To Use Paid Family Leave To:

Assist family members due to another family member's active military duty or impending active duty abroad Complete Form PFL-1 Complete PFL-1, Part A Provide PFL-1 to employer Employer completes PFL-1, Part B and returns to you within 3 days Complete Form PFL-5 Complete PFL-5 and collect supporting documentation Send forms and documents Send completed forms and supporting documentation to Guardian Guardian accepts or denies claim within 18 days

Please keep a copy of all pages for your records.

Mail to: Guardian P.O. Box 14358, Lexington, KY 40511 Fax: 610- 807-2950 Documents can be returned electronically at www.GuardianAnytime.com. Click on "Secure Channel" on the Guardian Anytime home page.

Request For Paid Family Leave (Form PFL-1) Instructions

- To request PFL, the employee requesting PFL must complete Part A of the Request For Paid Family Leave (Form PFL-1).
 All items on the form are required unless noted as optional. The employee then provides the form to the employer to complete Part B.
- The employer completes Part B of the *Request For Paid Family Leave (Form PFL-1)* and returns it to the employee within three days.
- Additional forms are required depending on the type of leave being requested. The employee requesting leave is responsible for the completion of these forms.
- The employee submits the completed Request For Paid Family Leave (Form PFL-1) with the required additional form to Guardian Life Insurance listed on Part B of Request For Paid Family Leave (Form PFL-1). The employee should retain a copy of each submitted form for their records.

PART A - EMPLOYEE INFORMATION (to be completed by the employee)

The employee requesting PFL must complete all required information.

Paid Family Leave (PFL) Request (to be completed by the employee)

Question 12: A child is defined as a biological, adopted, or foster son or daughter, a stepson or stepdaughter, a legal ward, a son or daughter of a domestic partner, or the person to whom the employee stands in loco parentis. A parent is defined as a biological, foster, or adoptive parent, parent-in-law, a stepparent, a legal guardian, or other person who stood in loco parentis to the employee when the employee was a child.

Questions 13: If dates are "Continuous", the employee must provide the start and end dates of the requested PFL. These dates should be the actual dates that the PFL will begin and end. If uncertain, estimate the start and end dates and indicate "Dates are estimated". If dates are

"Periodic", enter the dates PFL will be taken. Please be as specific as possible. If the dates are unknown orestimated, indicate "Dates are estimated".

If dates are estimated, the PFL carrier may require youto submit a request for payment **after** the PFL day is taken. Payment for approved claims will be due as soon as

possible but in no event more than 18 days from the date of the completed request.

Question 14: If the employee is submitting the PFL request to their employer with less than 30 days' advance notice from the start date of the PFL, the employee must explain why 30 days' notice could not be given. If the explanation will not fit in the space provided on the form, enter "See Attached" and add an attachment with the explanation. Be sure to include the employee's full name and their date of birth at the top of the attachment.

Employment Information (to be completed by the employee)

Question 16: Enter the date of hire to the best of the employee's recollection. If it has been more than a year since the date of hire, entering the year in which employment started is sufficient.

Question 18: Enter the best estimate of average gross weekly wage. Include only the wages earned from the employer listed on this request form. The gross weekly wage is the total weekly pay - including overtime, tips, bonuses and commissions - before any deductions are made by the employer, such as federal and state taxes. If the employer is not able to supply this information, the employee can calculate their gross weekly wage as follows:

Step 1: Add all gross wages received (before any deductions) over the last eight weeks prior to the start of PFL, including overtime and tips earned. (See Step 3 for instructions for calculating bonuses and/or commissions.)

Step 2: Divide the gross wages calculated in step one by eight (or the number of weeks worked if less than eight) to calculate the average weekly wage.

Step 3: If the employee received bonuses and/or commissions during the 52 weeks preceding PFL, add the prorated weekly amount to the average weekly wage. To determine the prorated weekly amount, add all bonuses/commissions earned in the preceding 52 weeks and then divide by 52.

Example of a gross weekly wage calculation:

Week 1 - Gross wage including overtime \$550

Week 2 - Gross wage \$500

Week 3 - Gross wage \$500

Week 4 - Gross wage \$500

Week 5 - Gross wage \$500

Week 6 - Gross wage \$500

Week 7 - Gross wage, including overtime \$600

Week 8 - Gross wage, including overtime + \$550

Total = \$4,200

Divide by 8 ÷ 8

Average Weekly Wage = \$525

Bonus earned in preceding 52 weeks \$2,600

Divide by 52 ÷ 52

Prorated Weekly Bonus = \$50

Average Weekly Wage \$525

Prorated Weekly Bonus + \$50

Average Weekly Wage (including bonus) = \$575

Please note that the employer is also required to provide

this information in Part B of the Request For Paid Family Leave (Form PFL-1).

The employee requesting PFL must complete all required information.

Form PFL-1 Instructions continued on next page

PART A - EMPLOYEE INFORMATION (to be completed by the employee) - continued from prior page

Form PFL-1 Instructions continued from prior page

If you are pre-submitting form: Indicate if the employee is pre-submitting their PFL request. Pre-submitting is defined as submitting the application in advance of an upcoming qualifying event, with certain required information missing due to the information being unknown at the time of the submitting. If pre-submitting is permitted by the carrier or self-insured employer, the missing information must be supplied as soon as it is known. Benefits cannot be determined until all of the required information is provided.

The PFL insurance carrier or self-insured employer will provide the employee a notice within five days which 1) states the claim is pending; 2) identifies what information is missing; 3) instructs how to submit the missing information. **Once all information is supplied, the PFL insurance carrier or self-insured employer has 18 days to pay or deny the claim.**

If the carrier or self-insured employer does not permit pre-submitting, the carrier or self-insured employer must return the Request for Paid Family Leave within five days to the employee with an explanation that the claim should be re-submitted when all information is available.

Employee signs and dates, before giving this form to their employer to complete Part B.

PART B - EMPLOYER INFORMATION (to be completed by the employer)

The employer of the employee requesting PFL must complete all information in Part B.

Question 2: If a Social Security Number is used for the Federal Employer Identification Number (FEIN), enter the Social Security Number.

Question 3: Enter the employer's Standard Industrial Classification (SIC) Code. Contact your carrier if you don't know your SIC code.

Question 8: The employee occupation code can be found at: www.bls.gov/soc/2018/major_groups.htm

Question 9: Enter the wages earned by the employee during the last eight weeks preceding the PFL start date. The gross amount paid is the employee's gross weeklypay, including any overtime and tips earned for that week, plus the weekly prorated amount of any bonus or commission received during the preceding 52 weeks. (For detailed steps, see Question 18 on page 1 of the instructions.) Calculate the gross average weekly wage by adding up the gross amounts paid, and then divide by eight (or number of weeks worked if less than eight).

Question 10: Failure to select "Yes" for requesting reimbursement from the insurance carrier, will result in a waiver of the right to reimbursement.

Question 11a: 'Disability' refers to NYS statutory required disability. If the answer is "none," enter a "0" for total weeks and days in Question 12b.

Question 11b: The maximum number of weeks available for NYS statutory disability and PFL in any 52 week period is 26 weeks. Specify the total number of weeks, as well as the number of additional days if the leave includes a partial week, taken for NYS statutory disability and PFL during the preceding 52 weeks.

Question 13, 14 & 15: Enter the Paid Family Leave or Disability/PFL insurance carrier's name, address and PFL policy number. If this employer is self-insured, enter the name and address of where the PFL request should be submitted for processing.

Affirmation employee is eligible for PFL: An employee who regularly works 20 hours or more per week must have been in employment for at least 26 consecutive weeks. An employee who regularly works less than 20 hours per week must have worked 175 days.

Employer signs and dates, and then returns to the employee requesting PFL within three business days.

Be sure to complete the appropriate additional PFL form(s) based on the type of PFL leave being requested.

Notification Pursuant to the New York Personal Privacy Protection Law (Public Officers Law Article 6-A) and the Federal Privacy Act of 1974 (5 USC 552a).

The Workers' Compensation Board's (Board's) authority to request that employees provide personal information, including their social security number or tax identification number, is derived from the Board's administrative authority under Workers' Compensation Law section 142. This information is collected to assist the Board in investigating and administering claims in the most expedient manner possible and to help it maintain accurate records. Providing your social security number or tax identification number to the Board is voluntary. The Board will protect the confidentiality of all personal information in its possession, disclosing it only in furtherance of its official duties and in accordance with applicable state and federal law.



Request For Paid Family Leave

(Form PFL-1)

Plan #	INSTRUCTIONS INCLUDED WITH FORM
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mbiovee.s iega	I name (first name, middle initial, last name)	Optional (for research purposes)		
ther last names,	if any, under which employee has worked	10. Employee's ethnicity/race For purposes of health demographic only. (U.S. Centers for Disease Control and Prevention (CDC) code set, version 1.0.)		
Employee's mailing address Street address		Is employee of Hispanic, Latino/a, or Spanish origin (One or more categories may be selected.) Mexican		
):t . Ot-t-		Mexican American		
City, State		Chicano/a		
'in anda	Country (if not II S A)	Puerto Rican		
ip code	Country (if not U.S.A.)	Dominican		
		Cuban		
mployee's Mem	ber ID /Social Security Number or TIN	Another Hispanic, Latino/a, or Spanish origin		
		Not of Hispanic, Latino/a, or Spanish origin		
		Unknown		
mployee's date	of birth (MM/DD/YYYY)	What is employee's race?		
	1	(One or more categories may be selected.)		
mnlovee's nrim	ary telephone number	American Indian or Alaska Native		
Employee's primary telephone number		Black or African American		
		Asian Indian Chinese Filipino		
mployee's prefe	erred email address while on PFL(if available)			
		Japanese		
		Korean		
mployee's geno		Vietnamese		
Male Fema	le Not designated/Other	Other Asian		
mployee's prefe	erred language	White		
⊤English г	TEspañol Русский Polski	Native Hawaiian		
」。 □中文 □	'	Guamanian or Chamorro		
Other		Samoan		
		Other Pacific Islander		
		Other race		
d Family Leav	re (PFL) Request (to be completed by the	employee)		
Reason for PFL	request: Bond with child Care for family	member Military qualifying event		
	ber is employee's:			
Child Spo		-in-law Grandparent Grandchild Sibling		

Employee's signature	Date signed (MM/DD/YYYY)

I am submitting this form in advance (see instructions about pre-submitting). I understand the insurance carrier will contact me to advise how to submit the required missing information.

10. If employee received or will receive full wages while on PFL, will employer be requesting reimbursement? \(\subseteq \text{YES} \subseteq \text{NC}

ORM PF	L-1 - CONTINU	ED FROM PRIOR PAGE	<u> </u>		Plan #
то ве	COMPLETED B	BY THE EMPLOYEE		Employee's social secur	ty #
Emple	oyee's name	(first name, middle initial	, last name)	Employee's date of bi	th (MM/DD/YYYY)
PART	ΓB-EMPLO	OYER INFORMAT	ION (to be complete	d by the employer) - contin	ued from prior page
Form F	PFL-1 continued	from prior page			
11a. l	n the precedi	ng 52 weeks has the	employee taken leave fo	or: NYS Disability PFL	Both Disability and PFL None
11b.	Enter the tot	al number of weeks	s and days taken for b	oth Disability and PFL in th	e last 52 weeks:
		Weeks	Please provide specific	dates for Disability:	
	Disability:				
	Diodomity.	Days			
		Weeks	Please provide specific	dates for PFL:	
	PFL:				
	PFL:	Days			
N F	PFL insurance ca Guardian Life Mailing address PO Box 1435	Insurance			
	City, State _exington, KY			Zip code 40511	Country (if not U.S.A.)
14. P	FL insurance	e carrier's telephor	ne number (8 0 0) 2 6 8 - 2 5 2	5
15. P	FL policy nu	mber			<u> </u>
	dian Specific				loyer is requesting reimbursement,
	ration and si	•			
	affirm the em	- iployee regularly w		s per week and has been in	employment for at least 26 cand has worked at least 175 days.
Any per any ma	rson who knowin terially false info	ngly and with intent to def rmation, or conceals for	fraud any insurance compan the purpose of misleading, ir	y or other person files an application of ormation concerning any fact mate	n for insurance or statement of claim containing will thereto, commits a fraudulent insurance act, alue of the claim for each such violation.
		zed to sign as the emplo ded is true and accurate		ng PFL. My signature affirms that to	the best of my knowledge and belief, the
	er's authorized s				
				Date signed (MM/DD/YYYY)	
Title					



New York PFL - Leave Time Tracking Sheet

Insured Name:	
Plan Number:	
Claim Number:	

Guardian Insurance Paid Leave Claims P.O. Box 14358
Lexington, KY 40511
1-800-268-2525 Fax 1-610-807-2950
Paid Family Leave@glic.com

The following information is needed to continue our handling of your Paid Leave claim.

It is your responsibility to track and report any missed work due to an approved Leave of Absence. Please follow the instructions below for reporting this time to Guardian.

- 1. Save a copy of this form for use in reporting future Leave Time.
- 2. Record dates work was missed each week. Only full day absences related to your approved Leave can be reported.
- 3. Please indicate "Relationship to Employee" for which leave is being taken.
- 4. Sign and date the form.
- 5. Have your Employer complete and sign their portion of the form verifying the dates for which Leave was taken.
- 6. Submit the completed form weekly by one of the following methods: 1) Fax: (610)-807-2950 2) Email: Paid_Family_leave@glic.com

EMPLOYEE SECTION:

Complete the below chart if using Intermittent Leave:

Date Leave Time Used	Full Day Used (Yes /No)	Leave Reason	Details of Leave	Relationship to Employee
Sample – 1/21/2021	Y	Care of Spouse	Doctors Appointment	Spouse – John Smith

Complete the below if using Continuous (uninterrupted) Leave:

Leave Begin Date	Leave End Date	Leave Reason	Details of Leave	Relationship to Employee
Sample – 1/21/2021	2/15/2021	Bonding	Care of Newborn	Son – John Smith Jr.

Employee Certification and Signature

1)	Please indicate your typical work schedule prior to taking your requested Paid Family Leave.					
	☐ MO ☐ TU ☐ WE ☐ TH ☐ FR ☐ SA ☐ SU					
By	By signing below, you attest that the information you have provided above is accurate.					
	Employee Signature	Date	Phone #	Email		

IMPORTANT: Please have your employer complete the following to confirm your reported Leave. Delay in processing of you claim could result if this form is submitted without the below employer confirmation.

	Employer Signa	ture	Date		Phone #	Email	
	Print Name:				<u> </u>		
	By signing below	, you are cor	firming the ac	curacy of the Leave	dates supplied by the	above employee.	
	_,		J				
	2) Please confir	m the emplo	vment status c	of the Employee:	Active	urloughed – Effective D	ate:
				sting reimbursemer	ıt? □ Yes □ No		
	a If'V	'ES' plaaca pi	rovido datos:	through			
	1) Are you payi	ng the emplo	yee 100% of th	eir full wages while	they are on Leave? $\; \square$	Yes 🗌 No	
EMF	PLOYER SECTION	:					
	Claimitamber	•					
	Claim Number	:					
	Plan Number:						
	Insured Name	1					



Direct Pay Enrollment and Authorization

If you are unable to provide a handwritten signature due to technical limitations resulting from the COVID-19 pandemic, Guardian will accept a typewritten name in lieu of your signature on an interim basis. You <u>must</u> check the box below each signature line certifying that you understand that your typewritten name has the same force and effect as your signature.

For faster service please:

- 1. Complete this form on-line
- 2. Print, sign and scan it or use interim accommodation of typing your name in the signature line
- 3. Save the completed form to your computer
- 4. Return to Claim Submission page
- 5. Click Secure Channel Claim Submission button and follow prompts

To mail this form:

Guardian NY Paid Family Leave PO Box 14358,Lexington, KY 40511

To fax the form: (610)-807-2950

Customer Service:

1-800-268-2525

For direct deposit of your Paid Family Leave (PFL) benefit payments to your checking or savings account, please include all of the information requested. Please allow up to 10 business days for processing, upon receipt of completed documentation. If you have any questions about completing this form, please contact us at (800) 268-2525.

	Claim Information:		
	Claim Number (if known): Claimant Name	me: Group #:	
2.	REQUIRED: Provide a voided check, deposit slip or letter from your financial institution with routing and account numbers and attach to this authorization request. See example.	Name on Bank Account Street Address City, State, Zip Pay to the order of DOLLARS	101
	Account Type: (Choose One)	EXAM	
	☐ Checking Account or ☐ Savings Account	Memo	
В	ank Name:	120000E7894: \$2345E789* 0101	
	ank Routing Number (ABA#):	Nine-digit Account Do not include the Routing Number Number sequence number	check
В	ank Account Number:	Routing Number Number Sequence number	
	I authorize Guardian Life Insurance Company of America ("Company") to the account and bank I have indicated above or to such other account as account. I also authorize the Company to debit my account for any depo deposit service will stay in effect until I notify the Company in writing of c payments, whichever comes first. This request will also stay in effect LTD claim, if applicable. I understand that I have the opportunity to view GuardianAnytime.com. Check this box to discontinue receiving paper EOBs.	as the bank or any successor bank designates as my posits made in error. I also understand that the direct f cancellation or until I am no longer eligible for or due at should my STD claim transition into an approved	
	Claimant Signature	Date	
	☐ I am unable to provide a signature due to the COVID-19 pandemic. I understan signature.	and that my typewritten name has the same force and effect	as my
4 .	Joint Account Holder Agreement (Please check here if you a I understand and agree that any funds deposited after the date of payable under the plan are to be immediately returned to Guardia	of death of the Claimant that are not otherwise	

GG-016672-NYPFL



Request For Paid Family Leave Military Qualifying Event (Form PFL-5)

INSTRUCTIONS INCLUDED WITH FORM

TO BE COMPLETED BY THE EMPLOYEE	Plan #
Employee's name (first name, middle initial, last name)	Employee's date of birth (MM/DD/YYYY)
Other last names, if any, under which employee has worked	Employee's Social Security Number or TIN
	_
Employee's mailing address	
Mailing address	
City, State	Zip code Country (if not U.S.A.)
,	
MILITARY OLIAL IEVING EVENT (to be completed by th	oc ompleyee)
MILITARY QUALIFYING EVENT (to be completed by the	ie employee)
1. Name of military member on covered active duty or imp	pending call to covered active duty status (international
deployment) (first name, middle initial, lastname)	
2. Military member's date of birth (MM/DD/YYYY)	
2. Military member's date of birth (MM/DD/YYYY)	/
3. Military member's gender Male Female Note	designated/Other
4. Military member's mailing address	
Mailing address	
City, State	Zip code Country (if not U.S.A.)
, , , , , , , , , , , , , , , , , , ,	
5. The above-named military member is employee's:	Spouse Domestic partner Child Parent
6. Period of military member's covered active duty (MM/DD/	YYYY)
1 1 to 1	
7. Please select one of the following and attach the indica	
covered active duty or impending call or order to cover	-
Covered active duty orders Letter of impending call or order to	o covered duty Documentation of military leave signed by the approving authority for military member's Rest and Recuperation
Qualifying Reason For Leave (to be completed by the	employee)
8. What is the reason employee is requesting PFL? (One or	more reasons may be selected.)
	member's representative before a federal, state, or local agency for purpose of
	ng, or appealing military service benefits
	nt sponsored by the military or military service organizations
Making financial arrangements Other	
Making illianciarangements	
Making legal arrangements	
	Form PFL-5 continued on next page

FORM PFL-5 - CONTINUED FROM PRIOR PAGE	Plan #					
TO BE COMPLETED BY THE EMPLOYEE	Employee's social security #					
Employee's name (first name, middle initial, last name)	Employee's date of birth (MM/DD/YYYY)					
MILITARY QUALIFYING EVENT (to be completed by	the employee) - continued from prior page					
Form PFL-5 continued from prior page						
9. Written documentation supporting this request for le	ve is available and attached?					
Note: A complete and sufficient certification to support a request for PFL leave due to a qualifying event includes any available written documentation which supports the need for leave; such documentation may include a copy of a meeting announcement for informational briefings sponsored by the military; a document confirming the military member's Rest and Recuperation leave; a document confirming an appointment with a third party, such as a counselor or school official, or staff at a care facility; or a copy of a bill for services for the handling of legal or financial affairs. If leave is requested to meet with a third party, the employee must provide the supporting documentation of the meeting that includes the name, address, appropriate contact information of the individual or entity with whom you are meeting (i.e., either telephone number, fax number, or email address of the individual or entity).						
Declaration and signature						
any materially false information, or conceals for the purpose of misleading	any or other person files an application for insurance or statement of claim cor, information concerning any fact material thereto, commits a fraudulent insura five thousand dollars and the stated value of the claim for each such violation.	nce act,				
I am hereby making a request for paid family leave benefits under the NY providing is true and accurate to the best of my knowledge and belief.	S Workers' Compensation Law. My signature affirms that the information I am					
Employee's signature	Data signed (MM/DD NVVVV)					
	Date signed (MM/DD/YYYY)					

TO BE COMPLETED BY THE EMPLOYEE		Plan #		
Employee's name (first name, middle initial, last name)	Employee's	Employee's date of birth (MM/DD/YYYY)		
		1		
Other last names, if any, under which employee has worked	Employee's	Social Security	Number or TIN	
		-		
mployee's mailing address				
Mailing address				
City, State	Zip code		Country (if not U.S.A.)	
QUALIFYING REASON FOR LEAVE - DOCUMENTAT	ION			
leave is requested to meet with a third party, the employee must provide s	supporting documentation	n of the meeting tha	at includes the name, address, and	
opropriate contact information of the individual or entity with whom you are	• • •	•		
dividual or entity). The reason for a meeting can include: arranging for chil	•			
nilitary member's representative before a federal, state or local agency for productions and the state of the	ourposes of obtaining, a	rranging or appealin	g military service benefits, or attending	
ny event sponsored by the military or military service organizations.				
Please submit this documenta	tion for each requi	red meeting/ev	ent.	
	<u> </u>			
lame of individual with whom employee is meeting				
itle				
Organization				
Telephone number (provide area or country code)				
Fax number (provide area or country code)				
Email address				
Mailing address				
Mailing address				
City, State	Zip code	Country	(if not U.S.A.)	
			(*	
Describe nature of meeting. Include dates, if known:		,		
•				