

Executive Summary

AP-05 Executive Summary - 91.200(c), 91.220(b)

1. Introduction

The 2020-2024 Dutchess County and City of Poughkeepsie Consolidated Plan is a comprehensive document promoting a coordinated approach to housing and community needs and fostering the coordination of all programs funded by the U.S. Department of Housing and Urban Development (HUD). The Consolidated Plan covers a 5-year period and outlines the priorities by which the County and the City's Community Development Block Grant (CDBG) Program, HOME Investment Partnership (HOME) Program, and Section 108 Loan Guarantee Program funds will be invested over the period covered by the plan.

Within the Consolidated Plan period, the County is required to complete an Annual Action Plan which details specific activities that will be funded to support the larger Consolidated Plan priorities and goals. This document is the County's Action Plan for 2024 activities.

Dutchess County is an Urban County with HUD. This status provides the County with funding under the CDBG program. The County established a voluntary cooperation agreements program between the County and local towns, villages, and the City of Beacon. The current cooperation agreement covers 2022-2024 and includes all Dutchess County municipalities, except the City of Poughkeepsie which receives its own CDBG allocation as discussed below. CDBG funds are provided to cooperation members through a competitive annual application where communities develop projects which address local and Consolidated Plan priorities. County CDBG funds are administered through the County's Department of Planning and Development.

Due to its Urban County status, the County is eligible to receive funds under HUD's HOME Investment Partnership Program. In 1995, the County entered a consortium with the City of Poughkeepsie, which increased its HOME allocation. The current consortium covers 2022-2024. HOME funds are provided to private and non-profit developers through a competitive annual application. HOME funds are administered through the County's Department of Planning and Development, in consultation with the City of Poughkeepsie.

In the event that the final allocation amount is different, all proposed activities' budgets will be proportionally increased or decreased from the estimated funding levels to match actual allocation amounts.

2. Summarize the objectives and outcomes identified in the Plan

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

The objective and outcomes can be found in detail in section AP-20 of this Action Plan.

3. Evaluation of past performance

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

The County has generally been pleased with its ability to meet its goals based on the available funding. For this reason, many of the goals from the past consolidated plan have been retained. The most obvious impediment to making progress on those stated goals is the lack of funding. We are hoping that with additional resources from the stimulus bills and an infrastructure bill, our communities may be able to make more significant progress toward the goals stated in the plan.

4. Summary of Citizen Participation Process and consultation process

Summary from citizen participation section of plan.

The County held public meetings upon the release of CDBG and HOME applications where people could learn about the program priorities and requirements, as well as ask questions. The availability of applications is announced via the Consolidated Plan e-mail list, the Continuum of Care e-mail list, and through public notices in the Poughkeepsie Journal and Hudson Valley Press. Applications are posted on the County's website (www.dutchessny.gov). Technical assistance was available to any interested party during the application cycle. Applications are reviewed by the Dutchess County Community Development Advisory Committee, a committee of local leaders and citizens, which makes recommendations to the County Executive. A public hearing and applicant workshop regarding the 2024

program year was held on September 14, 2023 and a second public hearing on the draft 2024 Action Plan was held on April 23, 2024. A public comment period was open from April 1, 2024 to May 1, 2024. A public applicant workshop specifically regarding the 2024 HOME program was held on November 2, 2023. A draft of the Annual Action Plan incorporating the actual 2024 CDBG and HOME allocation amounts as well as reprogrammed HOME funds from PY2018, PY2019, and PY2021, was made available for a second public comment period from August 15, 2024 to September 14, 2024.

5. Summary of public comments

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

No public comments were received during the first public comment period or the two public hearings.

If any public comments are received during the second 30 day public comment period, a summary of public comments will be included in the attached 2024 Citizen Participation Documentation file attached to the 2024 Action Plan.

6. Summary of comments or views not accepted and the reasons for not accepting them

There were no specific comments or views presented for consideration at the initial public hearings announcing the release of applications, nor were there any comments at the second public hearing regarding the specific awards. Questions and comments were specific to the grant application requirements and eligibility criteria.

7. Summary

N/A

PR-05 Lead & Responsible Agencies - 91.200(b)

1. Agency/entity responsible for preparing/administering the Consolidated Plan

The following are the agencies/entities responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
CDBG Administrator	DUTCHESS COUNTY	Department of Planning and Development
HOME Administrator	DUTCHESS COUNTY	Department of Planning and Development

Table 1 – Responsible Agencies

Narrative

The lead agency for the preparation of the Action Plan is Dutchess County through its Department of Planning and Development. This Plan identifies the 2024 projects to address the housing and community needs identified in the Consolidated Plan under the County’s Community Development Block Grant (CDBG) and HOME Investment Partnership (HOME) programs.

Dutchess County is an Urban County and HOME Consortia with HUD. This status provides the County with funding under the CDBG and HOME program. The County established a voluntary cooperation agreement program between the County and local towns, villages, and the City of Beacon. CDBG funds are provided to cooperation members through a competitive annual application where communities develop projects which address local and Consolidated Plan priorities. County CDBG funds are administered through the County's Department of Planning and Development.

The County is eligible to receive funds under HUD’s HOME Investment Partnerships Program. In 1995, the County entered a consortium with the City of Poughkeepsie, which increased its HOME allocation. The current consortium covers 2022-2024. HOME funds are provided to private and non-profit developers through a competitive annual application cycle. County and City HOME funds are administered through the County's Department of Planning and Development, in consultation with the City of Poughkeepsie.

Consolidated Plan Public Contact Information

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AP-10 Consultation - 91.100, 91.200(b), 91.215(l)

1. Introduction

The following section summarized the consultation process for the 2024 Action Plan.

Provide a concise summary of the jurisdiction’s activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(l)).

There are two public housing agencies in Dutchess County, Poughkeepsie Housing Authority and Beacon Housing Authority. There are four agencies in Dutchess County which provide Housing Choice Vouchers (i.e., Section 8). The County works to enhance coordination between these housing providers and health, mental health, and service agencies through the Dutchess County Continuum of Care (CoC). Coordination with the CoC is described in more detail below.

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.

In Dutchess County, the Continuum of Care is known as the Dutchess County Continuum of Care (DCCoC). DCCoC’s mission is to prevent and end homelessness by facilitating collaboration, planning, education, and advocacy efforts and by promoting the development and preservation of needed resources.

The Dutchess County Department of Planning and Development (DCDPD), the author of the Consolidated Plan, is an active participant on the DCCoC. As such, DCDPD has an intimate knowledge of the goals and objectives of the DCCoC, and access to all the DCCoC data. This includes data from the Homeless Management Information System (HMIS), Point-in-Time Count (PIT), Housing Inventory Count (HIC), System Performance Measures, and the Longitudinal Systems Analysis (LSA). Through this relationship, the County can ensure that DCCoC goals were integrated into the Plan, and that the DCCoC is informed of all funding opportunities under the programs covered by the Plan. Projects which address goals identified by the CoC are a stated priority for both the CDBG and HOME programs. We also use the DCCoC e-mail list to distribute information about the CDBG and HOME funding availability and application cycles.

Describe consultation with the Continuum(s) of Care that serves the jurisdiction’s area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS

Dutchess County doesn't receive ESG funds directly from the federal government but there are agencies that receive ESG funds via the New York State Office of Temporary and Disability Assistance (NYS OTDA). The County's Department of Planning and Development provides the link between the DCCoC, the Emergency Solutions Grant, the Homeless Management Information System, and the Consolidated Plan.

The Department's Community Development Administrator is an active participant on the DCCoC. On behalf of the DCCoC, the Department reviews and issues CoC consistency certifications for potential Emergency Solutions Grant (ESG) applications to NYS OTDA which ensures that ESG applications are consistent with DCCoC goals. ESG performance standards are reviewed and approved by the DCCoC, and ESG performance is evaluated as part of the larger DCCoC performance review process which the Department also coordinates.

The Department was the local point of contact for the DCCoC's Homeless Management Information System (HMIS). In 2014, the Department coordinated the transfer of the HMIS system to a new provider, CARES of NY, Inc., who acts as the primary link between CARES and agencies within Dutchess that use HMIS. The Department participates in the HMIS Data Committee. Through this linkage, the Consolidated Plan has access to the most recent homelessness data and trends so Plan policies can reflect needs.

2. Agencies, groups, organizations and others who participated in the process and consultations

Table 2 – Agencies, groups, organizations who participated

1	Agency/Group/Organization	Hudson River Housing
	Agency/Group/Organization Type	Housing Services - Housing Services-Children Services-homeless Services-Employment
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Unaccompanied youth Homelessness Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Agency attended meetings.
2	Agency/Group/Organization	Dutchess County Department of Community and Behavioral Health
	Agency/Group/Organization Type	Housing Services - Housing Services-Persons with Disabilities Services-homeless Services-Health Health Agency Other government - County

	What section of the Plan was addressed by Consultation?	Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Strategy Non-Homeless Special Needs Lead-based Paint Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Agency was contacted directly by the Planning Department for current data and information from their various public forums.
3	Agency/Group/Organization	Dutchess County Community and Family Services
	Agency/Group/Organization Type	Housing Services - Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Persons with HIV/AIDS Services-Victims of Domestic Violence Services-homeless Services-Employment Services - Victims Child Welfare Agency Other government - County

	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Unaccompanied youth Homelessness Strategy Non-Homeless Special Needs Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Agency was contacted directly by the Planning Department for current data and information from their reports.
4	Agency/Group/Organization	Legal Services of the Hudson Valley
	Agency/Group/Organization Type	Housing Services - Housing Service-Fair Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homeless Needs - Families with children Homelessness Strategy Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Agency attended public meetings.
5	Agency/Group/Organization	Dutchess Outreach
	Agency/Group/Organization Type	Services-homeless

	What section of the Plan was addressed by Consultation?	Homelessness Strategy Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Agency attended public meetings.
6	Agency/Group/Organization	United Way Dutchess-Orange
	Agency/Group/Organization Type	Regional organization
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Strategy Non-Homeless Special Needs Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Agency attended public meetings.
7	Agency/Group/Organization	Story of Mine Empowerment
	Agency/Group/Organization Type	Housing Services-homeless Services-Employment
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homeless Needs - Chronically homeless Homelessness Strategy Anti-poverty Strategy

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Agency attended public meetings.
8	Agency/Group/Organization	Community Voices Heard
	Agency/Group/Organization Type	Advocacy Agency
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Homelessness Strategy Non-Homeless Special Needs Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Agency attended public meetings.
9	Agency/Group/Organization	Rebuilding Our Children and Community (ROCC)
	Agency/Group/Organization Type	Services-Children
	What section of the Plan was addressed by Consultation?	Non-Homeless Special Needs Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Agency attended public meetings.

10	Agency/Group/Organization	The Art Effect of the Hudson Valley, Inc.
	Agency/Group/Organization Type	Services-Children Services-Employment
	What section of the Plan was addressed by Consultation?	Non-Homeless Special Needs Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Agency attended public meetings.
11	Agency/Group/Organization	City of Poughkeepsie Section 8
	Agency/Group/Organization Type	Housing PHA Other government - Local
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Agency contacted directly by the Planning Department for data and annual plans.
12	Agency/Group/Organization	Pathstone
	Agency/Group/Organization Type	Housing PHA
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Agency contacted directly by the Planning Department for data and annual plans.
13	Agency/Group/Organization	Poughkeepsie Housing Authority
	Agency/Group/Organization Type	Housing PHA
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Agency contacted directly by the Planning Department for data and annual plans.
14	Agency/Group/Organization	Beacon Housing Authority
	Agency/Group/Organization Type	Housing PHA
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Homelessness Strategy Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Agency contacted directly by the Planning Department for data and annual plans.

15	Agency/Group/Organization	Town of Clinton
	Agency/Group/Organization Type	Other government - Local
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Market Analysis Economic Development Anti-poverty Strategy Public Facility Improvement
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Municipality attended public meeting.
16	Agency/Group/Organization	TOWN OF PINE PLAINS
	Agency/Group/Organization Type	Other government - Local
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Market Analysis Economic Development Anti-poverty Strategy Public Facility Improvements
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Municipality attended public meeting.
17	Agency/Group/Organization	Think Dutchess Alliance for Business
	Agency/Group/Organization Type	Services-Employment Economic Development Agency

	What section of the Plan was addressed by Consultation?	Market Analysis Economic Development Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Agency was contacted directly by the Planning Department for information on current economic development efforts and future plans.
18	Agency/Group/Organization	TOWN OF HYDE PARK
	Agency/Group/Organization Type	Other government - Local
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Non-Homeless Special Needs Market Analysis Economic Development
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Agency was contacted directly by the Planning Department for input on priorities.
19	Agency/Group/Organization	NYSTEC
	Agency/Group/Organization Type	Services - Broadband Internet Service Providers
	What section of the Plan was addressed by Consultation?	Broadband/Communication

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	We hired NYSTEC to provide strategies to address the underserved and/or unserved areas within the county. NYSTEC worked with the County Working Group on two primary tasks as inputs into the strategic plan. The first was determining the as-is state of broadband within Dutchess County, which involved collecting as-is broadband data from both public and private entities. That information was collected via survey responses. The associated data from that survey was consolidated in a geographic information system (GIS) mapping layer that will be provided to the county. The second task was conducting countywide broadband questionnaires/surveys of residents, government, nonprofits, and businesses.
20	Agency/Group/Organization	Dutchess County Transportation Council
	Agency/Group/Organization Type	Agency - Managing Flood Prone Areas Agency - Management of Public Land or Water Resources
	What section of the Plan was addressed by Consultation?	Resiliency

	<p>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</p>	<p>The Dutchess County Transportation Council (DCTC) has completed the first phase of Resilient Ways Forward, an assessment of climate change and its impact on transportation in Dutchess County. The assessment, which shows where the transportation system is most vulnerable to climate hazards such as flooding and landslides, is now looking at ways to reduce these impacts on transportation infrastructure and services. County-Wide Hazard Mitigation Plan (HMP). The creation of this plan will help the area to better prepare for, respond to, and recover from hazard events and disasters, and allow the County and municipalities to maintain their eligibility for federal mitigation grant funding from the New York State Department of Homeland Security and Emergency Services (NYS DHSES) and Federal Emergency Management Agency (FEMA). This is an opportunity for the County and municipalities to create a detailed plan that will address a variety of potential hazards that could affect our residents, businesses, and other institutions. The goal of the HMP is to identify projects that can reduce damages from future natural hazards. The plan includes a risk assessment and a hazard-mitigation strategy. This plan addresses the County and municipality’s risk to flooding, severe winter storms, extreme temperatures, wildfires and other natural hazards. Mitigation projects and initiatives have been identified to address these risks to both public and private property.</p>
21	<p>Agency/Group/Organization</p>	<p>Dutchess County Planning & Development</p>
	<p>Agency/Group/Organization Type</p>	<p>Agency - Managing Flood Prone Areas Agency - Management of Public Land or Water Resources Grantee Department</p>
	<p>What section of the Plan was addressed by Consultation?</p>	<p>Resiliency</p>

<p>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</p>	<p>The Dutchess County Department of Planning and Development has launched a new Natural Resources Inventory (NRI) website and an accompanying Environmental Mapper application to help guide land-use decisions and natural resource protection. The NRI website, nri.dutchessenvironment.com, represents a significant advancement from the County's previous NRI document, offering residents, municipalities, developers, and County staff innovative tools to explore local natural resources and environmental datasets. The County's new Environmental Mapper can be accessed at gis.dutchessny.gov/nri.</p>
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Identify any Agency Types not consulted and provide rationale for not consulting

The County compiled an extensive outreach list and conducted outreach via two public meetings throughout the County. All parties were also invited to submit information and data directly to the County for inclusion in the Plan. No organizations or individuals were deliberately omitted from the process.

Other local/regional/state/federal planning efforts considered when preparing the Plan

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	Dutchess County Continuum of Care (DCCOC)	DCCOC's mission is to prevent and end homelessness by facilitating collaboration, planning, education, and advocacy efforts and by promoting the development and preservation of needed resources. DCCOC/CoC goals and activities were incorporated and prioritized in the Plan's housing and homelessness priorities.
Analysis of Impediments to Fair Housing Choice	Dutchess County Planning and Development	Reviews fair housing issues, barriers to fair housing choice, and outlines activities to address barriers in both the County and City. Its goals and activities were incorporated and prioritized in the Plan's housing priorities.
Centers and Greenspaces Plan	Dutchess County Planning and Development	Integrates regional land use, transportation, and ecological planning to help implement Greenway principles and prevent an expansion of commercial strip and residential sprawl patterns. Its recommendations were incorporated and prioritized in the Plan's housing, infrastructure and transportation priorities.

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Moving Dutchess 2	Poughkeepsie-Dutchess Transportation Council (PDCTC)	Long-range, countywide transportation plan for the PDCTC. This multi-modal transportation plan identifies strategies to preserve the existing transportation system and meet future travel demands through 2040.
Transportation Improvement Plan (TIP)	Poughkeepsie-Dutchess Transportation Council (PDCTC)	Capital program assigns federal funds to highway, bridge, bikeway, pedestrian, transit, and demand management projects over five federal fiscal years. Its goals and activities were incorporated and prioritized in the Plan's infrastructure and transportation priorities.
Mid-Hudson Region Economic Dev. Council Report	Mid-Hudson Regional Economic Development Council	2019 Progress Report outlines the Mid-Hudson REDC's economic development "Live, Work, Play" strategy and measures performance against the strategy.
Climate Smart Certification Report	Dutchess County Planning and Development	A summary of the Dutchess County's efforts related to its Bronze certification under the NYS Climate Smart Communities Program.
Out of Alignment	Pattern for Progress	A summary of the demographic trends in the Hudson Valley since the recession.
Path to Promise	Department of Community and Family Services	A plan which analyzes existing youth services, developed a universal asset framework and an implementation plan to build on what's working, resolve duplication of services and close any gaps in services and supports.
Dutchess County Housing Needs Assessment (HNA)	Dutchess County Planning and Development	A comprehensive analysis of demographic and housing data and evaluation of trends over recent years as well as projections for affordable housing needs over the next two decades. The HNA offers a focused strategy to tackle identified gaps for housing access, notably for renter households with annual incomes less than \$50,000 and suggests an array of tools for the County and local municipalities to utilize.

Table 3 – Other local / regional / federal planning efforts

Narrative

AP-12 Participation - 91.401, 91.105, 91.200(c)

1. Summary of citizen participation process/Efforts made to broaden citizen participation Summarize citizen participation process and how it impacted goal-setting

The County conducts extensive outreach during the development of an Annual Action Plan. Outreach is conducted via the internet, newspaper legal advertisements, posting in public locations, and public meetings. The prime method for public comments is public meetings which are conducted during the application period and upon release of the draft Action Plan. As a carryover from the COVID pandemic years, public meetings continued to be provided both in-person and virtually. Organizations and the public are also able to submit oral or written comments to the County at any time prior to the submission of the Plan to HUD.

The County maintains an extensive Consolidated Plan e-mail list containing municipal officials, public service agencies, government agencies, economic development officials, advocacy groups, and concerned citizens. An effort is made to reach out to minorities with individualized outreach to minority advocacy groups, and advertising the Hudson Valley Press, a local newspaper serving the African American and Latino communities. All outreach and meeting notices are posted on the County website and distributed through the Continuum of Care.

The below Citizen Participation Outreach section will be completed upon close of the public comment period.

Citizen Participation Outreach

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
1	Newspaper Ad	Non-targeted/broad community	Legal advertisement in Poughkeepsie Journal and Hudson Valley Press announcing the availability of 2024 CDBG Public Service funds and a virtual public hearing published on April 1, 2024.	N/A	N/A	
2	Public Meeting	Minorities Non-targeted/broad community	2024 CDBG Public Service Public Hearing/workshop to discuss the application process was held on September 14, 2023, and a public hearing was held on April 23, 2024 regarding the draft Action Plan.	Comments during the applicant workshop were focused on clarifying the application process and eligibility of potential projects.	N/A	http://bit.ly/49KDpz7

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
3	Newspaper Ad	Minorities Non-targeted/broad community	Legal notice in the Poughkeepsie Journal and Hudson Valley Press announcing the availability of 2024 CDBG Municipal funds and an in-person and virtual public hearing published on April 1, 2024.	N/A	N/A	
4	Public Hearing	Minorities Non-targeted/broad community	2024 CDBG Municipal Public hearing/workshop to discuss the application process, held on April 23, 2024.	Comments were focused on clarifying the application process and eligibility of potential projects.	N/A	http://bit.ly/49KDpz7

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
5	Newspaper Ad	Minorities Non-targeted/broad community	2024 HOME notice regarding the availability of 2024 HOME Legal notice in the Poughkeepsie Journal and Hudson Valley Press regarding HOME Investment funds and an in-person and virtual public hearing published on April 1, 2024.	N/A	N/A	
6	Public Hearing	Minorities Persons with disabilities	2024 HOME Public hearing/workshop to discuss the application process held on November 2, 2023.	Comments were focused on clarifying the application process and eligibility of potential projects.	N/A	

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
7	Internet Outreach	Minorities Non-English Speaking - Specify other language: Spanish Persons with disabilities Non-targeted/broad community Residents of Public and Assisted Housing	E-mail to Consolidated Plan e-mail list, posting on website and County's Consolidated Calendar on April 1, 2024, regarding the availability of 2024 CDBG funds and April 23, 2024, in-person and virtual public hearing.	N/A	N/A	

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
8	Internet Outreach	Minorities Non-English Speaking - Specify other language: Spanish Persons with disabilities Non-targeted/broad community Residents of Public and Assisted Housing	E-mail to HOME Consolidated Plan e-mail list, posting on website and County's Consolidated Calendar on April 1, 2024 regarding availability of 2024 HOME funds and April 23, 2024 virtual public hearing.	Comments were focused on clarifying the application process and eligibility of potential projects.	N/A	

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
9	Newspaper Ad	Non-targeted/broad community	Notice published in the Poughkeepsie Journal on 8/14/24 and Hudson Valley Press on 8/14/24 regarding a second public comment period from August 15, 2024 to September 14, 2024.			

Table 4 – Citizen Participation Outreach

Expected Resources

AP-15 Expected Resources - 91.420(b), 91.220(c)(1,2)

Introduction

The following summarizes the resources anticipated to be available in 2024. The county's PY2024 CDBG and HOME allocations are listed below, as is CDBG revolving loan program income. (Please note that the actual HOME allocation is \$871,749.41.) The county is substantially amending the PY2018, PY2019, and PY2021 annual actions plans, as detailed below.

HOME - amendments to PY2018, PY2019, and PY2021 AAPs, reprogramming a total of \$610,419.59, as detailed below.

- PY2018 AAP substantial amendment: PY2018 Project 22 - Dutchess County Rental Housing Set-Aside (\$265,833). Funding was set aside for future years rental housing projects and due to current housing needs, is being reprogrammed to owner-occupied activities. \$234,995 is being reprogrammed to two PY2024 homeowner-occupied rehabilitation projects (PY2024 Project 22 2024 Rebuilding Together and PY2024 Project 17 DC Owner-Occupied Property Rehabilitation) and \$30,833 is being reprogrammed to a new construction project (PY2024 Project 13 Sunset Ave., Town of Poughkeepsie).
- PY2019 AAP substantial amendment: PY2019 Project 21 - Rental Housing Set-Aside (\$184,586.59). Funding was set aside for future years rental housing projects due to current housing needs, is being reprogrammed to owner-occupied activities. \$184,586.59 is being reprogrammed to a new construction project (PY2024 Project 13 Sunset Ave., Town of Poughkeepsie).
- PY2021 AAP substantial amendment: PY2021 Project 19 - Annex at Snow Terrace (\$160,000). Project was unable to move forward, and the award is partially being reprogrammed for PY2024 Project 23 Harlow Row Rehabilitation.
- PY2021 AAP substantial amendment: PY2021 Project 21 – Rental Production Set-Aside (\$200,000). Funding was set aside for future years rental housing projects but due to current housing needs is being reprogrammed to owner-occupied activities. \$200,000 is being

reprogrammed to a new construction project (PY2024 Project 24 Habitat for Humanity North East).

Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	1,545,100	15,000	0	1,560,100	0	Annual PY2024 CDBG allocation. \$15,000 revolving loan fund
HOME	public - federal	Acquisition Homebuyer assistance Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership TBRA	871,749	0	810,420	1,682,169	0	Annual PY2024 HOME allocation. Prior year resources reprogrammed from PY2018, PY2019, and PY2021 as detailed above.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
Other	public - federal	Housing	0	0	0	0	0	

Table 2 - Expected Resources – Priority Table

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

In 2024, Dutchess County will leverage a variety of additional resources with its CDBG and HOME investments, including

- Dutchess County's Agency Partner Grant and Municipal Innovation Grant, two programs that utilize county funding
- Dutchess County's Housing Trust Fund, which utilizes county funding
- Private funding sources such as an organization's operating and/or capital budget
- Local funds from a municipality's general fund
- New York state grant programs
- Low Income Housing Tax Credits
- Private lender financing
- Owner's equity in projects

Specifically regarding the HOME program match requirements, 2024 projects will contribute to match from the following sources:

- Dutchess County Housing Trust Fund, which utilizes county funding and is a permanent contribution to a project
- Payment in lieu of taxes
- NYS Affordable Housing Corporation funds
- Private funds as permanent cash contribution to project

If appropriate, describe publicly owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

Dutchess County and the City of Poughkeepsie regularly review tax-delinquent parcels for their ability to help address Consolidated Plan goals. Annually, the Dutchess County Department of Planning and Development reviews the list of properties proposed for the annual tax auction to look for properties that have the potential for affordable housing developments. Information about promising parcels is distributed to local non-profits and private developers to see if there is any interest in the properties.

Properties that have strong potential can be pulled from the auction list. Unfortunately, many delinquent properties with potential are redeemed at the last minute, the owners making them unavailable for development.

In an effort to provide for managing vacant, abandoned, and distressed properties and potentially using tax-delinquent properties for affordable housing, Dutchess County and the City of Poughkeepsie applied to New York State to create the Dutchess County-Poughkeepsie Land Bank in 2020. The land bank was approved by NYS and incorporated in 2021. A board was created, and the organization was granted 501(c)(3) status by the IRS in 2022. The purpose of the land bank model is to provide a vehicle that can take control of, and redevelop, vacant or abandoned properties to better serve the public interest. The land bank has been in active conversations with the County of Dutchess and the City of Poughkeepsie, two taxing jurisdictions with *in rem* foreclosure policies to deal with tax delinquent properties, about being a recipient of publicly owned property to further its organizational goals, affordable housing among them.

Discussion

N/A

Annual Goals and Objectives

AP-20 Annual Goals and Objectives - 91.420, 91.220(c)(3)&(e)

Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Public Facilities and Improvements	2020	2024	Non-Housing Community Development	Dutchess County	Public Facilities and Improvements	CDBG: \$1,056,080	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 4184 Persons Assisted
2	Affordable Rental Housing	2020	2024	Affordable Housing	Dutchess County	Affordable Housing	CDBG: \$0 HOME: \$610,000	Rental units constructed: 21 Household Housing Unit Rental units rehabilitated: 8 Household Housing Unit
3	Owner-Occupied Housing	2020	2024	Affordable Housing	Dutchess County	Affordable Housing	CDBG: \$15,000 HOME: \$984,995	Homeowner Housing Added: 5 Household Housing Unit Homeowner Housing Rehabilitated: 15 Household Housing Unit
4	Public Services	2020	2024	Homeless Non-Homeless Special Needs	Dutchess County	Public Services	CDBG: \$180,000 HOME: \$0 HOME-ARP: \$0	Public service activities other than Low/Moderate Income Housing Benefit: 547 Persons Assisted

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
5	Administration	2020	2024		Dutchess County	Administration	CDBG: \$309,020 HOME: \$87,174 HOME-ARP: \$0	Other: 1 Other
6	Job Creation/Economic Development	2020	2024	Non-Housing Community Development	Dutchess County	Job Creation/Economic Development	CDBG: \$0 HOME: \$0 HOME-ARP: \$0	Businesses assisted: 0 Businesses Assisted

Table 3 – Goals Summary

Goal Descriptions

1	Goal Name	Public Facilities and Improvements
	Goal Description	
2	Goal Name	Affordable Rental Housing
	Goal Description	
3	Goal Name	Owner-Occupied Housing
	Goal Description	
4	Goal Name	Public Services
	Goal Description	

5	Goal Name	Administration
	Goal Description	
6	Goal Name	Job Creation/Economic Development
	Goal Description	

AP-35 Projects - 91.420, 91.220(d)

Introduction

Introduction

#	Project Name
1	City of Beacon - West Center Street Sidewalk Reconstruction
2	Town of Clinton - Friends Park Improvements
3	Town of Dover - ADA-compliant Crosswalk Improvements at School St. and Rt. 22 in Dover Plains
4	Village of Millerton - Veterans Park Sidewalk Improvements
5	Village of Tivoli - Memorial Drive Drainage Improvements Project
6	Catholic Charities Community Services of Dutchess, Inc. - Homeless Prevention Case Management Program
7	Catholic Charities Community Services of Dutchess, Inc. - Senior Medical Transportation and Support
8	Fareground, Inc. - Grocery Home Delivery for Seniors
9	Hudson River Housing, Inc. - River Haven Transitional Living Community
10	Hudson River Housing - Support Services at Hillcrest House
11	Mental Health America of Dutchess County, Inc. - Permanent Supportive Housing Program
12	North East Community Center, Inc. - Youth Development Programs: CPSB and Teen Team
13	Sunset Ave., Town of Poughkeepsie
14	Halstead Farms, Town of Lagrange
15	Wallace Campus, City of Poughkeepsie
16	Administration - CDBG and HOME
17	DC Owner-Occupied Property Rehabilitation
19	2024 Public Facilities Set-Aside
21	2024 Rebuilding Together
22	2024 Harlow Row Rehabilitation
23	Habitat for Humanity North East

Table 4 – Project Information

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

Dutchess County allocates funding to projects based on priorities established during the development of the current Consolidated Plan (2020-2024). In PY2024, the county focused the project readiness of applications due to the county's need to meet its timeliness obligation for CDBG.

One of the biggest obstacles to addressing underserved needs is simply getting projects completed. Many projects in recent years have experienced delays due to lingering supply chain issues,

the escalating cost of materials, and the sheer volume of construction work in the Hudson Valley that can make finding available qualified vendors difficult.

AP-38 Project Summary
Project Summary Information

1	Project Name	City of Beacon - West Center Street Sidewalk Reconstruction
	Target Area	Dutchess County
	Goals Supported	Public Facilities and Improvements
	Needs Addressed	Public Facilities and Improvements
	Funding	CDBG: \$198,000
	Description	Replacement/improvement to existing sidewalk on West Center Street, approximately 665 linear feet
	Target Date	10/31/2025
	Estimate the number and type of families that will benefit from the proposed activities	It's estimated that 1,200 people will benefit from the proposed activity. 760 people or 63.33% of the population are low/mod.
	Location Description	Project will take place on the south side of West Center St, starting at South Avenue and running approx. 665 linear feet, Beacon, NY 12508
Planned Activities	The Project will remove existing deteriorated 4-foot-wide Monolithic concrete curb and sidewalk on the south side of West Center Street and replace it with a new 5-foot-wide monolithic concrete curb and sidewalk. The project would start at the intersection of South Avenue and West Center Street and run for an approximate distance of 665 linear feet along the south side of West Center Street. This would allow for a new ADA compliant pedestrian walk along West Center Street from the corner adjacent to the South Avenue elementary School to the entrance walk to the Beacon Recreational Center (23 W. Center St.)	
2	Project Name	Town of Clinton - Friends Park Improvements
	Target Area	Dutchess County
	Goals Supported	Public Facilities and Improvements
	Needs Addressed	Public Facilities and Improvements
	Funding	CDBG: \$125,000
	Description	Improvements to Friends Park including new combo tennis/pickleball courts with fence
	Target Date	10/31/2025

	Estimate the number and type of families that will benefit from the proposed activities	It's estimated 1190 people will benefit from the proposed activity. 560 people or 47.1% are low/mod
	Location Description	Friends Park in Clinton, NY off of Route 115
	Planned Activities	The current tennis court at Friends Park is 25+ years old and is not in any shape to be used for tennis or any other recreation activity. The Town of Clinton Recreation is looking to add more recreation activities for the residents. We upgraded and made improvements to Friends Park 2 years ago and want to continue to improve the park for the town residents. This project would be to install a new combo tennis/pickleball court with a fence. This court could be used for all town residents of any age. The new court would be built in the open area between the old tennis court and the current basketball court. Which is on the southwest side of the bathroom.
3	Project Name	Town of Dover - ADA-compliant Crosswalk Improvements at School St. and Rt. 22 in Dover Plains
	Target Area	Dutchess County
	Goals Supported	Public Facilities and Improvements
	Needs Addressed	Public Facilities and Improvements
	Funding	CDBG: \$87,500
	Description	ADA improvements at intersection including curb cut and drainage; tactile surface; push button; striping, etc.
	Target Date	10/31/2025
	Estimate the number and type of families that will benefit from the proposed activities	It's estimated that 610 people will benefit from the proposed activity. 455 people or 73.4% of the population are low/mod.
Location Description	Rt. 22 at School Street in Dover Plains	

	Planned Activities	The crosswalk at the intersection of Route 22 and School St. in the Dover Plains hamlet, regularly used on weekdays by residents, students, fire company personnel and on weekends by visitors to the Dover Stone Church Preserve, has glaring ADA-compliance deficiencies and hazardous defects that require repair and remediation. Deficiencies and defects include: 1) a missing curb cut on one side and fall hazard of a slotted stormwater catch basin located in the crosswalk path on the other; 2) decorative brick used in place of a raised tactile warning surface on one side and a deteriorated and ineffective warning strip on the other; 3) lack of notification devices (flashing bars and push button) to alert motorists of a pedestrian's intention to use the crosswalk, and 4) the need for repositioning of the crosswalk's location, new and durable painted striping and use of products that meet ADA-compliance.
4	Project Name	Village of Millerton - Veterans Park Sidewalk Improvements
	Target Area	Dutchess County
	Goals Supported	Public Facilities and Improvements
	Needs Addressed	Public Facilities and Improvements
	Funding	CDBG: \$150,000
	Description	Replacement of a portion of deteriorated sidewalk on Main Street adjacent to Veterans Park in the village; also, regrading and repaving of gathering/rest area fronting the park
	Target Date	10/31/2025
	Estimate the number and type of families that will benefit from the proposed activities	It's estimated that total beneficiaries will be 2,975, with a total of 1,610 L/M beneficiaries, or 54%.
	Location Description	Main Street, Village of Millerton
Planned Activities	See description above	
5	Project Name	Village of Tivoli - Memorial Drive Drainage Improvements Project
	Target Area	Dutchess County
	Goals Supported	Public Facilities and Improvements
	Needs Addressed	Public Facilities and Improvements
	Funding	CDBG: \$200,000

	Description	Replacement of 36" culvert under Memorial Drive to address drainage issues
	Target Date	10/31/2025
	Estimate the number and type of families that will benefit from the proposed activities	It's estimated 1180 people will benefit from this activity. 790 people or 66.95% are low mod.
	Location Description	Memorial Drive Tivoli NY
	Planned Activities	The Village of Tivoli has a number of areas that experience flooding, a number of Village streets and properties become inundated with stormwater. The Village has conducted a drainage study to determine what improvements to Village owned infrastructure could help alleviate the flooding around the Village. The first Village Street with infrastructure that needs improvements is Memorial Drive, these improvements include replacement of a 36" culvert under Memorial Drive as well as replacement of the 12" to 15" corrugated metal pipe that run along the shoulders of the roadway. The proposed work will be to increase the size of the culvert and storm lines that run along Memorial Drive. The Village DPW will be clearing out the existing swales that connect the stormwater path from Memorial Drive to Broadway. The Village will be looking to improve additional Village streets over the next few years.
6	Project Name	Catholic Charities Community Services of Dutchess, Inc. - Homeless Prevention Case Management Program
	Target Area	Dutchess County
	Goals Supported	Public Services
	Needs Addressed	Public Services
	Funding	CDBG: \$25,000
	Description	Program will provide emergency rental assistance and limited utility assistance for low-income Dutchess County residents to help stabilize housing and prevent utility shut offs. Case management services will assist clients in independently sustaining their household needs.
	Target Date	2/28/2025

	Estimate the number and type of families that will benefit from the proposed activities	It's estimated 40 low mod people at risk of homelessness will benefit from this proposed activity.
	Location Description	218 Church Street, Poughkeepsie, NY 12601
	Planned Activities	Program will provide emergency rental assistance and limited utility assistance for low-income Dutchess County residents to help stabilize housing and prevent utility shut offs. Case management services will assist clients in independently sustaining their household needs.
7	Project Name	Catholic Charities Community Services of Dutchess, Inc. - Senior Medical Transportation and Support
	Target Area	Dutchess County
	Goals Supported	Public Services
	Needs Addressed	Public Services
	Funding	CDBG: \$25,000
	Description	Program will provide Dutchess County residents age 60+ with basic support services, including transportation to non-emergency medical appointments, grocery shopping, visiting services, telephone reassurance, as well as respite for primary caregivers.
	Target Date	2/28/2025
	Estimate the number and type of families that will benefit from the proposed activities	It's estimated 300 seniors will benefit from this proposed activity.
	Location Description	218 Church Street, Poughkeepsie, NY 12601
	Planned Activities	Program will provide Dutchess County residents age 60+ with basic support services, including transportation to non-emergency medical appointments, grocery shopping, visiting services, telephone reassurance, as well as respite for primary caregivers.
8	Project Name	Fareground, Inc. - Grocery Home Delivery for Seniors
	Target Area	Dutchess County
	Goals Supported	Public Services
	Needs Addressed	Public Services

	Funding	CDBG: \$14,250
	Description	Program will provide monthly delivery of groceries to food insecure and accessibility-challenged seniors in southern Dutchess County
	Target Date	2/28/2025
	Estimate the number and type of families that will benefit from the proposed activities	It's estimated 50 seniors will benefit from this proposed activity
	Location Description	4 Cross Street, Beacon, NY 12508
	Planned Activities	Program will provide monthly delivery of groceries to food insecure and accessibility-challenged seniors in southern Dutchess County
9	Project Name	Hudson River Housing, Inc. - River Haven Transitional Living Community
	Target Area	Dutchess County
	Goals Supported	Public Services
	Needs Addressed	Public Services
	Funding	CDBG: \$30,000
	Description	Program will provide runaway, homeless and those at-risk of homelessness young adults, ages 18 to 24, with up to 24 months of transitional housing and support services, including 24-hour crisis intervention, counseling, and case management, which will aid in transitioning them towards self-sufficiency.
	Target Date	2/28/2025
	Estimate the number and type of families that will benefit from the proposed activities	It is estimated 12 young adults will benefit from this proposed activity.
	Location Description	313 Mill Street, Poughkeepsie, NY 12601
	Planned Activities	Program will provide runaway, homeless and those at-risk of homelessness young adults, ages 18–24, with up to 24 months of transitional housing and support services, including 24-hour crisis intervention, counseling, and case management, which will aid in transitioning them towards self-sufficiency.
	Project Name	Hudson River Housing - Support Services at Hillcrest House

10	Target Area	Dutchess County
	Goals Supported	Public Services
	Needs Addressed	Public Services
	Funding	CDBG: \$30,000
	Description	Program will provide case management services, under the Housing First Program, which will help high-risk, vulnerable homeless adults secure and maintain stable housing.
	Target Date	
	Estimate the number and type of families that will benefit from the proposed activities	It's estimated 48 homeless persons will benefit from this proposed activity.
	Location Description	313 Mill Street, Poughkeepsie, NY 12601
	Planned Activities	Program will provide case management services, under the Housing First Program, which will help high-risk, vulnerable homeless adults secure and maintain stable housing.
11	Project Name	Mental Health America of Dutchess County, Inc. - Permanent Supportive Housing Program
	Target Area	Dutchess County
	Goals Supported	Public Services
	Needs Addressed	Public Services
	Funding	CDBG: \$25,750
	Description	Program will provide a comprehensive continuum of services offering affordable, permanent housing to individuals and families in recovery from substance use disorders, including counseling, case management, life skills training, and vocational development services, which will assist participants in becoming self-sufficient, strengthen their recovery, reunite with their families, and strive to reach their full potential.
	Target Date	2/28/2025
	Estimate the number and type of families that will benefit from the proposed activities	It's estimated 40 homeless people will benefit from this proposed activity.

	Location Description	253 Mansion Street, Poughkeepsie, NY 12601
	Planned Activities	Program will provide a comprehensive continuum of services offering affordable, permanent housing to individuals and families in recovery from substance use disorders, including counseling, case management, life skills training, and vocational development services, which will assist participants in becoming self-sufficient, strengthen their recovery, reunite with their families, and strive to reach their full potential.
12	Project Name	North East Community Center, Inc. - Youth Development Programs: CPSB and Teen Team
	Target Area	Dutchess County
	Goals Supported	Public Services
	Needs Addressed	Public Services
	Funding	CDBG: \$30,000
	Description	Community Partnership with Schools and Business (CPSB) will offer workforce development training and paid, mentored internships with local businesses and organizations for socioeconomically disadvantaged youth, ages 14-20. Teen Team is a peer support group that will provide educational, recreational and community service opportunities for socioeconomically disadvantaged youth, ages 14-18.
	Target Date	2/28/2025
	Estimate the number and type of families that will benefit from the proposed activities	It's estimated 57 youths will benefit from this proposed activity.
	Location Description	51 South Center Street, Millerton, NY 12546
	Planned Activities	Community Partnership with Schools and Business (CPSB) will offer workforce development training and paid, mentored internships with local businesses and organizations for socioeconomically disadvantaged youth, ages 14-20. Teen Team is a peer support group that will provide educational, recreational and community service opportunities for socioeconomically disadvantaged youth, ages 14-18.
13	Project Name	Sunset Ave., Town of Poughkeepsie
	Target Area	Dutchess County
	Goals Supported	Owner-Occupied Housing

	Needs Addressed	Affordable Housing
	Funding	HOME: \$550,000
	Description	Site preparation on 4.5 acre parcel for 4 single family homes targeted for homeowners
	Target Date	12/31/2025
	Estimate the number and type of families that will benefit from the proposed activities	It is estimated 5 low and moderate owner families will benefit from this activity, 4 will be HOME assisted.
	Location Description	Sunset Avenue, Poughkeepsie, NY 12601
	Planned Activities	Site preparation on 4.5 acre parcel for 5 single family homes targeted for homeowners (4 are HOME assisted).
14	Project Name	Halstead Farms, Town of Lagrange
	Target Area	Dutchess County
	Goals Supported	Affordable Rental Housing
	Needs Addressed	Affordable Housing
	Funding	HOME: \$350,000
	Description	New construction of 80 affordable multi-family housing units.
	Target Date	12/31/2025
	Estimate the number and type of families that will benefit from the proposed activities	80 families will benefit from the project. Ten units will be HOME-assisted, meaning 10 low and moderate renter families will directly benefit from HOME funding
	Location Description	Titusvill Rd/Phillips Rd, Town of Lagrange, NY
	Planned Activities	See description above.
15	Project Name	Wallace Campus, City of Poughkeepsie
	Target Area	Dutchess County
	Goals Supported	Affordable Rental Housing
	Needs Addressed	Affordable Housing
	Funding	HOME: \$100,000

	Description	Renovation and new construction of 187 affordable housing units in mixed use structures throughout several buildings in downtown Poughkeepsie
	Target Date	6/1/2026
	Estimate the number and type of families that will benefit from the proposed activities	187 affordable rental units of which 11 will be HOME-assisted provided to low income families
	Location Description	331 Main Street, Poughkeepsie, NY 12601
	Planned Activities	Renovation and new construction of 187 affordable housing units in mixed use structures throughout several buildings in downtown Poughkeepsie
16	Project Name	Administration - CDBG and HOME
	Target Area	Dutchess County
	Goals Supported	Administration
	Needs Addressed	Administration
	Funding	CDBG: \$309,020 HOME: \$87,174
	Description	Administrative funds for CDBG and HOME Programs
	Target Date	12/31/2025
	Estimate the number and type of families that will benefit from the proposed activities	N/A
	Location Description	85 Civic Center Plaza, Suite 107, Poughkeepsie, NY 12601
	Planned Activities	Administrative funds for CDBG and HOME Programs
17	Project Name	DC Owner-Occupied Property Rehabilitation
	Target Area	Dutchess County
	Goals Supported	Owner-Occupied Housing
	Needs Addressed	Affordable Housing
	Funding	CDBG: \$15,000 HOME: \$185,000

	Description	Funding for zero percent interest loans to 10 low- and moderate-income homeowners for home repairs.
	Target Date	12/31/2025
	Estimate the number and type of families that will benefit from the proposed activities	10 low- and moderate owner-occupied households.
	Location Description	County-wide
	Planned Activities	Funding for zero percent interest loans or deferred payment loans to 10 low- and moderate-income homeowners for home repairs.
18	Project Name	2024 Public Facilities Set-Aside
	Target Area	Dutchess County
	Goals Supported	Public Facilities and Improvements
	Needs Addressed	Public Facilities and Improvements
	Funding	CDBG: \$295,580
	Description	Set-aside for Dutchess County public facility improvements
	Target Date	6/30/2024
	Estimate the number and type of families that will benefit from the proposed activities	Unknown number low/mod persons will benefit from this project. Will be determined in future when project(s) are identified.
	Location Description	Unknown. Dutchess County
Planned Activities	Funds set aside for future public facility needs throughout Dutchess County	
19	Project Name	2024 Rebuilding Together
	Target Area	Dutchess County
	Goals Supported	Owner-Occupied Housing
	Needs Addressed	Affordable Housing
	Funding	HOME: \$49,995
	Description	Rehab for 5 low and moderate income owner occupied households.
	Target Date	10/31/2025

	Estimate the number and type of families that will benefit from the proposed activities	Five low and moderate income owner families are estimated to benefit from these activities
	Location Description	County-wide
	Planned Activities	Rehab for 5 low and moderate income owner occupied households.
20	Project Name	2024 Harlow Row Rehabilitation
	Target Area	City of Poughkeepsie
	Goals Supported	Affordable Rental Housing
	Needs Addressed	Affordable Housing
	Funding	HOME: \$160,000
	Description	Hudson River Housing will rehabilitate eight rental units within the Harlow Row property in the City of Poughkeepsie.
	Target Date	10/31/2025
	Estimate the number and type of families that will benefit from the proposed activities	Eight low-mod renter families will benefit from this activity.
	Location Description	City of Poughkeepsie
	Planned Activities	Exterior and interior rehabilitation of historic Harlow Row building, including eight apartment rentals for low to moderate income families.
21	Project Name	Habitat for Humanity North East
	Target Area	Dutchess County
	Goals Supported	Owner-Occupied Housing
	Needs Addressed	Affordable Housing
	Funding	HOME: \$200,000
	Description	Habitat for Humanity will develop a single-family home for an income-qualified homebuyer in the Town of North East.
	Target Date	10/31/2025

	Estimate the number and type of families that will benefit from the proposed activities	One moderate-income family will benefit from the proposed activity.
	Location Description	Project will be located in the Town of North East, NY
	Planned Activities	Development of owner-occupied single family home for income qualified family

AP-50 Geographic Distribution - 91.420, 91.220(f)

Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed

CDBG funding is provided to projects throughout Dutchess County in low and moderate-income areas or for projects which specifically benefit populations HUD presumes are low and moderate-income, as illustrated in Appendix A and Appendix B.

Geographic Distribution

Target Area	Percentage of Funds
Dutchess County	100
City of Poughkeepsie	

Table 5 - Geographic Distribution

Rationale for the priorities for allocating investments geographically

Investments are made in census-defined low- and moderate-income areas or are the type of projects which are presumed to benefit low-income populations (e.g., homeless, substance abuse, handicapped).

The primary focus for CDBG allocations is the Consolidated Plan priorities, and because the 29 communities outside of the City of Poughkeepsie voluntarily agreed to cooperate with the County and participate in the CDBG program, staff and the Community Development Advisory Committee make an effort to distribute funds around the County, to the extent practicable. Past performance is also a funding consideration.

Public service projects generally have their office in the City of Poughkeepsie but must demonstrate that at least 51% of the clients are non-city, county residents.

The County looks for housing projects that will help expand fair housing opportunities.

Discussion

N/A

Affordable Housing

AP-55 Affordable Housing - 91.420, 91.220(g)

Introduction

In alignment with the County's Consolidated Plan goals for affordable housing, the 2024 program year includes the creation of new affordable rental units, preservation of existing affordable rental units through rehabilitation, as well as rehab of owner occupied units and the creation of new homeowner units.

One Year Goals for the Number of Households to be Supported	
Homeless	0
Non-Homeless	49
Special-Needs	0
Total	49

Table 6 - One Year Goals for Affordable Housing by Support Requirement

One Year Goals for the Number of Households Supported Through	
Rental Assistance	0
The Production of New Units	26
Rehab of Existing Units	23
Acquisition of Existing Units	0
Total	49

Table 7 - One Year Goals for Affordable Housing by Support Type

Discussion

Dutchess County is continuing with its rental production program, supporting two multifamily rental projects for a total of 21 HOME-supported units created. Additionally, 8 existing rental units will be rehabilitated with HOME funds. The county is also supporting five affordable homeownership units being created. And the county is supporting 15 owner-occupied rehabilitation projects. (CDBG funds will also be used for 1 owner-occupied rehab project)

AP-60 Public Housing - 91.420, 91.220(h)

Introduction

The following section outlines the actions to be undertaken at two public housing authorities (PHA) within Dutchess County. In addition to physical improvements noted below, both PHAs have outlined broad goals designed to promote adequate affordable housing, as well as economic opportunity and a suitable living environment. These are outlined in detail in the Consolidated Plan Market Analysis - Public and Assisted Housing (MA-25).

Actions planned during the next year to address the needs to public housing

CDBG or HOME funds are not projected to be used for public housing during 2024. Poughkeepsie Housing Authority and the Beacon Housing Authority each have a multi-year capital plan which includes annual capital improvements paid for by HUD's Public Housing Capital Funding.

Actions to encourage public housing residents to become more involved in management and participate in homeownership

Both PHA's have two residents on their boards to increase resident involvement in the planning and operations of the housing authorities.

If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance

N/A

Discussion

N/A

AP-65 Homeless and Other Special Needs Activities - 91.420, 91.220(i)

Introduction

The following section summarized the activities to address the needs of the homeless and other persons with special needs.

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

Homeless outreach is conducted through a variety of groups. Hudson River Housing has a street outreach program funded in part by the County's Agency Partner Grant program that looks to identify unsheltered adults and youth. The County's Health Department also does street outreach regarding communicable diseases during which they provide information about other resources. In late 2018, the County began funding a Behavioral Evaluation and Assessment Team (BEAT) patrol, composed of police officers and mental health professionals, to conduct weekly street outreach in the City and Town of Poughkeepsie to engage with the street homeless population. In 2021, the City of Beacon implemented the Enhancing Community Health through Outreach (ECHO) to target street homelessness and behavioral health occurrences and link people to services. In 2022, the County launched an additional evidenced-based program in partnership with PEOPLE USA, Inc. with case managers "without walls" working in the community to connect with people "where they are" to services.

As part of the annual point-in-time count, the DCCoC conducts street outreach. Not only are homeless people counted, particularly unsheltered persons, but representatives from various agencies are available to answer questions and provide information about available resources.

The unsheltered youth can also access services at the Hudson River Housing (HRH) Pete's Place, a homeless drop-in-center, and Pat's Place, a transitional housing program, both of which were paid for in part with funding from the Youth Housing Demonstration Program and HOME-ARP funds. These locations will serve as a de-facto one-stop for the unsheltered young adult population experiencing homelessness.

Addressing the emergency shelter and transitional housing needs of homeless persons

The emergency and transitional housing needs of homeless persons are met through a wide range of such housing. Sections NA-40 and MA-30 in the Consolidated Plan provided a detailed list of the homeless facilities and services available to the homeless population and the needs of those

populations.

The County funds several services that support emergency and transitional housing. These include two Hudson River Housing projects on the project list (AP-35). The first project supports a Housing First project, while the second supports the River Haven Youth Shelter and their transitional housing program.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

The largest rapid re-housing program is Housing River Housing's ESG funded program which is a more focused version of the original HPRP program using new ESG funds secured through the NYS Department of Temporary and Disability Assistance (OTDA). This funding provides rapid re-housing funds and related case management to the chronically homeless in their overnight shelters and young adults in their emergency and transitional housing. The County's Department of Community and Family Services also funds a Housing Navigator. The Housing Navigator will assist with finding appropriate housing opportunities, helping homeless people make a successful transition to stable housing, and help at-risk households avoid homelessness.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.

The CoC follows New York state's discharge policy to ensure that those being discharged from publicly funded institutions are not discharged into homelessness. Specifically, the CoC adheres to the New York State Code of Rules and Regulations Section 405.9 regarding Admissions/Discharge and NYS Office of Mental Health Guidance on Evaluation and Discharge Practices for Comprehensive Psychiatric Emergency Programs (CPEP) and Section 9.39 Emergency Departments (ED).

Most CoC agencies provide at least 3 months of case management once a household is placed in permanent housing to assist with the transition to self-sufficiency. The County's Department of Community and Family Services also funds a worker at Hudson River Housing to provide 1 year of case management to families exiting the shelter to permanent housing to provide longer-term support for

their transition to self-sufficiency.

Discussion

N/A

AP-75 Barriers to affordable housing -91.420, 91.220(j)

Introduction

There are a number of barriers to affordable housing which include but are not limited to the fact that incomes have lagged behind housing costs, low market stock, and zoning restrictions. The County conducted a Housing Needs Assessment that determined that incomes have lagged housing costs particularly for renters making it more challenging for people to compete for affordable housing. Market conditions create a barrier to affordable housing in Dutchess County where the demand for housing far exceeds the existing housing stock with a vacancy rate currently at about 2.1%. The County continues to struggle to help communities understand the need for zoning reform.

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

Limited Fair Housing Resources

1. Undertake and participate in fair housing education, and provide technical assistance to the public and other interested parties about fair housing laws
2. Support fair housing testing throughout Dutchess County

Limited Subsidized Housing and Assistance Programs

1. Prioritize the development of non-age restricted affordable housing
2. Prioritize siting affordable developments in areas with the lowest concentrations of minorities
3. Maintain the housing priority within CDBG program allowing municipalities with a housing project to submit two applications
4. Coordinate with state and federal partners to maximizing the use of their resources
5. Support the Dutchess County and City of Poughkeepsie Land Bank via administrative funding and the donation of municipally owned land/properties

Discussion

N/A

AP-85 Other Actions - 91.420, 91.220(k)

Introduction

The following section outlines the activities the county will undertake to address a variety of housing and community development activities.

Actions planned to address obstacles to meeting underserved needs

In 2024 the County will focus on outreach to low- and moderate-income homeowners regarding the County's Senior Citizen Owner-Occupied Property Rehabilitation Program. Census data shows this group is cost burdened.

The County will continue its efforts to connect private developers and public service agencies in an effort to create relationships where developers will be willing to "take a risk" housing special needs individuals and families if they know they have the necessary support if a crisis develops.

The County will maintain its role within the DCCoC to provide the oversight and coordination required under the HEARTH regulations.

Actions planned to foster and maintain affordable housing

The County does not expect to lose any units from its assisted housing inventory during 2024. In 2022 the County did a needs assessment. The County continues to develop programs to meet the 10 year goals of this report. We are currently expanding our County-wide rehab program and looking at other ways to develop homeowner units and additional rental units.

Actions planned to reduce lead-based paint hazards

The removal of lead-based paint is addressed through the HOME Program, and the County's Senior Citizen Owner-Occupied Property Rehabilitation Programs. All lead-based paint is removed from substantial rehabilitation projects funded by the HOME Program. Additionally, HOME funds may be used to address lead-based paint problems in properties with a known lead-based paint problem.

The County's Department of Behavioral and Community Health, in collaboration with the County Department of Planning and Community Development, applied in December 2023 for funding through the NYS Homes and Community Renewal Lead Prevention Pilot Program. The program provides funding to remediate lead hazards from rental units in communities of concern, which in Dutchess County is primarily in the City of Poughkeepsie. The County was notified in February 2024 that our application was awarded \$495,565. The County expects to be able to remediate 12 units within the contract term.

The County's Department of Behavioral and Community Health collaborates with BOCES to provide a variety of trainings for contractors to learn how to safely deal with lead-based paint hazards. These

programs include a nine-hour training on Window and Door Replacement and Lead Safety for Remodeling, Repair, and Painting (RRP). The department notifies local contractors of opportunities to become certified for the removal of lead-based paint in effort to increase the number of contractors who can work with the programs and increase general knowledge among the contracting community. Reduced tuition is available for eligible contractors.

Rebuilding Together conducts lead safe training for its volunteers to ensure their program is in compliance with HUD regulations. It also invites outside contractors to help expand general knowledge in the community.

The County's Health Department has a Childhood Lead Poisoning Prevention Program identifies communities with high incidence of lead poisoning and do education and outreach in those communities. It also works with families who have children with elevated blood levels to determine the cause of the problem and develop a strategy to resolve it. The Health Department and the City of Poughkeepsie have a Lead Primary Prevention Program aimed at reducing the incidence of childhood lead poisoning. The program targets homes in that 12601 City of Poughkeepsie zip code. The program educates homeowners and landlords about the risks associated with lead poisoning, assists in identifying high risk units, conducts lead screenings for children living in homes with lead hazards, provides free Lead Safe Work Practices Training to residents and landlords, and provides free cleaning kits to those trainees in order to help them maintain low lead levels in their units.

Actions planned to reduce the number of poverty-level families

The County will continue its focus on funding programs which help create long-term, meaningful change, including helping families out of poverty.

Under the CDBG program, Catholic Charities Community Services of Dutchess, Inc.'s Homeless Prevention Case Management and the North East Community Center Inc.'s Community Partnership with Schools and Business programs are focused on reducing the number of poverty-level families in the county. Catholic Charities Community Services of Dutchess, Inc. provides short-term financial assistance to rapidly re-house homeless families and keep those at risk of homelessness housed. Financial assistance is provided within a comprehensive case management framework which seeks to address long-term financial concerns and help families develop a plan for sustainable financial security. The North East Community Center, Inc.'s program focuses on developing the work skills of young adults and strives to improve the odds of a young adult being gainfully employed.

The County also works to reduce poverty through its Agency Partner Grant (APG) Program. In 2024, the County is investing about \$85,000 towards the two projects noted above, as well as nearly \$43,000 on another program focused on this effort. Literacy Connections was awarded funding for its Adult

& Family Literacy program which promotes basic literacy skills for adults and children.

Actions planned to develop institutional structure

To this end the County has a multi-faceted strategy to overcome gaps including:

- Training and capacity building for non-profit partners
- Monitoring and technical assistance to improve outcomes and ensure compliance with program regulations
- Encourage and incentivize collaboration among agencies
- Encourage agencies to participate in county-wide collaborations such as the CoC and Path-to-Promise
- Encourage agencies to participate in the Mid-Hudson Valley Homeless Management Information System
- Coordinate funding priorities with other local funding sources – Dyson Foundation, Community Foundation, United Way – via regular funder meetings
- Streamline program administration requirements across programs/agencies so agencies can focus on service delivery

Actions planned to enhance coordination between public and private housing and social service agencies

The County will work with the DCCoC to increase participation by public and private housing agencies as well as critical public service agencies in DCCoC activities. In early 2021 the DCCoC hired CARES, Inc. as the coordinator of DCCoC activities and outreach to public housing agencies will be a focus as CARES becomes more involved in the DCCoC's activities.

Discussion

N/A

Program Specific Requirements

AP-90 Program Specific Requirements - 91.420, 91.220(I)(1,2,4)

Introduction

Community Development Block Grant Program (CDBG) Reference 24 CFR 91.220(I)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	15,000
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
Total Program Income:	15,000

Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	100.00%

HOME Investment Partnership Program (HOME) Reference 24 CFR 91.220(I)(2)

1. A description of other forms of investment being used beyond those identified in Section 92.205 is

as follows:

NA, no additional forms of investment will be used.

2. A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:

Dutchess County's full Resale and Recapture Provision is attached to this Action Plan. If HOME funds are provided to support the development of the unit and HOME funds are not used to lower the purchase price from fair market value to an affordable price, resale provisions are applied. Specifically, resale provisions are enforced through the use of a Deed of Restrictive Covenants signed by the homebuyer at closing. If the housing is transferred, voluntarily or otherwise, during the affordability period, it must be made available for subsequent purchase only to a buyer whose family qualifies as low-income and will use the property as its principal residence. The County will ensure a fair return on investment should the owner sell during the period of affordability, including the original homebuyer's initial investment and any capital improvement that contributes to the value of the home (e.g. new roof, HVAC system upgrade, kitchen renovation, etc.).

The county's recapture provisions will apply when HOME funds are used to as a direct subsidy to reduce the purchase price for a qualified buyer. The direct subsidy includes downpayment, closing costs, interest subsidies, or other HOME assistance provided directly to the homebuyer. In addition, direct subsidy includes any assistance that reduced the purchase price from fair market value to an affordable price. If HOME funds are used for the cost of developing a property and the unit is sold below fair market value, the difference between the fair market value and the purchase price is considered to be directly attributable to the HOME subsidy. HOME recapture provisions permit the original homebuyer to sell the property to any willing buyer during the affordability period while Dutchess County is able to recapture all or a portion of the HOME-assistance provided to the original homebuyer. In the event of a transfer of the property during the compliance period, the recapture approach requires that all or a portion of the direct subsidy provided to the homebuyer be recaptured from the net proceeds of the sale, as discussed in detail in the full Resale and Recapture Provisions.

3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired

with HOME funds? See 24 CFR 92.254(a)(4) are as follows:

Dutchess County's Full Resale and Recapture Provision is attached to this Action Plan. As described above, the affordability of units will be maintained via a Deed of Restrictive Covenants, which will specify:

1. The length of the HOME Affordability Period (per HUD requirements);
2. The home must remain the owner's principal residence throughout the HOME Affordability Period; and
3. The conditions and obligations of the owner should the owner wish to sell before the end of the HOME Affordability Period, including; The owner must contact Dutchess County Department of Planning and Development or its designated representative in writing if intending to sell the home prior to the end of the HOME Affordability Period; The subsequent purchaser must be low-income as defined by HOME regulations, and occupy the home as their primary residence for the remaining years of the HOME Affordability Period (however, if the new purchaser receives HOME direct assistance, the HOME Affordability Period will be re-set according to the amount of assistance provided); and The sales price must be affordable to the subsequent purchaser; affordable is defined as limiting the Principal, Interest, Taxes and Insurance (PITI) amount to no more than 30% of the new purchaser's monthly income.

4. Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:

The County has no plans to use HOME funds for this purpose.

5. If applicable to a planned HOME TBRA activity, a description of the preference for persons with special needs or disabilities. (See 24 CFR 92.209(c)(2)(i) and CFR 91.220(l)(2)(vii)).

N/A

6. If applicable to a planned HOME TBRA activity, a description of how the preference for a specific category of individuals with disabilities (e.g. persons with HIV/AIDS or chronic mental illness) will narrow the gap in benefits and the preference is needed to narrow the gap in benefits and services received by such persons. (See 24 CFR 92.209(c)(2)(ii) and 91.220(l)(2)(vii)).

N/A

7. If applicable, a description of any preference or limitation for rental housing projects. (See 24 CFR 92.253(d)(3) and CFR 91.220(l)(2)(vii)). Note: Preferences cannot be administered in a manner that

limits the opportunities of persons on any basis prohibited by the laws listed under 24 CFR 5.105(a).

N/A

As discussed in the Resale and Recapture Provisions, all HOME-assisted homebuyer housing must have an initial purchase price that does not exceed 95% of the median purchase price for the Poughkeepsie-Newburgh-Middletown MSA. The latest published limits from HUD are \$387,250 for existing homes and \$404,236 for new homes.

Both private and non-profit developers are eligible to apply to the county's HOME program. Dutchess County administers HOME funds through an annual competitive application, using a publicly announced request for applications. An applicant workshop is held, a recording of which is later posted on the county's website. Applications are reviewed by county staff and the Community Development Advisory Committee (CDAC). The CDAC makes funding recommendations to the county executive, who ultimately makes award decisions. All information regarding the HOME program is available on the county's website and, if needed, hard copies may be obtained at the Dutchess County Department of Planning and Development's offices in Poughkeepsie.

Attachments

Citizen Participation Comments

Menon, Smitha

From: Hesse, Paul (Dutchess County)
Sent: Thursday, October 19, 2023 12:03 PM
To: Hesse, Paul (Dutchess County)
Cc: Murray, Audra; Ouimet, Gail; Peratikos, Michael; Wrafter, Eoin; LaVarnway, Heather
Subject: 2024 Dutchess County/City of Poughkeepsie HOME Investment Partnership Program

Dear Interested Parties:

The 2024 Dutchess County/City of Poughkeepsie HOME Investment Partnership Program application has been released. The purpose of these funds is to increase the availability of affordable housing throughout Dutchess County and the City of Poughkeepsie. The priority for the HOME program is the creation of new rental affordable units. Both non-profit and for-profit developers are eligible applicants.

Approximately \$1,330,000 will be available during this funding round. The policies and application materials are [available at this link](#). A virtual public hearing/workshop on the program and application process will be held on [Thursday, November 2, 2023 at 10:00 a.m. via Microsoft Teams](#).

Applicants should be sure to review the [Policies and Procedures](#) on the [program website](#) before completing the application. We *strongly* suggest all potential applicants contact the County before submission to discuss your development's eligibility.

The online application and all related templates and attachments must be submitted via the [Dutchess County Grant Portal](#) by **4:00 p.m. on Friday, December 15, 2023**. Please feel free to forward this e-mail to any person who may be interested in using HOME funds to create affordable housing in Dutchess County and the City of Poughkeepsie.

Paul Hesse

Community Development Coordinator
Dutchess County Department of Planning & Development
85 Civic Center Plaza, Suite 107 | Poughkeepsie, NY 12601
phone: 845.486.3669 | fax: 845.486.3610
email: phesse@dutchessny.gov
www.dutchessny.gov

1

Menon, Smitha

From: CommunityGrants
Sent: Friday, August 25, 2023 4:37 PM
To: CommunityGrants
Subject: 2024 CDBG Municipal Funding Opportunity Now Available!

Dear Municipal Leaders:

We are pleased to announce that applications are now being accepted from municipalities that are members of the 2024 CDBG County Consortium, which includes all Dutchess County municipalities, except for the City of Poughkeepsie, since the City receives its own CDBG funds. Approximately \$1,000,000 will be made available for projects that primarily benefit low- and moderate-income residents. To learn more, review the [2024 CDBG Municipal Application Instructions and Guidance](#). Applying is a three-step process requiring completion of the LOI and the Application within the Dutchess County Grant Portal with deadlines as follows:

- **LOI Deadline: Friday, September 29, 2023 by 4:00 p.m.**
- **Application Deadline: Friday, October 20, 2023 by 4:00 p.m.**

We will hold an in-person and virtual public hearing/workshop to review the application process at **1:00 p.m. on Wednesday, September 6, 2023**, at 85 Civic Center Plaza, Suite 107, Poughkeepsie, NY 12601. Alternatively, click [here](#) to join the meeting virtually.

The County uses a three-step application process:

- **Step 1 – A public hearing is REQUIRED before submitting the application.**
- **Step 2 –** Submit eligibility information via the LOI feature in the [Dutchess County Grant Portal](#). After signing into the portal, click the blue 'APPLY' button in the upper right to start the process. Applicants must complete this process by **Friday, September 29, 2023**, so the County has time to review and approve eligibility. Applicants will only be able to proceed to the application once the LOI has been approved by the County.
- **Step 3 –** You will be able to complete the application via the EDIT APPLICATION link which will appear once the LOI is approved by the County. The application and attachments must be submitted via the grant portal by **4:00 p.m. on Friday, October 20, 2023**.

Grant Portal Instructions:

1. [Use this link to navigate to the Dutchess County Grant Portal webpage where you will login to your existing account or create an account if one does not already exist for your organization.](#)
2. **Please only create one account per organization; therefore, you should consider using your organization's general email and a shared password to be used by anyone within your organization who might work on an application within the grant portal, now or in the future.**
3. Once on the Logon Page, select 'Create New Account' and complete all required* fields marked with an asterisk* symbol.
4. After successfully creating an account, you will be brought to the 'Apply' page where you can click on the blue 'Apply' button that will bring you to the grant application.
5. Once you begin an application, it will be saved as a draft. You can re-enter the portal any time prior to the submission deadline to complete the application.

1

If you have questions or to discuss potential projects to ensure eligibility please contact communitygrants@dutchessny.gov.

Thank you,

Community Investment Staff
Dutchess County Department of Planning & Development
85 Civic Center Plaza, Suite 107
Poughkeepsie, NY 12601
Phone: (845) 486-3600 Fax: (845) 486-3610
Email: communitygrants@dutchessny.gov
www.dutchessny.gov

2

Menon, Smitha

From: CommunityGrants
Sent: Monday, September 25, 2023 12:41 PM
To: CommunityGrants
Subject: REMINDER: 2024 APG and CDBG-Public Service Applications DUE 9/27
Importance: High

REMINDER: Applications for the 2024 Agency Partner Grant (APG) and Community Development Block Grant (CDBG)-Public Service **must be submitted via the [Dutchess County Grant Portal](#) no later than 3:00 p.m. this Wednesday, September 27th.**

From: CommunityGrants <communitygrants@dutchessny.gov>
Sent: Friday, August 25, 2023 4:18 PM
To: CommunityGrants <communitygrants@dutchessny.gov>
Subject: 2024 APG and CDBG-Public Service Applications Now Available!

Dear Community Partners:

We are pleased to announce two public service grant programs for which we are soliciting grant proposals.

1. [Agency Partner Grant \(APG\)](#)
Estimated Allocation: \$1,500,000
A grant application workshop and Q & A session will be held on Thursday, September 7th at 10:00 a.m. at the Dutchess County Department of Emergency Response (392 Creek Road, Poughkeepsie, NY 12601). If you are interested in applying for APG funding, it is strongly recommended that a representative from your organization attend this workshop.
2. [Community Development Block Grant \(CDBG\)—Public Service](#)
Estimated Allocation: \$150,000
A public hearing / application information session will be held on Wednesday, September 6th at 1:00 p.m. at the Dutchess County Department of Planning & Development (85 Civic Center Plaza, Suite 107, Poughkeepsie, NY 12601). If you are interested in applying for CDBG funding, it is strongly recommended that a representative from your organization attend this hearing / information session.

Eligible applicants can apply to either or both of the above grant programs; however, please make sure to review the Application Instructions & Guidance for each grant program to ensure eligibility.

Applications must be submitted through the [Dutchess County Grant Portal](#) by 3:00 p.m. on September 27, 2023. We suggest bookmarking the grant portal link for quick access. A link to the portal can also be found on the [Dutchess County Department of Planning & Development](#), [Agency Partner Grant](#), and/or [Community Development Block Grant](#) webpages.

1

Grant Portal Instructions:

1. [Use this link to navigate to the Dutchess County Grant Portal webpage where you will login to your existing account or create an account if one does not already exist for your organization.](#)
2. **Please only create one account per organization; therefore, you should consider using your organization's general email and a shared password to be used by anyone within your organization who might work on an application within the grant portal, now or in the future.**
3. Once on the Logon Page, select 'Create New Account' and complete all required* fields marked with an asterisk* symbol.
4. After successfully creating an account, you will be brought to the 'Apply' page where you can click on the blue 'Apply' button that will bring you to the grant application.
5. Once you begin an application, it will be saved as a draft. You can re-enter the portal any time prior to the submission deadline to complete the application.

Please feel free to share this grant announcement with your colleagues. If you have any questions, please contact us at communitygrants@dutchessny.gov.

Thank you,

Community Investment Staff

Dutchess County Department of Planning & Development

85 Civic Center Plaza, Suite 107

Poughkeepsie, NY 12601

Phone: (845) 486-3600 Fax: (845) 486-3610

Email: communitygrants@dutchessny.gov

www.dutchessny.gov

**2024 Community Development Block Grant (CDBG) Municipal and Public Service
Hearing & Workshop
Meeting Minutes
Thursday, September 14, 2023, 9:00 a.m.
85 Civic Ctr Plaza, Poughkeepsie, NY 12601**

Attendees In-Person

Alexandria Schweitzer, Legal Services of Hudson Valley
Amy Smith, Village of Rhinebeck
Charles Shaw, Town of Stanford
Emily Cox, Hudson River Housing
Himali Pandya, People USA
Jamie Levato, Fareground
Janey McHugh, Town of Poughkeepsie
Jason Green, Mid-Hudson Civic Center
Katie Palmer, Town of Dover
Kedaer Parks, Charlia Frank
Kim Whelly, Catholic Charities
L'Quette Taylor, Community Matters 2, Inc.
Leslie Heany, Town of Washington
Marcy Ladella, Cornell Cooperative Extension DC
Mary Jo Clift, Day One Early Learning
Mary Lou Carolan, Cornell Cooperative Extension DC
Melkorka Kjavval, Village of Red Hook
Michelle Nepton, United Way
Mike Erwin, Mental Health America
Rachel Saunders, Legal services Hudson Valley
Rebecca Kozuck, Mid-Hudson Civic Center
Stacy Dedring, Dutchess Outreach

Attendees Virtual

Gary Bassett, Mayor, Village of Rhinebeck

Staff Present

Audra Murray, Principal Program Assistant
Bryan Cranna, Community Investment Program Specialist
Eoin Wrafter, Commissioner
Gail Ouimet, Principal Program Assistant
Julia Kammerer, Community Investment Program Specialist
Mike Peratikos, Rehabilitation Specialist
Paul Hesse, Community Development Coordinator
Smitha Menon, Account Clerk

Introductions were made and Commissioner Wrafter began the public hearing and workshop by reviewing the Community Development Block Grant (CDBG) - Municipal and Public Service Grant Programs PowerPoint (attached). Inquiries were as follows:

- The percentage of the Federal Eligibility area for 2023 is at 44.89%.
- Letter of Intent stage does not need to include estimates from contractors, only during the application stage.

[1]

- Commissioner Wrafter and Paul Hesse spoke about the differences between Improvement of Public Facilities versus the removal of Architectural Barriers by citing examples.
- Clarification was made regarding the age of YOUTH category which Audra Murray confirmed was up to 21.
- Commissioner Wrafter clarified that LOI was not required for Public Service Applications.
- Prevailing wage is not an issue for Public Service Applications if construction is a part of the application.
- Question was raised if increasing food for Youth was not a qualifying activity. Commissioner Wrafter responded that he would check the guidance and confirm.
- If both APG and CDBG applications could be combined and submitted.
- Commissioner Wrafter elaborated on "quantifiable increase" for existing CDBG applicants.
- Paul Hesse explained what defined "Low/Med population".
- Commissioner Wrafter explained the application process if the collective grant applications is more than the approved funding amount.
- Senior Citizens age is set to 62.
- Audra Murray clarified the program year term for both Municipal and Public Service Grant.
- Commissioner Wrafter and Audra Murray explained the reporting procedures.
- Audra Murray explained and confirmed the indirect rate percentage of CDBG as 10% and up to 18% for APG Grants.
- Match requirement is not a factor for the application.

Respectfully Submitted:

Smitha Menon
Account Clerk

[2]

Menon, Smitha

From: CommunityGrants <communitygrants@dutchessny.gov>
Sent: Monday, April 1, 2024 1:17 PM
To: CommunityGrants
Subject: 2024 Draft Action Plan | 2024 CDBG Municipal and HOME Projects

Dear Municipal Leaders and Community Stakeholders,

The Dutchess County Department of Planning and Development (DCDPD) invites public comments on its [draft 2024 Action Plan](#) for its Community Development Block Grant (CDBG) and HOME Investment Partnership (HOME) programs. The plan provides a summary of the actions and resources, including the [projects proposed for funding](#) under the 2024 grant programs. Hard copies are available during normal business hours (Monday - Friday, 9 a.m. - 5 p.m.) at the DCDPD, 85 Civic Center Plaza, Suite 107, Poughkeepsie NY 12601.

The 30-day comment period begins on Monday, April 1, 2024, to Wednesday, May 1, 2024.

An in-person and virtual public hearing on the Draft Action Plan will take place on Tuesday, April 23, 2024, at 10:00 a.m. at the DCDPD address noted above or virtually at <http://bit.ly/49KDpz7>.

Written comments will be accepted until 5:00 p.m. Wednesday, May 1, 2024, and may be submitted by email, mail, or in-person to the DCDPD (see address above) – Attn: Gail Padalino, Community Development Administrator, or to communitygrants@dutchessny.gov.

Community Investment Staff

Dutchess County Department of Planning & Development

85 Civic Center Plaza, Suite 107
Poughkeepsie, NY 12601
Phone: (845) 486-3600 Fax: (845) 486-3610
Email: communitygrants@dutchessny.gov

www.dutchessny.gov

Hudson Valley Press

P.O. Box 2160
 Newburgh, New York 12550
 (845) 562-1313

Invoice

Date	Invoice #
3/27/2024	410674

Bill To
Dutchess Co. Planning 85 Civic Center Plaza, Suite 107 Poughkeepsie NY 12501 Attn: Smitha Menon

Ship To
Dutchess Co. Planning 85 Civic Center Plaza, Suite 107 Poughkeepsie NY 12501

P.O. Number	Terms	Rep	Ship	Via	F.O.B.	Project
DCDDP	Due on receipt	_G	3/27/2024	U.S. Mail		
Quantity	Item Code	Description			Price Each	Amount
81	005a	Legal Classifier and Proc. 3/27/24			0.475	39.00
1	300	Newspaper copy			1.00	1.00
Please remit to above address.					Total	\$40.00

REC'D 2024 APR 4 PM 12:05 DCP

HELP WANTED • CLASSIFIED • BUSINESS OPPORTUNITIES • REAL ESTATE



New York 10924, until 3:30PM on Wednesday, April 17, 2024, when the same will be publicly opened and read. Bid forms, including specifications, may be obtained from the Department of General Services at the above address or at www.orangecounty.gov.com/677/General-Services.com.

Samantha Swickals
Commissioner of General Services
County of Orange
March 27, 2024

Legal Notice

Notice of Inventory and Valuation Data

Pursuant to Section 501 of the Real Property Law, the Assessor for the City of Newburgh, New York, has inventory and valuation data available for review of the assessments in the City of Newburgh. An appointment may be made to review this information by calling the assessor's office at 845-599-7333.

William M. Mahoney, IAO
City Assessor

Legal Notice

NOTICE OF PUBLIC COMMENT AND HEARING

County of Dutchess, Department of Planning and Development (DCDDP)
86 Civic Center Plaza, Suite 107,
Poughkeepsie, NY 12601
(845) 488-3000

The Dutchess County Department of Planning and Development (DCDDP) invites public comments on its draft 2024 Action Plan for its Community Development, Block Grant and HOME Development Partnership program. The draft provides a summary of the actions and resources including the projects proposed for funding under the 2024 grant programs. The Draft Action Plan is available for review for 30 days beginning on Monday, April 1, 2024, to Wednesday, May 1, 2024, on the DCDDP website at <https://www.dutchessny.gov/Departments/Planning/Planning.htm> and hard copies are available during normal business hours (Monday - Friday, 9 a.m. - 5 p.m.) at the DCDDP, 86 Civic Center Plaza, Suite 107, Poughkeepsie, NY, 12601.

An in-person and virtual public hearing on the Draft Action Plan will take place on Tuesday, April 23, 2024, at 10:00 a.m. at the DCDDP address listed above or virtually at <http://bit.ly/6KDP27>.

Written comments will be accepted until 5:00 p.m. on Tuesday, April 23, 2024 and may be submitted by email, mail, or in-person to the DCDDP (see address above). Attn: Gill Padalino, Community Development Administrator, or to community@dcddpny.gov.

Dated: April 1, 2024

Legal Notice

NOTICE TO BIDDERS

Sealed bids for providing Fire Training Center Utilities (RFP-

OC044-24) to the County of Orange will be received at PO Box 218, 255-275 Main St., Goshen, New York 10924, until 3:00 PM on Wednesday, April 17, 2024, when the same will be publicly opened and read. Bid forms, including specifications, may be obtained from the Department of General Services at the above address or at www.orangecounty.gov.com/577/General-Services.com.

Samantha Swickals
Commissioner of General Services
County of Orange
March 27, 2024

Legal Notice

NOTICE TO OFFERORS

Sealed proposals to provide Multi-Jurisdictional Multi-Hazard Mitigation Plan Update (RFP-DES01-24) services to the County of Orange will be received at PO Box 218, 255 Main St., Goshen, New York 10924, until 4:00 PM on Thursday, April 25, 2024. Proposal documents, including specifications, may be obtained from the Department of General Services at the above address or at <https://www.bidsite@red.com> www.gov/county-of-orange.

Samantha Swickals
Commissioner of General Services
County of Orange
March 27, 2024

Legal Notice

ROAD CLOSING NOTICE

**BRUYN TURNPIKE / WALKILL AVENUE
TOWNS OF SHAWANGUNK AND CRAWFORD
COUNTIES OF ULSTER AND ORANGE**

The undersigned Commissioner of Public Works of the County of Orange does hereby close all that portion of Bruyn Turnpike in the Town of Shawangunk, Ulster County, New York, / Walkill Avenue in the Town of Crawford, Orange County, New York, BEGINNING at a point approximately 350 feet west of the intersection of Bruyn Turnpike / Walkill Avenue and Red Mills Road and runs THENCE in a westerly direction along said Bruyn Turnpike / Walkill Avenue approximately 350 feet (0.07 miles) for the purpose of allowing the Orange County Department of Public Works, its agents or contractors, to excavate, repair, pave and do all such work as required in connection with the "Rehabilitation of Red Mills Bridge" on said Town Road. Said closing being effective by the conspicuous posting of "Road Closed" signs on or about April 1, 2024.

Said town road will be closed to all through traffic for a period of approximately twenty-one (21) days in accordance with the provisions of Section 104 of the Highway Law. Appropriate traffic control devices, in accordance with the provisions of the National Manual of Uniform Traffic Control Devices (MUTCD), and the New York State Supplement, will be in place and detour routes will be prominently marked.

In the case of inclement weather or other unforeseen problems, this period of time may be extended as needed.

Normal through traffic using this portion of Bruyn Turnpike / Walkill Avenue should use alternate routes or signed detour routing that will utilize Red Mills Road, Dubois Street, NYS Route 52, and Brunswick-New Prospect Road.

DATED: March 18, 2024

FRIK DENEGA, P.E., P.M.P.
COMMISSIONER

Legal Notice

NOTICE OF PUBLIC HEARING

Public Notice is hereby given that a public hearing will be held for the purpose of amending the Rules for Classified Civil Service of Orange County on April 16, 2024, at 2:00 P.M. in the Orange County Department of Human Resources, 255 Main Street, Goshen, NY and via Microsoft Teams +1.847.881.9854 Phone Conference ID: 514 256 4484

The full text of the proposed change is available for review on Orange County's website under the Department of Human Resources' webpage - <https://www.orangecounty.gov.com/1137/HumanResources/OrangeCounty>

Department Of Human Resources
Langdon C. Chapman
Commissioner Of Human Resources

Legal Notice

**REFREE'S NOTICE OF SALE IN FORECLOSURE
SUPREME COURT - COUNTY OF ORANGE
MIDFIRST BANK, Plaintiff - against -
MARJORIE J. REDY, et al
Defendants.**

Pursuant to a Judgment of Foreclosure and Sale entered on January 30, 2024, the undersigned Referee will sell at public auction at the Orange County Court House 31265 Main Street, 2nd Floor, Division 3 Lobby, Goshen, NY 13924 on the 24th day of April, 2024 at 2:30 PM All that certain plot piece or parcel of land, with the buildings and improvements thereunto erected, situate, lying and being in the Town of Woodbury, Orange County, New York. Description known as 25 Hedwood Drive, Highland Mills, (Town of Woodbury) NY 10980. (SBL# 237-1-56) Approximate amount of \$en \$257,897.38 plus interest and costs.

Premises will be sold subject to provisions of filed judgment and terms of sale.
Index No. EF004388-2022. Joseph A. Catania Jr., Esq., Referee. Davidson Fink LLP Attorney(s) for Plaintiff 400 Meridian Centre Blvd, Bld 200 Rochester, NY 14618 Tel. 585-760-8218 For sale information, please visit Auction.com at www.Auction.com

oréal (800) 380-2632
Dated: February 2, 2024
During the COVID-19 health emergency, bidders are required to comply with all governmental health requirements in effect at the time of sale including but not limited to, wearing face coverings and maintaining social distancing (at least 6-feet apart) during the auction, while tendering deposit and at any subsequent closing. Bidders are also required to comply with the Foreclosure Auction Rules and COVID-19 Health Emergency Rules issued by the Supreme Court of this County in addition to the conditions set forth in the Terms of Sale.

Legal Notice

Notice of Formation of a Limited Liability Company (LLC). The name of the LLC is: Antisl Property Maintenance LLC. Articles of Organization were filed with the Secretary of State of New York (SSNY) office on: 03/06/2024. The County in which the Office is to be located: Dutchess. The SSNY is designated as agent of the LLC upon whom process against it may be served. The address to which the SSNY shall mail a copy of any process against the LLC is: 58 Kiny Road, Poughkeepsie, NY 12603. Purpose: any lawful activity.

Legal Notice

Notice of Formation of Adria & Debra Vaccante LLC, a New York Limited Liability Company (LLC). Articles of Organization were filed with the Secretary of State of NY (SSNY) on 03/12/2024. Office located: Dutchess County. SSNY is designated as agent upon whom process against the LLC may be served. SSNY shall mail a copy of any process to: 159 Main Street, Beacon, NY 12608. Purpose: to engage in any lawful and activity.

Legal Notice

Notice of Formation of a Limited Liability Company (LLC). The name of the LLC is: TwentySevenEight LLC. Articles of Organization were filed with the Secretary of State of New York (SSNY) office on: 04/26/23. The County in which the Office is to be located: Orange County. The SSNY is designated as agent of the LLC upon whom process against it may be served. The address to which the SSNY shall mail a copy of any process against the LLC is: 107 Shaker Court N New Windsor NY 12553. Purpose: any lawful activity.

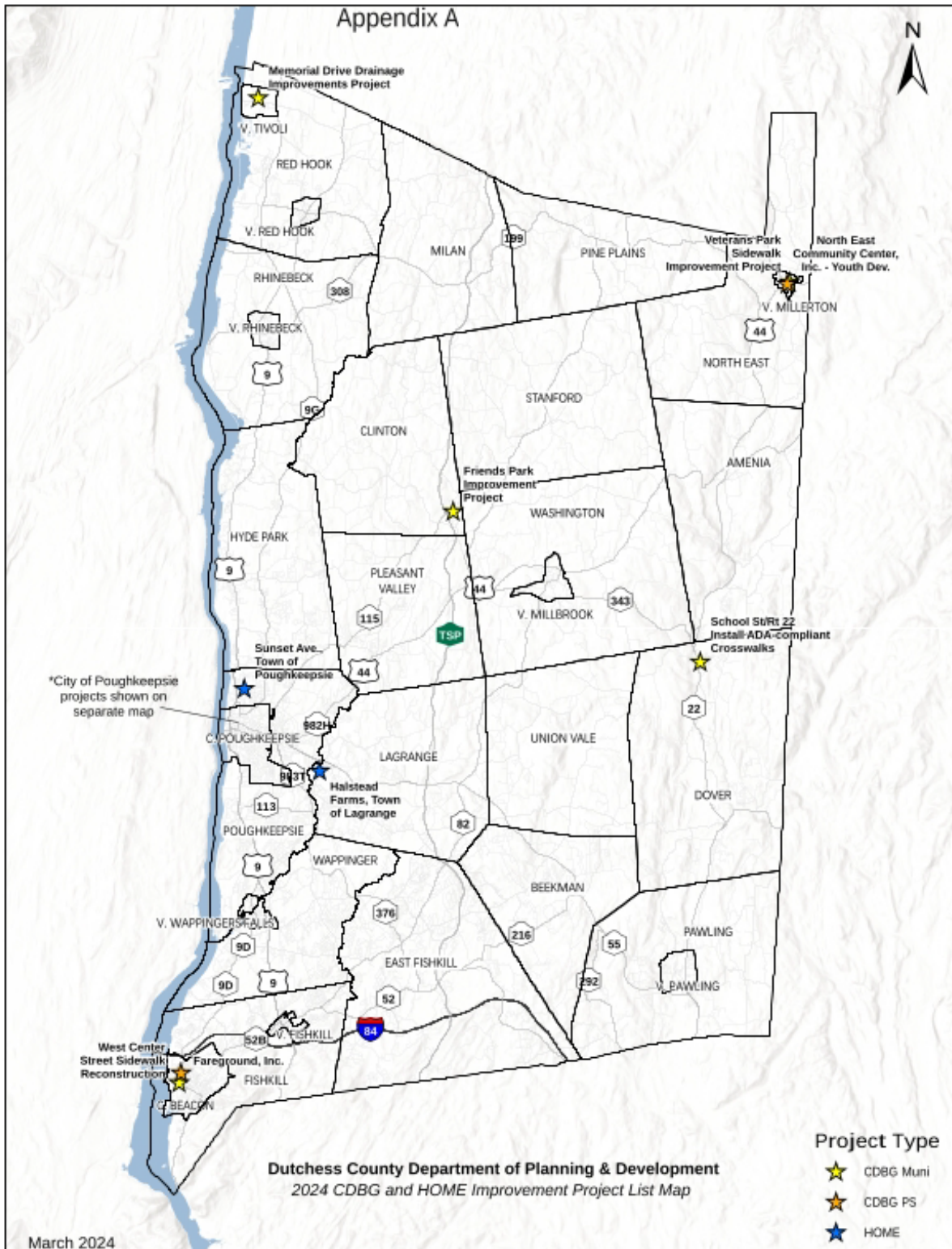
Legal Notice

CITY OF NEWBURGH ARCHITECTURAL REVIEW COMMISSION

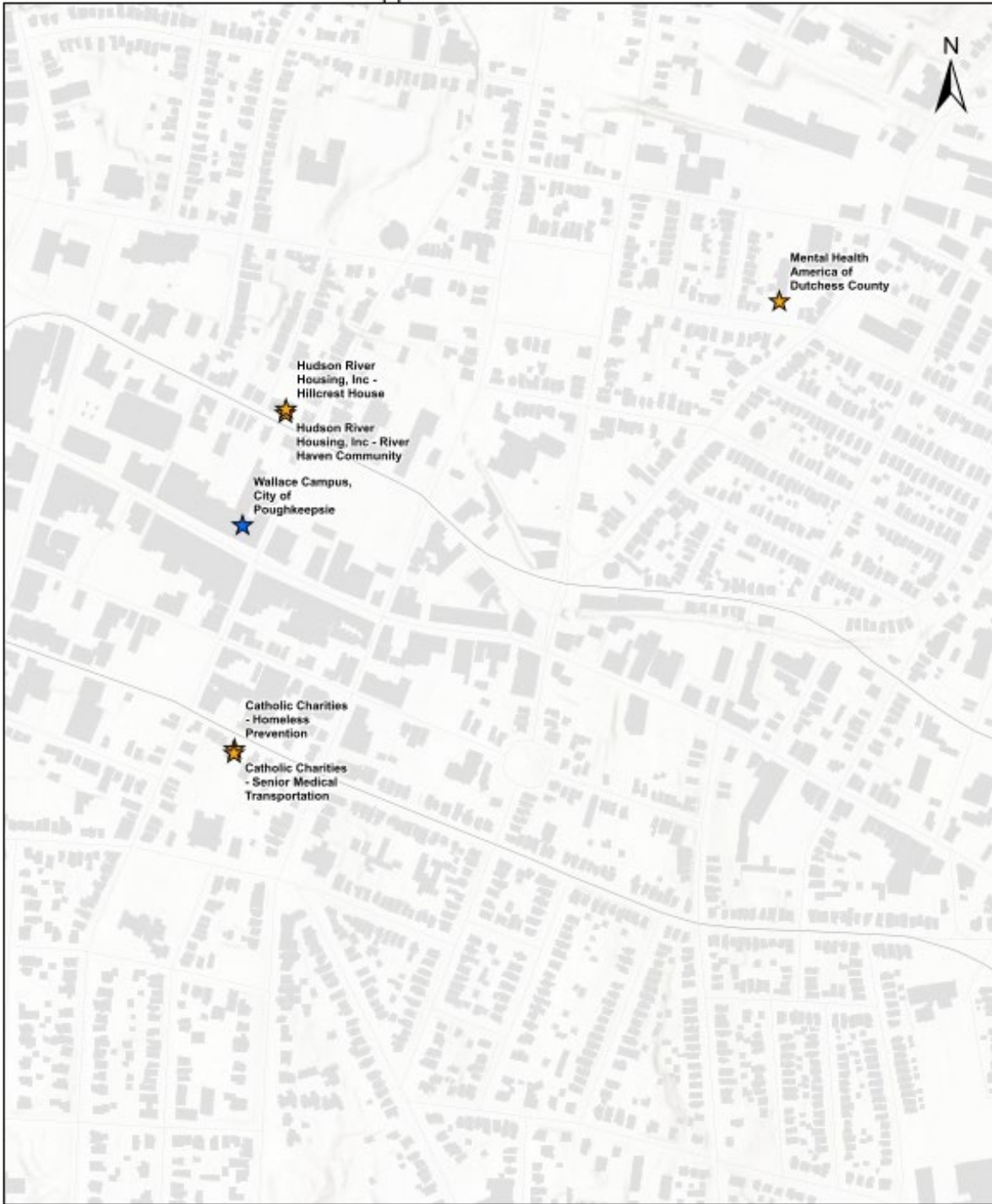
Nichole Brown, Chairperson
J.K. Gentile, Secretary
123 Grand Street, Newburgh, NY 12550
Phone: (845) 568-7385

PLEASE TAKE NOTICE, that a Public Hearing will be held by the CITY OF NEWBURGH ARCHITECTURAL REVIEW COMMISSION

Grantee Unique Appendices



Appendix B



Dutchess County Department of Planning & Development
2024 CDBG and HOME Improvement Project List Map - Poughkeepsie

Project Type

- ★ CDBG PS
- ★ HOME

March 2024

Resale and Recapture Provisions

BACKGROUND

Section 215 of the HOME statute establishes specific requirements that all HOME-assisted homebuyer housing must meet in order to qualify as affordable housing. Specifically, all HOME-assisted homebuyer housing must:

- Have an initial purchase price that does not exceed 95% of the median purchase price for the area
- Be the principal residence of an owner whose family qualifies as low-income at the time of purchase
- Be subject to either resale or recapture provisions

The HOME statute states that resale provisions must limit subsequent purchase of the property to income-eligible families; provide the owner with a fair return on investment, including any improvements; and ensure that the housing will remain affordable to a reasonable range of low-income homebuyers. The HOME statute also specifies that recapture provisions must recapture the HOME investment from available net proceeds in order to assist other HOME-eligible families.

HOMEBUYER REQUIREMENTS

The HOME-assisted homebuyer requirements as set forth in Section 215 of the HOME statute state that in order for homeownership housing to qualify as affordable it must:

- Be single-family, modest housing
- Be acquired by a low-income family as its principal residence
- Meet affordability requirements for a specific period of time as determined by the amount of assistance provided

To ensure affordability, Dutchess County must impose either resale or recapture requirements on the housing. The provisions are imposed for the duration of the affordability period on all HOME-assisted projects through a written agreement with the homebuyer and enforced via lien, deed restrictions, or covenants running with the land. **The resale or recapture provisions are triggered by any transfer of title, either voluntary or involuntary, during the established HOME period of affordability.**

Affordability Period

The HOME Affordability Period for homeownership assistance is based on the level of assistance provided to fund a unit or as direct assistance to a buyer (see the chart below). In a resale scenario, the affordability period is based on the *total* amount of HOME funds invested in the housing. In a recapture scenario, the affordability period is based upon the *direct HOME subsidy* provided to the homebuyer that enabled the homebuyer to purchase the unit.

If the total HOME investment (resale) or direct subsidy (recapture) in the unit is:	The Affordability Period is:
< \$15,000	5 years
\$15,000 – \$40,000	10 years
> \$40,000	15 years

RESALE PROVISIONS

If HOME funds are provided to support the development of the unit and HOME funds are not used to lower the purchase price from fair market value to an affordable price, resale provisions as described below must be used. Otherwise, the recapture provisions as described on pages 4-5 will apply.

Ensuring Long-term Affordability

The HOME rule at §92.254(a)(3) requires that all HOME-assisted homebuyer housing be acquired by an eligible low-income family, and the housing must be the principal residence of the family throughout the affordability period. If the housing is transferred, voluntarily or otherwise, during the affordability period, it must be made available for subsequent purchase only to a buyer whose family qualifies as low-income and will use the property as its principal residence.

Resale provisions are enforced through the use of a Deed of Restrictive Covenants signed by the homebuyer at closing. The Deed of Restrictive Covenants will specify:

1. The length of the HOME Affordability Period (per the chart above);
2. The home must remain the owner's principal residence throughout the HOME Affordability Period; and
3. The conditions and obligations of the owner should the owner wish to sell before the end of the HOME Affordability Period, including:
 - a. The owner must contact Dutchess County Department of Planning and Development or its designated representative in writing if intending to sell the home prior to the end of the HOME Affordability Period;
 - b. The subsequent purchaser must be low-income as defined by HOME regulations, and occupy the home as their primary residence for the remaining years of the HOME Affordability Period (however, if the new purchaser receives HOME direct assistance, the HOME Affordability Period will be re-set according to the amount of assistance provided); and
 - c. The sales price must be affordable to the subsequent purchaser; affordable is defined as limiting the Principal, Interest, Taxes and Insurance (PITI) amount to no more than 30% of the new purchaser's monthly income.

Fair Return on Investment

Dutchess County's resale provisions require that, if the property is sold during the affordability period, the price at resale provides the original HOME-assisted homebuyer a fair return on investment, including the original homebuyer's initial investment and any capital improvement. To calculate the fair return on investment to the homeowner, the County will:

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Dutchess County HOME Program Resale and Recapture Provisions (Rev. 06/23)

1. Determine the amount of market appreciation, if any, realized over the ownership term by calculating the difference between the initial appraised value at purchase and the current appraised value at resale. This figure represents the basis for calculating the fair return on investment. (In declining markets, it is possible that the homeowner may not realize a return.)
2. Multiply the basis by the Federal Housing Finance Agency's Housing Price Index (HPI) to determine the fair return to the homeowner: <https://www.fhfa.gov/DataTools/Tools/Pages/HPI-Calculator.aspx>

To calculate the resale price to the next homebuyer, Dutchess County will add the fair return on investment to the homeowner to the original affordable price of the home at purchase by the original buyer.

Example:

- Appraised (fair market) value at purchase: \$220,000
- Affordable price at purchase: \$200,000
- Appraised value at resale: \$250,500
- Increase in market appreciation: \$30,500
- Average appreciation in the Poughkeepsie-Newburgh-Middletown MSA (per the HPI Calculator): 37.3%
- Fair return on investment: $\$30,500 \times .373 = \$11,376.50$
- Resale price: $\$200,000 + \$11,377 = \$211,377$

Affordability to a Range of Buyers

The County will ensure continued affordability to a range of buyers, particularly those whose total household incomes range from 60% to 80% of area median income (AMI) as calculated by HUD for the HOME Program. Sales price will be set such that the amount of principal, interest, taxes, and insurance does not exceed 30% of the new buyer's annual income. In the event the resale price required to provide a fair return exceeds the affordability to a range of LMI buyers, additional HOME funding may be provided to the subsequent buyer based on the level of funds required to make the unit affordable.

Principal Residency Requirements

Households receiving HOME-funded homeownership assistance will be required to occupy the home as their principal residence throughout the HOME Affordability Period.

In the event the original HOME-assisted homebuyer no longer occupies the unit as their principal residence (i.e., the unit is rented or vacant), the homeowner will be in violation of the terms of the HOME assistance. In cases of noncompliance, the County will enforce the terms of the HOME written agreement to require repayment of any outstanding HOME funds invested in the housing. The amount subject to repayment is the total amount of HOME funds invested in the housing (i.e., any HOME development subsidy to the developer plus any HOME direct assistance provided to the homebuyer) minus any HOME funds already repaid (i.e., payment of principal on a HOME loan).

Noncompliance with principal residency requirements by a homebuyer is not considered a transfer and is not subject to resale provisions.

The County will enforce these requirements through a HOME written agreement executed with the

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Dutchess County HOME Program Resale and Recapture Provisions (Rev. 06/23)

organization receiving the HOME award for homeownership activity to protect its investment and minimize its risk in HOME-assisted homebuyer projects in the event the homebuyer is in noncompliance.

RECAPTURE PROVISIONS

Unlike the resale approach, the HOME recapture provisions permit the original homebuyer to sell the property to any willing buyer during the affordability period while Dutchess County is able to recapture all or a portion of the HOME-assistance provided to the original homebuyer. Recapture provisions are applied when the HOME funds are provided to reduce the purchase price to a qualified buyer.

Recapture is Dutchess County's default provision in the event of transfer of title (voluntary or involuntary). However, recapture provisions cannot be used when a project receives only a development subsidy and is sold at fair market value, because there is no direct HOME subsidy to recapture from the homebuyer. Instead, resale provisions must be used in this scenario.

Direct HOME Subsidy and Net Proceeds

Two key concepts in the recapture requirements – *direct subsidy to the homebuyer* and *net proceeds* – must be understood to determine the amount of HOME assistance subject to recapture, and the applicable affordability period on the unit. The recapture approach requires that all or a portion of the direct subsidy provided to the homebuyer be recaptured from the *net proceeds* of the sale.

Direct HOME subsidy is the amount of HOME assistance that enables the homebuyer to buy the unit. The direct subsidy includes downpayment, closing costs, interest subsidies, or other HOME assistance provided directly to the homebuyer. In addition, direct subsidy includes any assistance that reduced the purchase price from fair market value to an affordable price. If HOME funds are used for the cost of developing a property and the unit is sold below fair market value, the difference between the fair market value and the purchase price is considered to be directly attributable to the HOME subsidy.

Net proceeds are defined as the sales price minus superior loan repayment (other than HOME funds) and any closing costs. Under no circumstances can Dutchess County recapture more than what is available from the net proceeds of the sale.

Long-term Affordability

Under the recapture provisions, there is no requirement that the original HOME-assisted homebuyer sell the unit to another low-income homebuyer. Instead, if the homebuyer transfers the property, either voluntarily or involuntarily, during the period of affordability, Dutchess County will recover from available net proceeds all or a portion of the HOME assistance to the homebuyers.

Recapture Options

Dutchess County reserves the right apply one of the following two options, or a combination of both, to recapture HOME funds used to directly subsidize the purchase of the unit.

1. **Reduction During the Affordability Period.** Dutchess County may choose to reduce the amount of direct HOME subsidy on a pro-rata basis for the time the homebuyer has owned and occupied the housing, measured against the required affordability period. The resulting ratio would be

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Dutchess County HOME Program Resale and Recapture Provisions (Rev. 06/23)

used to determine how much of the direct HOME subsidy is subject to recapture. The pro-rata amount recaptured by Dutchess County cannot exceed what is available from net proceeds.

- a. To determine the pro-rata amount recaptured by Dutchess County:
 - i. Divide the number of years the homebuyer occupied the home by the period of affordability
 - ii. Multiply the resulting figure by the total amount of direct HOME subsidy originally provided to the homebuyer
2. **Shared Net Proceeds.** In this option, if the net proceeds are not sufficient to recapture the entire HOME investment or a reduced amount as described above, plus enable the homebuyer to recover the amount of the downpayment and any investment in the form of capital improvements made by the homebuyer since purchase, Dutchess County may share the net proceeds.
 - a. To calculate the amount of net proceeds (or shared appreciation) to be returned to Dutchess County:
 - i. Divide direct HOME subsidy by the sum of the direct HOME subsidy and the homebuyer's investment
 - ii. Multiply by the net proceeds to calculate the amount of HOME investment to return to Dutchess County
 - b. To calculate the amount of net proceeds (or shared appreciation) available to the homebuyer:
 - i. Divide the homebuyer's investment by the sum of the direct HOME subsidy and the homebuyer's investment
 - ii. Multiply by the net proceeds to calculate the amount of homebuyer investment to return to the homebuyer

Grantee SF-424's and Certification(s)

CWD Number: 4043-0024
 Revision Date: 11/30/2025

Application for Federal Assistance SF-424	
<p>* 1. Type of Submission:</p> <input type="checkbox"/> Resubmittal <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	
<p>* 2. Type of Application: * If Revision, select appropriate date(s)</p> <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation * Other (Specify): <input type="checkbox"/> Revision	
<p>* 3. Date Received: 01/22/2024</p>	<p>4. Applicant Identifier:</p>
<p>5a. Federal Entity Identifier:</p>	<p>5b. Federal Award Identifier: B-23-LC-36-0106</p>
<p>State Use Only:</p>	
<p>6. Date Received by State:</p>	<p>7. State Application Number:</p>
<p>8. APPLICANT INFORMATION:</p>	
<p>9a. Legal Name: County of Dutchess</p>	
<p>* 9b. Employment/expense Identification Number (EIN/ EIN): 14-6002566</p>	<p>* 9c. IIE: D3RRG8P2I NQ4</p>
<p>6. Address:</p>	
<p>* Street: 22 Market Street</p>	
<p>* City: Poughkeepsie</p>	
<p>* State: New York</p>	
<p>* Zip/Postal Code: 12601-3222</p>	
<p>c. Organizational Unit:</p>	
<p>Department Name: Planning & Development</p>	<p>Division Name: Community Development</p>
<p>f. Name and contact information of person to be contacted on matters involving this application:</p>	
<p>Prefix: Mr.</p>	<p>* First Name: Eoin</p>
<p>* Last Name: Wrafter</p>	
<p>Title: Commissioner, Planning & Development</p>	
<p>Organizational Affiliation: Department of Planning & Development</p>	
<p>* Telephone Number: 845-486-3600</p>	<p>Fax Number:</p>
<p>* Email: ewrafter@dutchessny.gov</p>	

Application for Federal Assistance SF-424

* 8. Type of Applicant 1. Select Applicant Type:
County Government

Type of Applicant 2. Select Applicant Type:

Type of Applicant 3. Select Applicant Type:

* Other Agency:

* 10. Name of Federal Agency:
Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

CFOA Title:

* 12. Funding Opportunity Number:
B-23-UC-36-0106

* Title:
Community Development Block Grant

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

* 15. Descriptive Title of Applicant's Project:
PY2024 Dutchess County Annual Action Plan: Public Services, Public Facilities and Improvements, and Housing Rehabilitation Community Development Block Program-Funded Activities benefiting Low-and Moderate-Income Dutchess County Residents

Attach relevant supporting documents as specified in Agency instructions.

Application for Federal Assistance SF-424

16. Congressional Districts Of:
 To Applicant: NY-01, NY-08 To Program/Project: NY-01, NY-08

Attach an additional list of Program/Project Congressional Districts (if needed):


17. Proposed Project:
 * a. Start Date: 03/01/2024 * b. End Date: 02/28/2025

18. Estimated Funding (\$):
 * a. Total: 1,545,100
 * b. Applicant:
 * c. State:
 * d. Local:
 * e. Other:
 * f. Program Income: 15,000
 * g. Total: 1,560,100

* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?
 a. This application was made available to the State under the Executive Order 12372 Process for review on
 b. Program is subject to E.O. 12372 but has not been selected by the State for review.
 c. Program is not covered by E.O. 12372

* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)
 Yes No
 If "Yes" provide explanation and attach:

21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)
 ** I AGREE
 ** The list of certifications are available at, or an Internet site where you may obtain it & list is contained in the manual, names of agency specific below this.

Authorized Representative:
 * First Name: Rachel
 * Last Name: Kashimer
 * Title: Deputy County Executive
 * Phone Number: 845-426-2021 * Fax Number:
 * Email: rkashimer@dutchessny.gov
 * Signature of Authorized Representative:  * Date Signed: 6/7/24

Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Pre-application <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application		
* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision		
* 3. Date Received: 09/20/24		
4. Applicant Identifier:		
5a. Federal Entity Identifier:		5b. Federal Award Identifier: M23-DC360206
State Use Only: 6. Date Received by State: 7. State Application Identifier:		
B. APPLICANT INFORMATION:		
* 4. Legal Name: County of Dutchess		
* 6. Employee Telephone Number (Mandatory): 14-6002566		* 6. UE: D3RRG8P2I NQ4
d. Address:		
* Street:	22 Market Street	
* City:	Poughkeepsie	
* State:	New York	
* Country:	USA: UNITED STATES	
* Zip / Postal Code:	12601-3222	
e. Organizational Unit:		
Department Name: Planning and Development		Division Name: Community Development
f. Name and contact information of person to be contacted on matters involving this application:		
* First Name:	Eoin	
* Last Name:	Wrafter	
* Title:	Commissioner, Planning & Development	
Organizational Affiliation: Department of Planning & Development		
* Telephone Number:	845-486-3600	* Fax Number:
* Email:	ewrafter@dutchessny.gov	

Application for Federal Assistance SF-424

*** 8. Type of Applicant: 1. Select Applicant Type:**

Type of Applicant 2. Select Applicant Type:

Type of Applicant 3. Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

11. Catalog of Federal Domestic Assistance Number:

CFDA Title:

*** 12. Funding Opportunity Number:**

Title:

13. Competitor Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

*** 15. Descriptive Title of Applicant's Project:**

16. Add any other documents as specified in agency instructions:

Application for Federal Assistance SF-424

16. Congressional Districts (C):

a. Applicant: **NYCIT RPO3** b. Program/Project: **NYCIT RPO3**

Add an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:

a. Start Date: **03/01/24** b. End Date: **02/28/25**

18. Estimated Funding (\$):

a. Federal	871,749.41
b. Applicant	
c. State	
d. Local	
e. Other	810,419.59
f. Program Income	
g. TOTAL	1,682,169

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

a. This application was made available to the State under the Executive Order 12372 Process for review of _____

b. Program is subject to E.O. 12372 but has not been selected by the State for review

c. Program is not covered by E.O. 12372

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

Yes No

If "Yes," provide explanation and amount:

21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)**

I AGREE

** The list of certifications and assurances, on the internet site where you may enter this list, is contained in the STATEMENT OF AGENCY SPECIFICATIONS.

Authorized Representative:

Prefix: * First Name: **Rachel**

Middle Name: **M.**


Last Name: **Kashimer**

Suffix:

* Title: **Deputy County Executive**

** Telephone Number: **845-426-2021** Fax Number:

* Email: **rkashimer@dutchessny.gov**

* Signature of Authorized Representative:  * Date Signed: **8/7/24**

2024 Community Development Block Grant Program

View Burden Statement

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4340-3038
Expiration Date: 02/26/2025

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (3345-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:


1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision of the construction site to ensure that the completed work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or proscribes the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 18 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §3461 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VII of the Civil Rights Act of 1964 (P.L. 86-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title X of the Education Amendments of 1972, as amended (20 U.S.C. §§10811-10813, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-253), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 et seq. and 290 et seq.), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

Yvonne Eaton Usabe

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Standard Form 424C (Rev. 7-97)
Prescribed by OMB Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7321-7325) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) installation of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11736; (c) protection of wetlands pursuant to EO 11890; (d) evaluation of flood hazards in floodplains in accordance with EO 11888; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1960, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§439a-1 et seq.)
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133 "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from: (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	County Executive
APPLICANT ORGANIZATION	DATE SUBMITTED
County of Dutchess	5/6/24

SF-424D (Rev. 7-97) Back

[View Burden Statement](#)

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number 4040-0009
Expiration Date: 09/30/2025

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NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

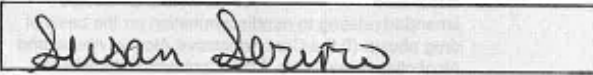
- Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
- Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State the right to examine all records, books, papers, or documents related to the assistance, and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
- Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
- Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
- Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
- Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
- Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
- Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4725-4753) relating to proscribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
- Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4601 et seq.) which prohibits the use of lead based paint in construction or rehabilitation of residence structures.
- Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1688), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to non-discrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-618), as amended, relating to non-discrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1942 (42 U.S.C. §§2901 et seq. and 2901 et seq.), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to non-discrimination in the sale, rental or financing of housing; (i) any other non-discrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other non-discrimination statute(s) which may apply to the application.

Previous Edition Usable

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Standard Form 424D (Rev. 7-97)
Prescribed by OMB Circular A-102

11. Will comply, or has already complied, with the requirements of Titles I and II of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and Federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7326) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c) and 18 U.S.C. §874, and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§377-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-203).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 108 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11563 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 109(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from: (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	County Executive
APPLICANT ORGANIZATION	DATE SUBMITTED
County of Dutchess	5/6/24

SF-4240 (Rev. 7-97) Back

ASSURANCES - NON-CONSTRUCTION PROGRAMS

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As the duly authorized representative of the applicant, I certify that the applicant


1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 18 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Control and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290dd-3 and 290ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-616) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

Previous Edition Useful:

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Standard Form 424B (Rev. 7-87)
Prescribed by OMB Circular A-119

9. Will comply, as applicable, with the provisions of the Davis Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(g) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11730; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11998; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (certification and protection of historic properties), and the Archeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.)
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
19. Will comply with the requirements of Section 108(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from: (1) Engaging in several forms of trafficking in persons during the period of time that the award is in effect; (2) Procuring a commercial sex act during the period of time that the award is in effect; or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE County Executive
APPLICANT ORGANIZATION County of Dutchess	DATE SUBMITTED 5/6/24

Standard Form 424B (Rev. 7-97) Back

Specific Community Development Block Grant Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the CDBG program (i.e., the development of viable urban communities, by providing decent housing and expanding economic opportunities, primarily for persons of low and moderate income) and requirements of 24 CFR Parts 91 and 570.

Following a Plan -- It is following a current consolidated plan that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include CDBG-assisted activities which the grantee certifies are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available (see Optional CDBG Certification).

2. Overall Benefit. The aggregate use of CDBG funds, including Section 108 guaranteed loans, during program year(s) 2024 [a period specified by the grantee of one, two, or three specific consecutive program years], shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period.

3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108 loan guaranteed funds, by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

In addition, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction.

CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing -- The jurisdiction will affirmatively further fair housing.

Uniform Relocation Act and Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.

Anti-Lobbying -- To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;

2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-L.L.L., "Disclosure Form to Report Lobbying," in accordance with its instructions; and

3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the jurisdiction's consolidated plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 75.


Signature of Authorized Official

5/6/24
Date

Dutchess County Executive
Title

Specific HOME Certifications

The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance – If it plans to provide tenant-based rental assistance, the tenant-based rental assistance is an essential element of its consolidation plan.

Eligible Activities and Costs -- It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR §§92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in §92.214.

Subsidy layering -- Before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing.


Signature of Authorized Official

5/6/24
Date

Dutchess County Executive

Title

Compliance with Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2006d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, Subparts A, B, J, K and R.

Compliance with Laws -- It will comply with applicable laws.

Susan Sereno
Signature of Authorized Official

5/6/24
Date

Dutchess County Executive

Title

anti-discrimination

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING CERTIFICATION:

Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.