597.42	215,445.00	360.625690
MVW01	MAPLEVIEW WATER DISTRICT	82.72	27,101.00	327.623308
NSWTD	NOXON SCHOOL WATER	19.84	2,351.00	118.497984
SFLT5	SLEIGHT FARMS LIGHT 5	60.00	28,000.00	466.666667
SFSW2	SLEIGHT FARMS STORMWATER	156.00	18,000.00	115.384615
SFWK5	SLEIGHT FARMS SIDEWALK 5	60.00	10,000.00	166.666667
TCLTD	TOWN CENTER LIGHTING DISTRICT	65.00	14,000.00	215.384615
TCS01	TOWN CENTER DEV. SEW. IMP. AREA	442.24	24,473.00	55.338730
TCWT2	TOWN CENTER WATER IMP #2	805.12	44,938.00	55.815282
VLPSD	OVERLOOK PLACE SEWER DISTRICT	107.00	11,941.00	111.598131
DUFDR	DUTCHESS FARMS DRAIN	6,400.00	5,000.00	0.781250
GW0D6	GRANDVIEW EST WTR	5,370.00	40,332.00	7.510615
HKSMD	. H&K STORMWATER MAINT	800.00	2,000.00	2.500000
HRW01	HARVEST RIDGE WATER DISTRICT	6,574.91	8,227.00	1.251272
LF018	LAGRANGE FIRE	1,986,580,729.00	9,817,245.00	4.941780
LL054	LAGRANGE LIGHT	57,577,274.00	21,500.00	0.373411
LR0DD	LAUREL RIDGE DRAIN	1,900.00	3,500.00	1.842105
LRSWD	LINCOLN RDG SRMWATR	4,000.00	5,000.00	1.250000
MGMDD	MGM DRAINAGE	1,200.00	3,000.00	2.500000
MRGDD	MEADOW RIDGE DRAIN	1,700.00	3,000.00	1.764706
MW0L1	MANCHESTER WATER	1,792.54	704,339.00	392.927912
MWS01	MALONEY WOODS STORMWATER MAIN	700.00	3,500.00	5.000000
NS0F2	NOXON KNOLLS SEWER	8,400.00	19,351.00	2.303690
RSVDD	RESERVE DRAINAGE	1,100.00	2,500.00	2.272727
SRD01	SUNSET RIDGE DRAIN	1,300.00	1,500.00	1.153846
SVFDR	SVF STORMWATER MAINT	18.00	6,300.00	350.000000
THD01	TODD HILL DRAINAGE	1,200.00	1,500.00	1.250000
TS0F1	TITUSVILLE SAN-SEWER	69,589.00	272,686.00	3.918522
TW0L6	TITUSVILLE WATER	36,345.30	39,127.00	1.076535
WED01	WINDANCE EST DRAIN	2,700.00	3,500.00	1.296296

MILAN	2023/2024	TAXABLE VALUE	LEVY	TAX RATE
	TOWN RATE	716,379,046.00	1,287,130.83	1.796718
MF019	MILAN FIRE PROT	751,868,644.00	395,866.00	0.526510

NORTH EAST	2023/2024	TAXABLE VALUE	LEVY	TAX RATE
	TOWN RATE	618,377,028.00	2,383,333.79	3.854176
The state of the control of the cont	VILLAGE RATE	116,532,038.00	247,854.20	2.126919
NF020	NORTHEAST FIRE DISTRICT	831,100,109.00	756,222.00	0.909905
WD0EW	NORTHEAST WTR #1	15,300.00	35,968.00	2.350850

PAWLING	2023/2024	TAXABLE VALUE	LEVY	TAX RATE
	TOWN RATE	448,826,802.00	3,933,371.22	8.763673
	VILLAGE RATE	84,939,010.00	496,464.46	5.844952
	PAWLING LIBRARY	533,765,812.00	450,000.00	0.843066
WLDID	WHALEY LAKE DA	594.50	178,335.00	299.974769
∂F021	PAWLING FIRE PROT	547,278,113.00	926,157.00	1.692297
999D8	TN PAWLING WATER #2	5,800.00	25,820.00	4.451724
WZJSH	DCWW SHORE HAVEN WATER	1,201.00	172,643.75	143.750000

PINE PLAINS	2023/2024	TAXABLE VALUE	LEVY	TAX RATE
	TOWN RATE	489,722,585.00	1,391,127.81	2.840645
	PINE PLAINS LIBRARY	489,722,585.00	166,900.00	0.340805
PF022	PINE PLAINS FIRE	538,072,626.00	408,210.00	0.758652
PL055	PINE PLAINS LIGHT	165,524,792.00	30,000.00	0.181242
PW095	PINE PLAINS WATER	46,530.00	33,643.00	0.723039

PLEASANT VALLEY	2023/2024	TAXABLE VALUE	LEVY	TAX RATE
	TOWN RATE	1,013,124,547.00	2,031,106.97	2.004795
The second of th	PLEASANT VALLEY LIBRARY	1,013,124,547.00	525,000.00	0.518199
PVAMB	PL VALLEY AMBULANCE	1,050,159,705.00	459,234.00	0.437299
₹023	PL VALLEY FIRE	1,050,159,705.00	1,799,115.00	1.713182
PL056	PL VALLEY LIGHT	223,104,002.00	51,500.00	0.230834
SD002	DCWWA	1,206.00	89,387.51	74.118997

POUGHKEEPSIE	2023/2024	TAXABLE VALUE	LEVY	TAX RATE
e company and appear the grant appear addressed in the field of Martin between	TOWN RATE - HOMESTEAD	3,676,245,819.00	14,975,130.82	4.073485
	VILLAGE RATE - HOMESTEAD	66,799,262.00	40,764.03	0.610247
	TOWN RATE - NON-HOMESTEAD	1,351,999,570.00	11,527,688.65	8.526400
	VILLAGE RATE - NON-HOMESTEAD	29,894,758.00	34,927.67	1.168354
CL057	CONSOL LGT HMSTD	3,790,896,941.00	567,944.11	0.149818
CL057	CONSOL LGT NON-HMSTD	1,355,170,069.00	382,055.90	0.281925
GL000	GREAT POK LIB homstd	3,791,677,941.00	3,287,195.91	0.866950
GL000	GREAT POK LIB nonhmst	1,359,343,769.00	2,211,296.09	1.626738
HF026	NEW HAMBRG FIRE	658,674,661.00	931,600.00	1.414355
999Y1	ARLINGTON SEWER	13,413,672.00	813,251.00	0.060629
AF024	ARLINGTON FIRE	3,995,489,986.00	23,507,850.00	5.883596
ARBID	ARLINGTON BUSINESS DIST	68,315,030.00	57,500.00	0.841689
AS0F5	ARLINGTON SEWER MAINT	8,332,789.00	290,000.00	0.034802
CS0F7	CROWN HGTS SEWER	404,777.00	2,000.00	0.004941
CS0G4	COUNTRY CLUB SEWER	328,093.00	5,000.00	0.015240
FF025	FAIRVIEW FIRE	515,141,505.00	4,183,925.00	8.121895
FS0Q6	TRI MUNI 1ST W SWR	3,326,187.00	7,500.00	0.002255
HA0M0	NEW HAMBRG AMBL	2,895,833.00	154,283.00	0.053278
HRPWD	HUDSON RIVER PSY	321,610.00	102,571.00	0.318930
SS0G2	SOUTH RD SEWER DIST	3,774,671.00	4,020.00	0.001065
SS0H0	SOUTH GATE SEWER	981,237.00	3,000.00	0.003057
TW0K3	TOWNWIDE WATER (WATER FUND)	37,607,846.50	723,453.00	0.019237

RED HOOK	2023/2024	TAXABLE VALUE	LEVY	TAX RATE
	TOWN RATE	1,225,315,942.00	1,943,447.56	1.586079
	VILLAGE RATE - RED HOOK	272,924,531.00	200,616.31	0.735061
	VILLAGE RATE - TIVOLI	166,139,382.00	122,122.66	0.735061
	RED HOOK LIBRARY	1,664,379,855.00	225,000.00	0.135185
	TIVOLI LIBRARY	1,664,379,855.00	125,000.00	0.075103
CL061	CONSOLIDATED LIGHT	288,322,007.00	27,800.00	0.096420
RF027	RED HOOK FIRE PROT	1,308,652,181.00	497,241.00	0.379964
WZXTV	DCWW TVW (TOWN)	5,394.00	110,199.42	20.430000

RHINEBECK	2023/2024	TAXABLE VALUE	LEVY	TAX RATE
	TOWN RATE	1,611,357,772.00	1,677,867.05	1.041275
	VILLAGE RATE	747,271,463.00	459,572.42	0.615001
	STARR LIBRARY	2,358,629,235.00	464,800.00	0.197064
	MORTON LIBRARY	2,358,629,235.00	107,000.00	0.045365
HF029	HILLSIDE FIRE	271,266,306.00	89,903.00	0.331420
RF030	RHINEBECK FIRE	1,018,912,355.00	265,528.00	0.260599
RF031	RHINECLIFF FIRE	383,041,930.00	72,047.00	0.188092
RL063	RHINECLIFF LIGHT	234,809,999.00	10,000.00	0.042588
RW0D2	RHINECLIFF WTR	234,809,999.00	12,900.00	0.054938
SDVCS	DCWWA VCS	260.00	11,388.78	43.803000
WZCSB	DCWW STAATS ZNC	965.00	42,367.36	43.904000

STANFORD	2023/2024	TAXABLE VALUE	LEVY	TAX RATE
	TOWN RATE	1,105,805,413.00	1,083,040.31	0.979413
	STANFORD LIBRARY	1,105,805,413.00	170,400.00	0.154096
SFAMB	STANFORD AMBULANCE	1,232,402,610.00	850,000.00	0.689710
BL064	BANGALL STANFORDVILLE LIGHT	81,496,686.00	13,000.00	0.159516
SF032	STANFORD FIRE	1,232,402,610.00	375,514.00	0.304701

<b>UNION VALE</b>	2023/2024	TAXABLE VALUE	LEVY	TAX RATE
A CONTRACTOR OF THE CONTRACTOR	TOWN RATE	626,137,590.00	1,927,659.13	3.078651
VBMDD	VERBANK MEADOWS DRAIN	10.00	2,650.00	265.000000
UF033	UNIONVALE FIRE	682,069,787.00	1,567,680.00	2.298416

WAPPINGER	2023/2024	<b>TAXABLE VALUE</b>	LEVY	TAX RATE
et aggravitetet generaliset av de deur et alle verdetet et deur de terresiset et de verdetet et de verdetet e	TOWN RATE - HOMESTEAD	2,611,559,654.00	4,460,358.97	1.707929
and the second section of the second section is a second section of the second section in the second section in the second section is a second section in the section in the section is a section in the section in the section is a section in the section in the section is a section in the section in the section is a section in the section in the section is a section in the section in the section is a section in the section in the section in the section is a section in the section in the section in the section is a section in the section in the section in the section is a section in the section in the section in the section in the section is a section in the section in the section in the section in	VILLAGE RATE - HOMESTEAD	222,384,737.00	147,191.61	0.661878
	TOWN RATE - NON-HOMESTEAD	671,828,940.00	2,233,660.74	3.324746
	VILLAGE RATE - NON-HOMESTEAD	212,618,189.00	275,628.49	1.296354
999AM	AMBULANCE NON-HM	674,659,052.00	189,893.43	0.281466
999AM	AMBULANCE HOMESTD	2,669,761,713.00	380,673.06	0.142587
HF036	NEW HACKENS FIRE	2,342,575,599.00	1,739,832.58	0.742701
BD001	BLACKWATCH FARMS	2,200.00	1,500.00	0.681818
CF034	CHELSEA FIRE	232,086,158.00	159,748.00	0.688313
CL065	CHELSEA LIGHT	90,585,441.00	13,000.00	0.143511
CL067	CAMELI ACRES LIGHT	9,774,684.00	1,400.00	0.143227
GRLTN	GRINELL PUBLIC LIB.	3,109,504,447.00	1,134,082.41	0.364715
HF035	HUGHSONVILLE FIRE	769,865,748.00	958,299.69	1.244762
HFC35	HUGHSONVILLE FIRE COM	161,338,100.00	200,821.05	1.244722
HL066	HUGHSONVILLE LIGHT	205,399,813.00	40,000.00	0.194742
KD001	KEENAN ACRE DRAINAGE	1,800.00	1,500.00	0.833333
MD001	MEADOWWOOD DRAINAGE	3,900.00	1,000.00	0.256410
MS0N5	MIDPOINT SEWER DIST	18,000.00	31,222.00	1.734556
RAWLD	REGENCY LIGHTING	22,700.00	24,000.00	1.057269
TSDDD	TUSCANY SUBDIV. DRAINAGE DIST	1,100.00	1,000.00	0.909091
UWSD0	UNITED WAPPINGER SEWER DISTRICT	408,861.00	169,491.00	0.414544
WCWD1	UNITED WAPPINGER WATER DIST.	559,496.00	893,038.00	1.596147
WS0L4	WILDWOOD SEWER	38,360.00	4,750.00	0.123827
WS0N1	CENTRAL WAPP SWR IM	167,094.00	14,347.00	0.085862

WASHINGTON	2023/2024	TAXABLE VALUE	LEVY	TAX RATE
	TOWN RATE	1,016,659,247.00	2,032,354.83	1.999052
	VILLAGE RATE	216,947,376.00	198,851.40	0.916588
	MILLBROOK LIBRARY	1,233,606,623.00	184,000.00	0.149156
WF037	WASHINGTON FIRE	1,196,591,316.00	605,862.00	0.506323

# Budget, Finance, and Personnel Committee Roll Call

	District	Name	Yes	No.
Dis	strict 19 - Towns of North East, Stanford, Pine Plains, Milan	Pulver*	$V_{-}$	
	District 7 - Towns of Hyde Park and Poughkeepsie	Truitt*		
[	District 25 - Towns of Amenia, Washington, Pleasant Valley	Houston*		
	District 16 - Town of Fishkill and City of Beacon	Valdés Smith*		
	District 10 - City of Poughkeepsie	Atkins*		
	District 2 - Towns of Pleasant Valley and Poughkeepsie	Sagliano		
	District 3 - Town of LaGrange	Polasek (C)		
	District 5 - Town of Poughkeepsie	Keith		
	District 8 - City and Town of Poughkeepsie	Brendli		
	District 9 - City of Poughkeepsie	Johnson		
	District 12 - Town of East Fishkill	Metzger		
	District 21 - Town of East Fishkill	Caswell (VC)		
Present:	12 Resolution:	Total :	12	0
Absent:			Yes	No
Vacant:	<u>U</u>	Abstentions	: <u> </u>	

# **Roll Call Sheets**

rict	Last Name	YES	NO
District 19 - Towns of North East, Stanford, Pine Plains, Milan	Pulver		
District 7 - Towns of Hyde Park and Poughkeepsie	Truitt		
District 25 - Towns of Amenia, Washington, Pleasant Valley	Houston		
District 16 - Town of Fishkill and City of Beacon	Valdés Smith		
District 10 - City of Poughkeepsie	Atkins		
District 1 - Town of Poughkeepsie	Llaverias		
District 2 - Towns of Pleasant Valley and Poughkeepsie	Sagliano		
District 3 - Town of LaGrange	Polasek		
District 4 - Hyde Park	Geller		
District 5 - Town of Poughkeepsie	Keith		
District 6 - Town of Poughkeepsie	D'Aquanni		
District 8 - City and Town of Poughkeepsie	Brendli		
District 9 - City of Poughkeepsie	Johnson		
District 11 - Towns of Rhinebeck and Clinton	Kearney		
District 12 - Town of East Fishkill	Metzger		
District 13 - Towns of LaGrange, East Fishkill, and Wappinger	Bolner		
District 14 - Town of Wappinger	Paoloni		
District 15 - Town of Wappinger	Cavaccini		
District 17 - Town and Village of Fishkill	McHoul		
District 18 - City of Beacon and Town of Fishkill	Page		
District 20 - Town of Red Hook/Tivoli	Munn		
District 21 - Town of East Fishkill	Caswell		
District 22 - Towns of Beekman and Union Vale	Garito		
District 23 - Towns of Pawling, Beekman and East Fishkill	Hauser	abe	ent.
District 24 - Towns of Dover and Union Vale	Surman	abe	ent
ent: Resolution: V ent: Motion:	Total : Abstenti	<u>13</u> Yes	<u> </u>

2023244 LEVY OF COUNTY, TOWN, VILLAGE, AND SPECIAL DISTRICT TAXES **DECEMBER 18, 2023** 

#### RESOLUTION NO. 2023245

RE: LEVY OF TAXES – CITY OF POUGHKEEPSIE

Legislators POLASEK and PULVER offer the following and move its adoption:

RESOLVED, that the share of taxes to be levied and assessed on the taxable property of the City of Poughkeepsie on the tax roll for the year 2023/2024, to be collected in the year 2024, be and the same hereby is determined and fixed as shown in Exhibit "A" annexed hereto, and be it further

RESOLVED, that the Chairman of the County Legislature and the Clerk of the County Legislature be and are authorized and directed to certify this sum to the Commissioner of Finance of the City of Poughkeepsie and all others whom it may concern, in accordance with the Charter of the City of Poughkeepsie, and to issue a warrant under the hand and seal of this County Legislature for the collection of that amount from the taxable property of the City of Poughkeepsie.

CA-129-23 CRC/rjw/G-0131 10/03/23

Fiscal Impact: See Attached

WILLIAM F.X. O'NEIL ACTING COUNTY EXECUTIVE

Date 12/20/23

STATE OF NEW YORK

COUNTY OF DUTCHESS

This is to certify that I, the undersigned Clerk of the Legislature of the County of Dutchess, have compared the foregoing resolution with the original resolution now on file in the office of said clerk, and which was adopted by said Legislature on the 18th day of December 2023, and that the same is a true and correct transcript of said original resolution and of the whole thereof.

IN WITNESS WHEREOF, I have hereunto set my hand and seal of said Legislature this 18th day of December 2023

LEIGH WAGER CLERK OF THE DEGISLATURI

RPTL §844		FINAL	2024	FISCAL YEAR		Та	xable values as of:	11/27/2023	
	TAXABLE VALUE	VETERAN	CLERGY	TAXABLE FOR	EQUALIZ	TXBL TRUE VALUE	TRUE VALUE	PORTION OF	
TOWN		RPTL §458	RPTL §460	APPORTIONMENT	RATE	ACTUAL	FOR APPORT	LEVY	RATE
							Per RPTL §844		
AMENIA	1,261,847,618	0	0	1,261,847,618	100.00	1,261,847,618	1,261,847,618	\$ 2,807,859	0.002225197
BEEKMAN	1,292,256,733	6,500	3,000	1,292,266,233	67.85	1,904,578,825	1,904,592,827	\$ 4,238,094	0.003279607
CLINTON	1,106,950,011	7,082	0	1,106,957,093	100.00	1,106,950,011	1,106,957,093	\$ 2,463,197	0.002225211
DOVER	381,226,461	1,783	0	381,228,244	35.50	1,073,877,355	1,073,882,377	\$ 2,389,599	0.006268189
EAST FISHKILL	5,373,090,623	2,850	3,000	5,373,096,473	100.00	5,373,090,623	5,373,096,473	\$ 11,956,196	0.002225199
FISHKILL	3,115,719,625	5,000	3,000	3,115,727,625	100.00	3,115,719,625	3,115,727,625	\$ 6,933,107	0.002225202
HYDE PARK	952,824,566	0	4,500	952,829,066	38.50	2,474,869,003	2,474,880,691	\$ 5,507,096	0.005779759
LAGRANGE	1,948,865,697	0	0	1,948,865,697	71.20	2,737,170,923	2,737,170,923	\$ 6,090,744	0.003125276
MILAN	714,527,680	5,000	0	714,532,680		4	714,532,680	\$ 1,589,976	0.002225212
NORTHEAST	736,370,023	0,000	0	736,370,023			736,370,023		0.002225197
PAWLING	531,807,113	0	0			·}	1,622,352,389		0.006788275
PINE PLAINS	487,012,692	0	0	487,012,692		openius and a service of the contraction of the con	487,012,692		0.002225197
PLEASANT VALLEY	1,008,000,939	1,000	0	1,008,001,939			1,280,978,446	\$ 2,850,429	0.002827804
POUGHKEEPSIE	5,137,541,758	18,550	9,000	5,137,569,308	100.00		5,137,569,308	\$ 11,432,102	0.002225209
RED HOOK	1,653,493,601	0	0	1,653,493,601	100.00	1,653,493,601	1,653,493,601	\$ 3,679,348	0.002225197
RHINEBECK	2,357,141,080	0	4,500	2,357,145,580	100.00	2,357,141,080	2,357,145,580	\$ 5,245,112	0.002225201
STANFORD	1,101,267,562	2,950	0	1,101,270,512	100.00	1,101,267,562	1,101,270,512	\$ 2,450,543	0.002225203
UNION VALE	622,118,962	0	0	622,118,962	71.25	873,149,420	873,149,420	\$ 1,942,929	0.003123083
WAPPINGER	3,629,715,741	5,000	3,000	3,629,723,741	100.00	3,629,715,741	3,629,723,741	\$ 8,076,849	0.002225202
WASHINGTON	1,232,430,877	0	0	1,232,430,877	78.00	1,580,039,586	1,580,039,586	\$ 3,515,899	0.002852816
BEACON	1,947,630,920	0	. 0	1,947,630,920	100.00	1,947,630,920	1,947,630,920	\$ 4,333,862	0.002225197
CITY POUGHKEEPSIE		22,150	9,000	2,454,217,823	100.00	2,454,186,673	2,454,217,823	\$ 5,461,117	0.002225225
TOTALS	39,046,026,955	77,865	39,000	39,046,143,820		44,623,510,283	44,623,642,348	\$ 99,296,380	*
ADOPTED TAX LEVY:	\$99,400,000.00		TXBL\$ CHG:	3,144,349,566	true CHG\$	4,793,465,425	4,793,460,189	True Rate:	0.002225203
OMITTED: (-)	\$103,619.71		TXBLE CHG%:	8.758225	true CHG%	12.034798	12.034743		2.225203
ADJUSTED TAX LEVY	for the first committee and a second committee and the committee a		TR RATE CHG	-10.79					rate / M
			County FV Rate	2.23					

# DUTCHESS COUNTY Final Tax Levy Distribution

2024 Fiscal Year

Municipality	Portion	Rate / 1,000 AV
AMENIA	\$2,807,859	2.225197
BEEKMAN	\$4,238,094	3.279607
CLINTON	\$2,463,197	2.225211
DOVER	\$2,389,599	6.268189
EAST FISHKILL	\$11,956,196	2.225199
FISHKILL	\$6,933,107	2.225202
HYDE PARK	\$5,507,096	5.779759
LAGRANGE	\$6,090,744	3.125276
MILAN	\$1,589,976	2.225212
NORTHEAST	\$1,638,568	2.225197
PAWLING	\$3,610,053	6.788275
PINE PLAINS	\$1,083,699	2.225197
PLEASANT VALLEY	\$2,850,429	2.827804
POUGHKEEPSIE	\$11,432,102	2.225209
RED HOOK	\$3,679,348	2.225197
RHINEBECK	\$5,245,112	2.225201
STANFORD	\$2,450,543	2.225203
UNION VALE	\$1,942,929	3.123083
WAPPINGER	\$8,076,849	2.225202
WASHINGTON	\$3,515,899	2.852816
CITY of BEACON	\$4,333,862	2.225197
CITY of POUGHKEEPSIE	\$5,461,117	2.225225

Apportioned Levy:

\$99,296,380.28

Omitted Taxes:

\$103,619.71

**BUDGET LEVY:** 

\$99,400,000.00

# Budget, Finance, and Personnel Committee Roll Call

	District	Name	Yes /	No
Dis	strict 19 - Towns of North East, Stanford, Pine Plains, Milan	Pulver*	V	
	District 7 - Towns of Hyde Park and Poughkeepsie	Truitt*		
	District 25 - Towns of Amenia, Washington, Pleasant Valley	Houston*		
	District 16 - Town of Fishkill and City of Beacon	Valdés Smith*		
	District 10 - City of Poughkeepsie	Atkins*		
	District 2 - Towns of Pleasant Valley and Poughkeepsie	Sagliano		
	District 3 - Town of LaGrange	Polasek (C)		
	District 5 - Town of Poughkeepsie	Keith		
	District 8 - City and Town of Poughkeepsie	Brendli		
	District 9 - City of Poughkeepsie	Johnson		
	District 12 - Town of East Fishkill	Metzger		
	District 21 - Town of East Fishkill	Caswell (VC)		
Present:	Resolution:	Total :	12	
Absent:			Yes	No
Vacant:		Abstentions		

## **Roll Call Sheets**

trict	Last Name	YES	NO
District 19 - Towns of North East, Stanford, Pine Plains, Milan	Pulver		
District 7 - Towns of Hyde Park and Poughkeepsie	Truitt		
District 25 - Towns of Amenia, Washington, Pleasant Valley	Houston		
District 16 - Town of Fishkill and City of Beacon	Valdés Smith		
District 10 - City of Poughkeepsie	Atkins		
District 1 - Town of Poughkeepsie	Llaverias		
District 2 - Towns of Pleasant Valley and Poughkeepsie	Sagliano		
District 3 - Town of LaGrange	Polasek		
District 4 - Hyde Park	Geller		
District 5 - Town of Poughkeepsie	Keith		
District 6 - Town of Poughkeepsie	D'Aquanni		A SA AL JAMAN SA A
District 8 - City and Town of Poughkeepsie	Brendli		
District 9 - City of Poughkeepsie	Johnson		
District 11 - Towns of Rhinebeck and Clinton	Kearney		
District 12 - Town of East Fishkill	Metzger		
District 13 - Towns of LaGrange, East Fishkill, and Wappinger	Bolner		
District 14 - Town of Wappinger	Paoloni		
District 15 - Town of Wappinger	Cavaccini		
District 17 - Town and Village of Fishkill	McHoul		
District 18 - City of Beacon and Town of Fishkill	Page		
District 20 - Town of Red Hook/Tivoli	Munn		
District 21 - Town of East Fishkill	Caswell		RIAN ELL
District 22 - Towns of Beekman and Union Vale	Garito	in the	
District 23 - Towns of Pawling, Beekman and East Fishkill	Hauser	abo	ent
District 24 - Towns of Dover and Union Vale	Surman	abo	ent
ent: Resolution: <u>V</u>	Total :	23	

#### RESOLUTION NO. 2023246

RE: LEVY OF TAXES – CITY OF BEACON

Legislators POLASEK and PULVER offer the following and move its adoption:

RESOLVED, that the share of taxes to be levied and assessed on the taxable property of the City of Beacon on the tax roll for the year 2023/2024, to be collected in the year 2024, be and the same hereby is determined and fixed as shown in Exhibit "A" annexed hereto, and be it further

RESOLVED, that the Chairman of the County Legislature and the Clerk of the County Legislature be and are authorized and directed to certify this sum to the Commissioner of Finance of the City of Beacon and all others whom it may concern, in accordance with the Charter of the City of Beacon, and to issue a warrant under the hand and seal of this County Legislature for the collection of that amount from the taxable property of the City of Beacon.

CA-130-23 CRC/rjw/ G-0131 10/03/2023 Fiscal Impact: See Attached

WILLIAM F.X. O'NEIL ACTING COUNTY EXECUTIVE

Date 12/20/23

STATE OF NEW YORK

S

COUNTY OF DUTCHESS

This is to certify that I, the undersigned Clerk of the Legislature of the County of Dutchess, have compared the foregoing resolution with the original resolution now on file in the office of said clerk, and which was adopted by said Legislature on the 18th day of December 2023, and that the same is a true and correct transcript of said original resolution and of the whole thereof.

IN WITNESS WHEREOF, I have hereunto set my hand and seal of said Legislature this 18th day of December 2023.



SIGH WAGER, GLERK OF THE LEGISLATURE

RPTL §844		FINAL	2024	FISCAL YEAR		Та	xable values as of:	11/27/2023	
	TAXABLE VALUE	VETERAN	CLERGY	TAXABLE FOR	FOLIALIZ	TXBL TRUE VALUE	TRUE VALUE	PORTION OF	
TOWN	TO COLL VILOR	RPTL §458	the commence of the second control of the se	APPORTIONMENT		ACTUAL	FOR APPORT	LEVY	RATE
						, , , , , , , , , , , , , , , , , , ,	Per RPTL §844	<b>La las</b> ▼ 1	
AMENIA	1,261,847,618	0	0	1,261,847,618	100.00	1,261,847,618	1,261,847,618	\$ 2,807,859	0.002225197
BEEKMAN	1,292,256,733	6,500	3,000	1,292,266,233	67.85	and the second of the second o	1,904,592,827		0.003279607
CLINTON	1,106,950,011	7.082	0,555	1,106,957,093		de la companya del companya de la companya de la companya del companya de la companya del la companya de la com	1,106,957,093		0.002225211
DOVER	381,226,461	1.783	0	381,228,244	35.50	· · · · · · · · · · · · · · · · · · ·	1,073,882,377		0.006268189
EAST FISHKILL	5,373,090,623	2,850	3,000	5,373,096,473	100.00		5,373,096,473		0.002225199
FISHKILL	3,115,719,625	5,000	3,000	3,115,727,625	100.00	h	3,115,727,625		0.002225202
HYDE PARK	952,824,566	0	4,500	952,829,066	38.50	the contract of the contract o	2,474,880,691		0.005779759
LAGRANGE	1,948,865,697	0	0	1,948,865,697	71.20		2,737,170,923		0.003125276
MILAN	714,527,680	5,000	0	714,532,680		I	714,532,680	province and the contract of t	0.002225212
NORTHEAST	736,370,023	0	0	736,370,023	100.00	to to the contract of the contract of the contract of the contract of	736,370,023		0.002225197
PAWLING	531,807,113	0	0	531,807,113	32.78		1,622,352,389	A CONTRACTOR OF THE CONTRACTOR	0.006788275
PINE PLAINS	487,012,692	0	0	487,012,692	100.00		487,012,692	The second secon	0.002225197
PLEASANT VALLEY	1,008,000,939	1,000	0	1,008,001,939	78.69	karaman i ara aman ara ara ara ara ara ara ara ara ara a	1,280,978,446		0.002827804
POUGHKEEPSIE	5,137,541,758	18,550	9,000	5,137,569,308	100.00		5,137,569,308		0.002225209
RED HOOK	1,653,493,601	0	0	1,653,493,601	100.00	grant our or it is the contract of the contrac	1,653,493,601	The second secon	0.002225197
RHINEBECK	2,357,141,080	0	4,500	2,357,145,580	100.00		2,357,145,580	\$ 5,245,112	0.002225201
STANFORD	1,101,267,562	2,950	0	1,101,270,512	100.00	1,101,267,562	1,101,270,512	\$ 2,450,543	0.002225203
UNION VALE	622,118,962	0	0	622,118,962	71.25	873,149,420	873,149,420	\$ 1,942,929	0.003123083
WAPPINGER	3,629,715,741	5,000	3,000	3,629,723,741	100.00	3,629,715,741	3,629,723,741	\$ 8,076,849	0.002225202
WASHINGTON	1,232,430,877	0	0	1,232,430,877	78.00	1,580,039,586	1,580,039,586	\$ 3,515,899	0.002852816
BEACON	1,947,630,920	0	0	1,947,630,920	100.00	1,947,630,920	1,947,630,920	\$ 4,333,862	0.002225197
CITY POUGHKEEPSIE	2,454,186,673	22,150	9,000	2,454,217,823	100.00	2,454,186,673	2,454,217,823	\$ 5,461,117	0.002225225
TOTALS	39,046,026,955	77,865	39,000	39,046,143,820		44,623,510,283	44,623,642,348	\$ 99,296,380	
ADOPTED TAX LEVY:	\$99,400,000.00		TXBL\$ CHG:	3,144,349,566	true CHG\$	4,793,465,425	4,793,460,189	True Rate:	0.002225203
OMITTED: (-)	\$103,619.71		TXBLE CHG%:	and the second of the second of the second of	true CHG%	in	12.034743	A . A . A . A . A . A . A . A . A . A .	2.225203
ADJUSTED TAX LEVY	anner e recommende etremon afann ann i i i i i e		TR RATE CHG	-10.79					rate / M
			County FV Rate	2.23					1110

# DUTCHESS COUNTY Final Tax Levy Distribution

2024 Fiscal Year

Municipality	Portion	Rate / 1,000 AV
AMENIA	\$2,807,859	2.225197
BEEKMAN	\$4,238,094	3.279607
CLINTON	\$2,463,197	2.225211
DOVER	\$2,389,599	6.268189
EAST FISHKILL	\$11,956,196	2.225199
FISHKILL	\$6,933,107	2.225202
HYDE PARK	\$5,507,096	5.779759
LAGRANGE	\$6,090,744	3.125276
MILAN	\$1,589,976	2.225212
NORTHEAST	\$1,638,568	2.225197
PAWLING	\$3,610,053	6.788275
PINE PLAINS	\$1,083,699	2.225197
PLEASANT VALLEY	\$2,850,429	2.827804
POUGHKEEPSIE	\$11,432,102	2.225209
RED HOOK	\$3,679,348	2.225197
RHINEBECK	\$5,245,112	2.225201
STANFORD	\$2,450,543	2.225203
UNION VALE	\$1,942,929	3.123083
WAPPINGER	\$8,076,849	2.225202
WASHINGTON	\$3,515,899	2.852816
CITY of BEACON	\$4,333,862	2.225197
CITY of POUGHKEEPSIE	\$5,461,117	2.225225

Apportioned Levy:

\$99,296,380.28

Omitted Taxes:

\$103,619.71

**BUDGET LEVY:** 

\$99,400,000.00

# Budget, Finance, and Personnel Committee Roll Call

	District	Name	Yes /	No
Dist	rict 19 - Towns of North East, Stanford, Pine Plains, Milan	Pulver*		
	District 7 - Towns of Hyde Park and Poughkeepsie	Truitt*		
, Di	istrict 25 - Towns of Amenia, Washington, Pleasant Valley	Houston*		
	District 16 - Town of Fishkill and City of Beacon	Valdés Smith*		
	District 10 - City of Poughkeepsie	Atkins*		
	District 2 - Towns of Pleasant Valley and Poughkeepsie	Sagliano		
	District 3 - Town of LaGrange	Polasek (C)		
	District 5 - Town of Poughkeepsie	Keith		
	District 8 - City and Town of Poughkeepsie	Brendli		
	District 9 - City of Poughkeepsie	Johnson		
	District 12 - Town of East Fishkill	Metzger		
	District 21 - Town of East Fishkill	Caswell (VC)		
Present:	12 Resolution:	Total :	12	0
Absent:	<u>O</u> Motion:		Yes	No
Vacant:	$\underline{U}$	Abstentions	:_ <u>U</u>	

## **Roll Call Sheets**

irict	Last Name	YES/	NO.
District 19 - Towns of North East, Stanford, Pine Plains, Milan	Pulver		
District 7 - Towns of Hyde Park and Poughkeepsie	Truitt		
District 25 - Towns of Amenia, Washington, Pleasant Valley	Houston		
District 16 - Town of Fishkill and City of Beacon	Valdés Smith	į.	
District 10 - City of Poughkeepsie	Atkins		
District 1 - Town of Poughkeepsie	Llaverias		
District 2 - Towns of Pleasant Valley and Poughkeepsie	Sagliano		
District 3 - Town of LaGrange	Polasek		
District 4 - Hyde Park	Geller		
District 5 - Town of Poughkeepsie	Keith		
District 6 - Town of Poughkeepsie	D'Aquanni		
District 8 - City and Town of Poughkeepsie	Brendli		
District 9 - City of Poughkeepsie	Johnson		
District 11 - Towns of Rhinebeck and Clinton	Kearney		
District 12 - Town of East Fishkill	Metzger	Para Agricultura	
District 13 - Towns of LaGrange, East Fishkill, and Wappinger	Bolner		
District 14 - Town of Wappinger	Paoloni		
District 15 - Town of Wappinger	Cavaccini		
District 17 - Town and Village of Fishkill	McHoul		
District 18 - City of Beacon and Town of Fishkill	Page		
District 20 - Town of Red Hook/Tivoli	Munn	n e a seu se	
District 21 - Town of East Fishkill	Caswell		
District 22 - Towns of Beekman and Union Vale	Garito		Nasasa
District 23 - Towns of Pawling, Beekman and East Fishkill	Hauser	abo	leut
District 24 - Towns of Dover and Union Vale	Surman	ab	sent
ent: Resolution: <u>V</u>	Total :	23	) <u>O</u>

RESOLUTION NO. 2023247

RE:

CHARGES AGAINST THE TWO CITIES AND TWENTY TOWNS FOR THE SERVICES AND MATERIALS SUPPLIED BY THE REAL PROPERTY TAX SERVICE AGENCY AND OFFICE OF COMPUTER **INFORMATION SYSTEMS** 

Legislators POLASEK and PULVER offer the following and move its adoption:

WHEREAS, the Real Property Tax Service Agency has supervised the preparation of grievance rolls, final assessment rolls and bills, and supplied related work materials to the two cities and twenty towns within the County, and

WHEREAS, the Offices of Computer Information Systems and Real Property Tax Service Agency have incurred expenses in preparing these tax rolls, tax bills and other related services, and

WHEREAS, pursuant to Section 578 of the Real Property Tax Law these expenses may be charged to the respective towns, cities and special districts of the County, now, therefore, be it

RESOLVED, that the expenses set forth on Exhibit "A" be levied and assessed on the taxable real property of the towns, cities and special districts of the County in the respective amount indicated on the attached Exhibit and when collected, be payable to the Dutchess County Commissioner of Finance.

CA-131-23 CRC/rjw/G-0131 10/03/2023 Fiscal Impact: See Attached

STATE OF NEW YORK

COUNTY OF DUTCHESS

This is to certify that I, the undersigned Clerk of the Legislature of the County of Dutchess, have compared the foregoing resolution with the original resolution now on file in the office of said clerk, and which was adopted by said Legislature on the 18th day of December 2023, and that the same is a true and correct transcript of said original resolution and of the whole thereof.

IN WITNESS WHEREOF, I have hercunto set my hand and seal of said Legislature this 18th day of December 2023

EXHIBIT A"						
	CHARGE ACAINST TI	IF TAYO CITIES AND TAYE	NITY TOWNS FOR S	DEDVICE AND MATERIALS	SUDDITED BY THE	
	CHARGES AGAINST THE TWO CITIES AND TWENTY TOWNS FOR SERVICE AND MATERIALS SUPPLIED BY THE REAL PROPERTY TAX SERVICE AGENCY AND THE OFFICE OF COMPUTER INFORMATIONS SYSTEMS.					
	REAL PROPERTY TAX	SERVICE AGENCT AND I	RVICE AGENCY AND THE OFFICE OF COMPUTER INFORMATIONS SYSTEMS.			
				TOTAL	TOTAL	PAID *
CITIES/TOWNS	RPT CHARGES	OCIS CHARGES	BINDERS	OCIS&BINDERS	RPT&OCIS	
51112571544115						
C/BEACON	1,638.00	9,597.76	99.06	9,696.82	11,334.82	Paid
C/POK	2,725.50	18,197.19	10.32	18,207.51	20,933.01	Paid
AMENIA	396.75	5,456.08	340.38	5,796.46	6,193.21	Paid
BEEKMAN	1,711.50	11,728.78	292.96	12,021.74	13,733.24	Paid
CLINTON	531.75	6,020.66	292.96	6,313.62	6,845.37	Paid
OOVER	857.25	7,948.81	149.06	8,097.87	8,955.12	
EAST FISHKILL	3,899.25	26,046.64	774.66	26,821.30	30,720.55	Paid
FISHKILL	2,652.75	18,300.06	771.14	19,071.20	21,723.95	Paid
HYDE PARK	2,297.25	18,706.78	492.02	19,198.80	21,496.05	Paid
_AGRANGE	2,247.75	15,378.86	299.06	15,677.92	17,925.67	Paid
MILAN	290.25	4,674.81	392.96	5,067.77	5,358.02	Paid
NORTH EAST	360.75	4,839.17	340.38	5,179.55	5,540.30	Paid
PAWLING	991.50	9,659.95	680.76	10,340.71	11,332.21	Paid
PINE PLAINS	287.25	4,421.67	484.28	4,905.95	5,193.20	Paid
PL VALLEY	1,022.25	8,574.47	580.76	9,155.23	10,177.48	Paid
TN/POUGHKEEPSIE	4,643.25	32,429.73	1,232.90	33,662.63	38,305.88	Paid
RED HOOK	1,028.25	10,407.43	442.96	10,850.39	11,878.64	
RHINEBECK	684.00	9,036.98	475.60	9,512.58	10,196.58	
STANFORD	430.50	5,606.02	628.18	6,234.20	6,664.70	
JNIONVALE	552.00	5,270.67	146.48	5,417.15	5,969.15	Paid
WAPPINGER	3,305.25	22,483.32	1,105.42	23,588.74	26,893.99	Paid
WASHINGTON	475.50	6,131.93	143.90	6,275.83	6,751.33	Paid
	,					
TOTALS	33,028.50	260,917.77	10,176.20	271,093.97	304,122.47	

<sup>\*</sup> TOWNS THAT PAID IN FULL WILL NOT BE LEVIED ON THE 2024 TOWN BUDGET

# Budget, Finance, and Personnel Committee Roll Call

	District	Name	Yes	No
Dis	strict 19 - Towns of North East, Stanford, Pine Plains, Milan	Pulver*	$\sqrt{}$	
	District 7 - Towns of Hyde Park and Poughkeepsie	Truitt*		
	District 25 - Towns of Amenia, Washington, Pleasant Valley	Houston*		
	District 16 - Town of Fishkill and City of Beacon	Valdés Smith*		
	District 10 - City of Poughkeepsie	Atkins*		
	District 2 - Towns of Pleasant Valley and Poughkeepsie	Sagliano		
	District 3 - Town of LaGrange	Polasek (C)		
	District 5 - Town of Poughkeepsie	Keith		
	District 8 - City and Town of Poughkeepsie	Brendli		
	District 9 - City of Poughkeepsie	Johnson		
	District 12 - Town of East Fishkill	Metzger		
	District 21 - Town of East Fishkill	Caswell (VC)		
Present:	Resolution: $\sqrt{}$	Total :	12	
Absent:			Yes	No
Vacant:	<u></u>	Abstentions:		

2023247 CHARGES AGAINST THE TWO CITIES AND TWENTY TOWNS FOR THE SERVICES AND MATERIALS SUPPLIED BY THE REAL PROPERTY TAX SERVICE AGENCY AND OFFICE OF CENTRAL AND INFORMATION SERVICES

### **Roll Call Sheets**

rict	Last Name	YES	NO
District 19 - Towns of North East, Stanford, Pine Plains, Milan	Pulver	$\sim$	
District 7 - Towns of Hyde Park and Poughkeepsie	Truitt		
District 25 - Towns of Amenia, Washington, Pleasant Valley	Houston		
District 16 - Town of Fishkill and City of Beacon	Valdés Smith		
District 10 - City of Poughkeepsie	Atkins		
District 1 - Town of Poughkeepsie	Llaverias		
District 2 - Towns of Pleasant Valley and Poughkeepsie	Sagliano		
District 3 - Town of LaGrange	Polasek		
District 4 - Hyde Park	Geller		
District 5 - Town of Poughkeepsie	Keith		
District 6 - Town of Poughkeepsie	D'Aquanni		
District 8 - City and Town of Poughkeepsie	Brendli		
District 9 - City of Poughkeepsie	Johnson		
District 11 - Towns of Rhinebeck and Clinton	Kearney		
District 12 - Town of East Fishkill	Metzger		
District 13 - Towns of LaGrange, East Fishkill, and Wappinger	Bolner		
District 14 - Town of Wappinger	Paoloni		
District 15 - Town of Wappinger	Cavaccini		
District 17 - Town and Village of Fishkill	McHoul		
District 18 - City of Beacon and Town of Fishkill	Page		
District 20 - Town of Red Hook/Tivoli	Munn		
District 21 - Town of East Fishkill	Caswell		
District 22 - Towns of Beekman and Union Vale	Garito		
District 23 - Towns of Pawling, Beekman and East Fishkill	Hauser	ah	eut
District 24 - Towns of Dover and Union Vale	Surman	ab	sent
ent: <u>23</u> Resolution: <u>V</u> nt: 2 Motion:	Total:	<u>2</u> 3 Yes	<i>O</i> No

2023247 CHARGES AGAINST THE TWO CITIES AND TWENTY TOWNS FOR THE SERVICES AND MATERIALS SUPPLIED BY THE REAL PROPERTY TAX SERVICE AGENCY AND OFFICE OF CENTRAL AND INFORMATION SERVICES

#### RESOLUTION NO. 2023248

RE: LEVY OF DELINQUENT SCHOOL TAXES

Legislators POLASEK and PULVER offer the following and move its adoption:

WHEREAS, pursuant to Section 1330(2) of the Real Property Tax Law, the account and certificate(s) of delinquent school taxes have been received by the Commissioner of Finance, now therefore, be it

RESOLVED, that the account and certificate(s) of delinquent school taxes for the year 2023-2024 as reported to the Commissioner of Finance pursuant to Section 1330(5) of the Real Property Tax Law, be accepted and is hereby directed that the amount of such delinquent taxes and the amount of delinquent taxes from a prior year which an owner elected to pay in installments as of November 30, 2023, together with seven percent (7%) of the amount in addition thereto, be relevied on the real property on which the same is imposed. When collected, the same shall be considered due and owing to the Commissioner of Finance to reimburse the County for the amount advanced, and be it further

RESOLVED, that the Commissioner of Finance is hereby authorized and directed to pay the officer charged by law with the custody of school district monies, the amount of the returned delinquent school taxes in accordance with the provisions of Sections 1330(4) and 1330(5) of the Real Property Tax Law.

CA-151-23 HS/JL/EMW/rjw 11/1/23 G-0145-A Fiscal Impact: None

WILLIAM F.X. O'NER.
ACTING COUNTY EXECUTIVE

Date 12/20/23

STATE OF NEW YORK

COUNTY OF DUTCHESS

This is to certify that I, the undersigned Clerk of the Legislature of the County of Dutchess, have compared the foregoing resolution with the original resolution now on file in the office of said clerk, and which was adopted by said Legislature on the 18th day of December 2023, and that the same is a true and correct transcript of said original resolution and of the whole thereof.

IN WITNESS WHEREOF, I have hereunto set my hand and seal of said Legislature this 18th day of December 2023.

#### FISCAL IMPACT STATEMENT

# ✓ NO FISCAL IMPACT PROJECTED

	APPROPRIATION RESOLUTIONS (To be completed by requesting department)
Total Current Year Cost	\$
Total Current Year Rever and Source	nue \$
Source of County Funds	
Identify Line Items(s):	
Related Expenses: Am Nature/Reason:	nount \$
Anticipated Savings to Co	ounty:
Over Five Yea	ar):rs:
Additional Comments/Explanation	
Prepared by: Jessica Lorant	Prepared On: 10/19/2023

# Budget, Finance, and Personnel Committee Roll Call

	District	Name	Yes ,	No
Di	strict 19 - Towns of North East, Stanford, Pine Plains, Milan	Pulver*	V	
	District 7 - Towns of Hyde Park and Poughkeepsie	Truitt*		
	District 25 - Towns of Amenia, Washington, Pleasant Valley	Houston*		. Admiration
	District 16 - Town of Fishkill and City of Beacon	Valdés Smith*		
	District 10 - City of Poughkeepsie	Atkins*		
	District 2 - Towns of Pleasant Valley and Poughkeepsie	Sagliano	AND THE RESIDENCE OF THE PARTY	A.W/3
	District 3 - Town of LaGrange	Polasek (C)		
	District 5 - Town of Poughkeepsie	Keith		
	District 8 - City and Town of Poughkeepsie	Brendli		
	District 9 - City of Poughkeepsie	Johnson		
	District 12 - Town of East Fishkill	Metzger		
	District 21 - Town of East Fishkill	Caswell (VC)		
Present:	12 Resolution:	Total:	12	0
Absent:	<u>O</u> Motion:		Yes	No
Vacant:	<u></u>	Abstentions:		

### **Roll Call Sheets**

rict	Last Name	YES	NO
District 19 - Towns of North East, Stanford, Pine Plains, Milan	Pulver	V	
District 7 - Towns of Hyde Park and Poughkeepsie	Truitt		
District 25 - Towns of Amenia, Washington, Pleasant Valley	Houston		
District 16 - Town of Fishkill and City of Beacon	Valdés Smith		
District 10 - City of Poughkeepsie	Atkins		
District 1 - Town of Poughkeepsie	Llaverias		
District 2 - Towns of Pleasant Valley and Poughkeepsie	Sagliano		
District 3 - Town of LaGrange	Polasek		
District 4 - Hyde Park	Geller		
District 5 - Town of Poughkeepsie	Keith		
District 6 - Town of Poughkeepsie	D'Aquanni		
District 8 - City and Town of Poughkeepsie	Brendli		
District 9 - City of Poughkeepsie	Johnson		
District 11 - Towns of Rhinebeck and Clinton	Kearney		
District 12 - Town of East Fishkill	Metzger		
District 13 - Towns of LaGrange, East Fishkill, and Wappinger	Bolner		
District 14 - Town of Wappinger	Paoloni		
District 15 - Town of Wappinger	Cavaccini		
District 17 - Town and Village of Fishkill	McHoul		
District 18 - City of Beacon and Town of Fishkill	Page		
District 20 - Town of Red Hook/Tivoli	Munn		
District 21 - Town of East Fishkill	Caswell		
District 22 - Towns of Beekman and Union Vale	Garito		
District 23 - Towns of Pawling, Beekman and East Fishkill	Hauser	alx	leut
District 24 - Towns of Dover and Unjon Vale	Surman	ab	sent
nt: 🗸 Resolution: 🗸	Total :	<u>23</u>	

#### RESOLUTION NO. 2023249

RE: LEVY OF DELINQUENT VILLAGE TAXES

Legislators POLASEK and PULVER offer the following and move its adoption:

WHEREAS, pursuant to Local Law No. 3 of 1996 and pursuant to Section 1442(3) of the Real Property Tax Law, the account and certificate(s) of delinquent village taxes have been received by the Commissioner of Finance, now therefore, be it

RESOLVED, that the account and certificate(s) of delinquent village taxes for the year 2023-2024 as reported to the Commissioner of Finance pursuant to Section 1442(3) of the Real Property Tax Law, be accepted and is hereby directed that the amount of such delinquent taxes as of November 30, 2023, together with seven percent (7%) of the amount in addition thereto, be relevied on the real property on which the same is imposed. When collected, the same shall be considered due and owing to the Commissioner of Finance to reimburse the County for the amount advanced, and be it further

RESOLVED, that the Commissioner of Finance is hereby authorized and directed to pay the village treasurer the amount of the returned delinquent village taxes in accordance with the provisions of Section 1442(3) of the Real Property Tax Law.

CA-152-23 HS/JL/EMW/rjw 11/1/2023 G-0145-A Fiscal Impact: None

STATE OF NEW YORK

SS:

COUNTY OF DUTCHESS

This is to certify that I, the undersigned Clerk of the Legislature of the County of Dutchess, have compared the foregoing resolution with the original resolution now on file in the office of said clerk, and which was adopted by said Legislature on the 18th day of December 2023, and that the same is a true and correct transcript of said original resolution and of the whole thereof.



#### FISCAL IMPACT STATEMENT

<u>™</u> NO	<b>FISCAL</b>	IMPACT	<b>PRO</b>	<b>JECTED</b>

APPROPRIATION RESOLUTIONS (To be completed by requesting department)
Total Current Year Cost \$
Total Current Year Revenue \$and Source
Source of County Funds (check one):
Related Expenses: Amount \$ Nature/Reason:
Anticipated Savings to County:
Net County Cost (this year): Over Five Years:
Additional Comments/Explanation:
Prepared by: Jessica Lorant Prepared On: 10/19/2023

### Budget, Finance, and Personnel Committee Roll Call

	District	Name	Yes	No
	District 19 - Towns of North East, Stanford, Pine Plains, Milan	Pulver*	_/_	
	District 7 - Towns of Hyde Park and Poughkeepsie	Truitt*		
	District 25 - Towns of Amenia, Washington, Pleasant Valley	Houston*		
	District 16 - Town of Fishkill and City of Beacon	Valdés Smith*		
	District 10 - City of Poughkeepsie	Atkins*		
	District 2 - Towns of Pleasant Valley and Poughkeepsie	Sagliano		
	District 3 - Town of LaGrange	Polasek (C)		
	District 5 - Town of Poughkeepsie	Keith		
	District 8 - City and Town of Poughkeepsie	Brendli		
	District 9 - City of Poughkeepsie	Johnson		
	District 12 - Town of East Fishkill	Metzger		
	District 21 - Town of East Fishkill	Caswell (VC)		
Present:	<u>1</u> ∂ Resolution: <u>√</u>	Total :	12	0
Absent:	<u>O</u> Motion:		Yes	No
Vacant:	· <u>O</u>	Abstentions	:_ <i>O</i>	

#### **Roll Call Sheets**

District 19 - Towns of North East, Stanford, Pine Plains, Milan	Pulver		
District 7 - Towns of Hyde Park and Poughkeepsie	Truitt		
District 25 - Towns of Amenia, Washington, Pleasant Valley	Houston		
District 16 - Town of Fishkill and City of Beacon	Valdés Smith		
District 10 - City of Poughkeepsie	Atkins		
District 1 - Town of Poughkeepsie	Llaverias		
District 2 - Towns of Pleasant Valley and Poughkeepsie	Sagliano		
District 3 - Town of LaGrange	Polasek		
District 4 - Hyde Park	Geller		
District 5 - Town of Poughkeepsie	Keith		
District 6 - Town of Poughkeepsie	D'Aquanni		
District 8 - City and Town of Poughkeepsie	Brendli		
District 9 - City of Poughkeepsie	Johnson		
District 11 - Towns of Rhinebeck and Clinton	Kearney		
District 12 - Town of East Fishkill	Metzger		
District 13 - Towns of LaGrange, East Fishkill, and Wappinger	Bolner		
District 14 - Town of Wappinger	Paoloni		
District 15 - Town of Wappinger	Cavaccini		
District 17 - Town and Village of Fishkill	McHoul		
District 18 - City of Beacon and Town of Fishkill	Page		
District 20 - Town of Red Hook/Tivoli	Munn		
District 21 - Town of East Fishkill	Caswell		
District 22 - Towns of Beekman and Union Vale	Garito		
District 23 - Towns of Pawling, Beekman and East Fishkill	Hauser	ab	sent
District 24 - Towns of Dover and Union Vale	Surman	al	sent
nt: 2 Resolution: <u>√</u> nt: 2 Motion:	Total:	_ <u></u> Yes	> No

#### RESOLUTION NO. 2023250

RE: CONFIRMING THE APPOINTMENT OF A. GREGG PULVER, AS DUTCHESS COUNTY COMPTROLLER

Legislators POLASEK and TRUITT offer the following and move its adoption:

WHEREAS, Robin L. Lois, Dutchess County Comptroller, has submitted her resignation, effective December 30, 2023, and

WHEREAS, pursuant to Dutchess County Charter Section 32.02, "all vacancies in elective County offices shall be filled by appointment by the County Executive subject to confirmation of the County Legislature", and

WHEREAS, Acting County Executive William F.X. O'Neil has appointed A. Gregg Pulver Dutchess County Comptroller for a period effective December 31, 2023, through December 31, 2024, now therefore, be it

RESOLVED that pursuant to Section 32.02 of the Dutchess County Charter, this Legislature accepts and confirms the appointment of A. Gregg Pulver as Dutchess County Comptroller for a term commencing on December 31, 2023, through December 31, 2024.

CA-173-23 CEB/rjw G-0114 12/08/2023

> WILLIAM F.X. O'NEIL ACTING COUNTY EXECUTIVE

Date 12/20/23

STATE OF NEW YORK

COUNTY OF DUTCHESS

This is to certify that I, the undersigned Clerk of the Legislature of the County of Dutchess, have compared the foregoing resolution with the original resolution now on file in the office of said clerk, and which was adopted by said Legislature on the 18th day of December 2023, and that the same is a true and correct transcript of said original resolution and of the whole thereof.

#### FISCAL IMPACT STATEMENT

# NO FISCAL IMPACT PROJECTED

APPROPRIATION RESOLUTIONS (To be completed by requesting department)
Total Current Year Cost \$
Total Current Year Revenue \$and Source
Source of County Funds (check one):
Transfer of Existing Appropriations, Additional Appropriations, Other (explain).
Identify Line Items(s):
Related Expenses: Amount \$ Nature/Reason:
Anticipated Savings to County:
Net County Cost (this year):  Over Five Years:
Additional Comments/Explanation:
Prepared by: Rachel Kashimer Prepared On: 12/1/2023



#### **COUNTY OF DUTCHESS**

William F.X. O'Neil
County Executive

December 8, 2023

Mr. Bradford Kendall Dutchess County Clerk 22 Market Street Poughkeepsie, NY 12601

Dear County Clerk Kendall:

Following the announcement of the upcoming vacancy in the office of County Comptroller, and per the Dutchess County Charter Section 32.02, I hereby appoint A. Gregg Pulver as County Comptroller effective December 31, 2023.

As a third-generation farmer and the owner his family's business, Gregg has gleaned a keen insight into financial operations and fiscal management. Coupled with his decades of public leadership, including most recently as Chairman of the Dutchess County Legislature, his fiscal acumen will serve him well in this new role, and just as importantly, will benefit our county's residents.

Following legislative confirmation, Gregg will assume his new duties, in which I have every confidence for his success.

Sincerely,

William F. X. O'Neil

**Dutchess County Executive** 

C: Gregg Pulver

Leigh Wager, Clerk of the Legislator



### OFFICE OF THE COUNTY CLERK OF DUTCHESS COUNTY

22 Market Street Poughkeepsie, New York 12601 (845) 486-2374

Bradford H. Kendall County Clerk Anne-Marie Dignan Deputy County Clerk

Lisa Ayala Deputy County Clerk

NYS BOARD OF ELECTIONS 40 NORTH PEARL STREET, SUITE 5 ALBANY, NY 12207-2729

Peter S. Kosinski / Co-Chair Douglas A. Kellner / Co-Chair Andrew J. Spano / Commissioner Anthony J. Casale / Commissioner

December 8, 2023

Dear Commissioners:

Pursuant to Public Officers Law Section 31-5, I write to inform you that on this date I have received a letter of resignation (attached) from Robin L. Lois. Dutchess County Comptroller. The letter, dated December 8, 2023, states that the resignation is effective on December 30, 2023.

Pursuant to the Dutchess County Charter, Section 32.02, the position of Comptroller will be filled by appointment of the County Executive until December 31, 2024. The successful candidate in the General Election of 2024 shall take office on January 1, 2025 and serve the balance of the unexpired term which ends on December 31, 2025.\*

Sincerely:

Bradford H. Kendall Dutchess County Clerk

c. Dutchess County Board of Elections
Clerk of the Dutchess County Legislature

#### \* Section 32.02 Vacancy in elective offices; appointment; election.

Except as may otherwise be provided in the New York State Constitution or this Charter, and with the exception of members of the judiciary, all vacancies in elective County offices shall be filled by appointment by the County Executive subject to confirmation by the County Legislature. Each such appointee shall be an elector of the County and qualified for the responsibilities of his/her office. He/She shall have all the powers and perform all the duties of the office to which appointed, and shall hold such of the office until the commencement of the calendar year next following the first annual election held not less than 60 days after the occurrence of such vacancy, at which annual election an officer shall be elected from the County at large for the balance of the unexpired term.

Office of the Comptroller

Dutchess County

22 Market Street

22 Market Street Poughkeepsie, NY 12601

(845) 486-2050 FAX: (845) 486-2055 E-MAIL: comptroller@dutchessny.gov Brian D. Kelly Deputy Comptroller

Heli Shah Director of Audit

December 8, 2023

Bradford Kendall
Dutchess County Clerk
22 Market Street
Poughkeepsie, NY 12601

Robin L. Lois

Comptroller

Dear Honorable Clerk Kendall,

I do hereby give notice of my resignation as Dutchess County Comptroller effective December 30, 2023.

It has been my honor and privilege to serve as County Comptroller over the past six years. I am grateful for the trust the residents of Dutchess County have placed in me by re-electing me to this very important role. I am also grateful to the wonderful people in county government that I have had the opportunity to work with, especially my amazing co-workers in the comptroller's office.

I am committed to facilitating a smooth transition for my successor to help ensure the continuity of the important daily work of the comptroller's office. I am confident in the dedication and integrity of every member of the current comptroller office staff, and I implore my successor to embrace and keep the high functioning team intact for the benefit of all.

I wish my staff, my successor, and all of Dutchess County government the best in their efforts to make Dutchess County a great place to live and work.

Sincerely,

Robin L. Lois

**Dutchess County Comptroller** 

### Budget, Finance, and Personnel Committee Roll Call

	District	Name	Yes	No
Distric	ct 19 - Towns of North East, Stanford, Pine Plains, Milan	Pulver*		
	District 7 - Towns of Hyde Park and Poughkeepsie	Truitt*	2	
Dis	trict 25 - Towns of Amenia, Washington, Pleasant Valley	Houston*	3	
	District 16 - Town of Fishkill and City of Beacon	Valdés Smith*	***************************************	Conscious
	District 10 - City of Poughkeepsie	Atkins*		2
	District 2 - Towns of Pleasant Valley and Poughkeepsie	Sagliano	4_	
	District 3 - Town of LaGrange	Polasek (C)	Comment	
	District 5 - Town of Poughkeepsie	Keith	6	
	District 8 - City and Town of Poughkeepsie	Brendli		3
	District 9 - City of Poughkeepsie	Johnson	J	
	District 12 - Town of East Fishkill	Metzger	8	
	District 21 - Town of East Fishkill	Caswell (VC)	9	
Present:	Resolution:	Total :	9	3
Absent:	<u>O</u> Motion:		Yes	No
Vacant:	<u>O</u>	Abstentions:		

### **Roll Call Sheets**

rict	Last Name	YES	NO
District 19 - Towns of North East, Stanford, Pine Plains, Milan	Pulver	l l	
District 7 - Towns of Hyde Park and Poughkeepsie	Truitt	2	
District 25 - Towns of Amenia, Washington, Pleasant Valley	Houston	3	
District 16 - Town of Fishkill and City of Beacon	Valdés Smith		
District 10 - City of Poughkeepsie	Atkins		3
District 1 - Town of Poughkeepsie	Llaverias	at	3
District 2 - Towns of Pleasant Valley and Poughkeepsie	Sagliano	4	
District 3 - Town of LaGrange	Polasek	5	
District 4 - Hyde Park	Geller	6	
District 5 - Town of Poughkeepsie	Keith	7	
District 6 - Town of Poughkeepsie	D'Aquanni	8	
District 8 - City and Town of Poughkeepsie	Brendli		4
District 9 - City of Poughkeepsie	Johnson	9	
District 11 - Towns of Rhinebeck and Clinton	Kearney		5
District 12 - Town of East Fishkill	Metzger	10	
District 13 - Towns of LaGrange, East Fishkill, and Wappinger	Bolner	/1	
District 14 - Town of Wappinger	Paoloni	12	
District 15 - Town of Wappinger	Cavaccini	13	
District 17 - Town and Village of Fishkill	McHoul	14	
District 18 - City of Beacon and Town of Fishkill	Page		6
District 20 - Town of Red Hook/Tivoli	Munn		
District 21 - Town of East Fishkill	Caswell	15	
District 22 - Towns of Beekman and Union Vale	Garito	16	
District 23 - Towns of Pawling, Beekman and East Fishkill	Hauser	ab	sent
District 24 - Towns of Dover and Union Vale	Surman	abo	sent
ent: $23$ Resolution: $\sqrt{}$	Total :	16	<u>- 1</u>
nt: 🚣 Motion:		Yes	No

2023250 CONFIRMING THE APPOINTMENT OF A. GREGG PULVER AS DUTCHESS COUNTY COMPTROLLER



### Y LEGISLATURE ITCHESS

Commendation: William F.X. O'Neil

Resolution No. 2023251 The Dutchess County Legislature offers the following and moves its adoption:

WHEREAS, the Dutchess County Legislature seeks to commend those individuals whose conduct and actions serve to enhance the quality of life in Dutchess County, and

WHEREAS, William F.X. O'Neil has served in the Office of the Dutchess County Executive since 2005, and

WHEREAS, Bill was appointed as Assistant County Executive in 2005 by former County Executive William R. Steinhaus and was later appointed as Chief of Staff and Deputy County Executive in 2012 by former County Executive Marcus Molinaro, and

WHEREAS, Bill was sworn in as the 8th County Executive in Dutchess County's history on January 3, 2023, to complete the term of former County Executive Marcus Molinaro, and

WHEREAS, Bill is a Hyde Park native who graduated from Our Lady of Lourdes High School and served as Executive Director for the Children's Services Council of Dutchess County, and

WHEREAS, prior to his service to Dutchess County Government, Bill enjoyed a distinguished career as an executive in the healthcare software industry, including positions as Senior Vice President of Sales for Baxter Healthcare, Chief Operating Officer for MPower and President of a FISERV subsidiary, and

WHEREAS, Bill has served Dutchess County in many other civic endeavors, now, therefore, be it

RESOLVED, that the Dutchess County Legislature, on behalf of all the people of Dutchess County, does hereby commend and congratulate William F.X. O'Neil on his years of service and dedication to Dutchess County and extends its deepest and sincere appreciation, and, be it further

RESOLVED, that the Dutchess County Legislature, does hereby extend to William F.X. O'Neil, its best wishes in all of his future endeavors.

STATE OF NEW YORK

COUNTY OF DUTCHESS

This is to certify that I, the undersigned Clerk of the Legislature of the County of Dutchess, have compared the foregoing resolution with the original resolution now on file in the office of said clerk, and which was adopted by said Legislature on the 18th day of December 2023, and that the same is a true and correct transcript of said original resolution and of the whole thereof.





Commendation: Benjamin Geller

The Dutchess County Legislature offers the following and moves its adoption:

WHEREAS, the Dutchess County Legislature seeks to commend those individuals whose conduct and actions serve to enhance the quality of life in Dutchess County, and

WHEREAS, Benjamin Geller was elected to represent District 4, Town of Hyde Park, as a County Legislator for two years, and

WHEREAS, Ben will be leaving his position as a Dutchess County Legislator on December 31, 2023, and

WHEREAS, Ben served the Legislature on the Family and Human Services Committee and the Public Safety Committee, now, therefore, be it

RESOLVED, that the Dutchess County Legislature, on behalf of all the people of Dutchess County, does hereby commend and congratulate Benjamin Geller on his service and dedication to Dutchess County and extends its deepest and sincere appreciation, and be it further

RESOLVED, that the Dutchess County Legislature does hereby extend to Benjamin Geller its best wishes in all his future endeavors.

Resolution No. 2023252

STATE OF NEW YORK

ss:

COUNTY OF DUTCHESS

This is to certify that I, the undersigned Clerk of the Legislature of the County of Dutchess, have compared the foregoing resolution with the original resolution now on file in the office of said clerk, and which was adopted by said Legislature on the 18th day of December 2023, and that the same is a true and correct transcript of said original resolution and of the whole thereof.



Commendation: Joseph D. Cavaccini

The Dutchess County Legislature offers the following and moves its adoption:

WHEREAS, the Dutchess County Legislature seeks to commend those individuals whose conduct and actions serve to enhance the quality of life in Dutchess County, and

WHEREAS, Joseph D. Cavaccini was elected to represent District 15, Town of Wappinger, as a County Legislator for four years, and

WHEREAS, Joey will be leaving his position as a Dutchess County Legislator on December 31, 2023, and

WHEREAS, Joey served the Legislature on the Airport Advisory Committee, Environment Committee, Public Works and Capital Projects Committee, Veterans Affairs Committee, Climate Smart Communities Task Force, and a Member of the Benefit Assessment Review Board, now, therefore, be it

RESOLVED, that the Dutchess County Legislature, on behalf of all the people of Dutchess County, does hereby commend and congratulate Joseph D. Cavaccini on his service and dedication to Dutchess County and extends its deepest and sincere appreciation, and be it further

RESOLVED, that the Dutchess County Legislature does hereby extend to Joseph D. Cavaccini its best wishes in all his future endeavors.

Resolution No. 2023253

STATE OF NEW YORK

ss:

COUNTY OF DUTCHESS

This is to certify that I, the undersigned Clerk of the Legislature of the County of Dutchess, have compared the foregoing resolution with the original resolution now on file in the office of said clerk, and which was adopted by said Legislature on the 18th day of December 2023, and that the same is a true and correct transcript of said original resolution and of the whole thereof.



Commendation: Edward P. Hauser

The Dutchess County Legislature offers the following and moves its adoption:

WHEREAS, the Dutchess County Legislature seeks to commend those individuals whose conduct and actions serve to enhance the quality of life in Dutchess County, and

WHEREAS, Ed Hauser was elected to represent District 23, Towns of Pawling, Beekman, and East Fishkill, as a County Legislator for four years, and

WHEREAS, Ed will be leaving his position as a Dutchess County Legislator on December 31, 2023, and

WHEREAS, Ed served the Legislature on the Environment Committee, Government Services and Administration Committee, Public Safety Committee, Architect/Engineer/Construction Management Selection Committee, a Member of the Agricultural and Farmland Protection Board, Liaison to the Fire and Safety Advisory Board, Dutchess County, and Planning Board Liaison, now, therefore, be it

RESOLVED, that the Dutchess County Legislature, on behalf of all the people of Dutchess County, does hereby commend and congratulate Edward P. Hauser on his service and dedication to Dutchess County and extends its deepest and sincere appreciation, and be it further

RESOLVED, that the Dutchess County Legislature does hereby extend to Edward P. Hauser its best wishes in all his future endeavors.

Resolution No. 2023254 STATE OF NEW YORK

COUNTY OF DUTCHESS

This is to certify that I, the undersigned Clerk of the Legislature of the County of Dutchess, have compared the foregoing resolution with the original resolution now on file in the office of said clerk, and which was adopted by said Legislature on the 18th day of December 2023, and that the same is a true and correct transcript of said original resolution and of the whole thereof.





Commendation: Thomas L. Keith, Jr.

The Dutchess County Legislature offers the following and moves its adoption:

WHEREAS, the Dutchess County Legislature seeks to commend those individuals whose conduct and actions serve to enhance the quality of life in Dutchess County, and

WHEREAS, Thomas L. Keith, Jr. was appointed to represent District 5, Town of Poughkeepsie, as a County Legislator on October 17, 2018, and

WHEREAS, Tom will be leaving his position as a Dutchess County Legislator on December 31, 2023, and

WHEREAS, Tom served the Legislature on the Budget, Finance, and Personnel Committee, Public Safety Committee, Airport Advisory Committee, Justice and Transition Center Advisory Committee, Member of the E-911 Oversight Board, and Resource Recovery Agency, now, therefore, be it

RESOLVED, that the Dutchess County Legislature, on behalf of all the people of Dutchess County, does hereby commend and congratulate Thomas L. Keith, Jr. on his service and dedication to Dutchess County and extends its deepest and sincere appreciation, and be it further

RESOLVED, that the Dutchess County Legislature does hereby extend to Thomas L. Keith, Jr. its best wishes in all his future endeavors.

Resolution No. 2023255

STATE OF NEW YORK

COUNTY OF DUTCHESS

S

This is to certify that I, the undersigned Clerk of the Legislature of the County of Dutchess, have compared the foregoing resolution with the original resolution now on file in the office of said clerk, and which was adopted by said Legislature on the 18th day of December 2023, and that the same is a true and correct transcript of said original resolution and of the whole thereof.



Commendation: Giancarlo Llaverias

The Dutchess County Legislature offers the following and moves its adoption:

WHEREAS, the Dutchess County Legislature seeks to commend those individuals whose conduct and actions serve to enhance the quality of life in Dutchess County, and

WHEREAS, Giancarlo Llaverias was elected to represent District 1, Town of Poughkeepsie, as a County Legislator for six years, and

WHEREAS, Giancarlo will be leaving his position as a Dutchess County Legislator on December 31, 2023, and

WHEREAS, Giancarlo served the Legislature on the Family and Human Services Committee, Government Services and Administration Committee, Public Safety Committee, and Environment Committee, now, therefore, be it

RESOLVED, that the Dutchess County Legislature, on behalf of all the people of Dutchess County, does hereby commend and congratulate Giancarlo Llaverias on his service and dedication to Dutchess County and extends its deepest and sincere appreciation, and be it further

RESOLVED, that the Dutchess County Legislature does hereby extend to Giancarlo Llaverias its best wishes in all his future endeavors.

Resolution No. 2023256

STATE OF NEW YORK

55

COUNTY OF DUTCHESS

This is to certify that I, the undersigned Clerk of the Legislature of the County of Dutchess, have compared the foregoing resolution with the original resolution now on file in the office of said clerk, and which was adopted by said Legislature on the 18th day of December 2023, and that the same is a true and correct transcript of said original resolution and of the whole thereof.



Commendation: Don Sagliano

The Dutchess County Legislature offers the following and moves its adoption:

WHEREAS, the Dutchess County Legislature seeks to commend those individuals whose conduct and actions serve to enhance the quality of life in Dutchess County, and

WHEREAS, Don Sagliano was elected to represent District 2, Towns of Pleasant Valley and Poughkeepsie, as a County Legislator for ten years, and

WHEREAS, Don will be leaving his position as a Dutchess County Legislator on December 31, 2023, and

WHEREAS, Don served the Legislature on the Budget, Finance, and Personnel Committee, Architect/Engineer/Construction Management Selection Committee, Community Development Advisory Committee, Public Works and Capital Projects Committee, Government Services and Administration Committee, Dutchess County Justice and Transition Center Advisory Committee, Liaison to the Dutchess County Water and Wastewater Authority, Liaison to the Dutchess County Law Library Committee, Member of the Audit Review Advisory Board, Member of the Jury Board, Member of the Soil and Water Conservation Board, Universal Transportation Accessibility Task Force, Dutchess County Criminal Justice Council, Industrial Development Agency, Local Development Corporation, and Assistant Majority Leader from January 1, 2018, through October 9, 2018, and Majority Leader from October 10, 2018, through December 31, 2018, and 2019, now, therefore, be it

RESOLVED, that the Dutchess County Legislature, on behalf of all the people of Dutchess County, does hereby commend and congratulate Don Sagliano on his service and dedication to Dutchess County and extends its deepest and sincere appreciation, and be it further

RESOLVED, that the Dutchess County Legislature does hereby extend to Don Sagliano its best wishes in all his future endeavors.

Resolution No. 2023257

STATE OF NEW YORK

COUNTY OF DUTCHESS

This is to certify that I, the undersigned Clerk of the Legislature of the County of Dutchess, have compared the foregoing resolution with the original resolution now on file in the office of said clerk, and which was adopted by said Legislature on the 18th day of December 2023, and that the same is a true and correct transcript of said original resolution and of the whole thereof.

IN WITNESS WHEREOF, I have hereunto set my hand and seal of said Legislature this

LEIGH WAGER, CHERK OF THE LEGISLATURE



Commendation: Alan V. Surman

The Dutchess County Legislature offers the following and moves its adoption:

WHEREAS, the Dutchess County Legislature seeks to commend those individuals whose conduct and actions serve to enhance the quality of life in Dutchess County, and

WHEREAS, Alan V. Surman was elected to represent District 24, Towns of Dover and Union Vale, as a County Legislator for fourteen years, and

WHEREAS, Alan will be leaving his position as a Dutchess County Legislator on December 31, 2023, and

WHEREAS, Alan served the Legislature on the Budget, Finance, and Personnel Committee, Electronic Community Network Steering Committee, Environment Committee, Government Services and Administration Committee, Public Safety Committee, Public Works and Capital Projects Committee, Wireless Network Committee, Liaison to the Resource Recovery Agency, Climate Smart Communities Task Force, Member of the Benefit Assessment Review Board, and Member of the E-911 Oversight Board, now, therefore, be it

RESOLVED, that the Dutchess County Legislature, on behalf of all the people of Dutchess County, does hereby commend and congratulate Alan V. Surman on his service and dedication to Dutchess County and extends its deepest and sincere appreciation, and be it further

RESOLVED, that the Dutchess County Legislature does hereby extend to Alan V. Surman its best wishes in all his future endeavors.

Resolution No. 2023258

STATE OF NEW YORK

33

COUNTY OF DUTCHESS

This is to certify that I, the undersigned Clerk of the Legislature of the County of Dutchess, have compared the foregoing resolution with the original resolution now on file in the office of said clerk, and which was adopted by said Legislature on the 18th day of December 2023, and that the same is a true and correct transcript of said original resolution and of the whole thereof.

IN WITNESS WHEREOF, I have hereunto set my hand and seal of said Legislature this 18th day of December 2023



LEIGH WAGER, CLERK OF THE LEGISLATURE



Commendation: Donna J. Bolner

The Dutchess County Legislature offers the following and moves its adoption:

WHEREAS, the Dutchess County Legislature seeks to commend those individuals whose conduct and actions serve to enhance the quality of life in Dutchess County, and

WHEREAS, Donna J. Bolner was elected to represent District 13, Towns of LaGrange, Union Vale and Wappinger, as a County Legislator for fourteen years, and

WHEREAS, Donna will be leaving her position as a Dutchess County Legislator on December 31, 2023, and

WHEREAS, Donna served the Legislature on the Family and Human Services Committee, Airport Advisory Committee, Environment Committee, Budget, Finance, and Personnel Committee, Public Safety Committee, Architect/Engineer/Construction Management Selection Committee, Government Services and Administration Committee, Liaison to the Citizens Advisory Committee on Domestic Violence, Liaison to the Child Development Council, Liaison to the Child Care Council of Dutchess and Putnam Inc., Tick Task Force Chair, Member of the Benefit Assessment Review Board and as Assistant Majority Leader from 2014 through 2017, then from October 10, 2018, through December 31, 2019, and as Majority Leader for 2020 and 2021, now, therefore, be it

RESOLVED, that the Dutchess County Legislature, on behalf of all the people of Dutchess County, does hereby commend and congratulate Donna J. Bolner on her service and dedication to Dutchess County and extends its deepest and sincere appreciation, and be it further

RESOLVED, that the Dutchess County Legislature does hereby extend to Donna Bolner its best wishes in all her future endeavors.

Resolution No. 2023259

STATE OF NEW YORK

COUNTY OF DUTCHESS

This is to certify that I, the undersigned Clerk of the Legislature of the County of Dutchess, have compared the foregoing resolution with the original resolution now on file in the office of said clerk, and which was adopted by said Legislature on the 18th day of December 2023, and that the same is a true and correct transcript of said original resolution and of the whole thereof.

IN WITNESS WHEREOF, I have hereunto set my hand and seal of said Legislature this 1

LEIGH WAGIR, CHERK OF THE LEGISJATURE



Commendation: A. Gregg Pulver

The Dutchess County Legislature offers the following and moves its adoption:

WHEREAS, the Dutchess County Legislature seeks to commend those individuals whose conduct and actions serve to enhance the quality of life in Dutchess County, and

WHEREAS, A. Gregg Pulver was elected to represent District 19, Towns of North East, Stanford, Pine Plains, and Milan, as a County Legislator for ten years, and

WHEREAS, Gregg will be leaving his position as a Dutchess County Legislator on December 31, 2023, and

WHEREAS, Gregg served the Legislature on the Family and Human Services Committee, Environment Committee, Public Works and Capital Projects Committee, Architect/Engineer/Construction Management Selection Committee, Government Services and Administration Committee, Dutchess County Justice and Transition Center Advisory Committee, Liaison to the Cooperative Extension (Agriculture), Liaison to the Fire and Safety Advisory Board, Liaison to the Supervisors' and Mayors' Association, Member of the Agriculture and Farmland Protection Board, Member of the Soil and Water Conservation Board, Member of the Cooperative Extension Board of Directors and Cooperative Extension Agriculture, Member of the Dutchess Community College Board of Trustees, Member of the Merit Award Board, Dutchess County Criminal Justice Council, Hudson Valley Regional Council, Local Early Intervention Coordinating Council, and Chairman of the Dutchess County Legislature for 2018 through 2023, now, therefore, be it

RESOLVED, that the Dutchess County Legislature, on behalf of all the people of Dutchess County, does hereby commend and congratulate A. Gregg Pulver on his service and dedication to Dutchess County and extends its deepest and sincere appreciation, and be it further

RESOLVED, that the Dutchess County Legislature does hereby extend to A. Gregg Pulver its best wishes in all his future endeavors.

Resolution No. 2023260

STATE OF NEW YORK

COUNTY OF DUTCHESS

This is to certify that I, the undersigned Clerk of the Legislature of the County of Dutchess, have compared the foregoing resolution with the original resolution now on file in the office of said clerk, and which was adopted by said Legislature on the 18th day of December 2023, and that the same is a true and correct transcript of said original resolution and of the whole thereof.

IN WITNESS WHEREOF, I have hereunto set my hand and seal of said Legislature this

EIGH VACHR, GLERK OF THE LEGISLAFURE

#### **Other County Business**

None.

#### **Announcements**

None.

### Privilege of the Floor with respect to agenda and non-agenda County business

Erin Cannan, Vice President for Civic Engagement for Bard College, spoke against the change in the public transit bus route system in Northern Dutchess County, specifically with Bard College.

Chris Schwartz, Representative of Student Body at Bard College, spoke against the change in the public transit bus route system in Northern Dutchess County, specifically with Bard College.

There being no further business, the Chair adjourned the meeting at 7:28 p.m.