

# FFY 2018 Annual Listing of Obligated Projects

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The Annual Listing of Federally-funded  
Transportation Projects in Dutchess County



### **Disclaimer**

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### **Title VI Statement**

The Dutchess County Transportation Council (DCTC) is committed to compliance with Title VI of the Civil Rights Act of 1964, the Civil Rights Restoration Act of 1987, and all related rules and statutes. DCTC assures that no person or group(s) of persons shall, on the grounds of race, color, age, disability, national origin, gender, or income status, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under all programs, services, or activities administered by the DCTC, whether those programs and activities are federally funded or not. It is also the policy of the DCTC to ensure that all its programs, polices, and other activities do not have disproportionate adverse effects on minority and low income populations. Additionally, the DCTC will provide meaningful access to services for persons with Limited English Proficiency.

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## **Annual Listing of Obligated Projects-Federal Fiscal Year (FFY) 2018**

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### **Background**

The Dutchess County Transportation Council (DCTC) serves as the designated Metropolitan Planning Organization (MPO) for Dutchess County. In accordance with the provisions set forth in the current federal transportation law – the Fixing America’s Surface Transportation (FAST) Act – and 23 U.S.C. 134 and 49 U.S.C. 5303, the DCTC is tasked with carrying out a cooperative and comprehensive multimodal transportation planning process in Dutchess County. Federal transportation law requires that a U.S. Census-designated Urbanized Area be represented by an MPO, which is responsible for ensuring that federal highway and transit dollars are committed through a locally driven, comprehensive planning process.

### **Purpose**

The Transportation Improvement Program (TIP) serves as the five-year capital program of transportation projects that are wholly or partially paid for with funding from the Federal Highway Administration (FHWA) or Federal Transit Administration (FTA). To ensure that the public has an accurate understanding of how federal funds are actually spent on transportation projects, the FAST Act includes a requirement that the organizations responsible for approving the TIP publish an Annual Listing of Obligated Projects for the most recently completed fiscal year. This report covers federal obligations from the Federal Fiscal Year (FFY) 2017-2021 TIP for FFY 2018.

The TIP lists the intended schedule and estimated cost for each phase of a transportation project. Project schedules and costs change on a routine basis, usually as the result of resource availability, timing of work, or the refinement of a project’s scope. The TIP, though updated to reflect current project schedules and costs prior to obligating phases, does not provide accounting-level precision of actual, day-to-day project costs and schedules.

### **What are project obligations?**

One might think of this as setting up a checking account for a purchase and then making an initial deposit. In order to begin work on any phase of a transportation project, federal funds must be obligated. This means that money is set aside for that particular project (deposited in the "checking account" for the project), which can then be used to pay bills. The project expenses may cover invoices from a design consultant, a construction contractor, or payroll costs for agency employees working on the project.

### **Do project obligations mean the work is underway?**

Not always. Project obligations are made to allow a project phase to begin, but it takes time to get work underway once the phase is obligated. For example, once the construction phase is obligated, the project can then be advertised for bids. The advertisement period can vary depending on the size and complexity of the project (up to five weeks in some cases). Bids are then opened, evaluated and the project awarded to a contractor. This process can

## **Annual Listing of Obligated Projects-Federal Fiscal Year (FFY) 2018**

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create a three to four-month lag between initial obligation and noticeable work performed by the contractor at the site.

There are instances when a project phase is obligated, but work is never started or not completed in a timely manner; these are generally due to competing priorities with the project sponsor. If there is a question on the status of a specific project, the project sponsor should be contacted.

### **Project Listing**

The following tables list projects that had federal funds obligated during FFY 2018 (October 1, 2017 – September 30, 2018) and identifies the phases for which those funds were obligated. The tables are split into two parts: FHWA funded projects and FTA funded projects, and includes basic data about each project:

- **PIN (Project Identification Number)**: Used by agencies to identify each project for which it is responsible.
- **Project Description**: A brief summary of the project, generally limited to the project type, scope, and major features. Information, including project locations, can be viewed online using the DCTC's [TIP Viewer](#).
- **Project Sponsor**: The agency responsible for proposing and completing the project.
- **Total Project Cost**: The total amount of federal, State, and local funds budgeted for the project (in millions of dollars). This does not include non-federal funds (e.g. State or local funds).

- **Federal Funds Programmed on the TIP**: The total amount of federal funds (in millions of dollars) programmed on the FFY 2017-2021 TIP.
- **Project Phases Obligated in FFY 2018**: These are the phases for which funding was obligated. Only those phases that had funds obligated during the FFY are listed. Any transportation project, large or small, begins with an idea or plan and ends with construction and/or a purchase of equipment or a service. Below are phases mentioned in this listing:
  1. **Scoping**: This phase includes meetings with project developers and designers, local government representatives, and other involved parties. Decisions are made about the specific elements that will be included in the project and the range of design alternatives that will be investigated.
  2. **Preliminary Design**: This phase includes basic engineering work on each alternative, traffic studies, environmental analyses, and other work specific to the project. Public outreach in accordance with state and federal requirements is used to gain community input on the project. This phase ends with the selection and approval of a preferred design alternative.
  3. **Detailed Design**: Development of the actual plans and specifications that the construction contractor will use for the project.
  4. **Right-of-Way (ROW) Incidentals**: Preparation work done prior to the acquisition of right-of way (property).

## **Annual Listing of Obligated Projects-Federal Fiscal Year (FFY) 2018**

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5. Right-of-Way (ROW) Acquisition: This phase includes the acquisition of right-of-way (property) necessary to complete the project. Acquisition can be achieved through the purchase of property or an easement.
  6. Construction: Actual construction and related activities, beginning with the letting of the contract, through the award of the contract, actual construction, and acceptance of all construction work.
  7. Construction Inspection: This phase includes ongoing inspection activities to ensure that construction conforms to accepted state specifications. This work is often contracted out to a separate, private entity.
  8. Miscellaneous: This phase is often associated with transit projects, and corresponds to the construction phase of a highway project. It represents the phase of the project where the proposed improvement is actually implemented. For transit projects, this can involve the purchase and acquisition of vehicles and associated preventive maintenance. In some cases (e.g. station or parking and maintenance/storage facility), this phase represents the construction of fixed or permanent facilities.
- Federal Funding Program: The specific federal highway or transit funding program that the obligated funds originate from. Below are the programs mentioned in this listing:
    1. Congestion Mitigation and Air Quality Improvement Program (CMAQ): Funds projects that improve air quality and reduce congestion, such as signal improvements, intersection improvements, new turning lanes, and improved operations.
    2. High Priority Project (HPP): Funds directly allocated by Congress to a project sponsor for a specific transportation project.
    3. Highway Safety Improvement Program (HSIP): Funds projects that reduce fatalities and serious injuries on highway facilities.
    4. National Highway Performance Program (NHPP): Funds the maintenance and performance of the National Highway System (NHS). Eligible activities include the reconstruction and rehabilitation of NHS roads and bridges, as well as safety improvements on the NHS.
    5. Surface Transportation Program-Off System Bridge (STP-Off System Bridge): Funds the construction, and rehabilitation of bridges not located on a federal-aid highway (i.e. off-system).
    6. Surface Transportation Program-Large Urban (STP-Large Urban): Funds projects that preserve and improve highways, bridges, and walking and bicycling facilities on federal-aid eligible roads within urbanized areas over 200,000 in population.
    7. Transportation Alternatives Program (TAP): Funds projects that improve non-motorized mobility, such as new pedestrian and bicycle facilities, recreational trails, and safe routes to school.
    8. FTA Section 5307 (FTA 5307): Funds transit capital and operating assistance in large urbanized areas. Eligible activities include capital investments such as replacing

## ***Annual Listing of Obligated Projects-Federal Fiscal Year (FFY) 2018***

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buses, purchasing safety and security equipment, constructing passenger facilities, conducting preventive maintenance, and providing mobility management services.

projects and almost \$4.7 million in FTA funding for transit projects.

9. FTA Section 5310 (FTA 5310): Funds projects that address the mobility needs of the elderly and persons with disabilities, especially where public transportation services are unavailable or not sufficient. Funds are available to non-profit organizations or public agencies to purchase and operate vehicles for elderly and disabled transportation. Funds can also be used for mobility management services.

- Federal Funds Obligated in FFY 2018: The total amount of federal funds (in millions of dollars) obligated in FFY 2018.
- Federal Funds Remaining for Obligation: The total amount of federal funds (in millions of dollars) that remain to be obligated for the project in future years.

Please note that the list of FTA funded projects does not identify individual phases as shown for FHWA funded projects; this is because most transit agencies use funds to purchase capital equipment and do not use the funds to build infrastructure projects, as done with the highway program.

### **Summary**

For FFY 2018, project sponsors obligated \$7.4 million in federal transportation funding for a variety of State, County, and local transportation projects in Dutchess County. This included over \$2.7 million in FHWA funding for highway

## Annual Listing of Obligated Projects-Federal Fiscal Year (FFY) 2018

### FEDERAL HIGHWAY ADMINISTRATION (FHWA) FUNDS (\$-MILLIONS)

PIN	PROJECT DESCRIPTION	PROJECT SPONSOR	TOTAL PROJECT COST	TOTAL FEDERAL FUNDS PROGRAMMED ON TIP	FEDERALLY FUNDED PHASES OBLIGATED IN FFY 2018	FEDERAL FUNDING PROGRAM	FEDERAL FUNDS OBLIGATED IN FFY 2018	FEDERAL FUNDS REMAINING FOR OBLIGATION
80PS01	PEDESTRIAN SAFETY ACTION PLAN IMPLEMENTATION - PROJECT WILL IDENTIFY AND IMPROVE PEDESTRIAN SAFETY IN URBAN AREAS AS DESCRIBED IN THE NYS PEDESTRIAN SAFETY ACTION PLAN, BY IMPLEMENTING COUNTERMEASURES AT HIGH RISK LOCATIONS AT UNSIGNALIZED INTERSECTIONS THROUGHOUT COLUMBIA, DUTCHESS, ORANGE, AND ULSTER COUNTIES.	NYS DOT	\$0.025	\$0.025	DETAILED DESIGN	HSIP	\$0.025	\$0.000
800911	NYS ROUTE 115 OVER THE LITTLE WAPPINGER CREEK: BRIDGE REPLACEMENT (BIN 3343530). TOWN OF PLEASANT VALLEY.	NYS DOT	\$5.533	\$4.426	PRELIMINARY DESIGN, DETAILED DESIGN, & RIGHT-OF-WAY ACQUISITION	NHPP	\$0.381	\$3.965
875983	WASHINGTON ST OVER THE FALLKILL CREEK: BRIDGE REPLACEMENT (BIN 2262670). RECONSTRUCT EXISTING HISTORIC STONE ARCH BRIDGE TO MAINTAIN FUTURE SERVICEABILITY AND ADDRESS YELLOW FLAG CONDITIONS. CITY OF POUGHKEEPSIE.	CITY OF POUGHKEEPSIE	\$4.297	\$3.438	PRELIMINARY DESIGN, DETAILED DESIGN & RIGHT-OF-WAY INCIDENTALS	STP LARGE URBAN	\$0.428	\$3.010
876190	ROUTE 9 NORTHBOUND PEDESTRIAN IMPROVEMENTS: CONSTRUCT AN AMERICANS WITH DISABILITIES ACT (ADA) COMPLIANT SIDEWALK SYSTEM WITH TREES AND LIGHTING ALONG 1,600 FEET OF ROUTE 9. PROJECT WILL REPLACE AGING, UNEVEN SIDEWALK WITH A COMPLETE, ACCESSIBLE SIDEWALK/CROSSWALK SYSTEM THAT WILL CONNECT RESIDENTIAL AREAS TO THE TOWN'S CENTRAL BUSINESS DISTRICT. TOWN OF HYDE PARK.	TOWN OF HYDE PARK	\$1.368	\$1.094	PRELIMINARY DESIGN, DETAILED DESIGN & RIGHT-OF-WAY INCIDENTALS	TAP	\$0.148	\$0.946
876195	CAROL DRIVE OVER THE FISHKILL CREEK: BRIDGE REPLACEMENT (BIN 2262780). PROJECT WILL INSTALL A CLEAR SPAN STRUCTURE INCLUDING REPLACEMENT OF EXISTING BRIDGE PILLINGS LOCATED WITHIN THE FISHKILL CREEK FLOODWAY, WITH ABUTMENTS PLACED OUTSIDE THE FLOODWAY. TOWN OF EAST FISHKILL.	TOWN OF EAST FISHKILL	\$1.582	\$1.266	PRELIMINARY DESIGN, DETAILED DESIGN & RIGHT-OF-WAY INCIDENTALS	STP OFF SYSTEM BRIDGE	\$0.097	\$1.169
878040	WASSAIC TRAIL TO THE TRAIN: EXISTING PROJECT TO EXTEND THE HARLEM VALLEY RAILTRAIL 0.6 MILES SOUTH FROM THE WASSAIC TRAIN STATION TO THE HAMLET OF WASSAIC. TOWN OF AMENIA. TRANSFERRED EARMARK: NYS28 (PROGRAM CODE RPS9).	TOWN OF AMENIA	\$0.313	\$0.250	CONSTRUCTION & CONSTRUCTION INSPECTION	HPP	\$0.250	\$0.000
882353	EXPANSION OF BUS SERVICE BY DUTCHESS COUNTY PUBLIC TRANSIT TO INCLUDE ADDITION OF NEW ROUTES AND SUNDAY SERVICE. PROJECT INCLUDES ALL ELIGIBLE COSTS ASSOCIATED WITH THE SERVICE EXPANSION.	DUTCHESS COUNTY	\$1.411	\$1.411	MISC	CMAQ	\$1.411	\$0.000
<b>TOTAL FHWA FUNDED PROJECTS IN DUTCHESS COUNTY</b>			<b>\$14.529</b>	<b>\$11.910</b>			<b>\$2.740</b>	<b>\$9.090</b>

**Annual Listing of Obligated Projects-Federal Fiscal Year (FFY) 2018**

**FEDERAL TRANSIT ADMINISTRATION (FTA) FUNDS (\$-MILLIONS)**

PIN	PROJECT DESCRIPTION	PROJECT SPONSOR	TOTAL PROJECT COST (5-YEAR TOTAL)	TOTAL FEDERAL FUNDS PROGRAMMED ON TIP	FEDERAL FUNDING PROGRAM	FEDERAL FUNDS OBLIGATED IN FFY 2018	FEDERAL FUNDS REMAINING FOR OBLIGATION
8TD006	OPERATING ASSISTANCE AND MOBILITY MANAGEMENT SUPPORT FOR THE NORTHEAST COMMUNITY COUNCIL TO TRANSPORT SENIORS AND DISABLED PERSONS IN DUTCHESS COUNTY.	NORTH EAST COMMUNITY CENTER	\$0.354	\$0.238	FTA 5310	\$0.238	\$0.000
8TD015	PURCHASE TWO ELECTRIC SHUTTLE VANS TO SUPPORT THE MOBILITY NEEDS OF SENIORS AND DISABLED PERSONS IN THE TOWN OF RED HOOK.	TOWN OF RED HOOK	\$0.185	\$0.110	FTA 5310	\$0.110	\$0.000
8TD016	PURCHASE OF THREE VEHICLES (LOT A) BY THE CARDINAL HAYES HOME FOR CHILDREN TO PROVIDE MOBILITY SERVICES FOR DISABLED CLIENTS.	CARDINAL HAYES HOME FOR CHILDREN	\$0.127	\$0.102	FTA 5310	\$0.102	\$0.000
8TD017	PURCHASE OF TWO VEHICLES (LOT A) BY ANDERSON CENTER SERVICES TO PROVIDE MOBILITY SERVICES FOR DISABLED CLIENTS.	ANDERSON CENTER SERVICES	\$0.085	\$0.068	FTA 5310	\$0.068	\$0.000
8TD018	PURCHASE OF TWO VEHICLES (LOT A) BY IN FLIGHT INC. TO PROVIDE MOBILITY SERVICES FOR DISABLED CLIENTS.	IN FLIGHT, INC.	\$0.043	\$0.034	FTA 5310	\$0.034	\$0.000
8TRD32	PREVENTIVE MAINTENANCE FOR THE DUTCHESS COUNTY PUBLIC TRANSIT SYSTEM.	DUTCHESS	\$8.485	\$6.785	FTA 5307	\$1.120	\$4.071
8TRD34	PROJECT ADMINISTRATION TO SUPPORT TRANSIT SERVICES FOR THE DUTCHESS COUNTY PUBLIC TRANSIT SYSTEM.	DUTCHESS	\$2.950	\$2.360	FTA 5307	\$0.316	\$1.416
8TRD91	FUNDS TO OFFSET OPERATING COSTS OF PROVIDING TRANSIT SERVICE IN DUTCHESS COUNTY TO INCLUDE RAILLINK SERVICES.	DUTCHESS	\$12.570	\$3.233	FTA 5307	\$2.038	\$4.371
8TRD92	PURCHASE OF REPLACEMENT BUSES AND BUS CAPITAL EQUIPMENT FOR THE DUTCHESS COUNTY PUBLIC TRANSIT SYSTEM.	DUTCHESS	\$4.594	\$2.360	FTA 5307	\$0.668	\$0.000
<b>TOTAL FTA FUNDED PROJECTS IN DUTCHESS COUNTY</b>			<b>\$29.393</b>	<b>\$15.290</b>		<b>\$4.693</b>	<b>\$9.858</b>