

DUTCHESS COUNTY OFFICE OF PROBATION
AND COMMUNITY CORRECTIONS



Marcus J. Molinaro
County Executive

2019

Annual
Report



Mary Ellen Still
Director of Probation

Thomas Morris
Deputy Director

A MESSAGE FROM THE DIRECTOR



I am pleased to submit the 2019 Annual Report for the Dutchess County Office of Probation and Community Corrections.

During 2019, the department prepared for one of the biggest changes to impact the criminal justice system in many years—bail reform. Staff participated in state initiatives providing guidance to pretrial practitioners as well as the New York Association of Pretrial Services Agencies where two staff members are Board members. The department also worked with the Criminal Justice Council’s consultant, Dr. Gary Christensen, to analyze the potential impact on the system.

Raise the Age, another state change that raised the age of criminal responsibility from 16 to 18 years of age, continued to impact youth as well as the system. As anticipated, more youth came into the juvenile justice system and Family Court as a result of the change and new programs for older youth were needed.

The department has maintained its commitment to incorporating evidence-based practices and additional probation officers were trained as facilitators for cognitive behavioral groups. In addition, there was a focus on training for chemical dependency, especially for opioids. Probation staff were part of inter-agency initiatives to deal with substance use disorders and various ways to treat them, including the use of Medication Assisted Treatment.

In January 2020, bail reform will go into effect and the entire criminal justice system will need to adjust to the change. We will also see the full Impact of Raise the Age as 17-year-olds will be included in October 2019.

Fortunately, the department and the entire system is well positioned to meet the challenges of the coming year due to the extensive planning process that occurred and the dedication and professionalism of the department’s employees.

The department gratefully acknowledges the support of County Executive Marcus J. Molinaro and the Dutchess County Legislature.

Mary Ellen Still
Director

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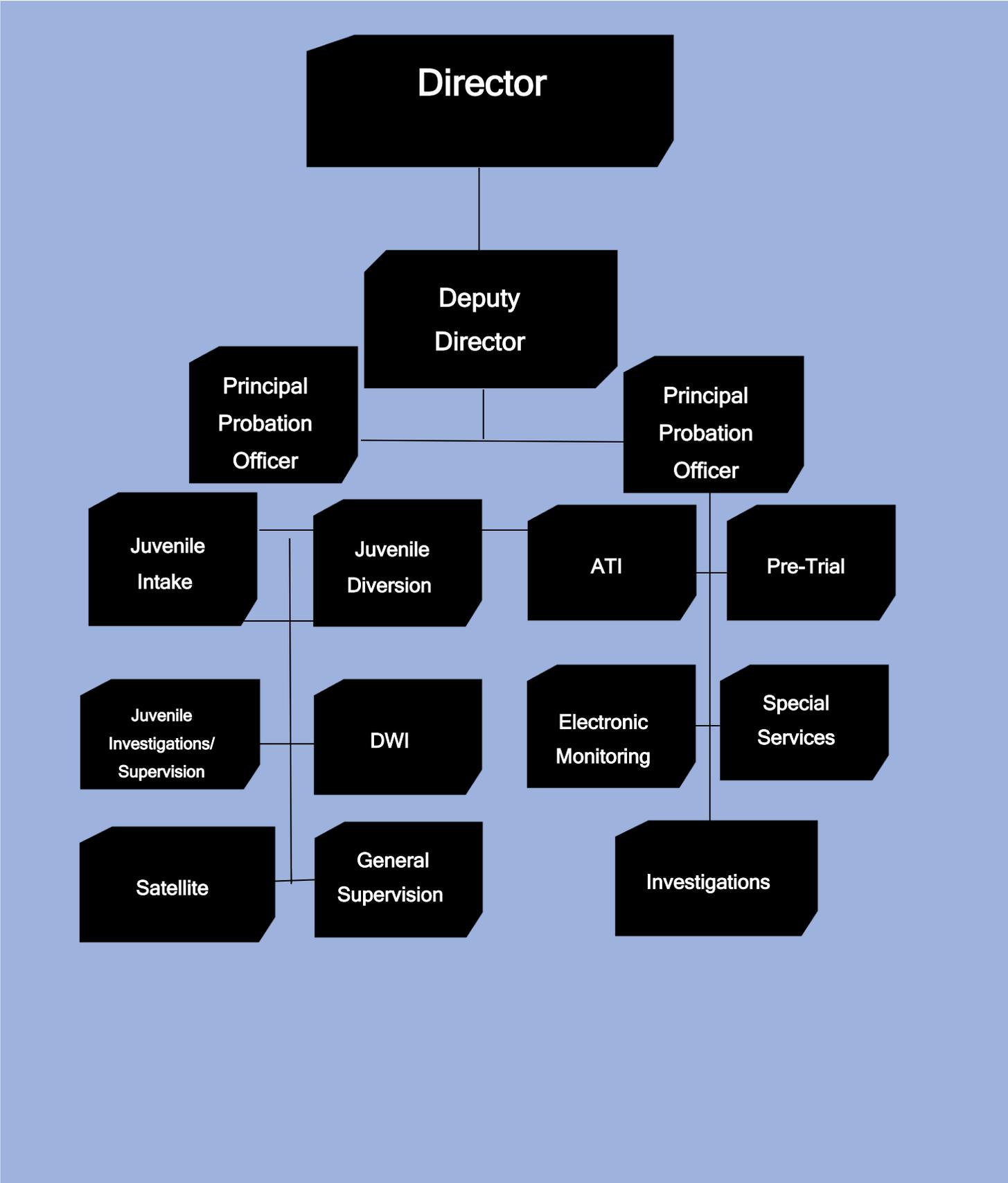
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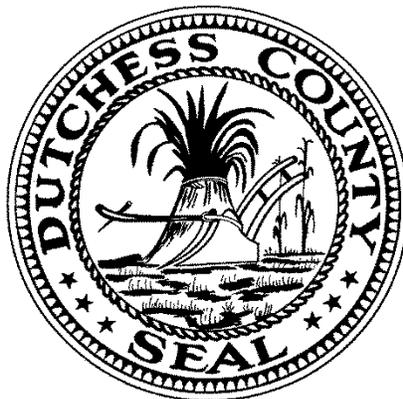
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Dutchess County Legislature Public Safety Committee

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Will Truitt, Vice Chair
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Rebecca Edwards
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MISSION STATEMENT

The Mission of the Dutchess County Office of Probation and Community Corrections is to protect the community through intervention in the lives of those under supervision by facilitating compliance with court orders and serving as a catalyst for positive change. We operate in collaboration with our criminal justice partners and the community. We provide services to courts, help strengthen families and give victims a voice in the justice system. We provide leadership and services in a cost effective community-based setting.



2019 Annual Report

Juvenile Intake Unit

Timothy J. Meester – Probation Supervisor

Mary Ellen McCue – Senior Probation Officer

Structurally, little changed in the way the Juvenile Intake Unit processed cases in 2019. Most notably, the New York State Raise the Age Act (RTA) began to treat 17-year-olds charged with felony matters as Adolescent Offenders with their initial appearances being handled in the Youth Part of the Supreme Court effective October 1, 2019. Additionally, 17-year-olds who are issued Juvenile Delinquency Appearance Tickets for misdemeanor matters began to be handled by the Office of Probation effective the same date based on this legislation.

Raise the Age – The New York State Raise the Age legislation took effect October 1, 2018, at which point 16-year-olds were no longer treated as adults in the Criminal Justice System. This legislation was extended to youth 17-years of age at the time of offense on October 1, 2019 with the goal of also handling these cases in the Juvenile Justice setting in most instances. The expectation that there would be a significant increase in cases did not come to fruition, with only three 17-year-old youths being charged as Adolescent Offenders in the last three months of 2019 and an additional three JD appearance tickets being received for 17-year-olds. Arraignments in Youth Part continued at a steady rate in 2019 with 14 youth being arraigned as Adolescent Offenders and four Juvenile Offenders being arraigned. Twelve of the Adolescent Offenders were removed to the Office of Probation for Intake as Juvenile Delinquents and consideration of Diversion services.

Family Court Intake Functions – The Juvenile Intake Unit includes a Senior Probation Officer and two Intake Workers who are the initial point of contact for youth and families for Juvenile Delinquency (JD) appearance tickets issued by law enforcement agencies. Similarly, they also review and complete the intake process for PINS complaints filed by school officials and for parents seeking assistance and support in managing incorrigible, ungovernable or runaway youth.

In 2019, the Juvenile Intake Unit handled 68 PINS complaints filed by local school districts, a decrease of 50% from 2018. An additional 111 parent filed PINS complaints were reviewed in 2019, which was a 28% increase for the year. Upon review of a school or parent filed complaint, there are occasions where referrals for services in the community are made in lieu of opening a PINS as a Pre-Diversion measure to prevent a youth from entering the Juvenile Justice System.

Low Risk Diversion Cases – The Senior Probation Officer in the Juvenile Intake Unit oversees JD and PINS diversion cases that are determined to be low-risk on the YASI pre-screen completed during the initial intake. As these are low-risk cases, youth and families are connected with services in the community since they require minimal supervision to be successful. Evidence-based studies

show these youth require minimal supervision and once connected with services in the community, their cases are generally closed.

Juvenile Risk Intervention Services Coordination (JRISC)-The Juvenile Risk Intervention Services Coordination (JRISC) provides intensive services to prevent out-of-home placements of high-risk youth. High-risk youth are identified by the Youth Assessment and Screening Instrument (YASI). JRISC is comprised of a probation officer, Case Manager Aide, and a Licensed Clinical Social Worker from Astor Services for Children and Families. This team works collaboratively on-site at the Office of Probation and Community Corrections. The JRISC team maintains a caseload of families who participate in Functional Family Therapy (FFT); an evidence-based program which research has shown to effectively reduce recidivism. The JRISC team is responsible for attending weekly FFT trainings to ensure a consistent message is delivered to youth and families. The Case Manager Aide provides support to families by making referrals to various community resources, engages the youth in prosocial activities, and coordinates volunteer opportunities. In addition, the Case Manager Aide coordinates educational workshops for youth. Examples include *Grace Smith House's* "In Their Shoes" workshop, which educates youth on the effect that domestic violence has on the person, their friends and family. *Grace Smith House* also provides workshops on healthy relationships and the dangers of sharing inappropriate photos on social media. Another local agency that provides workshops to the youth is *Planned Parenthood*. They host a 6-hour workshop called "Be Proud! Be Responsible." This workshop teaches youth about STDs/STIs and other relevant information. Prosocial activities provided by JRISC are: Thanksgiving pie making, pizza making, trips to the FDR estate, and the Poughkeepsie teen film festival. In addition to prosocial activities and educational workshops, JRISC youth participate in the EquiNorth Program for Equine Assisted Youth Development at Lucky Orphans Horse Rescue in Dover Plains, NY. This eight-week program aims to improve a youth's confidence, improve decision-making skills, and stresses the importance of being a team player. These dynamic activities reinforce positive ideas and practices surrounding important aspects of life such as health, domestic violence awareness, and community involvement.

Functional Family Therapy (FFT) - Functional Family Therapy is an evidence-based family counseling approach that has been demonstrated to be effective for a wide range of at-risk youth and their families. A team of professionals comprised of a probation officer, Astor clinical staff including a psychologist and clinician, and staff from the Dutchess County Department of Behavioral and Community Health currently make up the team providing this service to youth and their families. A single team member will provide the actual family sessions but will also work closely with the assigned probation officer. The FFT counseling approach works to develop the unique strengths of each family, and to enhance the families' communication and ability to make positive changes in the future on their own. FFT team members meet on a weekly basis for ongoing clinical supervision, as well as to review cases and participate in ongoing training.

Collaborative Solutions Team - The Collaborative Solutions Team assists in mental health screens, consultations, interventions [including crisis], safety assessments, and substance abuse screening and assessment. They can be utilized as a team or individually at any stage from intake to case supervision.

In 2019, in partnership with the NYS Division of Criminal Justice Services, the department submitted an application to the RFK National Resource Center's Dennis M. Mondoro Probation and Juvenile Justice System Enhancement Project. Dutchess County was subsequently selected as one of three jurisdictions to receive technical assistance to undertake a two-year comprehensive review of the juvenile justice system. It is anticipated that participation in this project will enhance and improve the system and lead to positive outcomes for our justice-involved youth.

2019 Annual Report

Juvenile Diversion Unit

Karen DeSimone, Supervisor
Sandy Carroll, Senior Probation Officer

When youth engage in high risk or delinquent behaviors, it is likely an indicator that support services are needed. The Probation Diversion Services program offers youth the opportunity to resolve their issues by voluntarily engaging in services while diverting the youth from a more consequential response in a courtroom. Youth who enter the Juvenile Justice System are often facing many challenging issues such as: drug/alcohol abuse, mental illness, family problems, school failure, peer pressure, poverty, and gangs. The youth of today also face challenges unlike any other. Technology has advanced quickly and created a world of communications and information at the touch of a button. With this emerges new issues in the Juvenile Justice System such as cyber-bullying and internet-related crimes. As all these issues remain increasingly complicated, we respond by using evidence-based practices to ensure the most appropriate programs are provided to the youth who present with the most serious risk. This approach to service delivery demonstrates our ability to assist those seeking help today and our readiness to take on the challenges of tomorrow.

In October 2018, New York State changed the age of criminal responsibility. As of October 1, 2019, youth ages 16 and 17 accused of committing a criminal act are generally charged as a Juvenile or Adolescent Offender and face the same or similar procedures and consequences of a youth under 16. The intent of this change is to respond to the issues and needs of all youth in a restorative, supportive fashion. In 2019, our local Juvenile Justice System continued to modify/change its practices to meet the needs of this increased number of at-risk youth under the age of 18.

The Diversion Unit is staffed with a team of probation professionals who exhibit dedication, caring, and commitment to the youth and families they serve. We are reminded every day that all the youth in our county deserve every opportunity to grow, thrive, and become our leaders for a better tomorrow.

The Dutchess County Office of Probation Juvenile Diversion Unit provides the following:

▪ **Persons In Need of Supervision (PINS) Diversion Services**

PINS complaints are most often filed by parents/guardians or schools based on incorrigible behavior, truancy, drug/alcohol use, and runaway incidents. All youth subject to a PINS complaint are offered participation in the Diversion Services program. The probation officers in this program work with the youth to complete an assessment and case plan which identifies needs that led to the PINS complaint. The probation officer refers the youth and family to various programs both within and outside of the agency to address the identified needs. Diversion services typically last for 9 to 12 months; ideally closing when the youth has completed the goals of the case plan and demonstrates a positive behavioral change. If participation in diversion services does not successfully resolve the complaint or the behavior worsens, the matter may be referred to Family Court for further intervention.

▪ **Juvenile Delinquent (JD) Diversion Services**

A Juvenile Delinquent is a person over seven and less than 18 years of age who commits an act that would constitute a crime if committed by an adult. Police departments issue appearance tickets to potential juvenile delinquents alleged to have committed a crime which are returnable to Probation Intake. Following an intake interview, Juvenile Delinquency complaints are reviewed and considered for Diversion Services. Similar to PINS Diversion Services, participation in the JD Diversion program is voluntary on behalf of the youth and family, however, the victim of the youth's crime must also be in agreement to divert the case and the youth must be willing to accept some responsibility for the crime they are accused of committing. The JD Diversion program lasts for 3 to 5 months. Like the PINS program, the assigned probation officer works with the youth to create a case plan that addresses the identified needs of the youth which may also include the payment of restitution. Additionally, the youth is required to complete the JD Diversion Restorative Justice Program.

❖ **JD Diversion Restorative Justice Program**

Launched in 2009, the JD Diversion Restorative Justice Program integrates the traditional philosophy of Restorative Justice whereby the central focus is shifted to victim needs and offender responsibility for repairing the harm caused. As such, probation officers contact crime victims to offer support services and seek their input regarding the incident. In addition, all youth assigned to the JD Diversion program are required to **complete community service** hours and **attend an Empathy/Apology Letter Workshop**.

The following are some of the tools and services available at the Office of Probation and utilized to assist youth and families needing diversion services:

- ❖ **YASI** - The YASI is an evidence-based tool effective in determining risk and protective factors. The domains with the highest risk factors are targeted in the case management plan. Both PINS and JD Diversion youth with a PRE-YASI low risk score are assigned to an administrative status and referred for brief service interventions as evidence shows keeping low risk cases in the juvenile justice system can increase the risk of recidivism.
- ❖ **MAYSI** A mental health screening is conducted on all consenting PINS & JD Diversion youth by use of a **MAYSI** questionnaire. The **MAYSI** (Massachusetts Youth Screening Instrument) identifies signs of mental health issues among youth between the ages of 12 – 17 years. Depending on the results, immediate referrals to an appropriate agency may be made or a further evaluation recommended.
- ❖ **The Collaborative Solutions Team** assists in mental health screens, consultations, interventions [including crisis], safety assessments, and substance abuse screening and assessment. They can be utilized as a team or individually at any stage from intake to case supervision. One of the team members is offering the Teen Intervene Program; a brief, evidence-based early intervention program designed to assist teenagers make healthier choices surrounding substance, alcohol, and drug use.
- ❖ **Functional Family Therapy (FFT)** is an evidence-based family counseling approach that has been demonstrated to be effective for a wide range of at-risk youth and their families. A team of professionals comprised of a probation officer, Astor clinical staff, and staff from the Dutchess County Department of Behavioral and Community Health provide this service to youth and their families involved with the Office of Probation and Community Corrections. A single team member will provide the actual family sessions but will also work closely with the assigned probation officer. The FFT counseling approach works to develop the unique strengths of each family, and to enhance the family's ability to make positive changes in the future on their own.
- ❖ **Decision Points** is an evidence-based program designed to address risk, needs, and responsibility. It combines aspects of cognitive skills and cognitive restructuring in order to teach four skills, each of which touches on a fundamental aspect of the participant's thinking.

- ❖ **Moral Reconciliation Therapy (MRT)** is an evidence-based program which combines education, group and individual counseling as well as structured exercises designed to foster moral development.
- ❖ **Interactive Journaling** is an evidence-based approach to helping clients move through the stages of change. It is a guided one-on-one process using workbooks that helps teach positive life choices and changes.
- ❖ **Juvenile Firesetter Intervention Response & Education**

J-Fire is a county-wide multi-agency program providing assessment and education to children 3 to 17 years of age exhibiting fire setting behaviors. Currently, six probation officers and two supervisors from the Office of Probation are trained as Intervention Specialists and are active participants in the program.

The probation officers in this unit are committed to divert cases from the Family Court whenever possible, as it is a far better outcome to address the needs of a youth and their family on a voluntary basis.

The supervisor of the Diversion Unit, Karen DeSimone, has been serving as chairperson of the Dutchess County Juvenile Justice Committee (a sub-committee of the Dutchess County Criminal Justice Council) since its inception in 2010 and hosts the Juvenile Justice Committee meetings at the Office of Probation. In 2013, members of the Dutchess County Juvenile Justice Committee joined the newly formed Mid-Hudson Regional Youth Justice Team, a statewide reform initiative. In 2019, members of the Dutchess County Juvenile Justice Committee continued to attend and participate in the Mid-Hudson Regional Team meetings and hosted a meeting in Dutchess County in September 2019.

In addition, Ms. DeSimone serves on the Dutchess County Criminal Justice Council's Executive Committee, the JFIRE Steering Committee, the Building Bridges Committee, and Path to Promise Implementation Committee. She is an instructor for the Dutchess County Crisis Intervention Training (CIT), and represents the Mid-Hudson region on the New York State Partnership for Youth Justice.

*We cannot always build the future for our youth,
but we can build our youth for the future.*

Franklin D. Roosevelt



2019 Annual Report

Juvenile Investigation and Supervision Unit

Chantal Sherwin, Unit Administrator
Brianna Thatcher, Senior Probation Officer

The Family Court Investigation and Supervision Unit provides probation services to children and families who are involved with the juvenile justice system. These services include pre-dispositional investigations (PDIs) for Persons in Need of Supervision (PINS) and those charged as Juvenile Delinquents (JD). Not only does the unit provide probation supervision for youths adjudicated as Persons in Need of Supervision and Juvenile Delinquents, but also pre-dispositional supervision in the form of continued Diversion Services for PINS and Released Under Supervision (RUS) for JDs, as they await adjudication and disposition. Community supervision is provided for supervised Adjudgments in Contemplation of Dismissal on JD and PINS petitions and cases that transfer in from other states and counties.

Investigations

One full time officer in the Family Court Unit prepares the investigations that are ordered by Dutchess County Family Court and used in the juvenile justice system. In 2019, this officer completed a total of 81 pre-dispositional investigations. The majority of reports produced by the Family Court Unit are for Juvenile Delinquency and Persons in Need of Supervision (PINS) matters, however, other jurisdictions have requested investigations regarding other Family Court issues. The investigations are used by the Family Court, County Attorney's Office, Department of Community and Family Services, Office of Children and Family Services, therapists and supervising probation officers. The investigations are used to assist Family Court judges in making informed decisions about the children and youth who appear before them. In addition, officers whose primary assignment is supervision of probationers are regularly called upon to prepare updated investigations and reports on probationers under their supervision.

The pre-dispositional investigation regarding Juvenile Delinquency and Persons in Need of Supervision (PINS) matters includes a description of the present offense, including the respondent's statement, the victim or petitioner's statement as well as the arresting officer's input, if applicable. Additionally, the report includes information pertaining to the respondent's legal history and analysis thereof, social and family history, community and peer relationships, information about school, use of free time, alcohol/drug use, physical and mental health, home environment, evaluative analysis and recommendation. The Youth Assessment Screening Instrument (YASI), which is an evidence-based assessment tool, is completed during the pre-dispositional investigation and assists the officer in identifying the youth's risk and protective

factors. The YASI guides the recommendation for services that are appropriate to meet the underlying needs of the child.

Predispositional Services and Programs

In an effort to reduce the number of medium and lower risk youths being housed in detention prior to the disposition of their cases, we continue to expand and utilize a variety of pre-dispositional programs. In addition to having a court liaison present in Family Court on Tuesdays and Thursdays when most juvenile cases are heard, the Office of Probation and Community Corrections receives the daily census of all youth remanded to Non-Secure Detention as well as Secure Detention. A probation officer reviews each case to determine if the youth can be released from detention and safely maintained in the community under the supervision or intervention of one of the pretrial programs. A risk assessment tool is utilized to assist in determining the youth's risk of not appearing in court and/or committing a new offense while the disposition of their case is pending. In October 2013, New York State approved the use of the Detention Risk Assessment Instrument (DRAI). All youth with pending JD matters must have a DRAI completed if the court is giving consideration to placing the respondent in detention. Our department completes the DRAI for all youth with pending JD matters. We continue to work toward the goal of providing rehabilitative services, while maintaining youth in their homes whenever feasible, in light of the need to maintain the safety of both the youth and the public.

- **Continued Diversion / Restore to Diversion** – This program continues to expand and involves only PINS cases. When a petition is filed in Family Court due to refusal to participate in diversion or poor performance in the Diversion Services Program, the judge may order a family to participate with Diversion Services until disposition of the case.
- **Released Under Supervision (RUS)** – Pre-dispositional supervision of JD cases based on specific conditions of pre-dispositional release outlined by the Family Court Judge.

In 2019, the Family Court Supervision Unit supervised 42 pre-dispositional cases.

- **Curfew Monitoring Program** – Probation staff conduct in-person and phone curfew checks several times a week between the hours of 5:00 pm and 10:00 pm to verify curfew compliance as well as provide support to families that might have high risk children. The court can mandate the youth to participate in this program or it can be a voluntary participation agreed upon by the family members. Both PINS and JD cases may be ordered to participate or volunteer for the Curfew Monitoring Program. In addition, youth returning from residential placement can also be placed on Curfew Monitoring as part of their aftercare plan with the Department of Children and Family Services. In 2019, 64 youth participated in the Curfew Monitoring Program.
- **Juvenile Electronic Monitoring (JEM)** – The pre-dispositional use of the Juvenile Electronic Monitoring (JEM) Program is frequently ordered by the Family Court Judges in Dutchess County as an alternative to detention. This has given potential probationers the opportunity to demonstrate, while their case is pending, that they can safely remain in the community, thus preventing placement outside their home. The investigating officer takes into

consideration the progress of those released to pre-dispositional JEM in their report to the court. A majority of pre-dispositional electronic monitoring juvenile cases have achieved successful completion. In 2019, the Probation Department received 53 pre-disposition Juvenile Electronic Monitoring Orders. When necessary, the Family Court Judges may consider JEM after adjudication and at disposition to divert a youth from placement, offering them an opportunity to remain in the community under our strictest level of supervision.

Supervision

The mission of the Family Court Unit, as it applies to supervision, is to prevent youth from becoming further involved in the juvenile justice system and to prevent their progress into the adult criminal justice system by addressing underlying issues. Caseload size varied with four probation officers assigned by geographic location.

A probation officer oversees various groups held in the office and co-facilitates groups to address risk factors and strengthen protective factors. These groups include Moral Reconciliation Therapy groups for males and females, Career University, an exploration and job readiness training, Decision Points, Interactive Journaling, the Empathy Workshop and Healthy Relationships.

Probation youth have also participated, with the assistance of the JRISC case manager, in the Lucky Orphans horse rescue, a character development program. This evidence-based program provides a unique opportunity for youth and adults as well to participate in an equine therapeutic curriculum.

A senior probation officer serves as a court liaison and is present at all scheduled court dates. This enhances communication between the Office of Probation and Family Court. This senior officer is also responsible for a variety of transfer cases and assists the supervisor in the unit.

Three probation officers have completed the first intervention training for the Juvenile Firesetter Intervention Response and Education (J-FIRE) training, which assists children ages 3 to 17, who exhibit fire setting behaviors.

Two officers have been trained in Interactive Journaling, an evidence-based structured experiential writing process which motivates and guides participants toward positive change.

One officer completed the Offender Workforce Development Specialist Training certifying him to run Career University, the Ready, Set, Work employment curriculum and Decision Points.

In addition to assessing risk and protective factors, the officers monitor behavior at home, school and in the community. Probation officers directly link youth with services within their communities to address needs and strengthen protective factors. Interventions are designed to address the identified areas of risk using the YASI to develop a case plan, tailor-made for that youth. Included are community service opportunities, employment programs, parenting classes, school counseling, access to academic support services in school and treatment for substance abuse, mental health issues and sexual offending behaviors.

In 2019, the Family Court ordered 42 youths to probation supervision, including 12 PINS cases, 17 JD cases and 13 ACD cases.

Quality Assurance

To promote consistency in the violation process, ensure adherence to evidence-based practices and consistent use of alternatives to detention, the Probation Department continues to utilize the Juvenile Dispositional Review Committee (DRC). The Committee is an in-house committee chaired by the Deputy Director and comprised of members from all levels of the department with different areas of expertise. All potential Violations of Probation must be reviewed by the DRC before filing. Also, in any case that may result in an out of home placement or a detention recommendation, the case is presented before the DRC. The Dispositional Review Committee gathers vital information that will assist in planning and quality assurance through analysis of performance and outcome measures.

The members of the JRISC team, along with the probation supervisor of the Family Court Unit, the Coordinator of Children and Family Services from the Dutchess County Department of Behavioral and Community Health and the Deputy Director, closely scrutinize quality assurance issues.

The Family Court Supervision and Investigation Unit continues to evolve while providing the youth of Dutchess County with innovative, evidence-based alternatives to placement.

Our goals for 2019 are to initiate or continue the following:

1. Continue Moral Reconciliation Therapy Groups for females and males;
2. Include Restorative Justice Model in all Juvenile Delinquent cases;
3. Implement Cognitive Behavioral Therapy and Dialectical Behavior Therapy to address substance abuse and anger management;
4. Victim Empathy Advanced Program;
5. Team Building Leadership Programs for youth at the Lucky Horse Orphan Rescue along with community service opportunities;
6. Career University;
7. Planned Parenthood Youth Leadership Training;
8. Grace Smith House- Bullying & Cyberbullying/Internet Safety and Teen Dating Violence;
9. Decision Points;
10. Interactive Journaling.

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General Supervision Unit – Poughkeepsie Office

Paul Spagnoli, Supervisor

Alisyn Gaffney, Senior Probation Officer

This unit is comprised of a senior probation officer, six line officers, a case manager aide and a supervisor. The senior probation officer recently transferred to this unit from Electronic Monitoring and brings with him twenty years of experience as a probation officer. He is currently supervising a smaller caseload of more challenging probationers, allowing more time for assisting other officers in the unit and completing any required specialized duties.

During 2019, officers co-facilitated weekly cognitive behavioral groups for offenders arrested for driving with a suspended or revoked driver's license. This program seeks to help probationers understand that the choices they make have a lasting impact on their lives. Four officers from General Supervision also assist in co-facilitating another cognitive behavioral program, Moral Reconciliation Therapy (MRT). Both programs assist probationers to develop new and better strategies for making healthier decisions.

The officers in this unit supervise caseloads comprised of greatest risk to low risk offenders. Three officers supervise the bulk of male offenders; another officer is assigned a predominantly female caseload, allowing for gender-specific needs to be addressed. Two officers work primarily with seriously and persistently mentally ill individuals. They have undergone valuable training to help them assist this population to connect to the services they need, such as mental health and substance abuse treatment, medication management and case management. A licensed social worker from the Dutchess County Department of Behavioral and Community Health is assigned full time to the Office of Probation to assist with coordinating services, counseling, and crisis intervention. The collaborative work of probation and mental health offers probationers resources and strategies to manage and stabilize their lives and reduce the risk of rearrest.

A majority of the female probationers are referred for services at the Women's Center, which is operated by Project MORE with funding from the NYS Division of Criminal Justice Services, Office of Probation and Correctional Alternatives. A probation officer from this unit works in conjunction with Project MORE staff. She has a satellite office located at the program facility and is an intricate component of the programming. Having an office located at the center allows her to meet with the participants on-site, limiting the need for them to travel to the Probation office,

which is often difficult due to a lack of transportation and childcare issues. This program provides supportive services for our female probationers, which include case management, access to food and clothing, wellness activities, on-site childcare and transportation to and from the center. The women are also able to complete court ordered programming such as Anger Management, Moral Reconciliation Therapy, and Ready, Set, Work!

The Women's Center continues to utilize the Interactive Journaling (IJ) Program. IJ is an evidence-based, participant-centered program designed to assist individuals with making long term positive life changes. It uses the COMPAS assessment to determine which journal(s) would be most appropriate to meet the probationer's needs. IJ is offered to Women's Center participants after successful completion of the Moral Reconciliation Therapy Program. IJ is currently being incorporated in the Poughkeepsie office by the supervisor of the General Supervision Unit.

As with the other units, the general supervision officers use periodic risk assessments and employ motivational interviewing skills to develop case plans with the probationers in order to affect positive change in their behavior; several officers have obtained advanced motivational interviewing certifications. Supervision efforts by probation officers include group work, as well as supervision and monitoring within the community. Probation officers not only meet with probationers, but also maintain contact with employers, treatment providers, family members and others in the community to ensure successful outcomes, compliance with the supervision plan and community safety.

Continuing trends observed are an increase in the seriously mentally ill population and the rise in the abuse of prescription medications, heroin, fentanyl, and crystal meth. We have been working closely with other community agencies and continue to obtain training to effectively supervise these populations in the community. In addition, all the officers in the General Unit have been trained in the administration of Narcan. We are also currently exploring the possibility of dispensing Narcan to at-risk probationers under specific circumstances. Hopefully, these measures will help to reduce the risk of overdose deaths in the people we supervise.

The officers in the General Supervision Unit are dedicated to helping change people's lives through the application of evidence-based practices and enhancing the safety of the community. The department opened 1,069 adult supervision cases in 2019.

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PRETRIAL SERVICES UNIT

Kathy McQuade, Supervisor
Patricia Trepepi, Senior Probation Officer

Pretrial Services Unit

This Unit is a specialized division in the department that has the primary responsibility of pretrial release services. In addition to the supervisor and one senior probation officer, staffing includes six probation officers and one case manager aide.

2019 marks the 46th year that Dutchess County has provided alternatives to bail through the pretrial interventions of the Probation Department. While much has changed over the years, the program has always adapted to meet these changes. It is fair to say that the pretrial process has been wholly incorporated into daily criminal justice system procedure.

The presence of an effective pretrial release program provides a basis for alternative to incarceration programming, while offering the courts other options to bail. These programs facilitate release without financial conditions by identifying appropriate defendants for release on recognizance (ROR) or release under supervision (RUS). In general, ROR refers to the release of a defendant on his or her promise to appear in court. RUS refers to the release on a promise to appear, in combination with other conditions imposed by the court.

Dutchess County continues to house pretrial services within the Probation Department as a discrete unit whose primary focus is to provide courts with another release option, rather than setting an amount of bail. Dutchess County continues to offer release services along a continuum; defendants are initially considered for the least restrictive option; however, if release is not achieved, or the defendant presents a greater risk, more limiting release options are considered, such as electronic monitoring. This approach has proven successful and efficient, as resources can be shared with other probation department programs.

Pretrial in 2019

On April 1, 2019, New York State passed criminal justice reform legislation that eliminated money bail and pretrial detention for individuals charged with nearly all misdemeanor and non-violent felony crimes. Preparation for its initiation in January 2020 became the major focus for the Pretrial Services Unit for 2019. The unit began working with a collaborative team in April 2019 to minimize the impact on the entire criminal justice system. Most pressing were the inmates in the Dutchess County Jail who would no longer be incarcerated due to the impending reform. The Pretrial Services Unit took on an immense and challenging role in the planning stages for the bail reform. Of note is a marked increase in the percentage

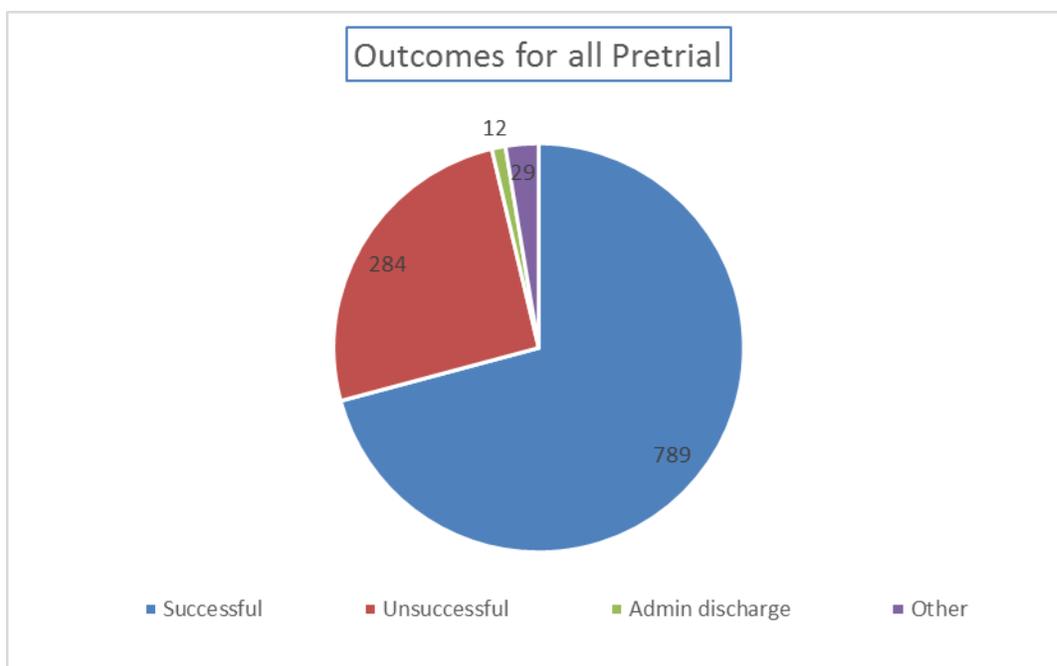
of releases over the same period in 2018, which were facilitated in anticipation of the 2020 bail reform legislation.

Pretrial Services continues to utilize the Texas Christian University Screen (TCUDS) for the purpose of screening the Dutchess County Jail defendant/offender population for the presence of a substance or alcohol disorder. In 2019, Pretrial Services conducted approximately 792 screenings. Use of the screening instrument, coupled with the Forensic Evaluation process by Dutchess County Behavioral and Community Health, provide a more efficient assessment and greatly reduces the time spent in jail for defendants in need of treatment. The combination of these services has proven invaluable as the opiate epidemic increasingly becomes an issue for the criminal justice system.

In October of 2019, we were fortunate to be able to send five of our pretrial staff to the New York Association of Pretrial Service Agencies' conference in Troy NY. It was an excellent opportunity to network with a variety of pretrial professionals from throughout New York and the New England region as it was open to pretrial agencies from the whole Northeast.

Outcomes
for all
Pretrial

Quarter	Successful	Unsuccessful	Admin discharge	Other
1	204	68	10	6
2	201	91	2	3
3	177	75	0	9
4	207	50	0	11
Totals	789	284	12	29



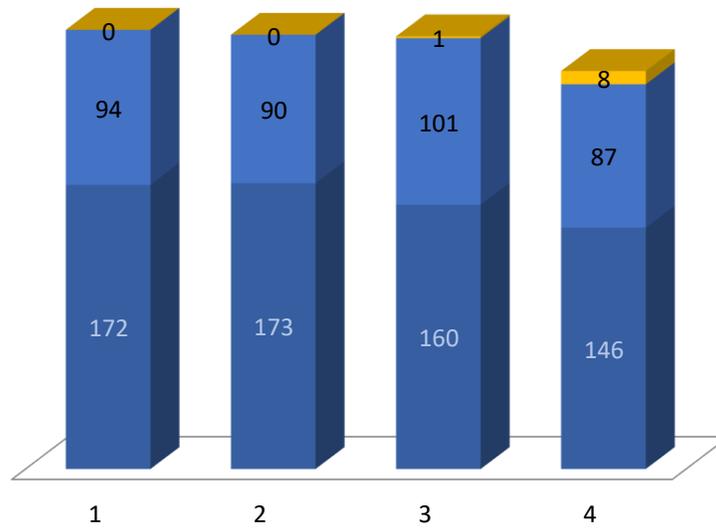
2019 Pretrial Services

	Quarter 1	Quarter 2	Quarter 3	Quarter 4	Total
Number Screened	567	577	593	468	2205
Number Interviewed	474	475	494	382	1825
Number Released ROR	172	173	160	146	651
Number Released RUS	94	90	101	87	372
Bail	0	0	1	8	9
Total Released	266	263	262	241	1032

Released Pretrial per Quarter 2019

Total = 1032

■ Number Released ROR ■ Number Released RUS ■ Bail Expedited



2019

AVERAGE DAILY POPULATION

	JAIL	Parole	ITAP		THP		CTC	EM JD		EM		Women's Center	ROR to Probation	RUS	TOTAL ATI
			PRE	SENT	PRE	SENT		PRE	SENT	PRE	SENT				
January	374	43	15	23	21	7	111	5	0	73	7	60	324	15	661
February	353	49	19	22	16	9	119	2	0	51	8	57	297	15	615
March	340	47	17	20	14	10	97	3	0	50	8	66	298	13	596
April	321	40	17	22	17	12	91	4	0	55	7	63	308	11	607
May	330	46	20	21	19	12	104	7	1	52	7	60	265	9	577
June	334	48	23	21	17	10	109	9	1	50	6	66	296	11	619
July	345	45	19	21	11	10	104	6	1	42	5	57	287	11	574
August	332	42	18	23	14	9	101	4	1	52	4	61	303	10	600
September	318	38	16	24	14	4	89	6	1	54	3	61	283	21	576
October	294	35	10	26	11	5	98	8	2	44	3	60	303	22	592
November	281	36	13	26	17	3	87	9	1	40	5	55	249	18	523
December															0
monthly ave.	329	43	17	23	16	8	101	6	1	51	6	61	292	14	595

ADMISSIONS

	JAIL	Parole Admiss	ITAP		THP		CTC	EM JD		EM		Women's Center	ROR to Probation	RUS	TOTAL ATI
			PRE	SENT	PRE	SENT		PRE	SENT	PRE	SENT				
January	217	21	2	0	18	3	30	2	0	20	2	10	78	2	167
February	202	19	2	1	10	5	28	2	0	14	1	9	58	3	133
March	215	11	2	0	14	3	23	2	0	23	2	20	54	1	144
April	202	24	1	0	12	6	25	6	0	23	2	13	52	2	142
May	226	18	1	1	14	1	36	5	1	20	0	12	45	2	138
June	228	15	2	1	11	4	27	6	1	19	0	13	70	3	157
July	200	14	1	1	9	2	28	3	1	21	0	11	55	0	132
August	228	12	2	2	9	3	34	4	0	40	1	12	56	1	164
September	187	18	7	3	13	0	21	0	2	20	0	12	46	9	133
October	189	17	1	3	15	3	31	6	0	21	1	12	62	7	162
November	174	10	2	2	10	2	27	2	0	18	3	11	32	0	109
December															0
TOTAL	2268	179	23	14	135	32	310	38	5	239	12	135	608	30	1581

Legend
 CTC: Community Transitions Center EM: Electronic Monitoring Program EMJD: Juvenile Electronic Monitoring Program
 ITAP: Intensive Treatment Alternative Program RIS: Released Under Supervision PRE: Pretrial Cases
 SENT: Sentenced Cases THP: Transitional Housing Program ROR: Released on Own Recognizance to Probation

2019 Annual Report

Satellite Supervision Unit-Beacon

Audra Schumacher, Probation Supervisor
Eve Rufino, Senior Probation Officer

The Satellite Supervision Unit, based in the Beacon office covers the Southern Dutchess communities including the City of Beacon, Town of Fishkill, Village of Fishkill, Town of East Fishkill (including Hopewell Junction and Stormville), as well as the Town of Wappinger and Village of Wappingers Falls. Adults and juveniles under probation supervision undergoing presentence/predispositional investigations and those placed on pretrial/predispositional release (ROR/RUS) are served by the satellite supervision office.

Five probation officers, a senior probation officer, the probation supervisor, a case manager aide, as well as an officer assigned to pretrial services work full time in the Beacon office. The Beacon office is also utilized by other probation staff who meet with probationers, family, school personnel and community agencies as needed. Additionally, to reach the youth and families in the southern part of the county, staff from Dutchess County Youth Services utilize our office to meet with their clients.

The Satellite Supervision Unit supervises those who fall into low, medium and high-risk caseloads. The Satellite Unit includes officers with specialized caseloads (STOP DWI and DWI) as well as general supervision. The case manager aide monitors low risk and administrative cases, conducts drug testing, assists in transports and assists in monitoring pretrial cases. In addition to her duties conducting presentence investigations and preparing presentence reports for the courts, the senior probation officer who previously served as the Interstate Transfer Designee for several years, assists the unit staff in understanding interstate guidelines as well as preparing necessary state forms.

Transportation and access to services for probationers who reside in some of the outlying communities and rural areas continue to be a challenge, but the department is committed to reaching all individuals through community and home contacts and is working towards consistently bringing evidence-based programming to the satellite office.

Probation Officer Rafael Vazquez has been specially trained in Moral Reconciliation Therapy (MRT), which addresses criminal thinking and attitudes, and continued to facilitate MRT groups in the Beacon office in 2019. Seventeen (17) probationers participated in MRT groups in Beacon in 2019 and thirteen (13) successfully completed the program by the end of 2019.

Additionally, Office Vazquez facilitated a cognitive behavioral group for probationers under supervision for Aggravated Unlicensed Operation and/or have a history of driving without a

license and/or suspension. The AUO program had five participants and four successfully completed the program.

In 2019, Officer Vazquez also facilitated a Cognitive Behavioral Program (CBT) program for DWI offenders. This shorter-term program was geared towards first time or lower risk DWI/DWAI offenders. It had 100% completion rate with all five referrals successfully completing the program.

The Satellite Supervision Unit has continued to see the effects of the rise in abuse of prescription medications and heroin. The probation officers attend trainings and work closely with other community agencies to more effectively deal with opiate addicted offenders. They have also been trained in the administration of Narcan (Naloxone). They continue to attend trainings pertaining to Medication Assisted Treatment (MAT) to understand how the treatment of opiate-addicted individuals is often supplemented by Methadone, Suboxone or Vivitrol.

Satellite Supervision officers continue to have additional increased home, community and collateral contacts with higher risk offenders. The focus has been on more positive home contacts (one in which the offender is home at the time of the visit) which requires the probation officer to either make an announced home visit and/or be aware of the probationer's personal schedule. Off hours visits continue to be conducted as often as possible.

Through training in Motivational Interviewing and other cognitive behavioral interventions, probation officers are equipped to assist people on probation to effectively deal with their identified criminogenic needs and thereby reduce recidivism and increase successful outcomes.

2019 Annual Report

Electronic Monitoring/Warrant Unit

Mark Jaggi, Unit Administrator
Frank Haverkamp, Sr. Probation Officer

Electronic Monitoring

The department has been supervising adult defendants and probationers on Electronic Monitoring (EM) since 1989. This technology allows probation officers to continuously monitor defendants/probationers in their homes through the use of a small transmitter attached to the ankle and a receiver installed in the home that is attached to a land line telephone. The technology also works with cell phones. Teams of probation officers working around the clock, seven days a week, supervise individuals on this program. Smart phones carried by probation officers receive alerts generated by house arrest equipment that enable officers to respond in the event that an individual on EM leaves his or her residence without authorization. Mobile EM receivers carried by officers in vehicles allow them to detect transmitters in the community, if the transmitter is in range (within approx 300 to 600 feet). In 2011, mobile data terminals (MDTs) were installed in two of the vehicles operated by EM Officers in order to provide additional information to field officers, enabling them to use their time more efficiently. The results have been mixed due to the use of air cards and limited coverage within the county. For the upcoming year, field officers will be equipped with cellular/WiFi tablets which will give them real time information as well as additional reporting and information options for individuals on EM.

In February 2006, our department began using EM technology to monitor juvenile respondents and probationers following an agreement with the County Attorney's Office and Dutchess County Family Court. Juveniles considered for EM may be either adjudicated or pre-dispositional and facing the possibility of placement in non-secure or secure detention. By providing these individuals with the enhanced supervision available through electronic technology, we are able to provide the Dutchess County Family Court with the options necessary to tailor their response to fit the needs of juveniles and thereby reduce unnecessary out of home placements.

The courts use this alternative to incarceration/detention option extensively because it allows individuals under intensive supervision to remain employed or in school, and therefore productive, while enabling probation officers to respond in the event of a violation of program rules.

During 2019, 342 new Electronic Monitoring cases were received from the courts, 52 of which were juveniles. The following chart outlines cases received and outcomes for 2019.

EM CASES RECEIVED 2019	
Pre- Trial E.M. (<i>Adult</i>)	276
E.M. Pre-Trial (<i>Juvenile</i>)	47
Sentenced E.M. (<i>Adult</i>)	14
E.M. Sentenced (<i>Juvenile</i>)	5
Total	342

EM CASES DISPOSED OF 2019	
Satisfactorily Completed	306
Failure to Comply	81
Non-compliant (<i>General</i>)	2
Re-Arrested by Probation Due to EM Rules Violation(s)	67
Absconded	12
Re-Arrested (<i>New Crime</i>)	0
Total	387

The Electronic Monitoring program has had a significant impact on managing the jail population since its inception, and it has also been helping to reduce the number of juveniles placed in non-secure and secure detention. As an alternative to incarceration/placement, EM has played a significant role in the criminal and juvenile justice system.

Changes in telecommunication infrastructure over the years has necessitated that the Office of Probation explore alternative technologies for house arrest equipment that do not rely on land line-based telephone service. Specifically, the proliferation of households employing Voice Over Internet (VOIP) land line phones and households that no longer have land lines has created challenges for the Electronic Monitoring Program. Therefore, in 2015 the Electronic Monitoring Unit staff began to implement the use of Cellular Electronic Monitoring Equipment that transfers data via cellular service. These new monitoring devices eliminate the need for land line service and the associated problems that arise from the inconsistencies created by different land line configurations and service carriers that are sometimes incompatible with traditional electronic monitoring land line equipment. In 2019, nearly all EM defendants/probationers were utilizing cell-based monitoring devices as well as some GPS monitors. This is a dramatic increase in a 5-year period when the vast majority of individuals on EM were monitored by land line phone service. Changes in technology require programs such as Electronic Monitoring to adapt and evolve to meet current needs.

Warrants

The Warrant Bureau is also a part of this Unit. An experienced Probation Officer assigned to the Warrant Bureau oversees efforts to apprehend individuals for whom judges have signed Violation of Probation warrants or, in some cases, bench and arrest warrants. This officer works closely with local and state law enforcement agencies to enhance execution of these various warrants. During 2019, 117 Violation of Probation warrants were issued by various courts in Dutchess County and investigated by our department's Warrant Bureau. Also, during 2019, 127 Violation of Probation warrants were executed by this department directly or with the assistance of police agencies within New York or adjoining states. Swift action on warrants helps hold these offenders accountable and contributes to community safety. Additionally, the Warrant Bureau maintains a 24/7 response team that includes weekends and holidays. This team is currently made up of 12 officers who rotate to provide continuous coverage should an offender be apprehended off hours. These officers will take that offender into custody and arrange for arraignment in the court where the warrant was issued.

Additionally, Dutchess County Probation Warrant Services continues to extradite offenders outside of the county. While the first "Arrested/Executed By Probation" section includes some offenders extradited back to Dutchess from other counties, there were no out of state extraditions for 2019.

VIOLATION OF PROBATION WARRANTS ISSUED 2019	
Felony	26
Misdemeanor	91
Total	117

WARRANTS DISPOSED OF – 2019	
<i>Arrested / Executed By Probation</i>	74
<i>Extradited from outside of the State</i>	0
<i>Police/Jail TOT Probation</i>	16
<i>Surrendered to Court</i>	5
<i>Surrendered to Police</i>	6
<i>Surrendered to Probation</i>	10
<i>Vacated</i>	16
Total	127

In addition to efforts made by the warrant officer and other probation officers to apprehend probation violators, this department continues crime reduction as a member of the NYS Division of Criminal Justice Services (DCJS) Integrated Municipal Police Anti-Crime Team (GIVE). The

primary goal of GIVE is to reduce violent crime due to gun violence in designated counties through strategies developed by respective District Attorney's Offices, local/state/federal police agencies as well as probation and parole partners.

As part of this strategy, and in conjunction with GIVE guidelines, this department has designated two probation officers as GIVE Field Intelligence Officers (FIO). Their duties include collecting information regarding local criminal activity and sharing it with other law enforcement agencies to further crime prevention. Frequently, this information is obtained from individuals on probation with the assistance of their supervising probation officers. The GIVE grant has also permitted the FIOs to receive specialized training in such areas as search warrants, gang identification and criminal intelligence. Probation Field Intelligence Officers also serve as a point of contact for other law enforcement agencies when requesting assistance from the Office of Probation in their efforts to solve crimes. In addition to GIVE, Field Intelligence Officers from this department participate in monthly Field Intelligence Group (FIG) meetings with other law enforcement agencies within Dutchess County to share information on criminal activities and develop preventive strategies. The Probation Department has done a number of GIVE operations with City of Poughkeepsie Police during 2019. During these operations, probation officers and police officers target high risk offenders for weapon/gun violence. Random searches are conducted, and intelligence is gathered on their associations and places they frequent within the community.

Information Technology

Designated probation staff members are responsible for training, integration and management of computer-based resources within the department. These staff members provide liaison services between the Probation Office and the Dutchess County Office of Computer Information Services (OCIS).

During 2019, the Electronic Monitoring program began testing equipment such as GPS and Mobile Sobriety for specifically targeted offenders. These efforts will continue in 2020 with the hope of offering even further enhancements specifically tailored to meet the safety needs of the community while allowing more individuals to participate in the program.

Additionally, the Office of Probation and Community Corrections continually evaluates new technologies to determine their value in performing our mission of supervising various populations in a cost effective and efficient manner.

Field & Tactics Training

The EM/Warrant Service Bureau is also responsible for field and tactics training for the department. This includes: firearms, cap-stun (pepper spray), impact weapons (baton) and defensive tactics. New officers are required to attend an initial course on all weapons systems, defensive tactics and simulation programs. Annual training is required to maintain proficiency and eligibility to carry these items in the field. While the department follows the training guidelines

and standards as directed by NYS Department of Criminal Justice Services, our proficiency requirements are generally higher than those required by the state.

The principal firearms instructor is the supervisor of the Electronic Monitoring/Warrant Service Bureau. In 2017, two additional firearms instructors were added to meet the department's training needs.

The department is continuously evaluating and implementing new training tactics in order to promote better officer and community safety.



Photo showing some examples of the electronic monitoring equipment used by the department in 2019.

2019 Annual Report

Adult Investigations Unit

Robert Dosiak – Supervisor

Shannon Rode – Senior Probation Officer

Leslie Davies – Senior Probation Officer

The primary function of the Adult Investigations Unit of the Dutchess County Office of Probation and Community Corrections is the completion of pre-sentence investigations (PSI) and pre-plea investigations for the Dutchess County Court, two City Courts (Poughkeepsie and Beacon), the Dutchess County Integrated Domestic Violence Court and 27 town and village courts.

A pre-sentence investigation is ordered by a court after a person is convicted of an offense, but prior to being sentenced. It is a report which details the offense committed and includes statements from the offender, arresting officer, and the victim; and provides a comprehensive analysis of the offender's: criminal history, family/associates, education/employment/military service, physical & mental health, and alcohol/substance abuse history. The report concludes with a summary referred to as an evaluative analysis, and a recommendation to the court for sentencing. The pre-sentence investigation incorporates the use of a risk assessment instrument, the most common being the Correctional Offender Management Profiling for Alternative Sanctions (COMPAS). There are several other offense-specific risk assessment instruments that are also utilized.

The primary purpose of the pre-sentence investigation report is to assist the court in determining the most appropriate sentence for the offender. The reports are also used to create a case plan for persons sentenced to probation. When an offender is sentenced to prison, the report assists in the classification of the offender and is utilized by the Board of Parole in determining appropriateness for release. Finally, the pre-sentence investigation report is utilized by substance abuse/mental health treatment providers in determining appropriate treatment plans.

Pre-plea investigations differ from pre-sentence investigations in that the offender has not yet been convicted of an offense. Pre-plea investigations are often requested by defense attorneys who want to ascertain what type of sentence will be recommended before advising their client whether or not to plead guilty to an offense. Pre-plea investigations are commonly ordered when a court intends to place an offender on Interim Supervision, a mechanism which allows for a conviction to be stricken and reduced if the offender successfully completes the term of supervision.

The Adult Investigations Unit also processes applications for Certificate of Relief from Disabilities (CRD). When a person is convicted of a felony or misdemeanor offense, they lose certain privileges and are disqualified from certain fields of employment. In order to overcome the obstacle to employment or restore lost privileges, a CRD is necessary. The Adult Investigations Unit will forward CRD applications for eligible offenders to the court where the conviction occurred and will make recommendations to the court when requested as to the offender's suitability for a CRD.

The Adult Investigations Unit is currently comprised of a Supervisor, two Senior Probation Officers, and five Probation Officers.

Total by Type:

Pre-Plea	473
Pre-Sentence	830
Certificate of Relief from Disabilities	39
Supplemental (Updates)	17
TOTAL	1359

Originating Court:

Dutchess County Court	382
Poughkeepsie Town Court	172
Poughkeepsie City Court	169
East Fishkill Town Court	81
Wappinger Town Court	81
Fishkill Town Court	79
Hyde Park Town Court	64
Lagrange Town Court	52
Beacon City Court	45
Other Court	234

Offense Level:

Felony	403
Misdemeanor	952

Gender:

Female	387
Male	972

2019 Annual Report

DWI UNIT

Elizabeth Bobela, Unit Administrator
Thomas Gabel/Lori Mikus, Senior Probation Officers

The DWI Unit was formed in 2007 based on the specialized needs of DWI offenders and the danger posed to the community by their behavior. It is because of this behavior that the unit closely monitors these offenders through fieldwork as well as oversight of treatment participation and surveillance. The goal of these activities is to reduce recidivism.

The function of specialized DWI probation supervision is to ensure that DWI offenders are obtaining the necessary treatment to address their alcohol/substance dependency and to intensively monitor their behavior in the community. The DWI Unit focuses on “frontloading” special programs such as Cognitive Behavioral Therapy (CBT) and Moral Reconciliation Therapy (MRT) in an effort to not only hold offenders responsible for their actions, but to address thinking and decision-making to promote positive changes.

Probation Officers assigned to this unit are trained in addiction, relapse issues and recovery. These officers are dedicated to providing an environment which promotes positive, prosocial change in an offender’s behavior, while holding them accountable for their actions and focusing on public safety. Probationers on these specialized caseloads are generally more intensively supervised through additional home and community contacts and collaboration with treatment providers and local law enforcement agencies. Many contacts and surveillance operations are made outside of the 9-5 workday, taking place in the evening and on weekends.

Included in this unit is the STOP-DWI Program, which is comprised of three (3) officers with smaller caseloads, who supervise high-risk offenders. These individuals generally have multiple DWI convictions, many prior contacts with the criminal justice system, been involved in a DWI related crash or committed a DWI with a passenger who is fifteen years of age or younger in the motor vehicle at the time of the offense. The STOP-DWI officers routinely have more contact with the offenders on their caseloads due to their “high risk” designations.

The remaining five (5) officers in the unit supervise medium-risk and low-risk probationers. A case manager aide, under the direct supervision of the supervisor, manages the low risk caseload. This caseload is comprised of lower-risk offenders who have been supervised for a significant amount of time and have completed all or most court mandates as their term of probation draws to a close. The check-in program has allowed us to focus our resources on the higher risk offenders, a key component of evidence-based practices.

New to the DWI Unit in 2019 was the acquisition of the DWI Conditional Discharge Ignition Interlock Program that was formally overseen by Dutchess Community College. This program monitors the Department of Motor Vehicles (DMV) and Ignition Interlock activities for defendants who have been convicted of a DWI and who have subsequently received a sentence of one-year Conditional Discharge as a result. During 2019, there were 143 active Conditional Discharge cases. The conditions relative to the Conditional Discharge mandate that defendants attend the Victim Impact Panel, complete the Impaired Driver Program and install an Ignition Interlock Device in any vehicle that they own or operate in accordance with Title 9 NYCRR Part 358 (Leandra's Law). A new Probation Assistant position was created and added to the unit in order to manage this caseload. The Probation Assistant's responsibilities include opening the case in Police Pro (a new case management system being utilized by the Conditional Discharge program), notifying the court when a defendant is found to be in violation of their interlock conditions, monitoring Ignition Interlock compliance and completing quarterly DMV checks.

Supervision plans for the DWI offenders on probation are developed in conjunction with the use of actuarial risk assessment tools and substance abuse evaluations. High risk/need areas are clearly outlined, and strategies are developed to address these need areas. Officers use the principles of evidence-based practices in developing an appropriate case plan, using cognitive behavioral techniques and motivational interviewing when setting goals around need areas that the probationers are intrinsically motivated to change. The supervisor uses the risk assessment scores in conjunction with general compliance in determining supervision levels within the unit and caseload assignment.

The department strives to use innovative approaches to effectively supervise these probationers. Some of the strategies we have employed are the use of cognitive behavioral groups, the RESTART (intensive jail to community) program and the computer-based check-in program. All DWI offenders are required to attend the STOP DWI Cognitive Behavioral Therapy group that is held at the Probation office and which is facilitated by Dr. Elizabeth Quinn, and/or the Moral Reconciliation Therapy program which is offered at Probation, the Community Transitions Center, the Women's Center, the Lexington Center for Recovery and the Step One treatment programs. The RESTART program employs evidence-based programming that addresses critical criminogenic areas of need.

Another tool the DWI Unit continued to use during 2019 was the ETG test. Also known as the 80-hour test, it is a full panel urine drug screen which includes a test for alcohol. This is a laboratory test following strict requirements for chain of custody. The DWI Unit also implemented the use of an 80-hour ETG test stick in an effort to reduce the costs associated with the ETG lab confirmation test. These alcohol tests are unique because they do not depend on the presence of alcohol in the body, which the body quickly processes. Instead, it tests for a metabolite of alcohol that is processed out of the body at a much slower rate, i.e. up to 80 hours after alcohol was consumed. The 80-hour test is being used as a tool to enhance probation supervision and monitoring capabilities. It is not intended to replace alco-sensor testing and conventional drug screening. Instead, the tests are used to facilitate compliance and results are also used when making decisions regarding violation of probation dispositions, early release from supervision and re-licensing.

During 2019, the DWI Unit continued to feel the effects of the implementation of Leandra's Law as the number of installed Ignition Interlock Devices (IID) has increased substantially over the last five years. The monitoring of offenders sentenced under Leandra's Law requires regular inquiries with the Department of Motor Vehicles to check the status of any vehicle that may be titled or registered to the offender. If an offender has a motor vehicle registered or titled in their name, they are required to have the Ignition Interlock Device (IID) installed in the vehicle within 10 days of sentence or surrender ownership. The law requires probation officers to notify the sentencing court of failure to have the IID installed within the allotted time frame. The officers are required to make the notification within five days. Officers are required to investigate licensing and registration information at least every three months, but these investigations can also be conducted at any time if necessary.

Once an offender has the IID installed, the probation officers are required to monitor the reports generated by the Interlock companies. Most devices require an individual to have the information downloaded monthly, however, advances in technology now allow for real time reporting. The devices also function with GPS, allowing for enhanced supervision of offenders. Any violations of the IID will generate a report to the officers. If an offender fails to comply with a service visit and has not had the vehicle serviced promptly within three business days immediately following the missed service appointment, the court will be notified no later than the fifth business day.

The DWI Unit also continued using the SoberLink hand-held alcohol monitoring device with offenders on the DWI caseloads. This device allows the supervising officer to monitor a defendant's alcohol use at different times throughout the day. The officer creates a schedule with different times the defendant is required to submit a breath sample. This schedule can be hand-written or can be put into a system that will send the defendant text messages according to the schedule, directing them to submit their breath sample. These devices have been used as a monitoring mechanism with people who have pending Violations of Probation and also with people who do not have pending Violations of

Probation, but who need extra support and structure in order to maintain their sobriety and compliance.

It should be noted that most Violations of Probation are filed in order to access a higher level of care and do not result in revocation and incarceration. Probation Officers often must file violations in order to request a court order to use electronic monitoring, a higher level of care such as inpatient treatment or other interventions not included in the original Order and Conditions of Probation.

The unit's workload continues to be impacted by the initial and periodic DMV checks, as well as the monitoring of the information generated by the reports. There are three different Interlock companies contracted with NYS to provide services and each has different procedures for reporting information, and how the officers access the information. This has been somewhat challenging, but the dedication and commitment of the DWI officers to community safety has helped them to meet this challenge.

Below—Supervisor Beth Bobela is on the far left.



This is the second year the STOP-DWI Planning Board included a probation officer in its awards program to recognize those who go above and beyond their typical duties to further the STOP-DWI mission. Probation Officer Elizabeth Bobela from the Dutchess County Office of Probation and Community Corrections was honored for her contributions to impaired driving enforcement efforts. Ms. Bobela has been with the Dutchess County Office of Probation and Community Corrections for nearly 20 years. Probation Officer Bobela has worked with DWI offenders since 2012, first as the Senior Officer at the Intensive Treatment Alternative Program and then as the Supervisor of the DWI Unit since 2016. Throughout her career, she has displayed compassion, dedication and strong leadership in her work to deter alcohol- and drug-impaired driving. She has worked to hold offenders accountable for their behavior, while continuing to help them make positive pro-social changes in their lives.

Alternatives to Incarceration Unit

Dan Bryant – Unit Administrator

Diana Armstead – Senior Probation Officer

Thomas Jones – Senior Probation Officer

The Alternatives to Incarceration (ATI) Unit is comprised of caseloads/assignments that bridge the gap between very specific pretrial interventions and sentences of probation, as well as an Eastern Dutchess satellite office. Specifically, officers who are assigned to the Intensive Treatment Alternative Program (ITAP), the Transitional Housing Program (THP), the Judicial Diversion Drug Treatment Program, two supervising officers working from the Millbrook satellite office, and the two officers who prepare presentence investigation reports make up the ATI Unit. The supervisor of the ATI Unit serves as the department's Transfer Designee, who is the point of contact in intrastate and interstate transfer matters.

Intensive Treatment Alternative Program (ITAP)

ITAP, which is collaboratively operated by the Department of Behavioral and Community Health and the Office of Probation, has a senior probation officer whose office is located within the treatment program. ITAP provides intensive outpatient substance abuse treatment Monday through Friday to individuals mandated by the courts. The senior probation officer assigned to ITAP utilizes the COMPAS risk assessment instrument to identify primary and secondary risk and need factors, and then develops a case plan for supervision with input from the ITAP counselors.

The structure of the program, and its co-location within the ATI Unit along with the Transitional Housing Program, provides a team approach and lends itself to the seamless transition from pretrial to sentenced status for the substance using defendant/probationer. The individuals in ITAP receive highly effective treatment while the court benefits from dynamic and results-driven dispositional planning and intervention.

Transitional Housing Program (THP)

The Transitional Housing Program, a 38 bed facility, provides defendants/probationers with a secure, supervised, substance free residential environment. Most individuals participating in treatment at ITAP are initially housed in the THP facility to help ensure a housing environment that is conducive to treatment goals. Alternative, step-down housing becomes available as the

individuals progress in treatment and eventually reintegrate into the community. The program also provides a temporary residence to some defendants/probationers while they await entry to an inpatient program.

In 2019, (182) individuals were admitted to THP, including those admitted via PVRC, described in detail below. Of that number, 158 were regular admissions, and 146 were successfully discharged to inpatient treatment programs, halfway houses or the community.

Since 2008, Project M.O.R.E., the contract agency for THP, has operated the Probation Violation Residential Center (PVRC) for the Mid-Hudson area at the THP facility. A grant from the New York State Office of Probation and Correctional Alternatives supports the PVRC program. PVRC was established as an Alternative to Incarceration program for felony probation violators facing revocation and resentencing to the custody of the Department of Correctional Services. Those individuals determined eligible for PVRC reside at the THP facility, where they are provided with a variety of supportive services that includes GED assistance, Moral Reconciliation Therapy, substance abuse evaluation/education/treatment, and employment and life skills training. Currently, PVRC allows a maximum of eight participants in the program at any given time for up to 90 days, depending on individual risk, needs and progress. Individuals who successfully complete PVRC can expect a favorable disposition in their Violation of Probation proceeding. During 2019, Project M.O.R.E. admitted 24 probationers into the PVRC program, and a total of 16 PVRC participants were discharged as successful. Probationers from nearly every county in New York State are eligible for participation in PVRC. In 2019, 17 PVRC participants were from Dutchess County. The other seven participants were from the adjoining counties of Ulster, Orange and Putnam.

Judicial Diversion Drug Treatment Program

The Judicial Diversion Drug Treatment Program is a program that gives defendants with pending felony charges and significant substance use issues an opportunity to address their drug disorders through treatment. If successful, they may have their felony conviction reduced or sealed, or may avoid an otherwise mandatory state prison sentence. The program is a collaborative effort with a team consisting of a County Court Judge, Program Coordinator, Probation Officer, Assistant District Attorney, Public Defender and representatives from the Department of Behavioral and Community Health. Participants in the Judicial Diversion Treatment Program attend various treatment programs and have ongoing court appearances during their time in the program, the length of which varies by individual but averages two to three years.

Presentence Investigations

Within the ATI Unit are two officers who prepare presentence investigation reports for the courts. One officer is based in the Millbrook satellite office and is primarily assigned investigations for individuals who reside in the eastern portion of Dutchess County. The other officer has a specialized investigation caseload of defendants with Driving While Intoxicated

convictions. Most of the felony level Driving While Intoxicated presentence investigations ordered in Dutchess County Court are assigned to this officer.

Millbrook Satellite Office

The functions of the department's Millbrook satellite office, located in the Eastern Dutchess Government Center, are contained within the ATI Unit. There are two full time probation officers working from the Millbrook office. They provide supervision of probationers who reside in the eastern portion of Dutchess County. There is also an officer assigned to prepare presentence investigation reports for individuals who reside in eastern Dutchess County. .

Transfer Service Bureau

The supervisor of the ATI Unit also serves as the department's Transfer Designee. Each probation department within the state has a designee who is the central point of contact for matters involving two counties, particularly transfer of supervision. When information needs to be exchanged between counties, the designees of the respective counties obtain and relay the information or ensure that another officer who is directly involved with the case will address the matter. Additionally, a Probation Officer Assistant from the Pretrial Unit assists by initiating intrastate transfers for probationers who are not Dutchess County residents at the time of sentencing.

In addition to dealing with intrastate transfer matters, the Transfer Designee is the initial point of contact for incoming supervision transfer requests from other states and conducts the preliminary investigation prior to accepting the transfer and having the case assigned to a supervising officer. Administration of the Interstate Compact for Adult Offender Supervision (ICAOS) Interstate Compact Offender Tracking System (ICOTS), an automated, web-based interstate transfer system, is headed by the Transfer Designee. The Transfer Designee is assisted by a Program Assistant who is trained in and certified to have full access to ICOTS. Supervision cases that are approved for transfer to other states are processed through ICOTS and then maintained by an officer from the Pretrial Unit, who continues to monitor the case as per ICAOS policy and regulations.

Budget & Finance

Marguerite Stein, Director of Budget & Finance

The Financial Unit is made up of a team of talented employees who work collaboratively to meet the deadlines of the unit and accurately collect and distribute restitution to victims. Our team consists of a Senior Program Assistant, two Accounting Clerks and a Senior Office Assistant that report to the Director of Budget and Finance. Collectively we have 50 years' experience in the Dutchess County Office of Probation and Community Corrections Financial Unit. We manage two independent accounting systems; one to track departmental revenue and expenditures and the second to track court ordered restitution.

Managing the financial state of the department requires:

- Preparing and adhering to an annual budget
- State and Grant reporting and submitting claims for revenue reimbursement
- The supervision of contracts with various agencies throughout the county
- The accountability of all expenditures our department incurs with outside vendors and interdepartmental services

Managing Court Ordered Restitution involves:

- Accounting for each defendant's obligation to pay their victims
- The collection of restitution and the distribution of money to pay victims
- The collection of supervision fees and restitution charges that go directly into county revenue

**RESTITUTION SURCHARGES AND SUPERVISION FEES COLLECTED IN
2019 FOR REVENUE**

JANUARY - FEBRUARY – MARCH

SURCHARGE/RESTITUTION: \$96,340

ADULT SUPERVISION FEES: \$25,305

APRIL – MAY – JUNE

SURCHARGE/RESTITUTION: \$56,823

ADULT SUPERVISION FEES: \$27,328

JULY – AUGUST – SEPTEMBER

SURCHARGE/RESTITUTION: \$72,668

ADULT SUPERVISION FEES: \$26,130

OCTOBER – NOVEMBER – DECEMBER

SURCHARGE/RESTITUTION: \$52,977

ADULT SUPERVISION FEES: \$24,493

Restitution & Surcharge collected in 2019—\$278,808

Restitution distributed to victims in 2019 —\$299,989

Support Services

Karen Kotchie, Support Services Supervisor
Scarlett Carter, Senior Program Assistant

In 2019, the Dutchess County Office of Probation and Community Corrections was supported by 14 clerical staff.

The support staff works with and assists every unit in the department. The support staff is responsible for processing payroll as well as collecting, processing and entering data of incoming information from the courts, various agencies, other probation departments and the state. The unit maintains all closed records and processes all legal requests for those records. The support staff scans a majority of the documents required by law into the case record, thereby eliminating the need to store these paper records indefinitely. As programs continue to grow, the complexity of the work involved increases and staff need to remain up-to-date with regulations governing proper document storage.

Our three receptionists answer over 1,000 phone calls each week and greet everyone in a professional and courteous manner. In 2019, a total of 33,824 people were greeted by our reception staff. Hundreds more visited the office for county and departmental meetings.

In 2019, 1,111 Domestic Incident Reports were received by our department; each one was researched by our support staff to determine if there was any involved party actively on probation at the time of the incident. If any were found, the appropriate supervising officer was informed. The reception staff also receives and enters all forensic evaluations and court mandated treatment information received from the Department of Behavioral and Community Health into the case record and notifies the officer of receipt of that information.

The support staff of the Dutchess County Office of Probation and Community Corrections works diligently to remain current with technological changes that may increase the efficiency and functioning of the entire agency. They work with each unit, each other, and every officer to meet the ever-changing needs of the department. The support staff is truly the foundation for all of the activities of the Dutchess County Office of Probation and Community Corrections.

2019 Annual Report

TRAINING/STAFF DEVELOPMENT

Joanne Nellis, Principal Probation Officer

Professional Staff are required to complete twenty-one hours of continuing probation education each year. Through continued training we aim to broaden and enhance the officer's professional skills, and update personnel on current trends and practices in the field of probation services. Training for probation officers must encompass a wide range of topics, including evidence-based practices, Motivational Interviewing, offender employment, effective case management, alcohol/substance abuse issues, mental health issues, Restorative Justice Practices and officer safety. Consequently, each year, the average probation officer receives many more hours than the required twenty-one.

Newly hired probation officers must attend a three -week training series held in Albany that includes Fundamentals of Probation Practice, Peace Officer and Officer Safety and Survival Training (OSST) generally within six months of hire.

The Staff Development Committee was created in 2012 to aid in meeting our training requirements in a cost- effective manner. Each year the committee assesses and prioritizes the comprehensive training needs of the professional staff. This committee is comprised of probation officers who have received their Police General Topics Instructor Certification from the NYS Division of Criminal Justice Services/Municipal Police Training Council. The Staff Development officers are qualified to develop and facilitate training for probation staff, allowing for specific training needs to be addressed. Three additional officers were certified as General Topics Instructors this year. Currently, we have nineteen professional probation staff certified as General Topics Instructors and plan to expand this number in 2020, when the intensive training is offered.

In addition to our use of staff development officers in meeting our training requirements, we also utilize webinars, consultants and other distance learning opportunities as well as traditional training programs when available.

In 2019, the Probation Staff Development Committee continued to focus on enhancement of staff skills in the use of evidence-based practices and tools, knowing this would assist in our mission of meeting probationers' needs, helping them make positive changes in their lives with the overall result of reducing recidivism. We also recognized the need for education in the

treatment of opioid addicted individuals, considering the overdose epidemic still facing the county and the nation.

In addition to our focus of increasing our knowledge of alternative treatment for opiate use disorder, and training on Motivational Interviewing, the probation staff attended a variety of other training programs.

OVERVIEW OF 2019 TRAINING

GENERAL

- FUNDAMENTALS
- BASIC OCCUPATIONAL SAFETY AND HEALTH
- BLOOD BORNE PATHOGENS
- DEFENSIVE DRIVING
- DISCRIMINATION AND HARASSMENT
- ACTIVE SHOOTER/STOP THE BLEED

COGNITIVE BEHAVIORAL INTERVENTIONS

- MRT FACILITATORS TRAINING
- ADVANCED MRT
- MRT QUALITY ASSURANCE
- INTERACTIVE JOURNALING
- DV-MRT FACILITATORS TRAINING
- DECISION POINTS FACILITATORS TRAINING
- AUO CURRICULUM
- DWI LOW RISK CBT

OFFICER SAFETY

- MOTIVATIONAL INTERVIEWING
- STRATEGIES FOR MANAGING HIGH RISK OFFENDERS
- TEACHING MINDFULNESS TO LAW ENFORCEMENT
- TRAUMA TRAINING

- USE OF FORCE POLICY/ARTICLE 35
- WARRANT TRAINING
- PUBLIC SAFETY SUMMIT

PROBATION SUPERVISION THEMES

- ADDICTED: AMERICA'S OPIOID CRISIS
- BAIL REFORM
- BATTLING OPIOIDS
- HUMAN TRAFFICKING AND THE ROLE OF PROBATION
- CLIENT ENGAGEMENT
- CRITICAL INCIDENT STRESS MANAGEMENT
- GUN CRIME INTELLIGENCE
- WORKING WITH THE DV OFFENDER
- EFFECTIVELY RESPONDING TO EMOTIONAL CRISIS
- HAVING DIFFICULT CONVERSATIONS
- FENTANYL AWARENESS
- MEDICATION ASSISTED TREATMENT AND COMMUNITY SUPERVISION
- PROBATION LEGISLATIVE UPDATES
- USE OF THE WOMENS NEEDS RISK ASSESSMENT TOOL
- STOP-DWI CONFERENCE
- SUPERVISING THE DWI OFFENDER
- TEENAGE DELINQUENCY
- VAPE PEN USE
- WORKING WITH THE VETERAN OFFENDER
- YOUTH EMPLOYMENT PROGRAM

DEFENSE WEAPONS

- DEFENSIVE TACTICS, WHICH INCLUDES OFFICER SAFETY (INITIAL AND ANNUAL UPDATE)
- BATON (INITIAL AND ANNUAL UPDATE)
- OC SPRAY (INITIAL AND ANNUAL UPDATE)

- WEAPONS RETENTION
- FIREARMS
 - INITIAL LONG COURSE
 - ANNUAL SPRING/FALL QUALIFICATIONS
 - DIM LIGHT SHOOT
 - PLAINS CLOTHES ENCOUNTERS
 - SIM GUN SCENARIOS