

2020 Annual Report

Dutchess County Office of Probation and Community Corrections

**Marcus J. Molinaro
County Executive**

**Mary Ellen Still
Director of Probation**

**Thomas Morris
Deputy Director**

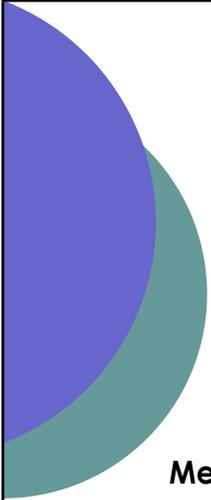


Table of Contents

Message from the Director	1
Mission Statement	2
Organizational Chart	3
Unit Administration	4
Juvenile Intake and Diversion Unit.....	5
Juvenile Investigations and Supervision Unit	9
General Supervision Unit	17
Pretrial Services Unit	20
Satellite Supervisor Unit-Beacon.....	23
Electronic Monitoring and Warrant Unit	25
Presentence Investigations Unit.....	32
Special Services Unit.....	35
DWI Unit	40
Alternatives to Incarceration Unit	49
Budget and Finance Unit	54
Support Services Unit.....	56
Training and Staff Development Unit.....	57

Message from the Director



Mary Ellen Still
Probation Director

I am pleased to submit the 2020 Annual Report for the Dutchess County Office of Probation and Community Corrections.

2020 was a unique year with many challengers brought about by the global pandemic caused by COVID-19. Shortly after the beginning of 2020, the department was faced with unprecedented circumstances requiring unique and creative strategies to cope with the disruption caused by the COVID-19 virus.

Thanks to the dedication and commitment of staff, inter-agency cooperation and the support of County Executer Marcus J. Molinaro, all services continued to be delivered to individuals on probation as well as the courts. The department also acknowledges the assistance of the New York State Division of Criminal Justice Services (Office of Probation and Correctional Alternatives) for issuing waivers for in-person reporting requirements. One benefit of the necessity to improvise was the increased use of technology in performing various functions. Even when the pandemic impacts are reduced or eliminated, technology will most likely continue to play a vital role in communication.

In addition to COVID-19 challenges, the department's Pretrial Services Unit was faced with incorporating the changes brought about by Bail Reform which became effective on January 1, 2020. The department's Pretrial Services Unit was designated as the official agency for pretrial services by the Office of Court Administration. Even amid the pandemic, the unit was able to adapt to the changes and continue to provide services to courts.

In 2021, the department looks forward to resuming its operations fully, assessing the impact of bail reform and working with the consultants from the RFK National Resource Center for Juvenile Justice on a technical assistance project.

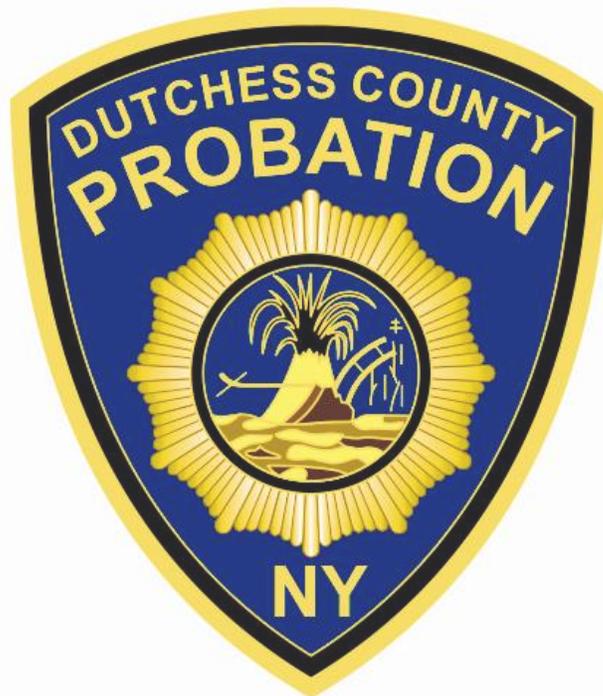
The department gratefully acknowledges the support of Dutchess County Executive Marcus J. Molinaro and the Dutchess County Legislature.

Respectfully Submitted,
Mary Ellen Still
Mary Ellen Still
Director

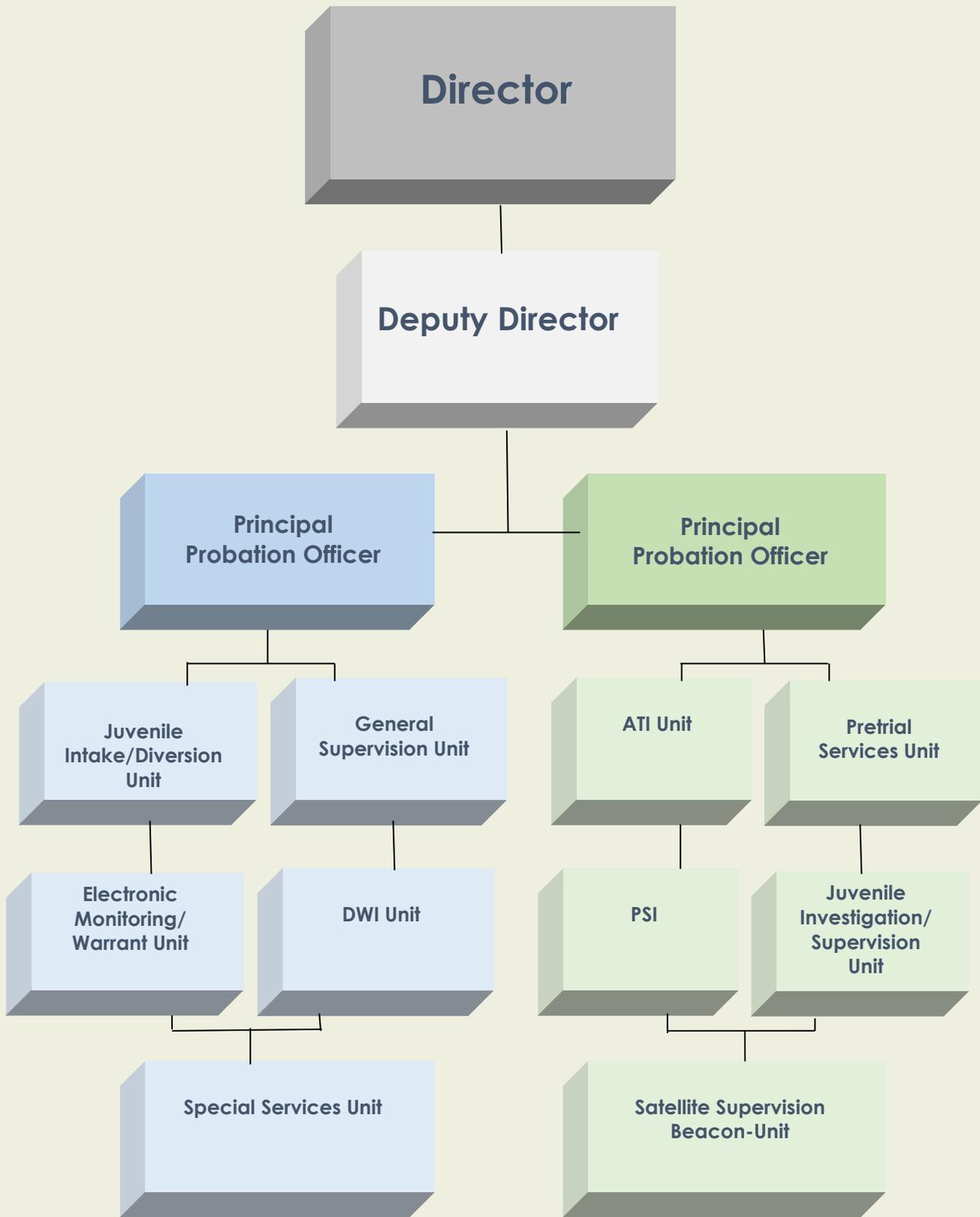
Mission Statement

The Mission of the Dutchess County Office of Probation and Community Corrections is to protect the community through intervention in the lives of those under supervision by facilitating compliance with court orders and serving as a catalyst for positive change.

We operate in collaboration with our criminal justice partners and the community. We provide services to courts; help strengthen families and give victims a voice in the justice system. We provide leadership and services in a cost-effective community-based setting.



Organizational Chart



Dutchess County Office of Probation and Community Corrections

Unit

Juvenile Intake/Diversion
Juvenile Investigation/Supervision
General Supervision
Pretrial Services
Satellite Supervision Beacon
Electronic Monitoring
Presentence Investigations
Special Services
Driving While Intoxicated
Alternatives to Incarceration

Unit Administrators

Timothy Meester
Karen DeSimone
Alisyn Gaffney
Kathy McQuade
Audra Schumacher
Mark Jaggi
Robert Dosiak
Thomas Jones
Frank Haverkamp
Daniel Bryant

Dutchess County Legislature Public Safety Committee

Thomas L. Keith, Jr., Chair
Edward P. Hauser, Vice Chair
Tony D'Aquanni
Benjamin Geller
Alan Surman
Giancarlo Llaverias
Brennan Kearney

Juvenile Intake/Division Unit

Timothy J. Meester – Probation Supervisor

Sandy Carroll – Senior Probation Officer

Mary Ellen McCue – Senior Probation Officer

The Covid-19 pandemic that began in March 2020 created significant challenges in the way the Juvenile Intake Unit handled matters, but also opened an opportunity to learn new virtual ways of dealing with youth and families. The pandemic, coupled with a workforce reduction due to early retirements, resulted in the Juvenile Intake Unit being combined with the Juvenile Diversion Unit for increased efficiency in the handling of intake and diversion cases.

PINS Reform 2020

January 2020 saw the implementation of a variety of Persons In Need of Supervision (PINS) reforms in the state of New York as part of larger systemic reform including Raise the Age legislation. New York eliminated non-secure detention for PINS youth across the state and instead implemented pre-dispositional placement (P.D.P.) for PINS youth with strict time limits for placement. Changes were also implemented in the amount of time youth may be placed in post-dispositional placement and both pre-dispositional and post-dispositional placements were eliminated for all youth where the only PINS finding is for truancy.

Additionally, all state funding was cut for PINS placements and changes were made regarding PINS warrants that now prohibits youth from placement when taken into custody on a PINS warrant without a Family Court appearance. If the Family Court is not open, the youth must be returned to a parent/guardian or another resource named by the parent/guardian.

Raise the Age

The New York State *Raise the Age* legislation, with the first phase effective on October 1, 2018, has been fully implemented at this time. *Raise the Age* elevated the age of criminal responsibility from 16 to 18 years old in New York and a special Youth Part was created. Despite reductions in many other types of cases due to the Covid-19 pandemic, arraignments in the Dutchess County Youth Part increased in 2020. The Dutchess County Office of Probation maintains a presence in the Youth Part to divert any

eligible and suitable candidates from specialized secure detention placements. Not surprisingly, an increasing percentage of all Juvenile Delinquency appearance tickets are now for 16 and 17-year-olds because of the full implementation of *Raise the Age* legislation in October 2019.

The year saw a total of 21 arraignments of Adolescent Offenders (AOs) in the Youth Part with 16 of those cases removed to the Dutchess County Office of Probation for consideration of adjustment (diversion) services. Due to statutory limitations and/or the nature of the offense, some of these cases were forwarded to the Dutchess County Family Court.

Family Court Intake Functions

The Juvenile Intake Unit includes a Senior Probation Officer and two Intake Workers who are the initial point of contact for youth and families for a Juvenile Delinquency (J.D.) appearance ticket issued by a local police agency to a youth up to the age of 18 years old. Similarly, they also review and complete the intake process for PINS complaints filed by school officials and for parents seeking assistance and support in managing incorrigible, ungovernable or runaway youth.

In 2020, the Juvenile Intake Unit handled 20 PINS complaints filed by local school districts, a significant decrease from prior years which is directly attributable to the Covid-19 pandemic. An additional 40 parent-filed PINS complaints were reviewed in 2020, also a significant decrease from prior years. Efforts continue to be made to offer pre-diversion services to many of the lower risk youth and families based on current best practice models.

Low Risk Diversion Cases

The Senior Probation Officer in the Juvenile Intake/Diversion Unit oversees J.D. diversion cases that are determined to be low risk on the Youth Assessment Screening Instrument (YASI) pre-screen completed during the initial intake. Efforts continued to be made to connect low-risk PINS youth and families with services in the community as they require minimal probation intervention services to be successful.

Juvenile Risk Intervention Services Coordination (JRISC)

The Juvenile Risk Intervention Services Coordination (JRISC) provides intensive services to prevent out of home placements of higher risk youth. Higher risk youth are identified by the Youth

Assessment Screening instrument (YASI). JRISC is comprised of a Probation Officer, Case Manager Aide, and a Licensed Clinical Social worker from Astor Services for Children and Families. This team works collaboratively on site at the Office of Probation and Community Corrections. The JRISC team maintains a caseload of families who participate in Functional Family Therapy (FFT); an evidence-based program which research has shown to effectively reduce recidivism.

Based on changes in unit structure, a Senior Probation Officer had been placed on the JRISC team during 2020. Significant challenges presented themselves due to Covid-19 restrictions and limited interactions due to these restrictions. Staff learned to navigate various virtual methods to not only remain in contact with youth, but also to provide therapy to families in a virtual setting. These virtual platforms may open future opportunities to engage youth and families when obstacles exist.

Functional Family Therapy (FFT)

Functional Family Therapy is an evidence-based family counseling approach that has demonstrated effectiveness for a wide range of at-risk youth and their families. A team of professionals comprised of a Probation Officer, Astor clinical staff including a psychologist and clinician, and staff from the Dutchess County Department of Behavioral and Community Health currently make up the team providing this service. A single team member will provide the actual family sessions but will also work closely with the assigned probation officer. The FFT counseling approach works to develop the unique strengths of each family, and to enhance the families' communication and ability to make positive changes in the future on their own. FFT team members meet on a weekly basis for ongoing clinical supervision, review cases and participate in ongoing training.

Collaborative Solutions Team

The Collaborative Solutions Team assists in mental health screens, consultations, interventions [including crisis], safety assessments, and substance abuse screening and assessment. They can be utilized as a team or individually at any stage from intake to case supervision.

Future Plans

The department is participating in a technical assistance project with the RFK National Resource Center for Juvenile Justice. The

Dennis M. Mondoro Probation and Juvenile Justice System Enhancement Project is undertaking a comprehensive review of the entire juvenile justice system in Dutchess County. The grant was awarded by the Office of Juvenile Justice and Delinquency Prevention and the Office of Justice Programs, U.S. Department of Justice.



Juvenile Investigations/Supervision Unit

Karen DeSimone, Supervisor

Brianna Thatcher, Senior Probation Officer

The Family Court Investigation and Supervision Unit provides probation services to youth and families who are involved with the juvenile justice system. These services include pre-dispositional investigations (PDIs) for Persons in Need of Supervision (PINS) and those charged as Juvenile Delinquents (JD). The unit provides probation supervision for youths adjudicated as Persons in Need of Supervision and Juvenile Delinquents, in addition to pre-dispositional supervision in the form of continued Diversion Services for PINS and Released Under Supervision (RUS) for JDs, as they await adjudication and disposition. Community supervision is also provided for supervised Adjournments in Contemplation of Dismissal on JD and PINS petitions and cases that transfer in from within and outside of New York State.

Investigations

One full time officer in the Family Court Unit prepares the investigations that are ordered by Dutchess County Family Court and used in the juvenile justice system. In 2020, this office completed a total of 61 pre-dispositional investigations. Most reports produced by the Family Court Unit are for Juvenile Delinquency and Persons in Need of Supervision (PINS) matters, however, other jurisdictions request investigations regarding other Family Court issues. The investigations are used to assist Family Court judges in making informed decisions about the children and youth who appear before them. The investigations are also useful to the County Attorney's Office, Attorneys for the Child, Department of Community and Family Services, Office of Children and Family Services, treatment providers, and supervising probation officers. In addition, officers whose primary assignment is supervision of probationers are regularly called upon to prepare updated investigations and reports on probationers under their supervision.

The pre-dispositional investigation regarding Juvenile Delinquency and Persons in Need of Supervision (PINS) matters includes a description of the present offense, the respondent's statement, the victim or petitioner's statement, and the arresting officer's input, if applicable. Additionally, the report includes

information pertaining to the respondent's legal history, social and family history, community and peer relationships, academic performance, use of free time, alcohol/drug use, physical and mental health, and home environment. The investigation provides an evaluative analysis of the information presented and recommendation for sentencing. The Youth Assessment Screening Instrument (YASI), an evidence-based assessment tool, is completed during the pre-dispositional investigation and assists the officer in identifying the youth's risks and strengths. The YASI results help identify the services that are appropriate to meet the underlying needs of the youth and reduce the risk of recidivism.

Pre-dispositional Services and Programs

The unit continues to utilize a variety of pre-dispositional programs to reduce the number of youths ordered to detention prior to disposition of their cases. In addition to having a court liaison present in Family Court when juvenile cases are heard, the Office of Probation and Community Corrections does a weekly report of all youth remanded to Non-Secure Detention, Secure Detention, and Specialized Secure Detention to determine if the youth can be safely released and maintained in the community under the supervision of one of the pretrial programs. A risk assessment tool is utilized to assist in determining the youth's risk of not appearing in court and/or committing a new offense while the case is pending. In October 2013, New York State approved the use of the Detention Risk Assessment Instrument (DRAI). All youth with pending JD matters must have a DRAI completed for the Family Court to review when considering placing a respondent in detention. A member of the Office of Probation completes the DRAI for all youth with pending JD matters. We continue to strive toward the goal of maintaining youth in their homes whenever feasible, while prioritizing the need to maintain the safety of both the youth and the public.

- **Continued Diversion / Restore to Diversion**

When a PINS petition is filed in Family Court due to refusal to participate in, or poor performance in the Diversion Services Program, the judge may order a youth and family to participate with continued Diversion Services until disposition of the case. The youth is assigned to a probation officer and required to cooperate with recommended services.

- **Release Under Supervision (RUS)**

This is a program utilized by the Family Court to provide on-going supervision of a youth in the community while a Juvenile Delinquency case is pending. When ordered by a Family Court Judge, a probation officer is assigned to monitor the youth to ensure compliance with the specific conditions outlined by the court until disposition of the case.

In 2020, the Family Court Supervision Unit supervised 60 pre-dispositional cases.

- **Curfew Monitoring Program**

This is a program accessed by a mandate of the Family Court requiring a youth to adhere to a curfew set by the judge.

Office of Probation staff conduct in-person and phone curfew checks several times a week between the hours of 5:00 p.m. and 10:00 p.m. to verify curfew compliance as well as provide family support. The court can mandate either PINS or JD cases to participate as a pre-disposition service or as a condition of probation supervision. In addition, youth returning from residential placement can also be placed on Curfew Monitoring as part of their aftercare plan with the Department of Children and Family Services.

- **Juvenile Electronic Monitoring (JEM)**

The pre-dispositional use of the Juvenile Electronic Monitoring (JEM) Program is frequently ordered by the Family Court Judges in Dutchess County as an alternative to detention. This has given potential probationers the opportunity to demonstrate, while their case is pending, that they can safely remain in the community, thus preventing placement outside their home. The investigating officer considers the behavior and progress of those released to pre-dispositional JEM in their sentencing recommendation to the court. When necessary, the Family Court Judges may also consider JEM at sentencing to divert a youth from placement; offering them an opportunity to remain in the community under our strictest level of supervision.

In 2020, 31 youth were placed on pre-disposition Juvenile Electronic Monitoring.

Supervision

The mission of the Family Court Supervision Unit is to prevent youth from further involvement in the juvenile justice system or future

involvement in the adult criminal justice system by addressing their underlying issues and redirecting their behavior. Caseload size varies with four probation officers assigned by geographic location of the case. Probation officers monitor youth behavior at home, school and in the community and use the YASI assessment tool to identify each youth's needs and strengths. The assessment results are then used to assist the youth in developing a case plan. Case plans are designed to identify the needs of the youth, especially the issues that led to their system involvement, and provide a step-by-step instruction to link the youth and family to services to improve behavior and assist in compliance with the Conditions of Probation. Case plans often include community service opportunities, employment programs, parenting classes, school counseling, access to academic support services in school, and treatment for substance disorders, mental health issues and sexual offending behaviors.

Prior to the COVID pandemic, various evidence-based programs were held in the probation office facilitated by trained probation staff. Among the programs offered were Moral Reconciliation Therapy (MRT), Career University, Decision Points, Interactive Journaling, the Empathy Workshop and Planned Parenthood Healthy Relationships program. Probation youth have also participated in the Lucky Orphans horse rescue, a character development program. This evidence-based program provides a unique opportunity for youth to participate in an equine therapeutic curriculum.

A senior probation officer serves as a court liaison and is present at all scheduled court dates. This enhances communication between the Office of Probation and Family Court. This senior officer is also responsible for the processing and monitoring of transfer cases and assists the supervisor in the unit.

In 2020, the Family Court ordered 40 youths to probation supervision, specifically 8 PINS cases and 32 JD cases.

Quality Assurance

To promote consistency in the violation process and ensure adherence to evidence-based practices and effective use of alternatives to detention, the Office of Probation continues to utilize the Juvenile Disposition Review Committee (DRC). This weekly committee meeting is chaired by the Deputy Director

and is comprised of members from all levels of the department as well as co-located mental health and substance disorders practitioners and two representatives from the Department of Community and Family Services. All predisposition investigations considering an out-of-home placement must be reviewed by the DRC. In addition, any supervision case being considered for a possible Violation of Probation must also be reviewed to determine whether there are viable alternatives and, if not, what recommendations will be made to the court.

In March 2020, the COVID pandemic forced a near shut down of most services and activities in New York State. This unprecedented event presented the Juvenile Justice system with many challenges. The probation officers quickly redesigned their strategies and continued supervision of their cases via telephone and virtual contact. The officers assisted the youth in acquiring the necessary equipment to attend school virtually and participate in mental health and substance disorder services whenever possible. Unfortunately, the groups for youth held at the Probation offices were restricted, however, as health and safety conditions allow, in-person programs will resume.

Despite the challenges that 2020 presented, the dedication and hard work of the Dutchess County Juvenile Probation Officers, coupled with the cooperativeness and maturity displayed by most of the probation-involved youth, set a standard of excellence that Dutchess County can be proud of.

JUVENILE / FAMILY COURT STATISTICS FOR 2020

Family Court Supervision Cases Received by type 2020

	ACD-PINS	JD	PINS	Total
2020	1	32	7	40

Family Court Pre-Dispositional Supervision Cases Received in 2020

	Total
2020	40

Continued Intake / Diversion PINS Cases Received in 2020

Family Court Investigations 2020

	2020
Custody	2
J.D. Pre-Dispositional	44
PINS Pre-Dispositional	15
Total	61

Family Court Supervision Violations in 2020

	JD	PINS	Total
2020	9	1	10

Diversion JD Cases Received in 2020

	Total
2020	108

Diversion PINS Cases Received in 2020

	Total
2020	75

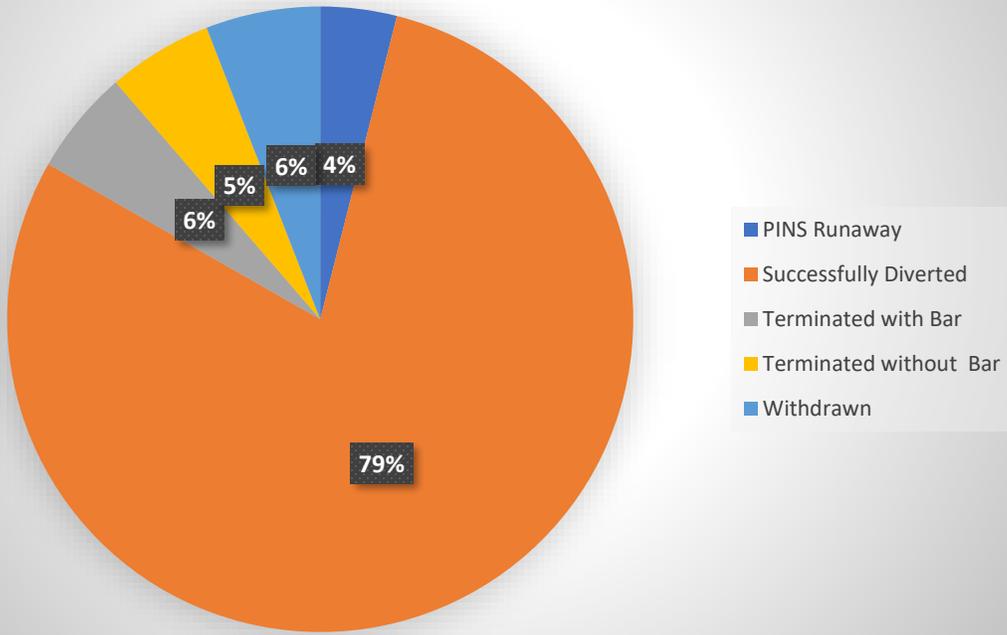
Diversion JD Cases Closed in 2020

	2020
Adjusted	60
Referred for Petition Immediately	57
Terminated without adjustment and referred for petition	5
Total	122

Diversion PINS Cases Closed in 2020

	2020
Referred for Petition Immediately-PINS Runaway Only	8
Successfully Diverted	161
Terminated with Bar to Petition	11
Terminated with No Bar to Petition	11
Withdrawn	12
Total	203

2020 Diversion PINS Cases Closed



General Supervision Unit

Alisyn Gaffney, Senior Probation
Raffael Vazquez, Probation Officer

This unit is comprised of a senior probation officer, six probation officers and a supervisor. The senior probation officer recently transferred to this unit from our Beacon office. He brings with him over ten years of experience as a probation officer and has worked primarily with our Spanish speaking probationers. He is currently supervising a smaller caseload of more challenging probationers, allowing more time for assisting other officers in the unit and completing any required specialized duties.

During 2020, officers co-facilitated weekly cognitive behavioral groups for offenders arrested for driving with a suspended or revoked driver's license. This program seeks to help probationers understand that the choices they make have a lasting impact on their lives. Four officers from General Supervision also assist in co-facilitating another cognitive behavioral program, Moral Reconciliation Therapy (MRT). Both programs assist probationers to develop new and better strategies for making healthier decisions.

The officers in this unit supervise caseloads comprised of greatest risk to low-risk offenders. Three officers supervise the bulk of male probationers; another officer is assigned a predominantly female caseload, allowing for gender-specific needs to be addressed. Two officers work primarily with seriously and persistently mentally ill individuals. They have undergone valuable training to help them assist this population to connect to the services they need, such as mental health and substance disorders treatment, medication management and case management. A licensed social worker from the Dutchess County Department of Behavioral and Community Health is assigned full time to the Office of Probation to assist with coordinating services, counseling, and crisis intervention. The collaborative work of probation and mental health offers probationers resources and strategies to manage and stabilize their lives and reduce the risk of rearrest.

Most of the female probationers are referred for services at the Women's Center, which is operated by Project MORE with funding from

the NYS Division of Criminal Justice Services, Office of Probation and Correctional Alternatives. A probation officer from this unit works in conjunction with Project MORE staff. She has a satellite office located at the program facility and is an intricate component of the programming. Having an office located at the center allows her to meet with probationers on-site, eliminating the need for them to travel to the Probation office, which is often difficult due to a lack of transportation and childcare issues. This program provides supportive services for our female probationers, which include case management, access to food and clothing, wellness activities, on-site childcare, and transportation to and from the center. The women are also able to complete court ordered programming such as Anger Management, Moral Reconciliation Therapy, and Ready, Set, Work!

The Women's Center continues to utilize the Interactive Journaling (IJ) Program. IJ is an evidence-based, participant-centered program designed to assist individuals with making long term positive life changes. It uses the COMPAS assessment to determine which journal(s) would be most appropriate to meet the probationers' needs. IJ is offered to Women's Center participants after successful completion of the Moral Reconciliation Therapy Program. IJ is currently being incorporated in the Poughkeepsie office by the supervisor of the General Supervision Unit.

As with the other units, the general supervision officers use periodic risk assessments and employ motivational interviewing skills to develop case plans with the probationers in order to affect positive change in their behavior; several officers have obtained advanced motivational interviewing certifications. Supervision efforts by probation officers include group work, as well as supervision and monitoring within the community. Probation officers not only meet with probationers, but also maintain contact with employers, treatment providers, family members and others in the community to ensure successful outcomes, compliance with the supervision plan and community safety.

Continuing trends observed are an increase in the seriously mentally ill population and the rise in the abuse of prescription medications, heroin, fentanyl, and cocaine. We have been working closely with other community agencies and continue to obtain training to effectively supervise these populations in the community. In addition, all the officers in the General Unit have been trained in the administration of Narcan, as well as dispensing Narcan to at-risk probationers under specific circumstances. Hopefully, these measures will help to reduce the risk of overdose deaths in the people we supervise.

The officers in the General Supervision Unit are dedicated to helping change people's lives through the application of evidence-based practices and enhancing the safety of the community.

Criminal Court Supervision Cases Received by 2020

	2020
Interim Supervision	156
Standard Probation Supervision	391
Total	547

Criminal Court Supervision Charges Received by Year Felony vs. Misd.

	F	M	Total
2020	156	429	585

Pretrial Services Unit

Kathy McQuade, Supervisor

Patricia Trepepi, Senior Probation Officer (retired 11/2020)

Selyde Conde-Rodriguez, Senior Probation Officer (12/2020)

This unit is a specialized division in the department that has the primary responsibility of Pretrial Release Services. In addition to the Supervisor and one Senior Officer, staffing includes five Probation Officers and one Case Manager Aide.

2020 marks the 47th year that Dutchess County has provided alternatives to bail through the pretrial interventions of the Office of Probation. While much has changed over the years, especially in 2020, the program has always adapted to meet the needs of the criminal justice system and the community. In Dutchess County, the pretrial process has been wholly incorporated into the daily criminal justice system procedures.

The presence of an effective Pretrial Release program provides a basis for eventual alternative to incarceration programming, while offering the courts other options to incarceration. These programs facilitate release without financial conditions by identifying appropriate defendants for release on recognizance (ROR) or release under supervision (RUS). In general, ROR refers to the release of a defendant on his or her promise to appear in court. RUS refers to the release on a promise to appear in combination with other conditions which are monitored by the Pretrial Service Unit.

Dutchess County continues to house pretrial services within the Probation Department as a discrete unit, whose primary focus is on providing courts with another release option, rather than setting an amount of bail. Dutchess County continues to offer release services along a continuum; defendants are considered initially for those releases that are least restrictive, however, if release is not achieved, or the defendant presents a greater risk, more structured release options are considered, such as electronic monitoring.

Pretrial in 2020

A major change for 2020 was the enacted Bail Reform Law and the corona virus (COVID-19) pandemic. Although Pretrial prepared for months prior to the bail reform legislation, it still proved challenging to reduce the impact on the courts and the entire criminal justice system. In addition, Pretrial attempted to ensure planned, appropriate and controlled release options for those still in custody. Three months later, the COVID-19 pandemic had a major impact on planning and implementation. The unit handled over 60 writs for release in a span of less than three months. Although ten inmates were denied release, the majority were released to the Pretrial Service Unit. The pandemic caused the Pretrial Unit to enter the virtual world with skype arraignments that subsequently included virtual court appearances in almost all matters. Skype was later replaced by the Teams virtual platform. There was little time for training officers and "work from home" designations proved immensely arduous for the virtual, pretrial world. At times, pretrial officers suffered from technical issues, resulting in suboptimal conditions for court participation. Pretrial saw an increase of direct referrals to Pretrial Services from local courts. The unit experienced a decrease in the quality of the pretrial interview as most were limited to telephone interviews. Pretrial Services was forced to act as a reactive model, always on alert to be present at unanticipated skype arraignments. During COVID-19, pretrial worked at capacity to ensure the courts had a daily pretrial, personal contact.

Pretrial Services established a new email and telephone report system due to COVID-19 when "in person" reporting was no longer possible and this enhancement proved successful.

The NYS Office of Court Administration (OCA) also implemented a new pretrial data reporting requirement for those NYS Pretrial agencies certified by OCA in 2020, as Dutchess County's Pretrial Services program was. This added another responsibility of recording statistics to the OCA.

Pretrial Services continued to utilize the Texas Christian University Screen (TCUDS) for the purpose of screening defendants still in custody for the presence of a substance or alcohol disorder. It continued to prove to be valuable and helpful to the referral process for further evaluation by the Dutchess County Department of Behavioral and Community Health (DBCH). The

combination of these services has proven worthwhile as the opiate epidemic continues to plague our community and the entire criminal justice system.

Due to the pandemic, meetings and training were conducted primarily by virtual means.

Overall, with bail reform and COVID-19, 2020 proved to be a very challenging year, but as always, the officers in the unit were able to adapt and provide the excellent services that the courts and the criminal justice system in the county are accustomed to.

Criminal Court Pre-Trial Screenings 2020

	2020
No Recommendation / Other	285
Release not Recommended	429
ROR	133
RUS	142
Total	989

Criminal Court Pre-Trial Screenings 2020

	Total
2020	988

Criminal Court Pre-Trial Supervision Cases by Release Type

	Bail	ROR	RUS	Total
2020	14	376	194	584

Satellite Supervision Unit

Audra Schumacher, Probation Supervisor

Eve Rufino, Senior Probation Officer

The Satellite Supervision Unit, based in the Beacon office, covers the southern Dutchess communities of the City of Beacon, Town of Fishkill, Village of Fishkill, Town of East Fishkill (including Hopewell Junction and Stormville), as well as the Town and Village of Wappingers Falls. Adults and juveniles under probation supervision, undergoing pre-sentence/pre-dispositional investigations and those on pretrial release (ROR/RUS) are served by this office.

The office has permanent staff assigned and is also utilized by other probation personnel who meet with people on probation, school personnel and community agencies as needed. Additionally, to reach the youth and families in the lower part of the county, staff from Dutchess County Youth Services Unit utilize our office to meet with their clients.

The Satellite Supervision Unit supervises individuals on probation who fall into low, medium, and high-risk caseloads. The unit includes officers with specialized caseloads (STOP DWI and DWI) as well as general supervision. In addition to her duties conducting pre-sentence investigations and preparing pre-sentence reports for the courts, the Senior Probation Officer who previously served as the Interstate Transfer Designee for several years, assists the unit staff in understanding the interstate guidelines as well as preparing necessary state forms. Transportation and access to services for probationers who reside in some of the outlying communities and rural areas continue to be a challenge, but the department has been dedicated to reaching all those on probation and is working towards consistently bringing evidence-based programming to the satellite office.

Senior Probation Officer Rafael Vazquez has been specially trained in Moral Reconciliation Therapy (MRT), which addresses criminal thinking and attitudes, and continued to facilitate MRT groups in the Beacon office in early 2020. Due to the COVID-19 pandemic, in-person groups were suspended. However, PO Vazquez completed the groups with the participants virtually

or via telephone. In 2020, five individuals successfully completed MRT with Officer Vazquez.

In 2020, Senior Probation Officer Vazquez continued to facilitate a Cognitive Behavioral Program (CBT) for DWI offenders. This shorter-term program was geared towards first time or lower risk DWI/DWAI offenders. It had a 90% completion rate with nine of ten referrals successfully completing the program.

Additionally, Senior Officer Vazquez facilitated a cognitive behavioral group for probationers under supervision for Aggravated Unlicensed Operation (AUO) and/or have a history of driving without a license and/or suspensions. The AUO program had five participants and four successfully completed.

The probation officers from the Satellite Supervision Unit attended trainings related to alcohol/drug use and mental health. They continue to work closely with other community agencies to deal with individuals with substance abuse disorders more effectively. Some probation officers have also been trained in the administration of Narcan (Naloxone). They also continue to attend trainings pertaining to Medication Assisted Treatment (MAT) to understand how the treatment of opiate-addicted individuals is often supplemented by Methadone, Suboxone or Vivitrol.

COVID-19 has presented significant challenges and has necessitated the development of creative responses. Officers have used technology to remain in communication with individuals on their caseloads, the courts and community agencies.

Electronic Monitoring/Warrant Unit

Mark Jaggi, Supervisor

Electronic Monitoring

Our department has been supervising adult offenders on Electronic Monitoring (EM) since 1989. This technology allows probation officers to continuously monitor defendants/probationers in their homes using a small transmitter attached to the ankle and a receiver installed in the home that is attached to their land-line telephone. The technology also works with cell phones. Teams of probation officers working around the clock, seven days a week, supervise individuals on this program. Smart phones carried by probation officers receive alerts generated by house arrest equipment that enable officers to respond if an individual on EM leaves his or her residence without authorization. Mobile EM receivers carried by officers in vehicles allow them to detect transmitters in the community if the transmitter is in range (within approx 300 to 600 feet). In 2011, mobile data terminals (MDTs) were installed in two of the vehicles operated by EM Officers in order to provide additional information to field officers enabling them to use their time more efficiently. The results of the use of this equipment have been mixed due to the use of air cards and limited coverage within the county. For the upcoming year, field officers will be equipped with cellular/WiFi tablets which will give them real time information as well as additional reporting and information options regarding offenders.

In February 2006, our department began using EM technology to monitor juvenile offenders/respondents following an agreement with the County Attorney's Office and Dutchess County Family Court. Juveniles considered for EM can be either adjudicated or pre-dispositional and facing the possibility of placement in non-secure or secure detention. By providing these individuals with the enhanced supervision available through electronic technology, we can provide the Dutchess County Family Court with the options necessary to tailor their response to more appropriately fit the needs of

juveniles and thereby reduce the incidents of unnecessary out of home placements.

The courts use this alternative to incarceration/detention option extensively because it allows individuals under intensive supervision to remain employed or in school, and therefore productive, while enabling probation officers to respond in the event of a violation of program rules.

During 2020, 180 new Electronic Monitoring cases were received from the courts, 35 of which were juveniles. The following chart outlines the different cases received and outcomes for the year 2020.

EM CASES RECEIVED 2020	
Pre- Trial E.M. <i>(Adult)</i>	141
E.M. Pre- Trial <i>(Juvenile)</i>	31
Sentenced E.M. <i>(Adult)</i>	4
E.M. Sentenced <i>(Juvenile)</i>	4
Total	180

EM CASES DISPOSED OF 2020		
Satisfactorily Completed		306
Failure to Comply		81
Non-compliant (General)	4	
Re-Arrested by Probation Due to EM Rules Violation(s)	24	
Absconded	8	
Re-Arrested (New Crime)	0	
Total		189

The Electronic Monitoring program has had a significant impact on managing the jail population since its inception, and it has also been helping to reduce the number of juveniles placed in non-secure and secure detention. As an alternative to incarceration/placement, EM has played a significant role in reducing the number of days served by defendants/respondents in custody.

Changes in telecommunication infrastructure over the years has necessitated that the Office of Probation explore alternative technologies for house arrest equipment that do not rely on landline-based telephone service. Specifically, the proliferation of households employing Voice Over Internet (VOIP) landline phones and households that no longer have landlines due to increased reliance on mobile phones has created challenges for the Dutchess County Electronically Monitored House Arrest Program. Therefore, in 2015 the Electronic Monitoring Unit staff began to implement the use of Cellular Electronic Monitoring Equipment that transfers offender data via cellular service. These new monitoring devices eliminate the need for landline service and the associated problems that arise from the inconsistency of different landline configurations and service carriers that are sometimes incompatible with traditional electronic monitoring landline equipment. For 2020, nearly all EM offenders were utilizing cell-based monitoring devices at any given time as

well as some GPS monitors. While older “land line” service is rarely used anymore, the Office of Probation keeps a small number of devices should they be needed.

Warrants

The Warrant Bureau is also a part of this unit. An experienced Probation Officer assigned to the Warrant Bureau oversees efforts to apprehend individuals for whom judges have signed Violation of Probation warrants or, in some cases, bench and arrest warrants. This officer works closely with local and state law enforcement agencies to enhance execution of these various warrants. During 2020, 79 Violation of Probation warrants were issued by various courts in Dutchess County and investigated by our department’s Warrant Bureau. Also, during 2020, 67 Violation of Probation warrants were disposed of by this department directly or with the assistance of police agencies within New York or adjoining states. Swift action on warrants helps hold these offenders accountable and contributes to community safety. Additionally, the Warrant Bureau maintains a 24/7 response team which includes weekends and holidays. This team is currently made up of 12 officers who rotate to provide continuous coverage should an offender be apprehended off hours. These officers will take the offender into custody and arrange for arraignment in the court where the warrant was issued. During 2020, due to COVID-19 related staffing shortages, this response team was reduced to six field officers who also cover the House Arrest program.

Additionally, the Dutchess County Probation Warrant Services Unit continues to extradite offenders outside of the county. While the first “Arrested/Executed By Probation” section includes some offenders extradited back to Dutchess from other counties, there were no out of state extraditions for 2020.

VIOLATION OF PROBATION WARRANTS ISSUED 2020	
Felony	23
Misdemeanor	56
Total	79

WARRANTS DISPOSED OF – 2020	
<i>Arrested / Executed By Probation</i>	32
<i>Extradited from outside of the State</i>	0
<i>Police/Jail TOT Probation</i>	2
<i>Surrendered to Court</i>	2
<i>Surrendered to Police</i>	2
<i>Surrendered to Probation</i>	13
<i>Vacated</i>	16
Total	67

In addition to efforts made by the warrant officer and other probation officers to apprehend probation violators, this department continues crime reduction as a member of the NYS Division of Criminal Justice Services (DCJS) Integrated Municipal Police Anti-Crime Team (GIVE). The primary goal of GIVE is to reduce violent crime due to gun violence in designated counties through strategies developed by respective District Attorneys' Offices, local/state /federal police agencies as well as probation and parole partners.

As part of this strategy, and in conjunction with GIVE guidelines, this department has designated two probation officers as GIVE Field Intelligence Officers (FIO). Their duties include collecting information regarding local criminal activity and sharing it with other law enforcement agencies to further crime prevention. Frequently, this information is obtained from individuals on probation with the assistance of their supervising probation officers. The GIVE grant has also permitted the FIOs to receive specialized training in such areas as search warrants, gang identification and criminal intelligence. Probation Field Intelligence Officers also serve as a point of contact for other law enforcement agencies when requesting assistance from the Office of Probation in their efforts to solve crimes. In addition to GIVE, Field Intelligence Officers from this department participate in monthly Field Intelligence Group (FIG) meetings with other law enforcement agencies within Dutchess County to share information on criminal activities and

develop preventative strategies. The Office of Probation conducted several GIVE operations with the City of Poughkeepsie Police Department during 2020. During these operations, probation officers and police officers target high-risk offenders for weapon/gun violence. Random searches are conducted, and intelligence is gathered on their associates and places they frequent within the community.

Information Technology

Designated probation staff members are responsible for training, integration, and management of computer-based resources within the department. These staff members provide liaison services between the Probation Office and the Dutchess County Office of Computer Information Services (OCIS).

During 2020, the House Arrest program has been testing various types of electronic monitoring equipment such as GPS and Mobile Sobriety testing for specifically targeted offenders. These efforts will continue into 2021 with the hope of offering even further enhancements specifically tailored to meet the safety needs of the community while allowing more offenders to participate in the program.

Additionally, the Office of Probation and Community Corrections continually evaluates new technologies to determine their value in performing our mission of supervising various populations in a cost-effective and efficient manner.

Field & Tactics Training

The EM/Warrant Service Bureau is also responsible for field and tactics training for the department. This includes: firearms, cap-stun (pepper spray), impact weapons (baton) and defensive tactics. New officers are required to attend an initial course on all weapons systems and defensive tactics and simulation programs. Annual training is required to maintain proficiency and eligibility to carry these items in the field. While the department follows the training guidelines and standards as directed by NYS Division of Criminal Justice Services, our proficiency requirements are generally higher than those required by the state.

The principal firearms instructor is the supervisor of the Electronic Monitoring/Warrant Service Bureau. There are two other instructors within the department. In 2020, six additional instructors were put through the New York DCJS initial instructor course to meet the department's training needs.

The department is continuously evaluating and implementing new training tactics to promote officer and community safety.



Photo showing some examples of the electronic monitoring equipment used by the department in 2020.

Pre-Sentence Investigations Unit

Robert Dosiak – Supervisor

Shannon Rode – Senior Probation Officer

Melissa DiBernardo – Senior Probation Officer

The primary function of the adult Investigations Unit of the Dutchess County Office of Probation and Community Corrections is the completion of pre-sentence investigations and pre-plea investigations for the Dutchess County Court, two City Courts (Poughkeepsie and Beacon), the Dutchess County Integrated Domestic Violence Court, and 27 town and village courts.

A pre-sentence investigation is ordered by the court after a person is convicted of an offense, but prior to being sentenced. It is a report which details the offense committed; includes statements from the offender, arresting officer, and the victim; and provides a comprehensive analysis of the individual's: criminal history, family/associates, education/employment/military service, physical & mental health, and alcohol/substance use history. The report concludes with an evaluative analysis, and a recommendation to the court for sentencing. The pre-sentence investigation incorporates the use of a risk assessment instrument, the most common being the Correctional Offender Management Profiling for Alternative Sanctions (COMPAS). The COMPAS not only identifies risk (to recidivate) but also those criminogenic needs that must be addressed to reduce that risk. There are several other offense-specific risk assessment instruments that are also utilized.

The primary purpose of the pre-sentence investigation report is to assist the court in determining the most appropriate sentence for the offender. The reports are also used to create a case plan for persons sentenced to probation. When an offender is sentenced to prison, the report assists in the classification of the offender and is utilized by the Board of Parole in determining appropriateness for release. Finally, the pre-sentence investigation report is utilized by substance

use/mental health treatment providers in determining appropriate treatment plans.

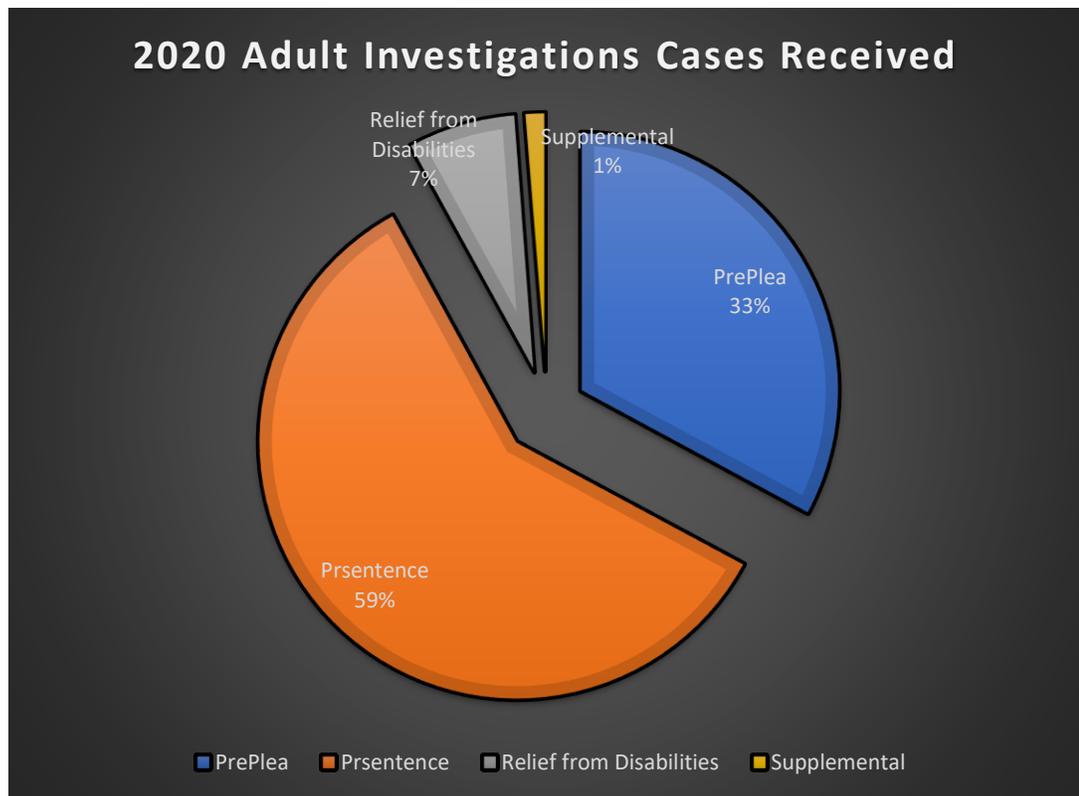
Pre-plea investigations differ from pre-sentence investigations in that the defendant has not yet been convicted of an offense. Pre-plea investigations are often requested by defense attorneys who want to ascertain what type of sentence may be recommended before advising their client on a possible plea. Pre-plea investigations are commonly ordered when a court intends to place an offender on Interim Supervision, a mechanism which allows for a conviction to be stricken and reduced if the offender successfully completes the term of supervision.

The Investigations Unit also processes applications for Certificate of Relief from Disabilities (CRDs). When a person is convicted of a felony or misdemeanor offense, they lose certain privileges and are disqualified from certain fields of employment. To overcome the obstacle to employment or restore lost privileges, a CRD is necessary. The Investigations Unit will forward CRD applications for eligible offenders to the court where the conviction occurred and will make recommendations to the court when requested as to the offender's suitability for a CRD.

The Investigations Unit is currently comprised of a Supervisor, two Senior Probation Officers, and five Probation Officers.

Adult Investigations Cases Received 2020

	2020
PrePlea	165
PreSentence	297
Relief from Disabilities	34
Supplemental	6
Total	502



Special Services Unit

Thomas Jones – Supervisor

The Special Services Unit is responsible for supervising sentenced and interim probation cases that are distinguished by the nature of the offense and offender, and features specialized offender caseloads including Domestic Violence, Sex Offenders, and those with multiple criminogenic needs that would benefit from services at the Dutchess County Community Transitions Center (CTC). Additionally, the Special Services Unit has a dedicated Probation Officer who exclusively writes Pre-Sentence/Pre-Plea Reports involving sexual and domestic violence offenses.

Domestic Violence Supervision:
E. Kate Zykoff – Senior Probation Officer
Kelly Milano – Probation Officer
Nicole Alger – Probation Officer

The Domestic Violence Supervision Unit is composed of three officers who have received specialized training in the dynamics and characteristics of interpersonal violence, and best practice strategies for supervising this population. The caseloads are divided geographically into the northern and southern areas of Dutchess County, as well as a caseload dedicated to the population deemed greatest risk to re-offend.

The central tenet of the Domestic Violence Unit is to ensure the safety of persons who have been victimized through enforcement of specialized conditions of probation and court Orders of Protection, as well as maintaining contact with these protected persons. Additionally, offenders are required to attend domestic violence classes, anger management classes, and other programming to address areas of criminogenic need that may have contributed to the commission of the criminal offense. Domestic Violence--Moral Reconciliation Therapy (DV-MRT) is the principal intervention.

The Office of Probation is a member agency of the Domestic Abuse Response Team (DART) which exists in several police jurisdictions throughout the county. The Office of Probation also has representative participation in the Universal Response to Domestic Violence and the High-Risk Case Management Project through Family Services, Inc. The Senior Probation Officer is the Office of Probation's representative in the county's Integrated Domestic Violence Court.



In 2018, the Office of Probation and Community Corrections adopted the use of the Domestic Violence Screening Instrument (DVSI-R), an actuarial tool designed to measure risk of re-offense with domestic violence offenders. This tool is being used as an adjunct to the COMPAS assessment tool and is completed during the pre-sentence Investigation process. In addition, the supervision officers conduct an update to the COMPAS tool every six months, to re-assess the ongoing risk of offender recidivism.

Sex Offender Supervision:

Meghan Johnson – Senior Probation Officer

Joanna Torres – Probation Officer

Alex Cruz – Probation Officer

The Sex Offender Supervision Unit is composed of three officers who have received specialized training in managing this population. They consist of two supervision officers, and one officer tasked with writing sex offender pre-sentence and pre-plea investigations. The objective for these officers is to ensure that known victims of these offenses, as well as other vulnerable populations, remain safe in our community. The department utilizes a variety of specialized probation conditions, as approved by the courts, that directly target the

offending behaviors and are specifically designed to help prevent recidivism.

The officers help to coordinate services to victims. This includes coordinating with Crime Victim Assistance advocates and maintaining contact with victims of offenders supervised by Probation; ensuring the victims are receiving services and advocacy they need.

Supervising officers collaborate with local law enforcement in monitoring and verifying offender addresses and activities, as well as sharing information between agencies.

Supervising officers make regular home visits to verify addresses for all offenders who are on the New York State Sex Offender Registry and being supervised by the department.

Officers also work closely with KB Forensics, the primary sex offender specific treatment program, providing services to probation sentenced offenders. KB Forensics utilizes evidence-based practices for assessment and during the treatment program.

Computer monitoring software helps monitor compliance and minimizes the risk of recidivism for those offenders who have permissible internet and computer use.

***Dutchess County Community Transitions Center:
Glenn Brown – Senior Probation Officer***

The Dutchess County Community Transition Center is a day reporting program that operates in the City of Poughkeepsie and serves probationers throughout Dutchess County. Contracted with New Haven, CT based Project M.O.R.E., CTC provides multiple services to pretrial and sentenced adults under the supervision of the Office of Probation. Having a single location where individuals can receive services intended to address multiple needs has been shown to increase program participation and completion, and subsequently decrease recidivism among this population. Young adults between 18 and 27 years of age account for the largest percentage of program participants, particularly those receiving multiple program services.

PROJECT MORE

These services include cognitive skills training groups, life skills groups, anger management groups, employment readiness groups and substance abuse referral services. In addition, CTC has incorporated services for Spanish speaking individuals. Many individuals supervised by the Office of Probation are referred to CTC for a specific service while a dedicated CTC caseload, supervised by the Senior Probation Officer, attend CTC daily and receive multiple services.

The commitment to participation in community service remains an integral part of the CTC program. Through participation in a variety of community service opportunities, probationers experience a very practical and tangible investment into the community where they live. Examples of these community service venues included: Catherine Street Community Center, St. Paul's Lutheran Church Food Pantry, Habitat for Humanity, Mid-Hudson's Children Museum, Hidden Hollow Horse Farm, the Family Partnership Center and Lucky Orphans Horse Rescue.



Lucky Orphans Horse Rescue provides evidence-based equine therapy to adults and youth.

The CTC program serves as an Alternative to Incarceration for the probation population. In addition, working in conjunction with jail-based services including the Re-Entry Stabilization,

Transition, and Reintegration Track (RESTART), provides a seamless flow of programmatic services for individuals moving from incarcerated status to the community. The Office of Probation has established a specialized caseload for individuals who are transitioning into community supervision from the RESTART program that is managed by the Senior Probation Officer assigned to the CTC program.

The core cognitive program run by the CTC is Moral Reconciliation Therapy (MRT), a group program designed to address criminal thinking. MRT has been adopted as the county's primary cognitive program. CTC runs 10 MRT groups, including a juvenile group and a group that runs in coordination with their Ready, Set, Work program. The employment program is an evidence-based curriculum supported by the NYS Office of Probation and Correctional Alternatives.

DWI Unit

Frank Haverkamp, Supervisor
Lori Mikus, Senior Probation Officer

The DWI Unit was formed in 2007 based on the specialized needs of DWI offenders and the danger posed to the community by their behavior. It is because of this behavior that the unit closely monitors these offenders through fieldwork as well as oversight of treatment participation and surveillance. The goal of these activities is to reduce recidivism.

The function of specialized DWI probation supervision is to ensure that DWI offenders are obtaining the necessary treatment to address their alcohol/substance dependency and to intensively monitor their behavior in the community. The DWI Unit focuses on "frontloading" special programs such as Cognitive Behavioral Therapy (CBT), Moral Reconciliation Therapy (MRT) and Decision Points in an effort to not only hold offenders responsible for their actions, but to address thinking and decision-making to promote positive changes.

Probation Officers assigned to this unit are trained in addiction, relapse issues and recovery. These officers are dedicated to providing an environment which promotes positive, prosocial change in an offender's behavior, while holding them accountable for their actions and focusing on public safety. Probationers on these specialized caseloads are generally more intensively supervised through additional home and community contacts and collaboration with treatment providers and local law enforcement agencies. Many contacts and surveillance operations are made outside of the 9-5 workday, taking place in the evening and on weekends.

Included in this unit is the STOP-DWI Program, which is comprised of three (3) officers with smaller caseloads, who supervise high-risk offenders. These individuals generally have multiple DWI convictions, many prior contacts with the criminal justice system, been involved in a DWI related crash or committed a DWI with a passenger who is fifteen years of age

or younger in the motor vehicle at the time of the offense. The STOP-DWI officers routinely have more contacts with the offenders on their caseloads due to their “high risk” designations.

The remaining six (6) officers in the unit supervise medium-risk and low-risk probationers. A case manager aide, under the direct supervision of the supervisor, manages the low-risk caseload. This caseload is comprised of lower-risk offenders who have been supervised for a significant amount of time and have completed all or most court mandates as their term of probation draws to a close. The check-in program has allowed us to focus our resources on the higher-risk offenders, a key component of evidence-based practices.

New to the DWI Unit in 2019 was the acquisition of the DWI Conditional Discharge Ignition Interlock Program that was formerly overseen by Dutchess Community College. This program monitors the Department of Motor Vehicles (DMV) and Ignition Interlock activities for defendants who have been convicted of a DWI and who have subsequently received a sentence of a one-year Conditional Discharge as a result. During 2020, there was a sharp decline in Conditional Discharge cases due to the COVID-19 pandemic, with only 81 new cases. The conditions relative to the Conditional Discharge, mandate that defendants attend the Victim Impact Panel, complete the Impaired Driver Program, and install an Ignition Interlock Device in any vehicle that they own or operate in accordance with Title 9 NYCRR Part 358 (Leandra’s Law). A new Probation Assistant position was created and added to the unit in order to manage this caseload with the assistance of a DWI Probation Officer. The Probation Assistant’s responsibilities include opening the case in Police Pro (a new case management system being utilized by the Conditional Discharge program), notifying the court when a defendant is found to be in violation of their interlock conditions, monitoring Ignition Interlock compliance and completing quarterly DMV checks.

Supervision plans for DWI offenders on probation are developed in conjunction with the use of actuarial risk assessment tools and substance abuse evaluations. High risk/need areas are clearly outlined, and strategies are

developed to address these need areas. Officers use the principles of evidence-based practices in developing an appropriate case plan, using cognitive behavioral techniques and motivational interviewing when setting goals around need areas that the probationers are intrinsically motivated to change. The supervisor uses the risk assessment scores in conjunction with general compliance in determining supervision levels within the unit and caseload assignment.

The department strives to use innovative approaches to effectively supervise these probationers. Some of the strategies we have employed are the use of cognitive behavioral groups, the RESTART (intensive jail to community) program and the computer-based check-in program. All DWI offenders are required to attend the STOP DWI Cognitive Behavioral Therapy group that is held at the Probation office, and which is facilitated by Dr. Elizabeth Quinn, and/or the Moral Reconciliation Therapy program, which is offered at Probation, the Community Transitions Center, the Women's Center, the Lexington Center for Recovery and the Step One treatment programs. The RESTART program employs evidence-based programming that addresses critical criminogenic areas of need. Decision Points is a cognitive behavioral intervention that examines the high-risk decisions that are based around alcohol and driving.

Another tool the DWI Unit continued to use during 2020 was the ETG test. Also known as the 80-hour test, it is a full panel urine drug screen which includes a test for alcohol. This is a laboratory test following strict requirements for chain of custody. The DWI Unit also implemented the use of an 80-hour ETG test stick in an effort to reduce the costs associated with the ETG lab confirmation test. These alcohol tests are unique because they do not depend on the presence of alcohol in the body, which the body quickly processes. Instead, it tests for a metabolite of alcohol that is processed out of the body at a much slower rate, i.e., up to 80 hours after alcohol was consumed. The 80-hour test is being used as a tool to enhance probation supervision and monitoring capabilities. It is not intended to replace alco-sensor testing and conventional drug screening. Instead, the tests are used to facilitate compliance and results are also used when making decisions regarding violation of probation dispositions, early release from supervision and re-licensing.

During 2020, The DWI Unit continued to feel the effects of the implementation of the COVID-19 pandemic as the number of installed Ignition Interlock Devices (IID) declined. The monitoring of offenders sentenced under Leandra's Law requires regular inquiries with the Department of Motor Vehicles to check the status of any vehicle that may be titled or registered to the offender. If an offender has a motor vehicle registered or titled in their name, they are required to have the Ignition Interlock Device (IID) installed in the vehicle within 10 days of sentence or surrender ownership. The law requires probation officers to notify the sentencing court of failure to have the IID installed within the allotted time frame. The officers are required to make the notification within five days. Officers are required to investigate licensing and registration information at least every three months, but these investigations can also be conducted at any time if necessary.

Once an offender has the IID installed, the probation officers are required to monitor the reports generated by the Interlock companies. Most devices require an individual to have the information downloaded monthly, however, advances in technology now allow for real time reporting. The devices also function with GPS, allowing for enhanced supervision of offenders. Any violations of the IID will generate a report to the officers. If an offender fails to comply with a service visit and has not had the vehicle serviced promptly within three business days immediately following the missed service appointment, the court will be notified no later than the fifth business day.

The DWI Unit also continued using the SoberLink hand-held alcohol monitoring device with offenders on the DWI caseloads. This device allows the supervising officer to monitor a defendant's alcohol use at different times throughout the day. The officer creates a schedule with different times the defendant is required to submit a breath sample. This schedule can be hand-written or can be put into a system that will send the defendant text messages according to the schedule, directing them to submit their breath sample. These devices have been used as a monitoring mechanism with people who have pending Violations of Probation and also with people who do not have pending Violations of Probation, but who

need extra support and structure in order to maintain their sobriety and compliance.

It should be noted that most Violations of Probation are filed in order to access a higher level of care and do not result in revocation and incarceration. Probation Officers must file violations in order to request a court order to use electronic monitoring, a higher level of care such as inpatient treatment or other interventions not included in the original Order and Conditions of Probation.

The unit's workload continues to be impacted by the initial and periodic DMV checks, as well as the monitoring of the information generated by the reports. There are three different Interlock companies contracted with NYS to provide services and each has different procedures for reporting information, and how the officers access the information. This has been somewhat challenging, but the dedication and commitment of the DWI officers to community safety has helped them to meet this challenge.



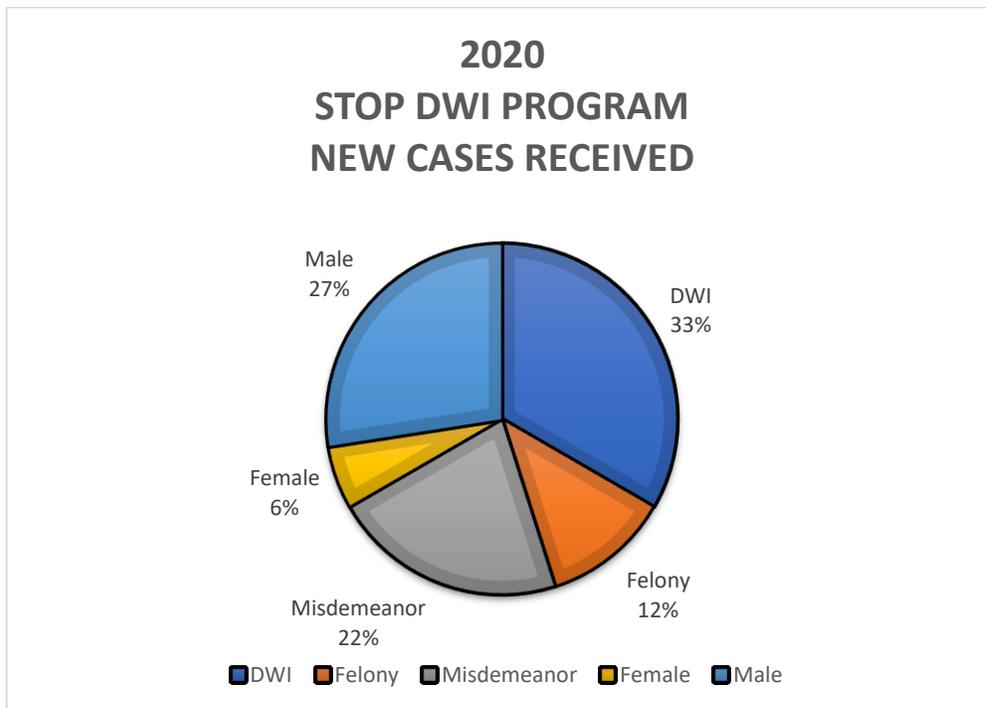
2020

STOP-DWI PROGRAM - NEW CASES RECEIVED

	<i>DWI</i>	Total
2020	17	17

	<i>Felony</i>	<i>Misdemeanor</i>	Total
2020	6	11	17

	<i>Female</i>	<i>Male</i>	Total
2020	3	14	17

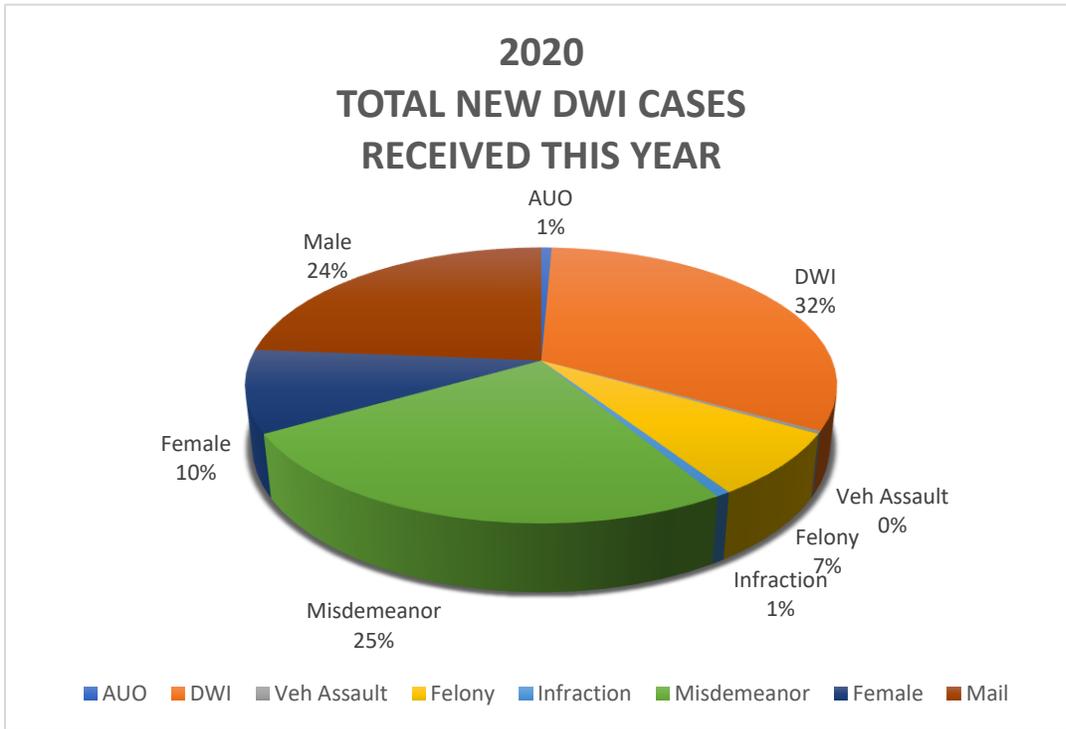


TOTAL NEW DWI CASES RECEIVED THIS YEAR

	<i>AUO</i>	<i>DWI</i>	<i>Veh Assault</i>	Total
2020	4	191	2	197

	<i>Felony</i>	<i>Infraction</i>	<i>Misdemeanor</i>	Total
2020	44	4	149	197

	<i>Female</i>	<i>Male</i>	Total
2020	57	140	197



VIOLATIONS FILED ON DWI CASES

	<i>AUO</i>	<i>DWI</i>	<i>Reckless Drv</i>	<i>Veh Assault</i>	Total
2020	6	58	1	1	66

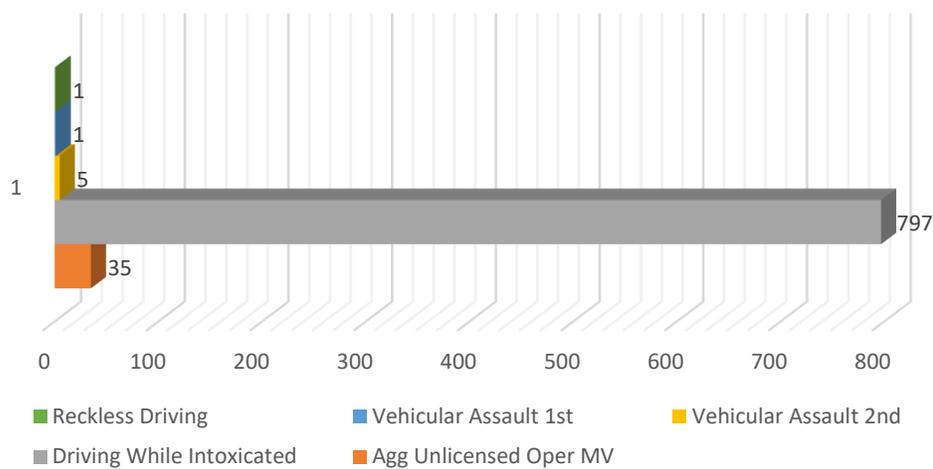
DWI UNIT SUPERVISION CASES SERVED

2020

DWI Related Cases Open at any time during 2020 by Offense

	Total
<i>Agg Unlicensed Oper MV</i>	35
<i>Driving While Intoxicated</i>	797
<i>Vehicular Assault 2nd</i>	5
<i>Vehicular Assault 1st</i>	1
<i>Reckless Driving</i>	1
Total	839

DWI Related Cases Open During 2020 by Offense

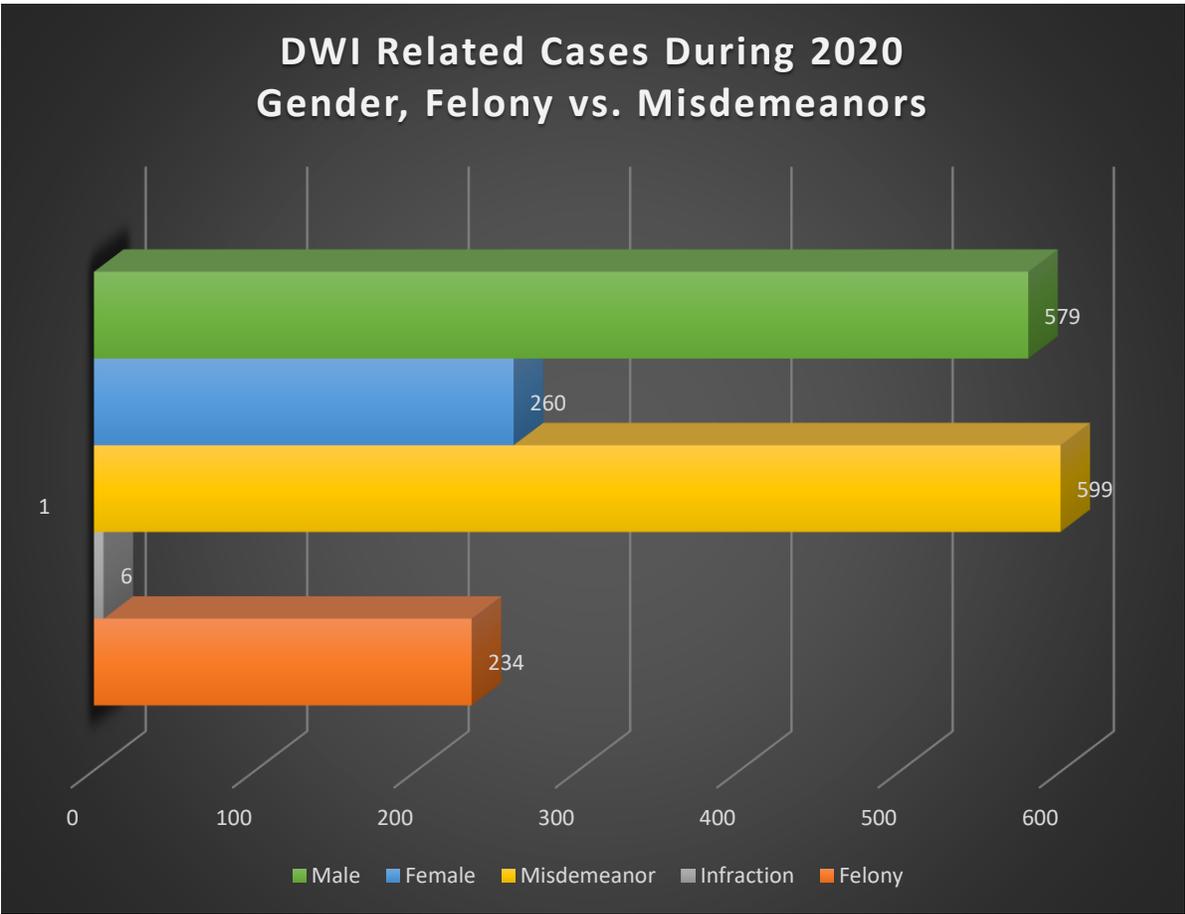


DWI Related Cases Open at any time during 2020 - Felony vs. Misd.

	<i>Felony</i>	<i>Infraction</i>	<i>Misdemeanor</i>	Total
Total	234	6	599	839

DWI Related Cases Open at any time during 2020 by Gender

	<i>Female</i>	<i>Male</i>	Total
Total	260	579	839



Alternatives to Incarceration Unit

Dan Bryant – Unit Administrator

Diana Armstead – Senior Probation

The Alternatives to Incarceration (ATI) Unit is comprised of caseloads/assignments that bridge the gap between very specific pretrial interventions and sentences of probation. It also includes the Eastern Dutchess satellite office. Specifically, the officers who are assigned to the Intensive Treatment Alternative Program (ITAP), the Transitional Housing Program (THP), the Judicial Diversion Drug Treatment Program and the Millbrook satellite office. The supervisor of the ATI Unit serves as the department's Transfer Designee, who is the point of contact in intrastate and interstate transfer matters.

Intensive Treatment Alternative Program (ITAP)

ITAP, which is collaboratively operated by the Department of Behavioral and Community Health (DBCH) and the Office of Probation, has a Senior Probation Officer whose office is located within the treatment program. ITAP provides intensive outpatient substance abuse treatment Monday through Friday to individuals mandated by the courts. The Senior Probation Officer assigned to ITAP utilizes the COMPAS risk assessment instrument to identify primary and secondary risk and need factors, and then develops a case plan for supervision with input from the ITAP counselors.

The structure of the program, and its co-location within the ATI Unit along with the Transitional Housing Program, provides a team approach that benefits the probationer, while the court benefits from dynamic and results-driven dispositional planning.

During 2020, due to significant restrictions brought about by the COVID-19 Pandemic, ITAP was unable to provide the level of treatment that the program traditionally provided. Sessions were virtual and participant numbers decreased significantly. Not being able to have the assigned probation officer and program staff together on-site with the participants, made programming much more challenging than in the past. However, the program was able to deliver services even though they were not ideal.

Transitional Housing Program (THP)

The Transitional Housing Program provides defendants / probationers with a secure, supervised, substance free residential environment. Most individuals participating in treatment at ITAP are initially housed in the THP facility to help ensure a housing environment that is conducive with treatment goals. Alternative, step-down housing becomes available as individuals progress in treatment and eventually reintegrate into the community. The program also provides a temporary residence to some defendants/probationers while they await entry to an inpatient substance abuse program.

Under a grant from the NYS Office of Probation and Correctional Alternatives, Project MORE, the contract agency for THP, has operated the Probation Violation Residential Center (PVRC) for the Mid-Hudson area since 2008. PVRC was established as an alternative to incarceration program for felony probation violators facing revocation and resentencing to the custody of the Department of Correctional Services. Those individuals determined eligible for PVRC reside at the THP facility, where they are provided with a variety of supportive services including GED preparation, Moral Reconciliation Therapy (MRT), substance use evaluation/ education/ treatment, employment and life skills training. Currently, PVRC allows a maximum of eight participants in the program at any given time, for up to (90) days, depending on individual risk, needs and progress. Individuals who successfully complete PVRC can expect a favorable disposition in their Violation of Probation proceeding. Probationers from other counties in New York State are eligible for participation in PVRC. During 2020, a total of ten participants were admitted to PVRC. Seven of the ten were from Dutchess County, two were from Ulster County and one was from Putnam County.

During 2020, the COVID-19 pandemic resulted in disruption to the Transitional Housing Program. Safety concerns resulted in the halting of new admissions to THP, and the discharge of existing residents to inpatient programs, or back home in the community if appropriate. While the THP never completely closed, the number of participants at any given time throughout 2020 was significantly reduced. Frequent quarantining resulted from positive COVID tests and potential exposure of both program participants and staff. Additionally,

the impact that the pandemic had on the admission process to inpatient programs presented another obstacle. During 2020 (47) individuals were admitted to THP overall, including those admitted via PVRC, described in detail above. (39) individuals were successfully discharged to inpatient treatment programs, halfway houses or the community in 2020. This number includes individuals who may have entered THP at the end of 2019. A total of (14) PVRC participants were discharged as successful in 2020.

Judicial Diversion Drug Treatment Program

The Judicial Diversion Drug Treatment Program is a pretrial program that gives defendants with pending felony charges and significant substance abuse issues an opportunity to address their drug addiction through treatment, and if successful they may have their felony conviction reduced or sealed or may avoid an otherwise mandatory state prison sentence. The program is a collaborative effort with a team consisting of a County Court Judge, Program Coordinator, Probation Officer, Assistant District Attorney, Public Defender, and representatives from the Department of Behavioral and Community Health. Participants of the Judicial Diversion Treatment Program attend various substance abuse treatment programs and have ongoing court appearances and program meetings during their time in the program, the length of which varies by individual but averages two to three years. Any noncompliant behavior during the program, to include relapse or re-arrest, may result in a sanction of local incarceration and continuation in the program, or dismissal from the program and sentencing as articulated in the Judicial Diversion contract.

Pre-sentence Investigations

Within the ATI Unit are two officers who prepare pre-sentence investigation reports. One officer is based in the Eastern Dutchess satellite office and is primarily assigned investigations of individuals who reside in the eastern portion of Dutchess County. The other officer has a specialized investigation caseload of defendants with Driving While Intoxicated convictions. Most of the felony level Driving While Intoxicated pre-sentence investigations ordered in Dutchess County Court are assigned to this officer.

Eastern Dutchess (Millbrook) Satellite Office

The functions of the department's Millbrook satellite office, located in the Eastern Dutchess Government Center, are contained within the ATI Unit. There are two full time probation officers working from the Millbrook office. They provide supervision of probationers who reside in the eastern portion of Dutchess County. There is also an officer assigned to prepare pre-sentence investigation reports for individual who reside in eastern Dutchess County.

Due to COVID-19 pandemic restrictions, the functioning of the Millbrook office was greatly diminished. Office reporting was suspended from March through June, and offender supervision was limited to contact via phone and/or email. The supervising officers were dedicated to monitoring their cases as closely as possible, given the limitations. The state granted a waiver which relaxed supervision rules during the pandemic. Once it was determined that the office could reopen safely, strict protocols were put in place to protect the officers, the probationers and anyone else entering the building.

Transfer Service Bureau

The supervisor of the ATI Unit also serves as the department's Transfer Designee. Each probation department within the state has a designee who is the central point of contact for matters involving two counties, particularly transfer of supervision.

When information needs to be exchanged between counties, the designees of the respective counties obtain and relay the information or ensure that another officer who is directly involved with the case will address the matter. Additionally, a Probation Officer Assistant from the Pretrial Unit assists by initiating intrastate transfers for probationers who are not Dutchess County residents at the time of sentencing.

In addition to dealing with intrastate transfer matters, the Transfer Designee is the initial point of contact for incoming supervision transfer requests from other states and conducts the preliminary investigation prior to accepting the transfer and having the case assigned to a supervising officer.

Administration of the Interstate Commission for Adult Offender Supervision's (ICAOS) Interstate Compact Offender Tracking System (ICOTS), an automated, web-based interstate transfer system, is headed by the Transfer Designee. The Transfer

Designee is assisted by a Program Assistant who is trained in and certified to have full access to ICOTS. Supervision cases that are approved for transfer to other states are processed through ICOTS and then maintained by an officer from the Pretrial Unit, who continues to monitor the case as per ICAOS policy and regulations.

In 2020, due to the impact that the COVID-19 Pandemic had on courts and probation departments within New York State and throughout the country, the number of incoming and outgoing supervision transfer requests processed decreased dramatically but were not eliminated completely. The Transfer Designee of each department monitored the status of their pending transfers and contacts, and cooperation was maintained between designees during the time of the shutdown, when most were working remotely.

Budget & Finance Unit

Marguerite Stein, Director of Budget & Finance

The Financial Unit is made up of a team of talented employees that work collaboratively to meet the deadlines of the unit and accurately collect and distribute restitution to victims. Through the pandemic and staff reductions, we have been fully functioning. Restitution payments continued to be received and more probationers have utilized the online and phone options. In addition, our Senior Program Assistant, two Accounting Clerks and a Senior Office Assistant were able to carry out all our functions when we pivoted to remote operations during the initial phase of the pandemic. Our emphasis on cross training paid off as the staff in the unit took on additional work responsibilities to cover staff out for Covid illness and later reduction in staff due to early retirements.

We manage two independent accounting systems, one to track departmental revenue and expenditures and the second to track court ordered restitution.

Managing the financial state of the department requires:

- Preparing and adhering to an annual budget
- State and Grant reporting and submitting claims for revenue reimbursement
- The supervision of contracts with various agencies throughout the county
- The accountability of all expenditures our department incurs with outside vendors and interdepartmental services

Managing Court Ordered Restitution involves:

- Accounting for each defendant's obligation to pay their victims
- The collection of restitution and the distribution of money to pay victims
- The collection of supervision fees and restitution charges that go directly into county revenue

**RESTITUTION SURCHARGES AND SUPERVISION FEES
COLLECTED IN 2020 FOR REVENUE**

JANUARY - FEBRUARY - MARCH

SURCHARGE/RESTITUTION:
\$88,561
ADULT SUPERVISION FEES:
\$23,449

APRIL - MAY - JUNE

SURCHARGE/RESTITUTION:
\$74,057
ADULT SUPERVISION FEES:
\$10,367

JULY - AUGUST - SEPTEMBER

SURCHARGE/RESTITUTION:
\$98,877
ADULT SUPERVISION FEES:
\$27,177

OCTOBER - NOVEMBER - DECEMBER

SURCHARGE/RESTITUTION:
\$84,939
ADULT SUPERVISION FEES:
\$20,198

Restitution & Surcharge collected in 2020 \$346,434

Restitution distributed to victims in 2020 \$356,551*

** In addition to this amount, \$54,119 of Restitution payments were made to oldest unpaid victims.*

Support Services Unit

Karen Kotchie, Support Services Supervisor
Scarlett Carter, Senior Program Assistant

The support staff works with and assists every unit in the department. The support staff is responsible for processing payroll as well as collecting, processing and data entry of incoming information from the courts, various agencies, other probation departments and the state. The unit maintains all closed records and processes all legal requests for those records. The support staff scans most of the documents required by law into the case record, thereby eliminating the need to store these records indefinitely. As programs continue to grow, the complexity of the work involved increases and staff need to remain up-to-date with regulations governing proper document storage.

Due to the pandemic and the reduction of in-person reporting, the volume of visitors to the office had decreased by over 90% in March 2020 and by December of 2020 our average monthly reporting was 1,117, down 60% over 2019. Due to this decrease of in-person reporting, the volume of phone calls answered each day by the reception staff increased dramatically.

In 2020, 1,188 Domestic Incident Reports were received by our department; each one was researched by our support staff to determine if any involved party was on probation at the time of the incident. If any were found, the appropriate supervising officer was informed. The reception staff also receives and enters all forensic evaluations and court mandated treatment information received from the Department of Behavioral and Community Health into the case record and notifies the officer of receipt of that information.

The support staff of the Dutchess County Office of Probation and Community Corrections works diligently to remain current with technological changes that may increase the efficiency and functioning of the entire agency. They work with each unit and every officer to meet the ever-changing needs of the department. The support staff is truly the foundation for all the activities of the Dutchess County Office of Probation and Community Corrections.

Training/Staff Development

Paul Spagnoli - Principal Probation Officer

Professional staff are required to complete twenty-one hours of continuing probation education each year. Through continued training, we aspire to broaden and enhance the officers' professional skills, and update personnel on current trends and practices in the field of probation services. Training for probation officers must encompass a wide range of topics, including evidence-based practices, Motivational Interviewing, offender employment, effective case management, alcohol/substance abuse issues, mental health issues, Restorative Justice Practices, and officer safety. Consequently, each year the average probation officer receives many more hours than the required twenty-one.

Newly hired probation officers must attend a three -week training series held in Albany that includes Fundamentals of Probation Practice, Peace Officer and Officer Safety and Survival Training (OSST) generally within six months of hire.

The Staff Development Committee was created in 2012 to aid in meeting our training requirements in a cost- effective manner. Each year the committee assesses and prioritizes the comprehensive training needs of the professional staff. This committee is comprised of probation officers who have received their Police General Topics Instructor Certification from the NYS Division of Criminal Justice Services/Municipal Police Training Council. The Staff Development officers are qualified to develop and facilitate training for probation staff, allowing for specific training needs to be addressed. Six additional officers were certified as General Topics Instructors this year. Currently, we have nineteen professional probation staff certified as General Topics Instructors and plan to expand this number in 2021, when the intensive training is offered. We also had one officer receive certification as a Train the Trainer in Procedural Justice and Implicit Bias Training.

In addition to our use of staff development officers in meeting our training requirements, we also utilize webinars, consultants, and other distance learning opportunities as well as traditional training programs when available.

In 2020, the Probation Staff Development Committee continued to focus on enhancement of staff skills in the use of evidence-based practices and tools, knowing this would assist in our mission of meeting probationers' needs, helping them make positive changes in their lives with the overall result of reducing recidivism. We also recognized the need for education in the treatment of opioid addicted individuals, considering the overdose epidemic still facing the county and the nation.

OVERVIEW OF 2020 TRAINING

GENERAL

- FUNDAMENTALS
- BASIC OCCUPATIONAL SAFETY AND HEALTH
- BLOOD BORNE PATHOGENS
- DEFENSIVE DRIVING
- DISCRIMINATION AND HARASSMENT
- ACTIVE SHOOTER/STOP THE BLEED

COGNITIVE BEHAVIORAL INTERVENTIONS

- OFFENDER WORKFORCE DEVELOPMENT SPECIALIST
- MRT FACILITATORS TRAINING
- ADVANCED MRT
- MRT QUALITY ASSURANCE
- INTERACTIVE JOURNALING
- DV-MRT FACILITATORS TRAINING
- DECISION POINTS FACILITATORS TRAINING
- AUO CURRICULUM
- DWI LOW RISK CBT

OFFICER SAFETY

- PROCEDURAL JUSTICE AND IMPLICIT BIAS TRAINING
- MOTIVATIONAL INTERVIEWING
- STRATEGIES FOR MANAGING HIGH RISK OFFENDERS
- TEACHING MINDFULNESS TO LAW ENFORCEMENT
- TRAUMA TRAINING
- USE OF FORCE POLICY/ARTICLE 35
- WARRANT TRAINING
- PUBLIC SAFETY SUMMIT

PROBATION SUPERVISION THEMES

- ADDICTED: AMERICA'S OPIOID CRISIS
- BAIL REFORM
- BATTLING OPIOIDS
- HUMAN TRAFFICKING AND THE ROLE OF PROBATION

- CLIENT ENGAGEMENT
- CRITICAL INCIDENT STRESS MANAGEMENT
- GUN CRIME INTELLIGENCE
- WORKING WITH THE DV OFFENDER
- EFFECTIVELY RESPONDING TO EMOTIONAL CRISIS
- HAVING DIFFICULT CONVERSATIONS
- FENTANYL AWARENESS
- MEDICATION ASSISTED TREATMENT AND COMMUNITY SUPERVISION
- PROBATION LEGISLATIVE UPDATES
- USE OF THE WOMENS NEEDS RISK ASSESSMENT TOOL
- STOP-DWI CONFERENCE
- SUPERVISING THE DWI OFFENDER
- TEENAGE DELINQUENCY
- VAPE PEN USE
- WORKING WITH THE VETERAN OFFENDER
- YOUTH EMPLOYMENT PROGRAM

DEFENSE WEAPONS

- DEFENSIVE TACTICS, WHICH INCLUDES OFFICER SAFETY (INITIAL AND ANNUAL UPDATE)
- BATON (INITIAL AND ANNUAL UPDATE)
- OC SPRAY (INITIAL AND ANNUAL UPDATE)
- WEAPONS RETENTION
- FIREARMS
 - INITIAL LONG COURSE
 - ANNUAL SPRING/FALL QUALIFICATIONS
 - DIM LIGHT SHOOT
 - PLAINS CLOTHES ENCOUNTERS
 - SIM GUN SCENARIOS

